

1 **Insertion of N-terminal hinge glycosylation enhances**  
2 **interactions of the fragment crystallizable (Fc) region of**  
3 **human IgG1 monomers to glycan-dependent receptors and**  
4 **blocks hemagglutination by the influenza virus**

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16 **Running title:** Engineering IgG1-Fc monomers for enhanced receptor  
17 interactions

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27  
28 <sup>2</sup>. The abbreviations used are: Fc, Fragment crystallizable; IVIG, Intravenous  
29 Immunoglobulin; ITP, Idiopathic Thrombocytopenic Purpura; tp, tailpiece;  
30 Siglec, Sialic acid-binding immunoglobulin-type lectin; CD, Cluster  
31 Designation; DC-SIGN, Dendritic Cell-Specific Intercellular Adhesion  
32 Molecule-3-grabbing Non-Integrin; DCIR, C-type Lectin Dendritic Cell  
33 Immunoreceptor; CLEC, C-type Lectin; HA, Hemagglutinin; MBL, Mannose-  
34 Binding Lectin; MMR, Macrophage Mannose Receptor; mAbs, monoclonal  
35 Antibody; MALDI, matrix-assisted laser desorption ionisation; TOF, time-of-  
36 flight; SEC, Size-Exclusion Chromatography; SPR, Surface Plasmon  
37 Resonance.

50 **Abstract**

51 **In therapeutic applications where the Fc of IgG is critically important,**  
52 **the receptor binding and functional properties of the Fc are lost after de-**  
53 **glycosylation, or removal of the unique Asn<sup>297</sup> N-X-(T/S) sequon.**

54 **A population of Fcs bearing sialylated glycans has been identified as**  
55 **contributing to this functionality, and high levels of sialylation also lead**  
56 **to longer serum retention times advantageous for therapy. The efficacy**  
57 **of sialylated Fc has generated an incentive to modify the unique N-**  
58 **linked glycosylation site at Asn<sup>297</sup>, either through chemical and**  
59 **enzymatic methods or by mutagenesis of the Fc, that disrupts the**  
60 **protein-Asn<sup>297</sup> carbohydrate interface. Here we took an alternative**  
61 **approach, by inserting or deleting N-linked attachment sites into the**  
62 **body of the Fc, to generate a portfolio of mutants with tailored effector**  
63 **functions. For example, we describe mutants with enhanced binding to**  
64 **low-affinity inhibitory human Fc $\gamma$  and glycan receptors that may be**  
65 **usefully incorporated into existing antibody-engineering approaches to**  
66 **treat or vaccinate against disease. The IgG1 Fc-fragments containing**  
67 **complex sialylated glycans attached to the N-terminal Asn<sup>221</sup> sequon**  
68 **bound influenza virus hemagglutinin and disrupted influenza A-**  
69 **mediated agglutination of human erythrocytes.**

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## 84 Introduction

85 Multiple lines of evidence have shown that glycosylation is critical to  
86 driving either the anti- or pro-inflammatory capability of IgG (1). Glycosylation  
87 of the only available carbohydrate attachment site (Asn<sup>297</sup>) in the Fc is  
88 essential for interactions with type 1 receptors (Fc $\gamma$ ) and type 2 receptors  
89 (glycan dependent), but also for driving interactions with the complement  
90 cascade (2–5).

91 In humans, infusion of Fc fragments is sufficient to ameliorate  
92 idiopathic thrombocytopenic purpura (ITP) in children, demonstrating the  
93 therapeutic utility of the Fc *in vivo* (6). These anti-inflammatory properties of  
94 the Fc are lost after deglycosylation of IgG, and a population of IgG-bearing  
95 sialylated Fcs has been identified as making a significant contribution to the  
96 control of inflammation in animal models (7, 8). Higher levels of sialylation  
97 also leads to longer serum retention times (9, 10), and studies in humans and  
98 mice have shown that influx and efflux of IgG into the central nervous system  
99 (CNS) is glycan and sialic acid dependent (11–16).

100 Consequently, the efficacy of sialylated Fc has generated an incentive  
101 to modify the existing glycans on Asn<sup>297</sup>, either by chemical means or through  
102 mutagenesis programs in the Fc protein backbone that disrupt the protein-  
103 Asn<sup>297</sup>-carbohydrate interface (17–19). However, chemical modification of  
104 pre-existing glycans is expensive and reliant on a sustainable source of  
105 human Fc, while mutagenesis approaches on the Fc, or expression in  
106 glycosidase-deficient/transgenic cell lines, have yielded little improvement in  
107 Asn<sup>297</sup> sialylation to the levels required for significant enhancements in the  
108 affinity of binding to Fc $\gamma$ Rs (18, 19). Recently, co-administration of two  
109 glycosyltransferase Fc-fusion proteins has been shown to convert  
110 endogenous IgG into sialylated anti-inflammatory IgGs that attenuate  
111 autoimmune disease in animal models in a platelet-dependent manner (20).

112 Although *in vivo* enzymatic sialylation may circumvent many technical  
113 issues concerned with chemical or mutagenic approaches to generating  
114 sialylated IgG, it may not be appropriate in all clinical settings for example in  
115 neurological diseases (e.g. neuromyelitis optica), where the target site is  
116 mostly devoid of platelets, and where two different Fc fusions would need to

117 traverse the blood-brain barrier simultaneously. This approach also runs the  
118 risk of off-target glycan modifications and known immunogenicity of long-term  
119 administration of Fc fusions (21).

120 Mutagenesis studies to date have also been limited in two further  
121 respects. Side-chain changes have typically been restricted to alanine or  
122 serine, and, functionality studies have mostly been confined to Fc $\gamma$ R binding  
123 studies (22, 23). It is therefore of academic interest and potential clinical value  
124 to explore more thoroughly how the introduction of additional N-glycan sites  
125 into the Fc might affect changes in binding to Fc $\gamma$ R and other atypical Fc-  
126 glycan receptors, including Siglecs and C-type lectins.

127 We recently published two complementary approaches that radically  
128 increase the sialic acid content of the Fc (24), first by insertion of the 18  
129 amino-acid tailpiece (tp) from IgM onto the C-terminus of the IgG1-Fc into  
130 which a cysteine-to-alanine substitution is made at Cys<sup>575</sup>, and secondly by  
131 the addition of an extra N-glycan to the N-terminus at position Asn<sup>221</sup>. This  
132 approach resulted in both multimeric and monomeric molecules that are  
133 >75% sialylated (compared to 2% for the IgG-Fc control) that bind to sialic  
134 acid-dependent receptors, including Siglec-1 and myelin-associated  
135 glycoprotein (MAG) (24), which are clinically implicated in the control of  
136 neuropathology (25, 26). As many pathogens rely on glycans to infect host  
137 cells, these reagents may also be useful as inhibitors of infection (27).

138 The human IgG1-Fc typically does not bind glycan receptors because  
139 the glycan attached to Asn<sup>297</sup> is largely buried within the cavity formed by the  
140 CH2-CH3 homodimer (28, 29). The location and content of glycans attached  
141 at Asn<sup>297</sup> also modulates the affinity of the Fc for binding to the classical  
142 Fc $\gamma$ Rs, through conformational changes imparted to the Fc $\gamma$ R-binding region  
143 located in the lower hinge (30). Here we show that these limitations to Asn<sup>297</sup>-  
144 directed receptor binding can be overcome through a program of mutagenesis  
145 aimed at disrupting disulfide bonding while enhancing N-linked glycosylation  
146 within the IgG1 Fc (Figs. 1, 2).

147 To this end we created two panels of human IgG1 Fc mutants (Figs.  
148 1,2) by deleting critical disulfide bonds, and/or by inserting or deleting N-linked  
149 asparagine attachment sites located within the previously described IgG1-Fc

150 multimer (2, 5, 24, 31). This approach not only yielded molecules with  
151 enhanced binding to low-affinity Fc $\gamma$ Rs, but also showed interactions with  
152 receptors not previously known to bind the IgG1 Fc, including Siglec-1, Siglec-  
153 2, Siglec-3, Siglec-4, CD23, Dectin-1, Dectin-2, CLEC-4A (DCIR), CLEC-4D,  
154 MMR, MBL and DEC-205. Finally, we were able to identify monomeric Fc  
155 glycan mutants with enhanced binding to influenza A virus hemagglutinin (HA)  
156 that inhibited viral-mediated agglutination of human erythrocytes.

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183 **Materials and Methods**

184 *Production of mutants*

185 The generation of glycan mutants in all combinations has been described  
186 previously for the hexa-Fc that contains cysteines at both positions 309 and  
187 575 (24). To make the new mutants described in Fig. 1 in which Cys<sup>575</sup> was  
188 mutated to alanine, PCR overlap extension mutagenesis was used with a pair  
189 of internal mismatched primers 5'-ACCCTGCTTGCTCAACTCT-3' / 3'-  
190 GGCCAGCTAGCTCAGTAGGCGGTGCCAGC-5' for each plasmid vector  
191 coding for a designated glycan modification. The parental plasmids used for  
192 these new PCR reactions have been described previously (24). The resulting  
193 C575A mutants were then further modified to remove Cys<sup>309</sup> using primer pair  
194 5'-TCACCGTCTTGACACCAGGACT-3' / 3'-AGTCCTGGTGCAAGACGGTGA-  
195 5' to create the panel of double cysteine knockouts described in Fig. 2. To  
196 verify incorporation of the desired mutation and to check for PCR-induced  
197 errors, the open reading frames of the new mutants were sequenced on both  
198 strands using previously described flanking primers (24). CHO-K1 cells  
199 (European Collection of Cell Cultures) were transfected with plasmid using  
200 FuGene (Promega), and Fc-secreting cells were cloned, expanded, and the  
201 proteins purified as previously described (2, 31).

202

203 *Receptor and complement binding assays*

204 Methods describing the binding of mutants to tetrameric human DC-SIGN  
205 (Elicityl), Siglec-1, Siglec-4, and Siglec-3 (Stratech Scientific) have all been  
206 described previously (2, 31). The same ELISA protocol was used for Siglec-2,  
207 CD23, dec-1, dec-2, clec-4a, clec-4d, MBL and MMR (Stratech Scientific or  
208 Bio-Techne). Binding of C1q and C5b-9 have been described previously (2,  
209 31). ELISAs were used to investigate binding of Fc glycan mutants to human  
210 Fc $\gamma$ RI, Fc $\gamma$ RIIA, Fc $\gamma$ RIIB, Fc $\gamma$ RIIIA, and Fc $\gamma$ RIIIB (Bio-Techne). Receptors  
211 were coated down on ELISA plates (Nunc) in carbonate buffer pH 9 (Sigma-  
212 Aldrich) at 2  $\mu$ g/ml overnight at 4°C, unless otherwise specified. The plates  
213 were blocked in PBS/0.1% Tween-20 (PBST) containing 5% dried skimmed  
214 milk. Plates were washed three times in PBST before adding Fc mutant  
215 proteins at the indicated concentrations and left at 4°C overnight. Plates were

216 washed as above and incubated for 2h with 1:500 dilution of an alkaline  
217 phosphatase-conjugated goat F(ab')<sub>2</sub> anti-human IgG (Jackson Laboratories).  
218 Binding of the secondary detecting Fab'<sub>2</sub> anti-human Fc was checked by  
219 direct ELISA to every mutant to ensure there were no potential biases in the  
220 detection of binding of different mutants to different receptors (Supplementary  
221 Fig S1A). Plates were washed and developed with 100 µl/well of a Sigmafast  
222 p-nitrophenyl phosphate solution (Sigma-Aldrich). Plates were read at 405nm,  
223 and data plotted with GraphPad Prism.

224

#### 225 *Binding to hemagglutinin*

226 ELISA plates were coated with 5 µg/mL recombinant HA from different  
227 influenza A and B viruses (BEI Resources) or native influenza A New  
228 Caledonia H1N1 virus (2B Scientific) in carbonate buffer pH 9 and left at 4°C  
229 overnight. Plates were washed five times with TSM buffer (20 mM Tris-HCl,  
230 150 mM NaCl, 2 mM CaCl<sub>2</sub>, 2 mM MgCl<sub>2</sub>), prior to blocking for 2h in 150µl per  
231 well of TMS buffer containing 5% bovine serum albumin. After washing as  
232 before, 100µl of Fc fragments at 30µg/mL in TSM buffer was added in  
233 triplicate wells. Fc fragments were allowed to bind overnight at 4°C. Plates  
234 were washed five times with excess TSM buffer, prior to the addition of 100µl  
235 per well of alkaline-phosphatase conjugated F(ab')<sub>2</sub> goat anti-human IgG1  
236 Fc<sub>γ</sub> fragment-specific detection antibody diluted 1 in 500 in TMS buffer.  
237 Glycosylated Fc fragments that bound to the glycan receptors were left to bind  
238 the conjugated antibody for 1h at room temperature on a rocking platform.  
239 Plates were washed as above and developed for 10 minutes with 100µl per  
240 well of p-Nitrophenyl phosphate. Plates were read at 405nm using a LT4500  
241 microplate absorbance reader (Labtech), and the data plotted with GraphPad  
242 Prism.

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#### 244 *Hemagglutination inhibition assay*

245 To determine the optimal virus-to-erythrocyte ratio, two-fold virus stock (2B  
246 Scientific) dilutions were prepared in U-shaped 96-well plates (Thermo  
247 Scientific). The same volume of a 1% human O+ red blood cell suspension  
248 (Innovative Research) was added to each well and incubated at RT for 60 min

249 until erythrocyte pellets had formed in the negative control. After quantifying  
250 the optimal virus-to-erythrocyte concentration (4HA units), serial two-fold  
251 dilutions of Fc, control IVIG (GammaGard, Baxter Healthcare) or polyclonal  
252 goat anti-influenza H1N1(Biorad) were prepared, starting at a concentration of  
253 2 $\mu$ M, and mixed with 50 $\mu$ l of the optimal virus dilution. After a thirty minute  
254 incubation at 4 $^{\circ}$ C 50 $\mu$ l of the human erythrocyte suspension was added to all  
255 wells and plates incubated at RT for 1h, after which erythrocyte pellets could  
256 be observed in the positive controls.

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### 258 *N-glycomic analysis*

259 N-glycomic analysis was based on previous developed protocol with some  
260 modifications (32). Briefly, the N-glycans from 50 $\mu$ g of each sample were  
261 released by incubation with NEB Rapid<sup>TM</sup> PNGase F and isolated from  
262 peptides using Sep-Pak C18 cartridges (Waters). The released N-glycans  
263 were permethylated, prior to Matrix-assisted laser desorption ionization  
264 (MALDI) MS analysis. Data were acquired using a 4800 MALDI-TOF/TOF  
265 mass spectrometer (Applied Biosystems) in the positive ion mode. The data  
266 were analyzed using Data Explorer (Applied Biosystems) and  
267 Glycoworkbench (33). The proposed assignments for the selected peaks were  
268 based on composition together with knowledge of the biosynthetic pathways.

269

### 270 *Binding to Fc $\gamma$ Rs by Biacore*

271 Binding to Fc $\gamma$ Rs was carried out using a Biacore T200 biosensor (GE  
272 Healthcare). Recombinantly expressed Fc $\gamma$ Rs (R&D systems and Sino  
273 Biological) were captured via their histidine tags onto CM5 chips pre-coupled  
274 with ~9000 RU anti-His antibody (GE Healthcare) using standard amine  
275 chemistry. Fc mutants were injected over captured receptors at a flow rate of  
276 20  $\mu$ l/min and association and dissociation monitored over indicated time  
277 scales before regeneration with two injections of glycine pH 1.5, and  
278 recalibration of the sensor surface with running buffer (10mM HEPES, 150  
279 mM NaCl, pH 7.0). Assays were visualized with Biacore T200 evaluation  
280 software v 2.0.1.

281

## 282 Results

283

### 284 ***Disulfide bonding and glycosylation influence the multimerization states*** 285 ***of hexa-Fc***

286 To determine the contribution of two N-linked glycosylation sites  
287 (Asn<sup>297</sup> and Asn<sup>563</sup>) and two cysteine residues (Cys<sup>309</sup> and Cys<sup>575</sup>) in the  
288 multimerization of hexa-Fc (2), we created two panels of glycosylation- and  
289 cysteine-deficient mutants by site-directed mutagenesis, using the previously  
290 described hexa-Fc as the template (Figs. 1, 2) (2, 24). We also inserted an N-  
291 linked attachment site at the N-terminus of the Fc (D221N), to investigate the  
292 impact of additional glycosylation on Fc function (Figs. 1, 2). Following  
293 transfection of these mutated IgG1-Fc DNAs into CHO-K1 cells, stable clonal  
294 cell lines were established, and the secreted Fcs were purified by protein G  
295 affinity chromatography. The purified proteins were analyzed by SDS-PAGE  
296 (Fig. 3) and SEC-HPLC (Supplemental Figs. S1D).

297 When analyzed under non-reducing conditions (Fig. 3A, 3C and  
298 Supplemental Fig. S1C), the C575A mutant migrated mostly as monomers  
299 (~55 kDa), with a very small proportion of dimer (~110 kDa) and trimer (~165  
300 kDa). Insertion of a glycan at Asn<sup>221</sup> into the C575A mutant (to create  
301 D221N/C575A) resulted in reduction of the trimer fraction and a decrease in  
302 the proportion of dimers observed, although the molecular weights of each of  
303 the species increased as a consequence of the additional N-terminally  
304 attached Asn<sup>221</sup> sugar (Fig. 3A-3C, Supplemental Fig. S1C).

305 Because we had previously shown that removal of the tailpiece glycan  
306 (Asn<sup>563</sup>) in hexa-Fc led to the formation of dodecamers (24), we reasoned that  
307 a similar mutation introduced into the C575A mutants would also lead to  
308 enhanced dodecamer formation. Surprisingly, removal of Asn<sup>563</sup>, as in  
309 N563A/C575A, N297A/N563A/C575A, D221N/N563A/C575A and  
310 D221N/N297A/N563A/C575A, led to the formation of a laddering pattern of  
311 different molecular masses from ~50 to greater than 500 kDa (Fig. 3A, red  
312 arrows, 3C), representing monomers, dimers, trimers, tetramers, pentamers,  
313 hexamers, etc. Weaker bands between these species may represent 25 kDa  
314 folding intermediates that include Fc halfmers (Fig. 3A, blue arrows). All  
315 proteins in which the tailpiece Asn<sup>563</sup> glycan was substituted for alanine run as

316 multimers in solution when examined by SEC-HPLC (Supplemental Fig. S1C).

317 By running these mutants under reducing conditions, we were able to  
318 determine the relative sizes and occupancy of the glycans attached at each  
319 position, showing that the Asn<sup>221</sup> and Asn<sup>563</sup> glycans are larger than that at  
320 Asn<sup>297</sup>, and that fully aglycosylated null mutants such as  
321 N297A/N563A/C575A are ~10 kDa lighter than either hexa-Fc or C575A  
322 glycan competent molecules (Fig. 3B).

323 As Cys<sup>309</sup> is present in these mutants (Fig. 1, Fig. 3A-3C), the ladders  
324 may arise through disulfide bond formation between the only freely available  
325 sulfhydryl at Cys<sup>309</sup> in two adjacent monomers. We reasoned that the loss of  
326 the tailpiece glycan in these four N563A mutants allows the hydrophobic  
327 amino-acid residues (Val<sup>564</sup>, Leu<sup>566</sup> and Ile<sup>567</sup>) also located in the tailpiece to  
328 cluster, thereby permitting disulfide bonding at Cys<sup>309</sup>.

329 To test the hypothesis that Cys<sup>309</sup> was indeed responsible for the  
330 laddering seen with the N563A deficient mutants, we generated a second  
331 panel of C575A mutants in which Cys<sup>309</sup>/Leu<sup>310</sup> are mutated to Leu<sup>309</sup>/His<sup>310</sup> as  
332 found in the wild-type IgG1 Fc sequence (Fig. 2). We also generated the  
333 mutant CL309-310LH (C309L) in which the tailpiece Cys<sup>575</sup> was still present.  
334 This mutant ran similarly to hexa-Fc under non-reducing conditions, albeit with  
335 the presence of intermediates (Fig. 3D, blue arrows) that were notably absent  
336 in hexa-Fc, showing that Cys<sup>309</sup> stabilizes the quaternary structure in the  
337 presence of Cys<sup>575</sup>.

338 Importantly, the loss of Cys<sup>309</sup> also resulted in the loss of the ladders  
339 previously seen in the Cys<sup>309</sup> competent mutants (Fig. 3D, 3F versus 3A, 3C),  
340 with all the double cysteine mutants now running principally as monomers by  
341 SDS-PAGE. The C309L/N297A/C575A mutant runs as four different  
342 monomeric species (Fig. 3D) that resolve as two bands under reduction (Fig.  
343 3E). These bands may represent glycan variants arising at Asn<sup>563</sup>. Given that  
344 these variants are absent in the C309L/C575A mutant, we conclude that the  
345 presence of Asn<sup>297</sup> glycan also controls glycosylation efficiency at Asn<sup>563</sup>. To a  
346 degree, the presence of the Asn<sup>221</sup> glycan also limits the occurrence of these  
347 Asn<sup>563</sup> glycoforms, as under reduction only a single band is seen in the  
348 D221N/C309L/N297A/C575A mutant (Fig. 3E).

349 Although the panel of double cysteine knockouts run mostly as

350 monomers on SDS-PAGE (Fig. 3D, 3F), the double cysteine knockouts  
351 containing the N563A substitution run as a mixture of monomers and  
352 multimers in solution (Supplemental Fig. S1C). Thus, removal of the bulky  
353 Asn<sup>563</sup> glycan exposes hydrophobic amino acid residues in the tailpiece that  
354 facilitate non-covalent interactions in solution that would not otherwise readily  
355 occur in the presence of the sugar.

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358 ***The Asn<sup>297</sup> and Asn<sup>563</sup> glycans are critical for the interactions of mutants***  
359 ***with glycan receptors, and their absence can be compensated by the***  
360 ***presence of Asn<sup>221</sup>***

361 To determine which N-linked glycan in the double cysteine knockout  
362 mutants (Fig. 2) contributes to receptor binding, we investigated their  
363 interaction with soluble recombinant glycan receptors by ELISA (Fig. 4, Table  
364 1). In stark contrast to the IgG1-Fc control, mutants in which both Asn<sup>297</sup> and  
365 Asn<sup>563</sup> are present (e.g. C309L/C575A) bound all twelve glycan receptors  
366 investigated (Fig. 4). Removal of the tailpiece glycan Asn<sup>563</sup>, as in  
367 C309L/N563A/C575A or C309L/N297A/N563A/C575A, abolished binding to  
368 these same receptors, showing that Asn<sup>563</sup> is required for glycan-receptor  
369 binding.

370 Removal of the glycan at Asn<sup>297</sup>, as in C309L/N297A/C575A, also  
371 abolished binding to all glycan receptors with the exception of Siglec-1. Taken  
372 together, the data shows that both Asn<sup>563</sup> and Asn<sup>297</sup> are required for the  
373 broad glycan-receptor binding seen with the C309L/C575A mutant (Fig. 4 and  
374 Table 1).

375 With the exception of MBL, MMR and DC-SIGN, binding by the double  
376 aglycosylated knockout C309L/N297A/N563A/C575A could be reinstated by  
377 the addition of sialylated glycans at Asn<sup>221</sup>, creating the mutant  
378 D221N/C309L/N297A/N563A/C575A. The Asn<sup>221</sup> glycan contributes all the  
379 sialylated sugars that are required to explain the marked improvements in  
380 binding to other glycan receptors, compared to all equivalent mutants lacking  
381 Asn<sup>221</sup> (Supplemental Figs. S2-S4). This is in agreement with our previous  
382 work where we demonstrated in fully cysteine-competent multimers that  
383 Asn<sup>221</sup> is >75% terminally sialylated (24).

384 The C309L mutant that can form cysteine-linked multimers due to the  
385 retention of Cys<sup>575</sup> in the tailpiece (Figs. 3D, 3F and supplemental Fig. S1C),  
386 was unable to bind to any glycan receptors with the exception of CD23 (Fig.  
387 4). Thus, the Asn<sup>563</sup> glycans are only available for binding when attached to  
388 lower valency molecules and are buried within multimers that form either  
389 through Cys<sup>309</sup> driven covalent bridging, or, by non-covalent clustering  
390 through multiple hydrophobic amino acids located in the tailpiece (e.g.  
391 C309L/N563A/C575A).

392 We next investigated binding of the panel of C575A mutants in which  
393 Cys<sup>309</sup> is still present (Fig. 1), and that we had shown to have the tendency to  
394 form dimers and ladder multimers (Fig. 3A, 3C and Supplemental Figs.  
395 S1C). This panel of molecules, in which disulfide bonding mediated by Cys<sup>309</sup>  
396 could still occur, bound less well to all the glycan receptors investigated (Fig.  
397 5). With the sole exception of Siglec-1, the presence of the Asn<sup>221</sup> glycan was  
398 unable to improve binding, in contrast to the double cysteine knockouts. We  
399 conclude that N-glycans at all three attachment sites (Asn<sup>221</sup>, Asn<sup>297</sup> and  
400 Asn<sup>563</sup>) are more predisposed to binding to glycan receptors when expressed  
401 on monomers, and that the presence of Asn<sup>221</sup> as the only glycan is sufficient  
402 to impart this broad specificity of binding, as exemplified by  
403 D221N/N297A/N563A/C575A and D221N/C309L/N297A/N563A/C575A (Figs.  
404 4 and 5).

405 We observed that the aglycosylated mutant N297A/N563A/C575A had  
406 a propensity to bind glycan-receptors (Fig. 5). We do not have a simple  
407 answer for this observation, although the lack of binding by its counterpart  
408 C309L/N297A/N563A/C575A in which Cys309 is absent, suggests that it may  
409 be glycan independent and a consequence of increased avidity interactions  
410 through multimerization (compare Fig. 3A v 3D).

411

#### 412 ***Glycan receptor binding is critically dependent on the presence of N-*** 413 ***linked glycans***

414 To be certain that glycan receptor binding was dependent on the presence of  
415 N-linked carbohydrates, and more specifically sialic acid, these sugars were  
416 removed from the tri-glycan D221N/C309L/C575A mutant using either  
417 PNGase F or neuraminidase (Supplemental Fig. S1B). As expected, the

418 D221N/C309L/C575A mutant treated with PNGase F was unable to bind any  
419 of the receptors investigated, while treatment with neuraminidase inhibited  
420 binding to the sialic acid-dependent receptors (Supplemental Fig. S1B).

421

422 ***Asn<sup>221</sup>-based monomers show differential binding to low-affinity human***  
423 ***Fc $\gamma$ Rs***

424         Given the remarkable binding to glycan receptors seen with some of  
425 the glycan-modified mutants, we tested the impact that this extra  
426 glycosylation conferred on binding to the classical human Fc $\gamma$ Rs (Fig. 6, Table  
427 2). The presence of Asn<sup>221</sup>, for example in the  
428 D221N/C309L/N297A/N563A/C575A mutant, imparted improved binding to  
429 Fc $\gamma$ RIIB (CD32B) even in the absence of both Asn<sup>297</sup> and Asn<sup>563</sup> when  
430 compared to the IgG1-Fc, and controls in which Asn<sup>221</sup> was absent (Fig. 6, for  
431 Fc $\gamma$ RIIB compare filled symbols versus unfilled symbols). However, the  
432 presence of Asn<sup>221</sup> did not improve binding to Fc $\gamma$ RIIA (compare  
433 D221N/C309L/N563A/C575A and C309L/N563A/C575A), although binding of  
434 both mutants was considerably stronger than the IgG1-Fc monomer control  
435 (Figs. 6 and 7B). We hypothesize that the enhanced binding observed with  
436 the N563A-deficient mutants is a consequence of increased tailpiece-  
437 mediated assembly by all the Asn<sup>563</sup> deficient proteins (supplemental Fig.  
438 S1C). Improved binding to Fc $\gamma$ RI was also observed with these two mutants  
439 against the IgG1-Fc control (Figs 6 and 7A), although no improvements were  
440 seen with respect to either Fc $\gamma$ RIIA or Fc $\gamma$ RIIB for any of the mutants tested.

441         Both the double cysteine knockouts, C309L/N563A/C575A and  
442 D221N/C309L/N563A/C575A that form multimers in solution, and bound  
443 Fc $\gamma$ RI and Fc $\gamma$ RIIA (Val<sup>176</sup>) strongly in ELISAs, were tested for binding Fc $\gamma$ Rs  
444 receptors by surface plasmon resonance analysis (Fig. 7). Both mutants  
445 displayed slower apparent off-rates compared with the control Fc monomer,  
446 consistent with avidity effects either through binding to multiple immobilized  
447 Fc $\gamma$ Rs molecules or rebinding effects (Fig. 7). The loss of Asn<sup>297</sup> in the  
448 C309L/N297A/C575A and D221N/C309L/N297A/C575A mutants resulted in  
449 molecules that were unable to bind Fc $\gamma$ Rs, as previously shown by ELISA  
450 (Figs. 6 and 7).

451 We next investigated binding of the multimers formed through Cys<sup>309</sup>  
452 (Figs. 1, 3A, 3C). In multimers, the presence of Asn<sup>221</sup> reduced binding to all  
453 Fc $\gamma$ Rs (Fig. 6, Table 2), while binding to the glycan receptors, although lower  
454 than that seen with monomers, was retained (Fig. 5). Multimers in which  
455 Asn<sup>563</sup> and Cys<sup>575</sup> are both mutated to alanine, as in N563A/C575A, bound  
456 very strongly to Fc $\gamma$ RI and Fc $\gamma$ RIIIA, with improved binding to Fc $\gamma$ RIIB when  
457 compared to either the hexa-Fc or IgG1-Fc controls (Fig. 6). The  
458 aglycosylated multimer N297A/N563A/C575A bound very well to the inhibitory  
459 Fc $\gamma$ RIIB receptor while retaining binding to Fc $\gamma$ RI (Fig. 6).

460

461

462 ***Asn<sup>221</sup>-based monomers and multimers show reduced complement***  
463 ***activation***

464 Binding of C1q and activation of the classical complement pathway by  
465 complex monomers (Fig. 8A) and multimers (Fig. 8B) was assessed using  
466 ELISA and summarized in Table 3 (24, 31). With the exception of  
467 D221N/C309L/N563A/C575A, all Asn<sup>221</sup>-containing monomers bound C1q  
468 less well than the IgG1-Fc or Asn<sup>221</sup>-deficient controls (Fig. 8A), and all four  
469 Asn<sup>221</sup>-containing proteins were unable to activate the classical complement  
470 pathway to its terminal components (Fig. 8A). These findings were  
471 recapitulated with the Cys<sup>309</sup> mutants (Fig. 8B), including those proteins  
472 shown to form multimers (e.g. D221N/N297A/N563A/C575A against  
473 N297A/N563A/C575A). As previously shown by other groups, we have  
474 identified mutants capable of forming multimers (e.g. C309L and  
475 D221N/N563A/C575A) that avidly bound C1q but were unable to fix C5b-9  
476 when compared with hexa-Fc (Fig. 8B)(34).

477

478 ***Asn<sup>221</sup>-based monomers and multimers exhibit complex sialylation***  
479 ***patterns***

480 The structure of the N-glycan on the Fc of IgG antibodies has been  
481 shown to influence multiple receptor interactions. For example, the interaction  
482 of IVIG with glycan receptors has been attributed to direct and/or indirect  
483 effects of N-glycan sialic acid on the Fc (30, 35, 36). Therefore, we

484 investigated the nature of the N-glycans on the two panels of glycosylation-  
485 and cysteine-deficient mutants by MALDI-TOF mass spectrometry based  
486 glycomic analysis (Fig. 9, supplemental Figs. S2-S4).

487 We previously demonstrated that N-glycans from both IgG1-Fc and  
488 clinical IVIG preparations are dominated by biantennary complex N-glycans  
489 with 0, 1 or 2 galactose residues (37). A minority of these complex structures  
490 are also mono-sialylated (2, 23). Representative glycomic data is presented in  
491 Figure 9 for N297A/C575A and D221N/N297A/N563A/C575A.

492 In both samples the spectra demonstrate a higher level of N-glycan  
493 processing with enhanced levels of biantennary galactosylation and  
494 sialylation. In addition, larger tri- and tetra-antennary complex N-glycans are  
495 also observed which can be fully sialylated (for example peaks at m/z 3776  
496 and 4587). Therefore, the glycomic analysis revealed that both Asn-221 and  
497 Asn-575 contained larger, more highly processed N-glycans that are not  
498 observed on the IgG1-Fc control (Fig. 9 and supplemental Figs. S2-S4). As  
499 predicted, no glycans could be detected on the glycosylation-deficient double  
500 mutants (N297A/N563A/C575A and C309L/N297A/N563A/C575A).

501  
502

### 503 ***The Asn<sup>221</sup> glycan imparts enhanced binding to influenza hemagglutinin***

504 To determine if any of the hyper-sialylated Fc mutants possessed biologically  
505 useful properties, we investigated their binding to hemagglutinin, a prototypic  
506 viral sialic-acid binding ligand (Fig. 10A, 10B). We used clinically available  
507 IVIG as a positive control, as IVIG is known to contain high concentrations of  
508 IgG antibodies against a diverse range of influenza hemagglutinins (38).

509 As expected, IVIG bound strongly to recombinant hemagglutinin from  
510 both influenza A and B viruses (Fig. 10A, 10B). With the exception of the  
511 aglycosylated mutants (C309L/N297A/N563A/C575A and  
512 N297A/N563A/C575A) and the IgG1-Fc control, all the glycan-modified Fc  
513 fragments bound recombinant hemagglutinin from both group A and B  
514 viruses. Binding was also reflected in the abundance of sialylated N-glycans  
515 of the mutant proteins (supplemental Figs. S2-S4). Thus, mutants containing  
516 Asn<sup>221</sup> bound more strongly than their equivalents in which Asn<sup>221</sup> was absent  
517 (Fig. 10A, 10B).

518           Although binding to native inactivated influenza strain A New  
519 Caledonia/20/99 virus (H1N1) was poorer than binding to either recombinant  
520 hemagglutinins from influenza A (Shantou) or influenza B (Florida)  
521 respectively, two mutants (D221N/C309L/N297A/C575A and D221N/C575A)  
522 showed superior binding to the native virus compared to either IVIG or their  
523 equivalent mutants in which Asn<sup>221</sup> was absent (compare C575A with  
524 D221N/C575A) (Fig. 10A, 10B).

525

### 526 ***Asn<sup>221</sup>-containing mutants inhibit hemagglutination by influenza***

527 To test if the binding to hemagglutinin has any functional relevance, we used  
528 the World Health Organization (WHO)-based hemagglutination inhibition (HI)  
529 protocol to quantify influenza-specific inhibitory titers of the mutants that  
530 bound the native virus strongly (Fig. 10C). Both D221N/C309L/N297A/C575A  
531 and D221N/C575A prevented hemagglutination by New Caledonia/20/99 virus  
532 (H1N1) at concentrations as low as 0.1 μM and were demonstrably more  
533 effective than molar equivalents of either IVIG or anti-H1N1 polyclonal IgG.

534           In contrast, the equivalent molecules that lack Asn<sup>221</sup>, i.e.  
535 C309L/N297A/C575A and C575A, failed to inhibit hemagglutination although  
536 partial inhibition was observed with the C575A mutant at the highest  
537 concentrations in some experiments (Fig. 10C). Hence, receptor binding of  
538 influenza A viruses is competed out only by mutants in which Asn<sup>221</sup> and  
539 Asn<sup>563</sup> are present. That both mutants run entirely as monomers by SEC-  
540 HPLC (supplemental Fig. S1C) shows that the disposition of the glycans at  
541 the N- and C-terminii of the Fc are more favorably orientated for binding  
542 native viral hemagglutinin in monomers than multimers.

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551 **Discussion**

552 Many groups have postulated that multivalent Fc constructs have potential for  
553 the treatment of immune conditions involving pathogenic antibodies (2, 5, 39,  
554 40), and a recent study has shown that hexavalent Fcs can block Fc $\gamma$ Rs  
555 leading to their down-modulation and prolonged disruption of Fc $\gamma$ R effector  
556 functions both *in vitro* and *in vivo* (41, 42). Hexameric Fcs have also been  
557 shown to inhibit platelet phagocytosis in mouse models of ITP (41, 43).

558 Although disulfide bonded hexameric Fcs may provide exciting new  
559 treatment approaches to control autoimmune diseases, they are more difficult  
560 to manufacture than smaller simpler Fc molecules. Their beneficial effects  
561 must also be carefully balanced with the acute risk of pro-inflammatory  
562 responses observed upon Fc $\gamma$ R cross-linking, and the increased risk from  
563 infection or cancers due to long-term immune suppression. These potential  
564 drawbacks with multimeric Fcs led us to investigate if complex monomers  
565 may be developed that retain the advantages of multimers, e.g. high-avidity  
566 binding to low-affinity receptors, but that are also more readily manufactured  
567 to scale.

568 Although Fc engineering by mutagenesis and/or direct modification to  
569 the Asn<sup>297</sup> glycan have yielded modified affinity and/or selectivity for Fc $\gamma$ Rs (1,  
570 18, 44–49), interactions with glycan receptors have largely been ignored  
571 despite a large body of literature demonstrating their importance in controlling  
572 unwanted inflammation (50–53). However, such approaches that show  
573 enhanced receptor interactions via mutations introduced into full-length IgG  
574 molecules (54–56) may not necessarily be predictive *a priori* in the context of  
575 either Fc multimers or their Fc fragments (24, 41).

576 Furthermore, reported Fc mutations or glycan modifications have  
577 mostly focused on the conserved Asn<sup>297</sup> glycan that is largely buried within  
578 the Fc (4, 17–20), and thus monomeric IgG1 is unable to interact with a broad  
579 range of glycan receptors (Fig. 11A). Although Siglec-2 (36), DC-SIGN (2, 57,  
580 58), DCIR (35), and FcRL5 (2, 59) have all recently been shown to be ligands  
581 for IVIG, these interactions may also stem from specific Fab-mediated binding  
582 (60). Thus, glycosylation of intact IgG is known to be critically important, but  
583 the relative contribution of the Fc, Fab, and/or their attached glycans, together

584 with the identity of the salient receptors involved in IVIG efficacy, remain  
585 controversial.

586 We took an alternative approach to glycan modification by introducing,  
587 in various combinations, two additional *N*-linked glycosylation sites (Asn<sup>221</sup>  
588 and Asn<sup>563</sup>) into our hexa-Fc (2, 24). To investigate the effects of this  
589 additional glycosylation, hexa-Fc was further mutated to remove one (Fig. 1)  
590 or both of the cysteine residues (Cys<sup>309</sup> and Cys<sup>575</sup>) (Fig. 2) that are required  
591 for inter-disulfide bond formation between individual Fc moieties in hexa-Fc.  
592 This approach yielded complex glycosylated molecules (Fig. 3, Fig. 9 and  
593 Supplemental Figs. S2-S4), including the monomeric D221N/C309L/C575A  
594 mutant that has all three glycans attached, and which showed improved  
595 binding to Fc $\gamma$ RIIB, DC-SIGN, and DCIR; these receptors being implicated in  
596 the efficacy of IVIG (Table 1) (8, 17, 20, 61, 62). The tri-glycan mutant  
597 (D221N/C309L/C575A) also bound more strongly and broadly to all the glycan  
598 receptors investigated, including receptors recently implicated in IVIG efficacy  
599 e.g., CD23 (63), CD22 (36), and DCIR (clec4a) (35), when compared to  
600 mono-glycosylated (e.g. IgG1-Fc) or non-glycosylated  
601 (C309L/N297A/N563A/C575A) controls (Fig. 4, Table 1).

602 The observed binding to CD22 was particularly surprising as this  
603 receptor prefers  $\alpha$ -2,6 linked neuraminic acid and not  $\alpha$ -2,3 linkages attached  
604 by CHO-K1 cells, although proximity labeling experiments have recently  
605 shown that glycan-independent interactions of CD22/Siglec-2 with  
606 immunoglobulin in the B-cell receptor is possible (64).

607 We also observed marked binding of D221N/C309L/C575A to dectins  
608 (Fig. 4), receptors that more typically recognize  $\beta$ -1,3-glucans expressed by  
609 fungal pathogens (65). Although dectin-1 is known to bind variably  
610 glycosylated human tetraspanins CD37 and CD63 (66), the anti-inflammatory  
611 activity of IgG1 immune complexes may be mediated by Fc galactosylation  
612 and associations with dectin-1 and Fc $\gamma$ RIIB (67).

613 The insertion of multiple glycan sites into the Fc, in particular at Asn<sup>221</sup>,  
614 enables new receptor interactions that are not possible with solely Asn<sup>297</sup>-  
615 directed approaches (Fig. 11A). For example, we generated the di-glycan  
616 D221N/C309L/N297A/C575A mutant that displayed marked binding to Siglec-

617 1 and Siglec-4 (MAG), both receptors being clinically implicated in the control  
618 of neuropathy (25, 26). This mutant showed no observable binding to either  
619 Fc $\gamma$ Rs or complement proteins (Tables 2, 3) yet was highly effective at  
620 blocking hemagglutination by influenza A virus (Fig. 10C).

621 As glycan-mediated binding is essential for the influenza virus to infect  
622 cells of the respiratory tract, mutations in hemagglutinin that lead to loss of  
623 receptor binding are unlikely to survive any neutralizing antibodies induced  
624 during an immune response (Fig. 11B). Modelling of the D221N/C575A  
625 mutant shows that the distance from the N-terminal to the C-terminal tips of  
626 the Fc is  $\sim 60\text{\AA}$  (Fig. 11B), which is the same distance between the sialic-acid  
627 binding domains on the hemagglutinin trimer (68). The Asn<sup>221</sup> and Asn<sup>563</sup>  
628 sugars located at the tips of the Fc are not constrained by their location within  
629 the Fc, as with Asn<sup>297</sup>, and would therefore be expected to be highly mobile  
630 and flexible with respect of searching out the hemagglutinin binding pocket.

631 Alternative anti-influenza therapeutic strategies are urgently needed.  
632 The use of IVIG during the 2009 and 1918 pandemics reduced mortality from  
633 influenza by 26% and 50% respectively (69, 70), and a recent randomized,  
634 placebo-controlled study suggests these figures may be improved by  
635 enhancing influenza-specific antibodies in IVIG (Flu-IVIG) preparations (38).  
636 As Flu-IVIG is manufactured in advance of future epidemics, there may be  
637 modest or no neutralizing activity against emerging strains. Combinations of  
638 Flu-IVIG or neuraminidase inhibitor drugs with Fc sialic-acid binding domain  
639 blockers may enhance the efficacy of Flu-IVIG or neuraminidase inhibitor-  
640 based medicines. Neither the D221N/C575A nor  
641 D221N/C309L/N297A/C575A mutants that inhibited hemagglutination so  
642 effectively (Fig. 10C), bind Fc $\gamma$ RIIIA (Fig. 6 and Table 2), and would thus not  
643 be expected to interfere with Fc $\gamma$ RIIIA dependent ADCC toward influenza-  
644 infected cells by neutralizing IgG present in Flu-IVIG.

645 As well as direct hemagglutinin binding, the molecules may shield sialic  
646 acid receptor binding sites on epithelial cells, or act as decoy receptors  
647 through receptor mimicry thereby preventing binding of the virus to epithelial  
648 target cells. Similarly, being rich in sialic acid the molecules may also act as  
649 decoy substrates for neuraminidase. Intranasal delivery of Fc fragments may

650 therefore be feasible, as Fc-fused interleukin-7 can provide long-lasting  
651 prophylaxis against lethal influenza virus after intranasal delivery (71). We  
652 have previously shown that Fc multimers can bind the neonatal Fc-receptor  
653 (FcRn) (72). Thus binding to the neonatal FcRn may act to increase the  
654 residence time of Fc blockers delivered to the lung (73, 74).

655 A potential drawback to the hyper-sialylation approach with respect to  
656 blocking hemagglutinin may be the susceptibility of Fc glycans to viral  
657 neuraminidase. Although neuraminidase from *Clostridium perfringens* could  
658 catalyze the hydrolysis of sialic acid residues from our soluble Fc fragments  
659 and thus block interactions with glycan receptors (supplementary Fig. S1B), it  
660 remains to be tested if hemagglutinin-bound Fcs are susceptible to catalysis  
661 by the influenza neuraminidase. We believe that metabolic oligosaccharide  
662 engineering with alkyne sialic acids could create neuraminidase-resistant Fc  
663 blockers (75).

664 In another example, multiple mutants were shown to bind DEC-205  
665 (Figs. 4, 5, Table 1), the major endocytic receptor expressed by dendritic  
666 cells, which suggests that these constructs may be useful for the targeted  
667 delivery of antigens in vaccines. Current approaches to deliver antigen to  
668 DEC-205 rely on DEC-205-specific delivery, often with antigens fused to anti-  
669 DEC-205 mAbs (76–78), whereas approaches that target multiple DC  
670 receptors, including DEC-205, may make for more effective antigen delivery.

671 To be useful in vaccines, an antigen must cluster through the binding of  
672 multiple Fc regions in near-neighbor interactions with multiple low-affinity  
673 Fc $\gamma$ Rs (79), and in particular Fc $\gamma$ RIIA, Fc $\gamma$ RIIB and Fc $\gamma$ RIIIA (79–81). As  
674 described above we generated multimers with differential binding to either  
675 Fc $\gamma$ RIIB (e.g. N297A/N563A/C575A), Fc $\gamma$ RIIIA (e.g. C309L and  
676 D221N/N563A/C575A) or with a capability to bind both Fc $\gamma$ RIIB and Fc $\gamma$ RIIIA  
677 (e.g. N563A/C575A). Multimers formed by the N563A/C575A or  
678 C309L/N563A/C575A mutants may be particularly relevant, as these were  
679 also able to bind type 2 glycan receptors and activate the complement  
680 cascade, both implicated in the efficacy of vaccines (5).

681 We also created molecules disrupted for covalent bonding (the double  
682 cysteine knockouts) that formed multimers in solution through non-covalent

683 tailpiece clustering (e.g. C309L/N563A/C575A and  
684 D221N/C309L/N563A/C575A) that showed enhanced interactions with Fc $\gamma$ R<sub>s</sub>,  
685 in particular Fc $\gamma$ R<sub>III</sub>A (Figs. 6 and 7). Whether these will be more effective  
686 than covalently stabilized Fcs (e.g. N563A/C575A) at enhancing Fc $\gamma$ R<sub>III</sub>A-  
687 mediated effector functions, in for example therapeutic mAbs or Fc-fusion  
688 therapies/vaccines, remains to be determined.

689 As summarized in Tables 1-3, we identified: *i*) mutant Fc molecules that  
690 are capable of binding C1q and activating complement, but that show little or  
691 no detectable interaction with either Fc $\gamma$ R<sub>s</sub> or glycan receptors; *ii*) molecules  
692 with enhanced activation of complement, improved binding to Fc $\gamma$ R<sub>s</sub> and little  
693 engagement of glycan receptors; *iii*) molecules with enhanced binding to C1q  
694 but little C5b-9 deposition that retain interaction with both Fc $\gamma$  and glycan  
695 receptors, and *iv*) monomeric molecules with enhanced binding to a subset of  
696 sialic-acid-dependent glycan receptors, in particular Siglec-1, Siglec-4 and  
697 hemagglutinin, with little or no interaction with either Fc $\gamma$ R<sub>s</sub> or complement.

698 Consequently, by adding or removing glycosylation and/or disulfide-  
699 bonding sites within our original hexameric Fc platform (2, 5, 24), new  
700 repertoires of desirable binding attributes can be made. These molecules may  
701 be useful in the control of other pathogens, including Newcastle Disease  
702 Virus, group B streptococci, *Streptococcus pneumoniae*, and *Mycoplasma*  
703 *genitalium*, in which sialic-acid dependent interactions are also crucially  
704 important (82).

705

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715

716 **Author contributions**

717 R.J.P. conceived and designed the overall study. R.J.P, P.A.B. and D.L.  
718 designed and performed experiments. M.W. ran our SEC-HPLC samples.  
719 R.J.P. wrote the manuscript, and all authors commented on drafts and  
720 reviewed the final manuscript.

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1053  
1054

1055 **Table 1. Summary of mutants and their interactions with glycan**  
1056 **receptors.**

1057

1058 **Table 2. Summary of mutants and their interactions with Fc $\gamma$ -receptors.**

1059

1060 **Table 3. Summary of mutants and their interactions with complement**  
1061 **and influenza hemagglutinin.**

1062

1063 **Legends**

1064

1065 **FIGURE 1.** Schematic showing the various hexa-Fc glycan mutants in which  
1066 Cys<sup>575</sup> is mutated to alanine to create the C575A panel of mutants. Red stars  
1067 indicate the hinge Asn<sup>221</sup>, the C $\gamma$ 2 Asn<sup>297</sup>, and the tailpiece Asn<sup>563</sup> glycan  
1068 sites.

1069

1070 **FIGURE 2.** Schematic showing the C575A panel of glycan mutants from Fig.  
1071 1 in which the which Cys<sup>309</sup> and Leucine<sup>310</sup> is additionally changed to leucine  
1072 and histidine as found in the native IgG1 Fc sequence to create the  
1073 C309L/C575A panel of mutants. Red stars indicate the hinge Asn<sup>221</sup>, the C $\gamma$ 2  
1074 Asn<sup>297</sup>, and the tailpiece Asn<sup>563</sup> glycan sites.

1075

1076 **FIGURE 3.** Characterization of mutant Fc proteins by SDS-PAGE. **(A)**  
1077 N563A/C575A, N297A/N563A/C575A form ladderred multimers (red arrows)  
1078 with folding intermediates (blue arrows) that are different to those formed by  
1079 the hexa-Fc control. The C575A and N297A/C575A mutants run as  
1080 monomers, with dimers and trimers also seen. Removal of Asn<sup>563</sup> favors  
1081 multimerization in the presence of Cys<sup>309</sup> but the absence of Cys<sup>575</sup>. The  
1082 addition of a N-X-(T/S) glycan sequon to generate N-terminally glycosylated  
1083 hinges (the D221N series of mutants) did not affect multimerization but  
1084 increased the molecular mass of all mutants. **(B)** the same mutants as in  
1085 panel A but run under reducing conditions. **(C)** the same mutants as in panel  
1086 A but stained with Coomassie reagent. The decreasing molecular masses  
1087 seen in the Fc represent sequential loss of N-linked glycans. The

1088 N297A/N563A/C575A mutant has the smallest molecular mass because it has  
1089 no glycans attached to the Fc, and D221N/C575A has the largest mass  
1090 because it has three glycans attached. The types of glycans attached at  
1091 Asn<sup>221</sup>, Asn<sup>297</sup> and Asn<sup>563</sup> for all mutants are shown in Supplemental Fig. S2-  
1092 S4. **(D)** Substitution of Cys<sup>309</sup> with leucine onto the mutants shown in panel A  
1093 to create the double cysteine knockouts, which run as monomers. Differing  
1094 molecular masses are seen with C309L/N297A/C575A monomers, which may  
1095 represent differential glycosylation of Asn<sup>563</sup>. **(E)** the same mutants as in panel  
1096 D but run under reducing conditions. **(F)** Coomassie-stained gel of panel D.  
1097 All proteins were run under either non-reducing or reducing conditions at 2 µg  
1098 protein per lane on a 4-8% acrylamide gradient gel, transferred to  
1099 nitrocellulose, and blotted with anti-human IgG Fc (Sigma).

1100

1101 **FIGURE 4.** Binding of the C309L and C575A double cysteine IgG1-Fc  
1102 glycosylation mutants to glycan receptors. Mutants lacking either the N297  
1103 and/or N563 glycans are severely restricted in their capacity to bind glycan  
1104 receptors as determined by ELISA. The addition of an N-linked sugar at  
1105 position 221 into the Asn<sup>297</sup> and/or Asn<sup>563</sup> mutants reinstates binding to all  
1106 receptors investigated, with the exception of MBL, MMR and DC-SIGN.  
1107 Insertion of Asn<sup>221</sup> into C309L/C575A enhances interactions to all the glycan  
1108 receptors investigated. Error bars represent standard deviations around the  
1109 mean value, n=2 independent experiments.

1110

1111 **FIGURE 5.** Binding of C575A mutants to glycan receptors. Proteins with a  
1112 predisposition to multimerize via Cys<sup>309</sup> interactions (as shown in Fig. 3A, 3C  
1113 and Supplemental Fig. S1C) are less able to engage glycan receptors than  
1114 their equivalent mutants in which Cys<sup>309</sup> was changed to leucine (Fig. 3D, 3F).  
1115 With the exception of Siglec-1, the insertion of Asn<sup>221</sup> into mutants that tend to  
1116 form multimers had no effect on, or was detrimental to, binding of glycan  
1117 receptors. Error bars represent standard deviations around the mean value,  
1118 n=2 independent experiments.

1119

1120

1121 **FIGURE 6.** Binding of C309L (panels A-C) and the C575A (panels D-F)  
1122 glycosylation mutants to classical Fc $\gamma$ Rs. The D221N/C309L/N563A/C575A  
1123 mutant shows enhanced binding to Fc $\gamma$ RI, Fc $\gamma$ RIIB and Fc $\gamma$ RIIIA, while  
1124 C309L/N563A/C575A only shows enhanced binding to Fc $\gamma$ RI and Fc $\gamma$ RIIIA.  
1125 Mutant N563A/C575A with a predisposition to multimerize via Cys<sup>309</sup>  
1126 interactions (as shown in Fig. 3A,3C) binds strongly to Fc $\gamma$ RI and Fc $\gamma$ RIIIA as  
1127 is also seen with C309L/N563A/C575A that carry the same N563A mutation.  
1128 The D221N/N563A/C575A mutant shows enhanced binding to Fc $\gamma$ RI and  
1129 Fc $\gamma$ RIIIA. In multimers the presence of Asn<sup>221</sup> constrains interactions with  
1130 Fc $\gamma$ RIIB that are enhanced when Asn<sup>221</sup> is attached to monomers (panel E).  
1131 No improvement in binding was observed to Fc $\gamma$ RIIA or Fc $\gamma$ RIIB for any of the  
1132 mutants tested (data not shown). Error bars represent standard deviations  
1133 around the mean value, n=2 independent experiments.

1134

1135 **FIGURE 7.** Binding of selected mutants to Fc $\gamma$ Rs by Biacore SPR analysis.  
1136 (A) Binding of C309L/N297A/C575A, D221N/C309L/N297A/C575A,  
1137 C309L/N563A/C575A, D221N/C309L/N563A/C575A, and monomeric Fc  
1138 control to human Fc $\gamma$ RI (CD64). Curves shown for molecules at 300nM,  
1139 150nM and 75nM respectively. (B) Binding of the same mutants to Fc $\gamma$ RIIIA-  
1140 Val<sup>176</sup> (CD32A). Curves shown for molecules at 8000nM, 4000nM and  
1141 2000nM respectively. Due to the varying stoichiometry of the molecules  
1142 shown (Fig. 3 and Fig. S1C) an accurate determination of interaction kinetics  
1143 is not possible. Binding to Fc $\gamma$ Rs from R&D systems is illustrated although  
1144 binding with receptors sourced from Sino Biological gave nearly identical  
1145 results.

1146

1147 **FIGURE 8.** Binding of the C309L and C575A mutants to complement. (A) Both  
1148 the C309L/N563A/C575A and C309L/N297A/N563A/C575A mutants bound  
1149 C1q and permitted C5b-9 deposition. Insertion of Asn<sup>221</sup> into both these mutants  
1150 to create D221N/C309L/N563A/C575A and  
1151 D221N/C309L/N297A/N563A/C575A allows C1q deposition but prevented  
1152 subsequent C5b-9 deposition. This shows that the presence of the Asn<sup>221</sup>  
1153 glycan, while allowing C1q to bind, blocks subsequent downstream activation of

1154 the classical pathway. Mutants in which only the Asn<sup>297</sup> glycan was removed, as  
1155 in C309L/N297A/C575A or D221N/C309L/N297A/C575A were unable to bind  
1156 C1q or fix C5b-9. **(B)** Binding of the C575A mutants to complement. With the  
1157 exception of D221N/N563A/C575A, the presence of Asn<sup>221</sup> inhibited binding to  
1158 C1q, although all Asn<sup>221</sup>-containing mutants including D221N/N563A/C575A  
1159 were unable to fix C5b-9. Error bars represent standard deviations around the  
1160 mean value, n=2 independent experiments.

1161

1162 **FIGURE 9.** MALDI-TOF MS profiles of permethylated N-glycans from  
1163 N297A/C575A and D221N/N297A/N563A/C575A IgG1-Fc mutants. The data  
1164 were acquired in the positive ion mode to observe [M + Na]<sup>+</sup> molecular ions.  
1165 All the structures are based on composition and knowledge of biosynthetic  
1166 pathways. Structures shown outside a bracket have not had their antenna  
1167 location unequivocally defined.

1168

1169 **FIGURE 10.** Impact of Fc glycosylation. **(A)** ELISA binding of the C309L/C575A  
1170 panel and **(B)** the C575A panel of Fc glycosylation mutants to hemagglutinin.  
1171 **(C)** impact of Fc glycosylation on hemagglutination inhibition. A constant  
1172 amount of influenza A New Caledonia/20/99 virus H1N1 was incubated with  
1173 titrated amounts of the Fc glycan mutants and added to human O<sup>+</sup> erythrocytes  
1174 that were then allowed to sediment at room temperature for 1h. Non-  
1175 agglutinated RBCs form a small halo. n=2 independent experiments.

1176

1177 **FIGURE 11.** Model showing proposed cis interactions of the tri-glycan  
1178 D221N/C575A mutant with **(A)** glycan receptors or **(B)** influenza hemagglutinin.  
1179 The glycan at Asn<sup>297</sup> in the wildtype IgG1 Fc is buried and unable to interact  
1180 directly with receptors. However, monomers with glycans located at both the N-  
1181 and C-terminii of the Fc (Asn<sup>221</sup> and Asn<sup>563</sup>), as in D221N/C575A, are exposed  
1182 and therefore allow cross-linking of sialic acid-dependent receptors (including  
1183 Siglec-1 or hemagglutinin) (50).

## Footnotes

This work was supported by Pathfinder and Innovator grants from the Wellcome Trust (109469/Z/15/Z and 208938/Z/17/Z) and Institutional Strategic Support Fund (ISSF) 109469/Z/15/Z, 208938/Z/17/Z, 097830/Z/11/Z from the Wellcome Trust and MRC Confidence in Concept award MC\_PC\_12017 respectively. Also supported by the Biotechnology and Biological Sciences Research Council grant BBF0083091 (A. Dell and S.M. Haslam).

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Figure 1

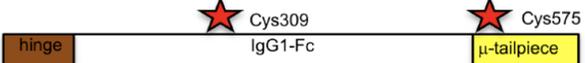
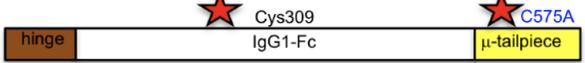
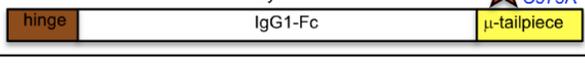
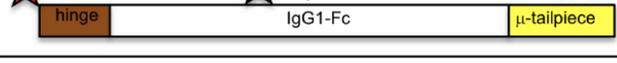
Fc-construct	Heavy chain composition	State by SDS-PAGE	State by SEC-HPLC
IgG1-Fc		monomer	monomer
Hexa-Fc		barrel	barrel
C575A		monomer >dimer >trimer	monomer >dimer
N297A/C575A		monomer >dimer >trimer	monomer >dimer
N563A/C575A		ladder	monomer multimer
N297A/N563A/ C575A		ladder	multimer
D221N/C575A		monomer >dimer	monomer >dimer
D221N/N297A/ C575A		monomer >dimer	monomer
D221N/N563A/ C575A		ladder	multimer
D221N/N297A/ N563A/C575A		ladder	multimer

Figure 2

Fc-construct	Heavy chain composition	State by SDS-PAGE	State by SEC-HPLC
Hexa-Fc	<p>hinge Cys309 IgG1-Fc Cys575 μ-tailpiece</p>	barrel	multimer
C309L	<p>hinge C309L IgG1-Fc Cys575 μ-tailpiece</p>	ladder	multimer
C309L/C575A	<p>hinge C309L IgG1-Fc C575A μ-tailpiece</p>	monomer	monomer
C309L/N297A/C575A	<p>hinge C309L IgG1-Fc C575A μ-tailpiece</p>	monomer	monomer
C309L/N563A/C575A	<p>hinge C309L IgG1-Fc C575A μ-tailpiece</p>	monomer	multimer
C309L/N297A/N563A/C575A	<p>hinge C309L IgG1-Fc C575A μ-tailpiece</p>	monomer	multimer
D221N/C309L/C575A	<p>hinge D221N C309L IgG1-Fc C575A μ-tailpiece</p>	monomer	monomer
D221N/C309L/N297A/C575A	<p>hinge D221N C309L IgG1-Fc C575A μ-tailpiece</p>	monomer	monomer
D221N/C309L/N563A/C575A	<p>hinge D221N C309L IgG1-Fc C575A μ-tailpiece</p>	monomer >dimer	multimer
D221N/C309L/N297A/N563A/C575A	<p>hinge D221N C309L IgG1-Fc C575A μ-tailpiece</p>	monomer	monomer multimer >dimer

Figure 3

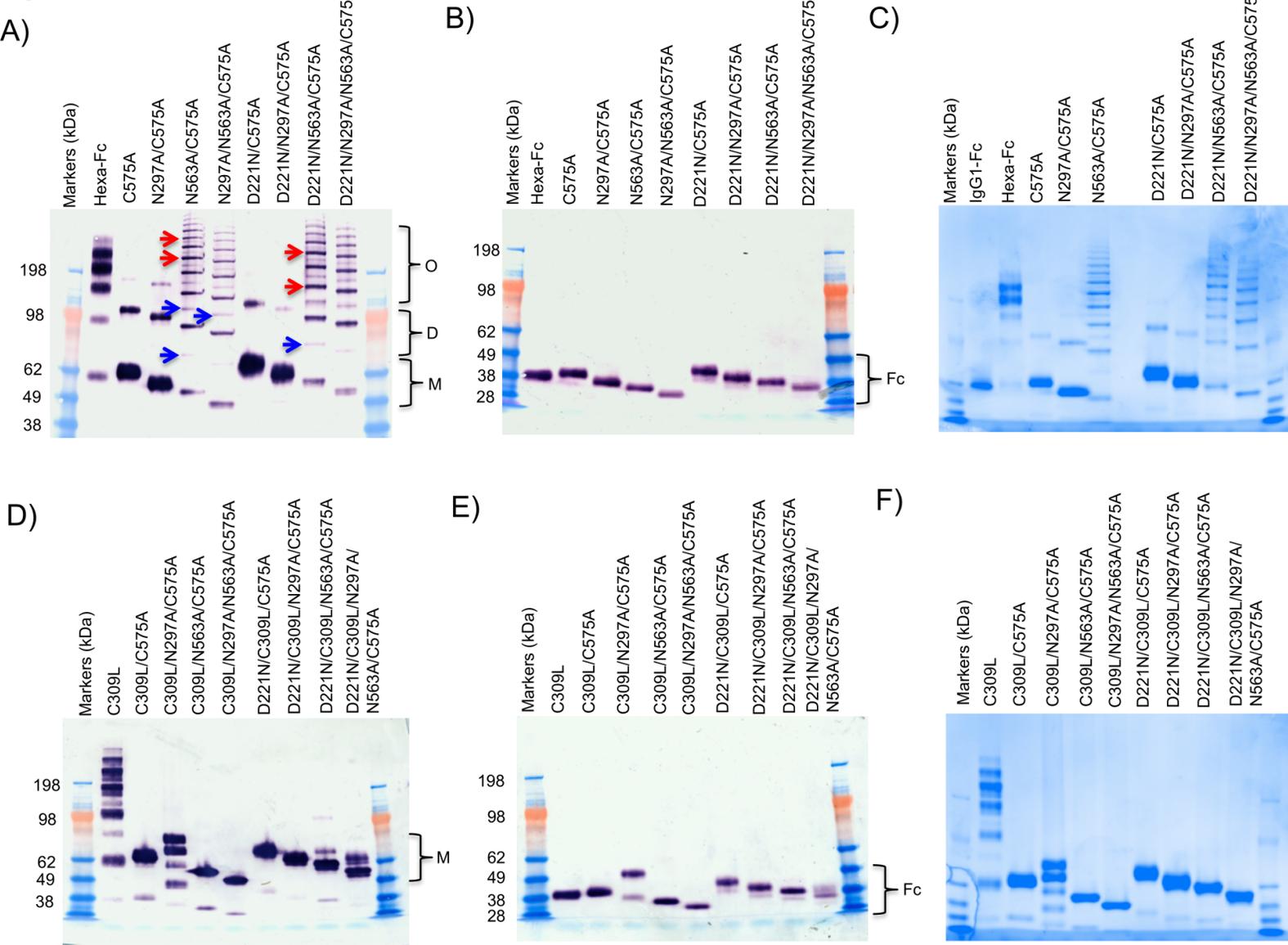


Figure 4

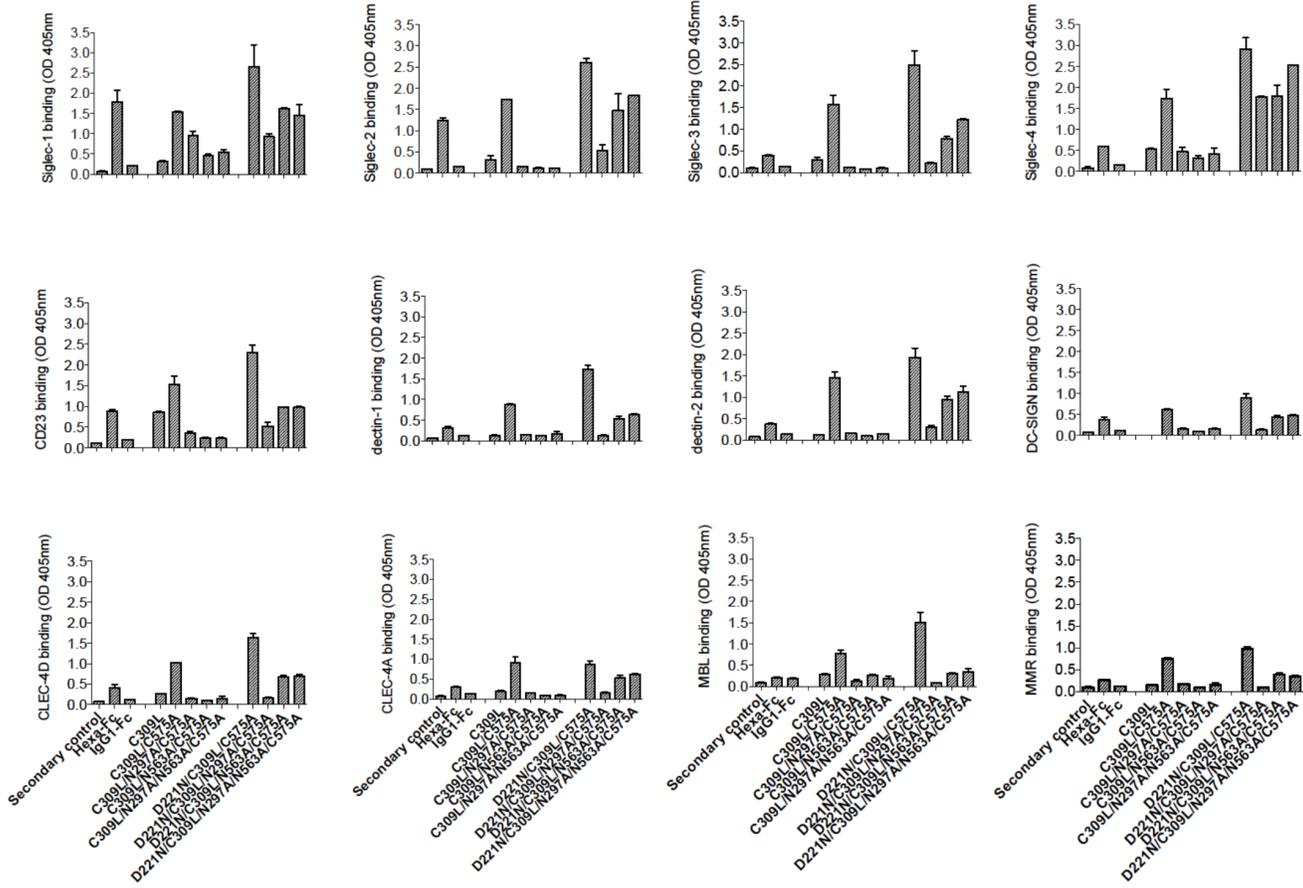


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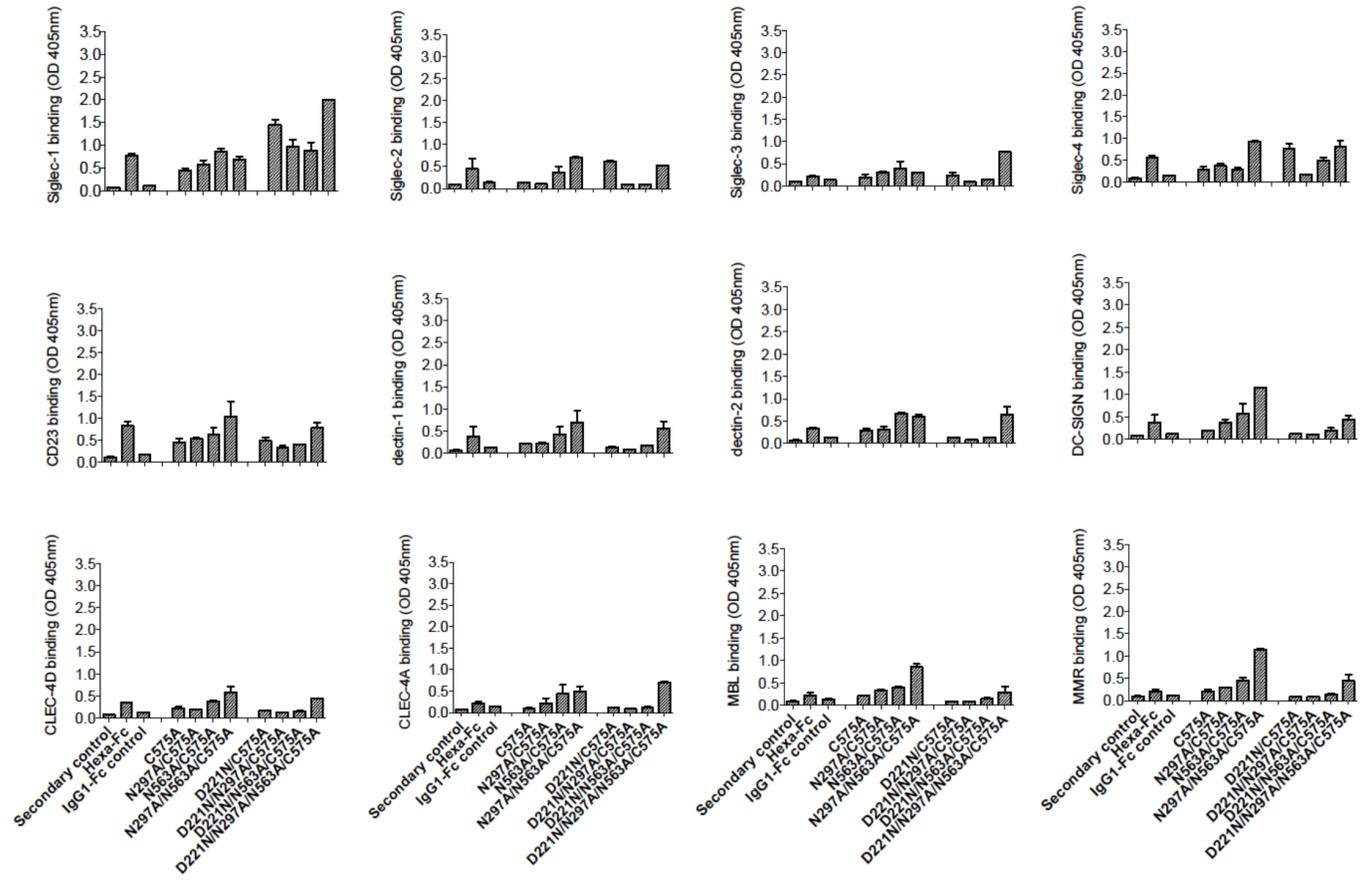


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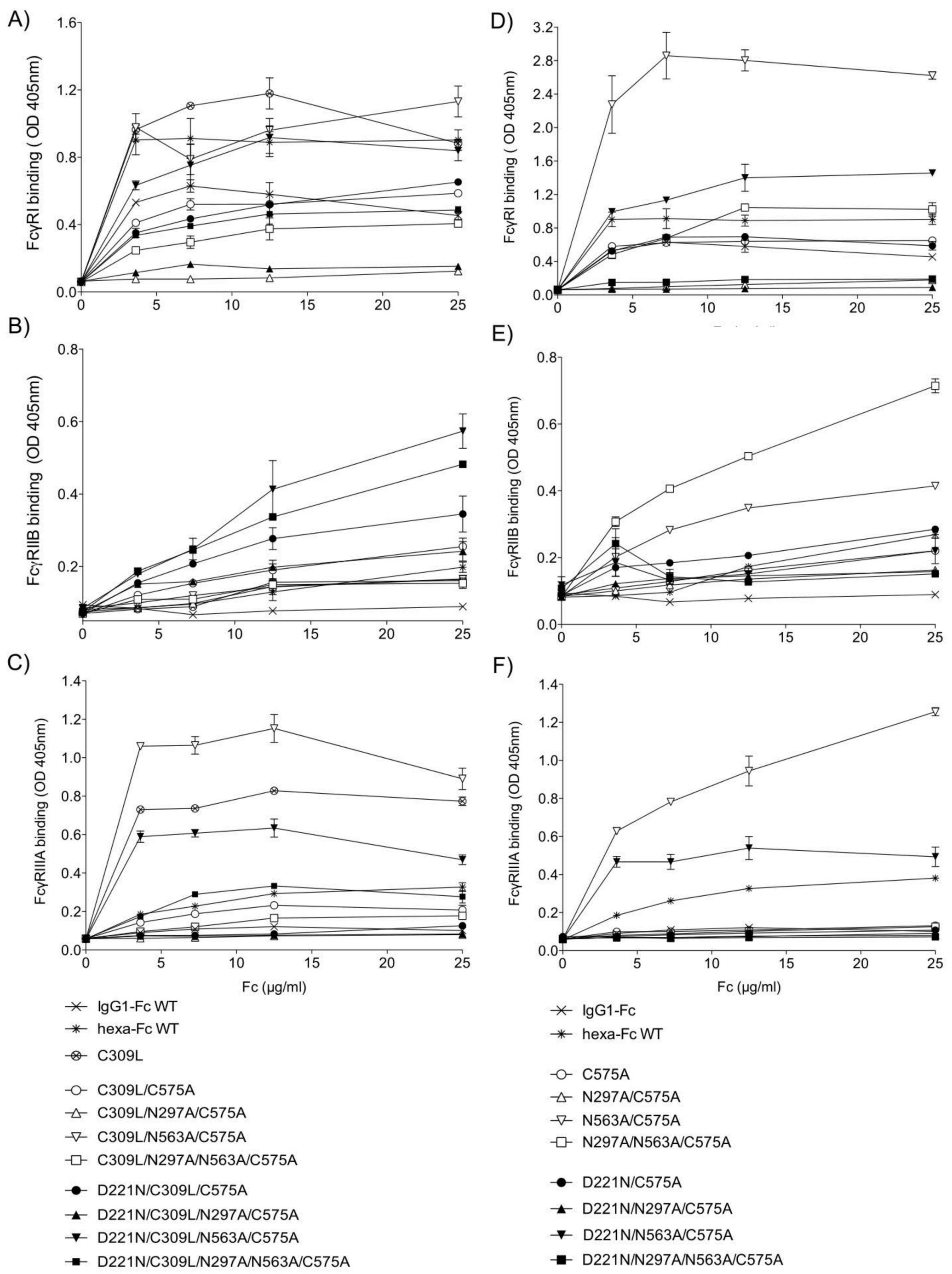
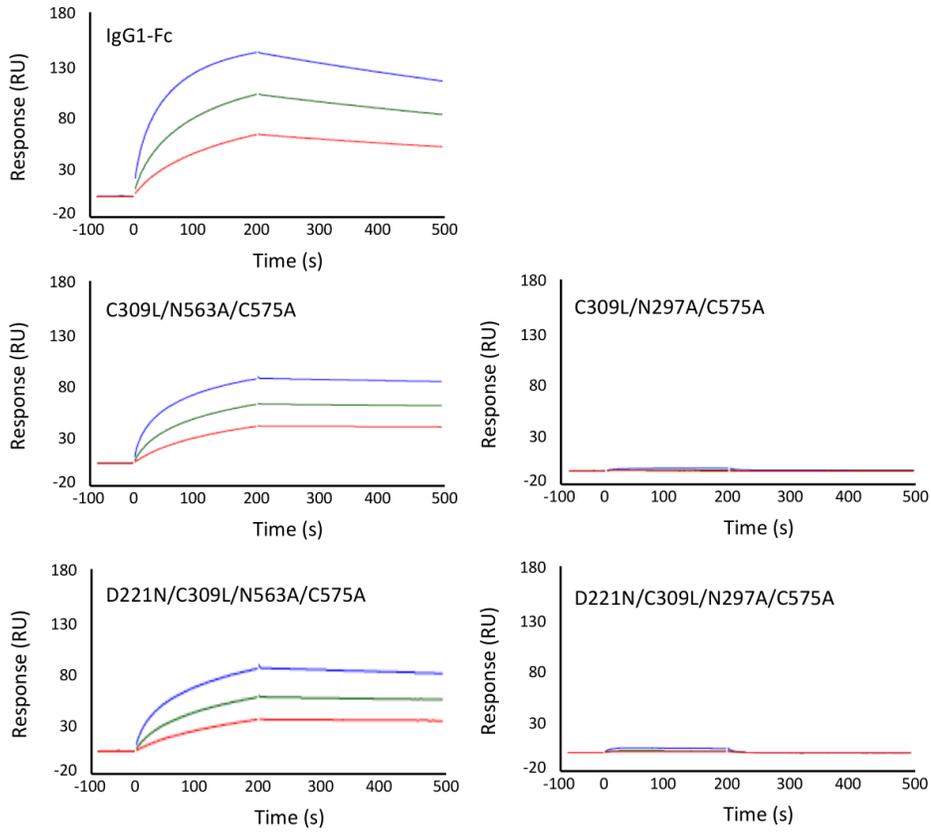


Figure 7

A)



B)

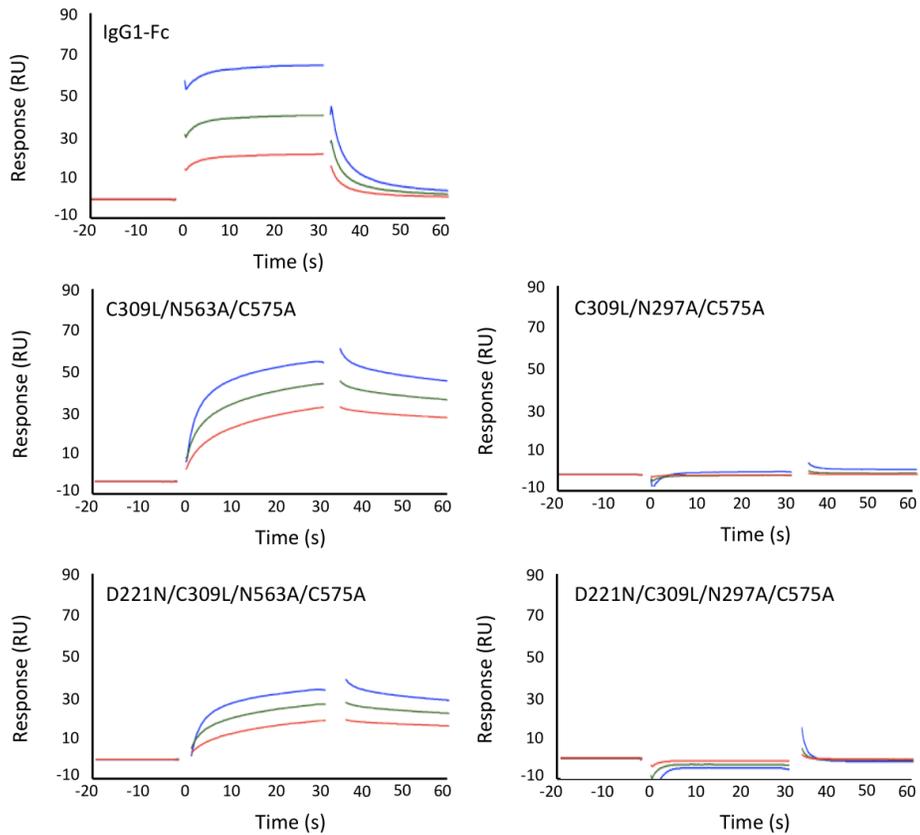
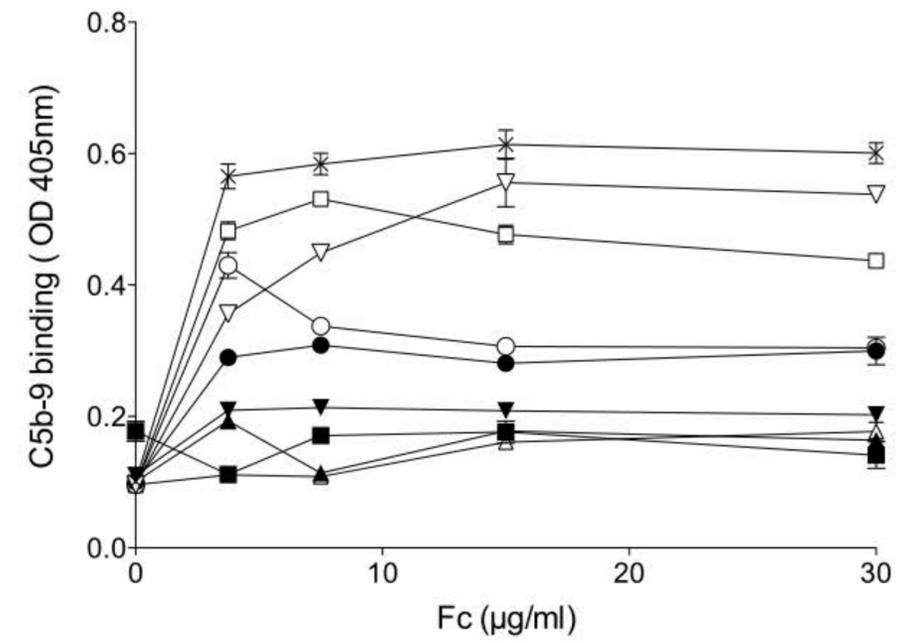
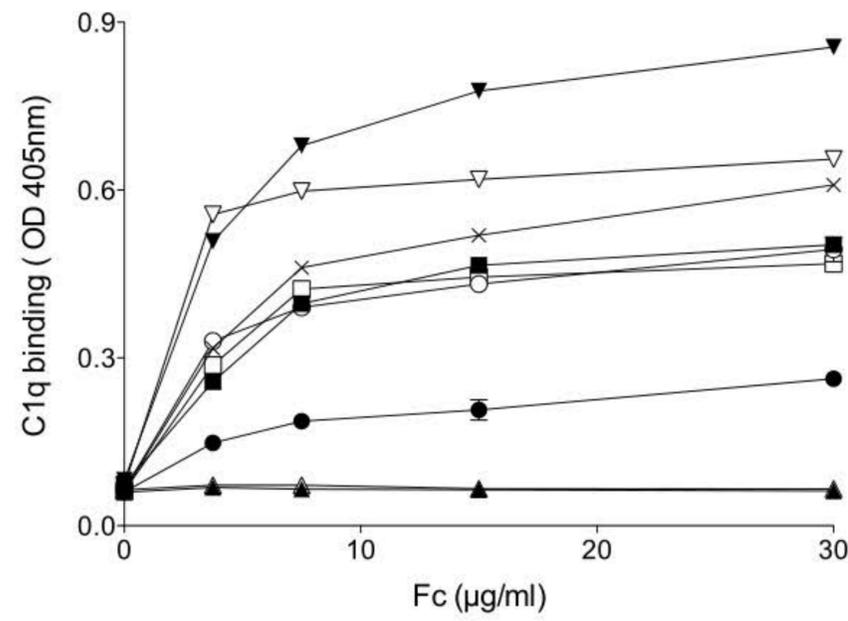


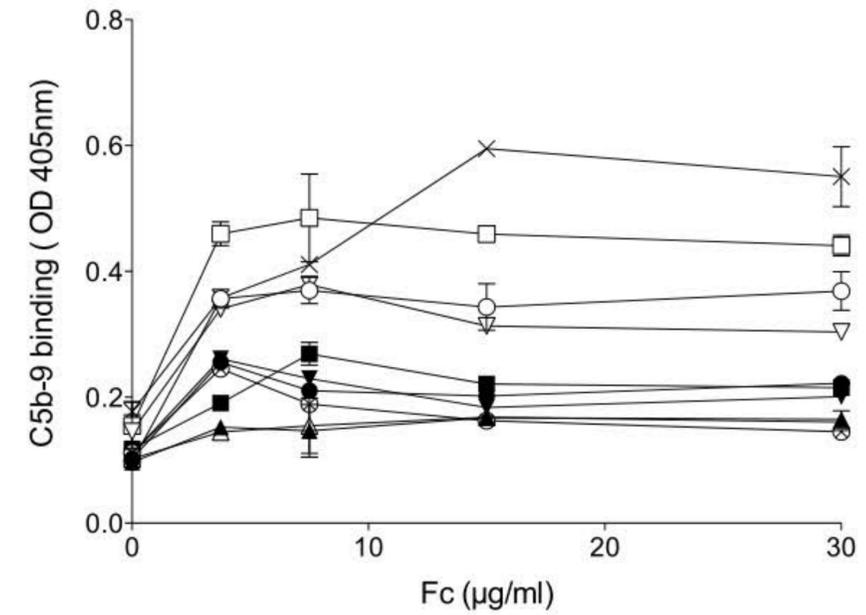
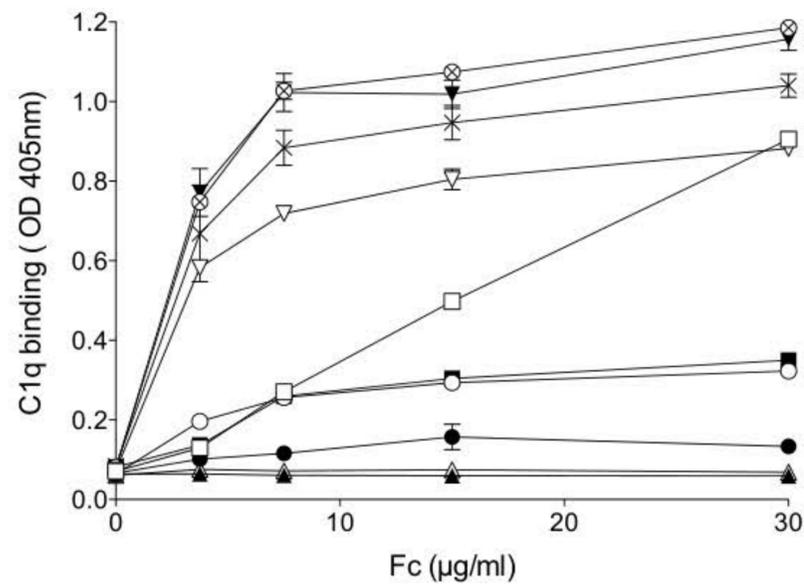
Figure 8

A)



- × IgG1-Fc WT
- C309L/C575A
- △ C309L/N297A/C575A
- ▽ C309L/N563A/C575A
- C309L/N297A/N563A/C575A
- D221N/C309L/C575A
- ▲ D221N/C309L/N297A/C575A
- ▼ D221N/C309L/N563A/C575A
- D221N/C309L/N297A/N563A/C575A

B)



- × hexa-Fc WT
- ⊗ C309L
- C575A
- △ N297A/C575A
- ▽ N563A/C575A
- N297A/N563A/C575A
- D221N/C575A
- ▲ D221N/N297A/C575A
- ▼ D221N/N563A/C575A
- D221N/N297A/N563A/C575A

Figure 9

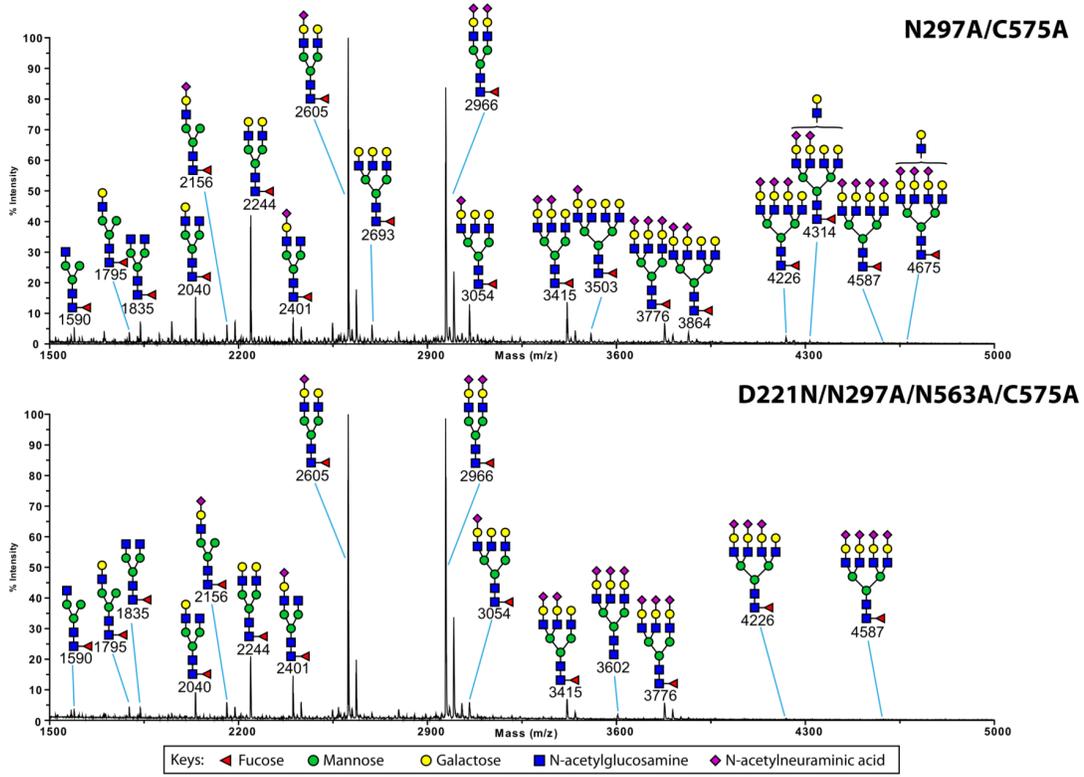
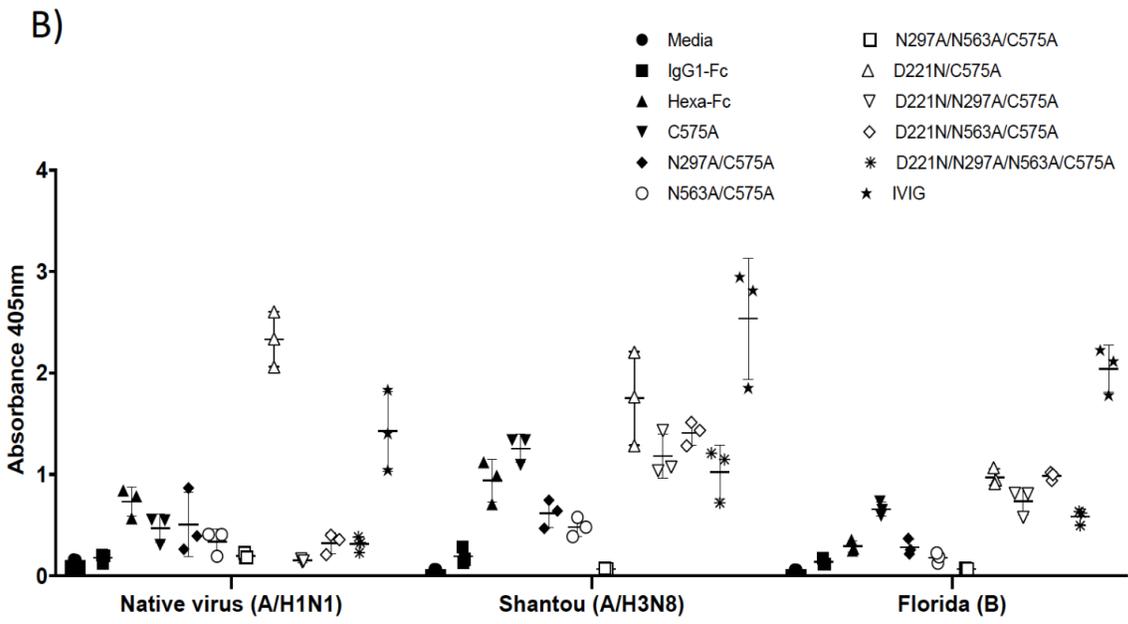
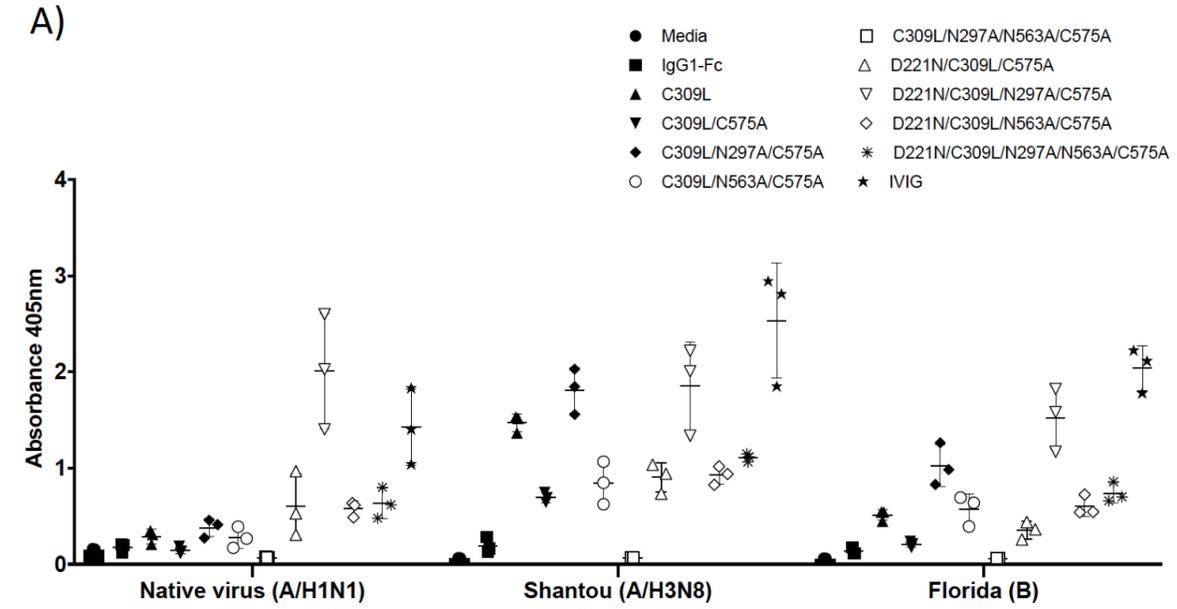


Figure 10



C)

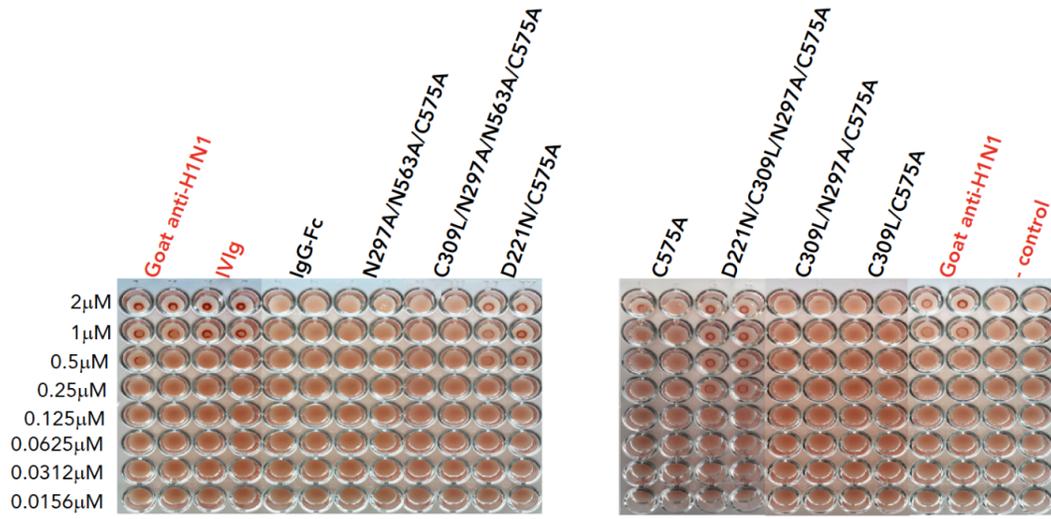
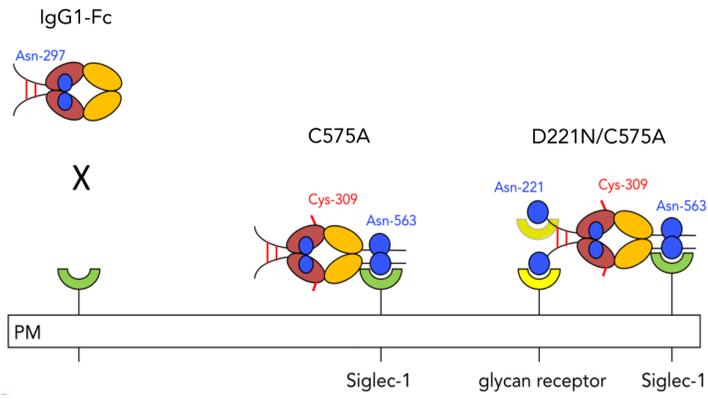
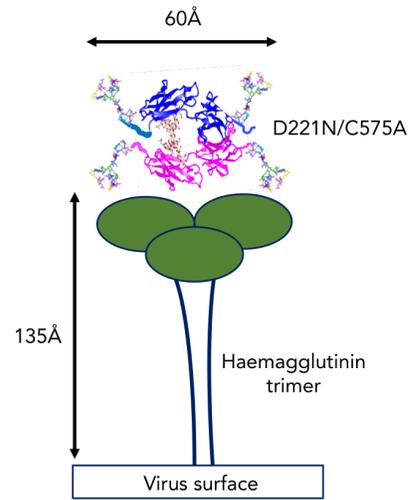


Figure 11

A)



B)



Glycan receptors	Complex sialylated glycans detected	Siglec-1	Siglec-2	Siglec-3	Siglec-4	CD23	dectin-1	dectin-2	DC-SIGN	clec-4A	clec-4D	MBL	MMR	DEC-205
C309L/N297A/N563A/C575A	-	-	-	-	-	-	-	-	-	-	-	-	-	-
IgG1-Fc	-	-	-	-	-	-	-	-	-	-	-	-	-	nd
N297A/C575A	+	+	-	-	-	-/+	-	-	-	-	-	-	-	nd
D221N/N297A/C575A	+++	++	-	-	-	-/+	-	-	-	-	-	-	-	nd
C309L/N297A/C575A	+++	++	-	-	-/+	-	-	-	-	-	-	-	-	nd
D221N/C309L/N297A/C575A	+++	++	-/+	-	+++	-/+	-	-	-	-	-	-	-	nd
C575A	-/+	+	-	-	-	-/+	-	-	-	-	-	-	-	nd
D221N/C575A	+++	++	+	-	+	-/+	-	-	-	-	-	-	-	nd
C309L/C575A	-/+	+++	+++	+++	+++	+++	++	++	+	+	+	+	+	+++
D221N/C309L/C575A	+	++++	++++	++++	++++	++++	+++	+++	+	++	+	+++	+++	+++
C309L/N563A/C575A	-	-	-	-	-/+	-	-	-	-	-	-	-	-	nd
D221N/C309L/N297A/N563A/C575A	++	++	+++	++	+++	+	+	++	-/+	+	-/+	-	-	++++
D221N/C309L/N563A/C575A	+	++	+++	+	+++	+	+	+	-/+	+	-/+	-	-	nd
D221N/N297A/N563A/C575A	+++	++	-/+	+	+	+	-	-/+	-	-	+	-	-	nd
Hexa-Fc	+	++	++	-	-/+	+	-	-	+	-	-	-	-	+
D221N/N563A/C575A	+	+	-	-	-/+	-	-	-/+	-	-	-	-	-	nd
C309L	++	-	-	-	-	+	-	-	-	-	-	-	-	-
N297A/N563A/C575A	-	+	+	-	+	-	-/+	-/+	+	-	-	+	++	nd
N563A/C575A	-/+	++	-/+	-	-	-	-/+	-/+	-/+	-/+	-	-	-	nd

Table 1. Summary of mutants and their interactions with glycan receptors.

Fc $\gamma$ -receptors	Complex sialylated glycans detected		Fc $\gamma$ RI	Fc $\gamma$ RIIA	Fc $\gamma$ RIIB	Fc $\gamma$ RIIIA	Fc $\gamma$ RIIIB
C309L/N297A/N563A/C575A	-		-	-	-	-	-
IgG1-Fc	-		+	-	-	-	-
N297A/C575A	+		-	-	-	-	-
D221N/N297A/C575A	+++		-	-	-	-	-
C309L/N297A/C575A	+++		-	-	-	-	-
D221N/C309L/N297A/C575A	+++		-	-	-	-	-
C575A	-/+		+	-	-	-	-
D221N/C575A	+++		+	-	-/+	-	-
C309L/C575A	-/+		+	-	-	-	-
D221N/C309L/C575A	+		+	-	+	-	-
C309L/N563A/C575A	-		++	-	-	+++	-
D221N/C309L/N297A/N563A/C575A	++		+	-	++	-/+	-
D221N/C309L/N563A/C575A	+		++	-	+++	++	-
D221N/N297A/N563A/C575A	+++		-	-	-	-	-
Hexa-Fc	+		++	+	-/+	+	-
D221N/N563A/C575A	+		++	-	-	++	-
C309L	++		++	-	-	+++	-
N297A/N563A/C575A	-		++	-	+++	-	-
N563A/C575A	-/+		++++	-	+	++++	-

**Table 2. Summary of mutants and their interactions with Fc $\gamma$ -receptors.**

	<i>Complex sialylated glycans detected</i>		<i>C1q</i>	<i>C5b-9</i>	<i>Binds Native Influenza virus (Caledonia A/H1N1)</i>	<i>Binds Recombinant HA (Shantou A/H3N8)</i>	<i>Binds Recombinant HA (Florida B)</i>	<i>Inhibits Influenza Virus (Caledonia A/H1N1) agglutination</i>
C309L/N297A/N563A/C575A	-		+	+	-	-	-	No
IgG1-Fc	-		+	+	-	-	-	No
N297A/C575A	+		-	-	-	-/+	-	n.d.
D221N/N297A/C575A	+++		-	-	-	++	+	n.d.
C309L/N297A/C575A	+++		-	-	-	+++	++	No
D221N/C309L/N297A/C575A	+++		-	-	++++	+++	+++	Yes
C575A	-/+		--/+	-/+	-	++	+	No
D221N/C575A	+++		-	-	++++	+++	++	Yes
C309L/C575A	-/+		+	-/+	-	+	-	No
D221N/C309L/C575A	+		--/+	-/+	+	+	-	n.d.
C309L/N563A/C575A	-		++	+	-	+	-	n.d.
D221N/C309L/N297A/N563A/C575A	++		+	-	+	++	+	n.d.
D221N/C309L/N563A/C575A	+		++	-	+	++	+	n.d.
D221N/N297A/N563A/C575A	+++		--/+	-	-	+	-/+	n.d.
Hexa-Fc	+		+++	+	+	+	-	n.d.
D221N/N563A/C575A	+		+++	-	-	++	+	n.d.
C309L	++		+++	-	-	++	-/+	n.d.
N297A/N563A/C575A	-		+	+	-	-	-	No
N563A/C575A	-/+		++	-/+	-	-	-	n.d.

**Table 3. Summary of mutants and their interactions with complement and influenza hemagglutinin.**