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Title: Effectiveness of a brief group psychological intervention for women in a post-conflict setting in Pakistan: a cluster randomized controlled trial

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Abstract: Background: Many women are affected by anxiety and depression after armed conflict in low and middle income countries, yet there are few scalable options for their mental health care. We aimed to evaluate the effectiveness of a brief group psychological intervention for women in a conflict-affected setting in rural Swat, Pakistan.

Methods: In a single-blind cluster randomised controlled trial, 34 community-clusters in 2 Union Councils of rural Swat were randomised equally to Intervention (group intervention with 5 sessions incorporating behavioural strategies facilitated by non-specialists) or Control (Enhanced Usual Care) groups. Consenting women residing in the participating clusters who scored ≥ 3 on the General Health Questionnaire (GHQ-12) and ≥ 17 on the World Health Organization Disability Assessment Schedule (WHODAS), were recruited. The primary outcome, combined anxiety and depression symptoms, was measured 3 months post-intervention with the Hospital Anxiety and Depression Scale (HADS). All assessors were masked and intention to treat analyses were done, using mixed models adjusted for covariates and clusters defined a priori. The trial was registered with ACTRN, No: ACTRN12616000037404.

Findings: 612 women were enrolled between January 11, 2016, and August 21, 2016. 288/306 (94%) and 290/306 (95%) women in the Intervention and Control groups, respectively, contributed primary outcome data. Women in the Intervention group had significantly lower mean total scores on the HADS than controls (10.01 v 14.75, adjusted mean difference -4.53, 95% CI -7.13 to -1.92). Individual HADS anxiety scores (5.43 v 8.02, AMD -2.52, 95% CI -4.04 to -1.01) and depression scores (4.59 v 6.73, AMD -2.04; 95% CI -3.19 to -0.88) were also significantly lower in the intervention arm. No adverse events were reported in either group.

Interpretation: This group psychological intervention resulted in clinically significant reductions in anxiety and depressive symptoms at 3 months and may be a feasible and effective option for women with psychological distress in rural post-conflict settings.

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1 **Effectiveness of a brief group psychological intervention for women in a post-conflict**
2 **setting in Pakistan: a cluster randomized controlled trial**

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51 **SUMMARY**

52

53 **Background:** Many women are affected by anxiety and depression after armed conflict in
54 low and middle income countries, yet there are few scalable options for their mental health
55 care. We aimed to evaluate the effectiveness of a brief group psychological intervention for
56 women in a conflict-affected setting in rural Swat, Pakistan.

57

58 **Methods:** In a single-blind cluster randomised controlled trial, 34 community-clusters in 2
59 Union Councils of rural Swat were randomised equally to Intervention (group intervention
60 with 5 sessions incorporating behavioural strategies facilitated by non-specialists) or Control
61 (Enhanced Usual Care) groups. Consenting women residing in the participating clusters who
62 scored ≥ 3 on the General Health Questionnaire (GHQ-12) and ≥ 17 on the World Health
63 Organization Disability Assessment Schedule (WHODAS), were recruited. The primary
64 outcome, combined anxiety and depression symptoms, was measured 3 months post-
65 intervention with the Hospital Anxiety and Depression Scale (HADS). All assessors were
66 masked and intention to treat analyses were done, using mixed models adjusted for covariates
67 and clusters defined *a priori*. The trial was registered with ACTRN, No:
68 ACTRN12616000037404.

69

70 **Findings:** 612 women were enrolled between January 11, 2016, and August 21, 2016.
71 288/306 (94%) and 290/306 (95%) women in the Intervention and Control groups,
72 respectively, contributed primary outcome data. Women in the Intervention group had
73 significantly lower mean total scores on the HADS than controls (10.01 v 14.75, adjusted
74 mean difference -4.53, 95% CI -7.13 to -1.92). Individual HADS anxiety scores (5.43 v 8.02,
75 AMD -2.52, 95% CI -4.04 to -1.01) and depression scores (4.59 v 6.73, AMD -2.04; 95% CI -
76 3.19 to -0.88) were also significantly lower in the intervention arm. No adverse events were
77 reported in either group.

78

79 **Interpretation:** This group psychological intervention resulted in clinically significant
80 reductions in anxiety and depressive symptoms at 3 months and may be a feasible and
81 effective option for women with psychological distress in rural post-conflict settings.

82

83 **Funding:** World Health Organization through a grant from the Office for Foreign Disaster
84 Assistance.

85 **INTRODUCTION**

86 Recent decades have seen an escalation in global conflict characterized by the wilful
87 destruction of civilian life and property.¹ This pattern of warfare, engulfing many
88 parts of the world today, is associated with long-term psychological sequelae,^{2,3} with
89 some reports indicating a far greater impact on women.³ Epidemiological studies
90 from conflict-affected areas in Pakistan found high rates of clinically significant
91 psychological distress in women, ranging from 38% to 65%,^{4,5} with no access to
92 psychological services for the majority.⁴ Conflict not only creates a greater need for
93 health care, but also makes it more difficult to obtain. Health systems are weakened,
94 the movement of vulnerable populations, especially women, may be restricted, and
95 families might view treatment for psychological problems as intrusive.² Against the
96 backdrop of poor social status and role restrictions for women in a patriarchal society
97 such as the tribal Northern areas of Pakistan, the sequelae of conflict are likely to
98 place a disproportionate psychosocial burden on women.^{4,5}

99
100 There is an urgent need to develop, test and disseminate culturally appropriate and
101 scalable psychological interventions in such settings.⁶ Guidelines developed by the
102 World Health Organization recommend a range of interventions for non-specialised
103 health care settings, including cognitive behavioural therapy, interpersonal therapy
104 and stress management, delivered in individual or group formats.⁷ These guidelines
105 are supplemented by reviews demonstrating that these interventions can be effectively
106 delivered by non-specialist staff in Low and Middle Income Countries (LMIC).^{8,9}
107 However, brief, evidence-based, transdiagnostic, group psychological interventions
108 that can cater to a range of psychological conditions and contexts are not currently
109 available in LMIC.

110
111 Previously, we evaluated the World Health Organization’s Problem Management Plus
112 (PM+),¹⁰ an individually-administered intervention incorporating behavioural
113 strategies, in one conflict-affected urban area of Pakistan, and found it to be culturally
114 appropriate and feasible.¹¹ This transdiagnostic intervention, tested in urban health-
115 care facilities, improved anxiety, depression, posttraumatic stress disorder (PTSD)
116 symptoms and functioning compared to enhanced usual care (EUC) – with post-hoc
117 analyses suggesting that effects were achieved independent of initial severity.¹¹ There
118 is substantial interest by a wide range of international humanitarian agencies to scale
119 up PM+ (http://www.who.int/mental_health/emergencies/PM_plus_2018/en/).

120
121 However, the physical distance of rural communities from urban health-care facilities
122 is a barrier to care – our feasibility study suggested that due to stigma, family
123 resistance, and restrictions upon movement, only a fraction of women, especially
124 those living in rural areas, could attend the intervention at urban care facilities.

125
126 To address this gap in Pakistan and elsewhere, a community-based group version of
127 the intervention, delivered by female non-specialists working in partnership with local
128 community health workers, was developed and successfully piloted in rural Swat.¹²
129 The aim of this cluster randomized clinical trial (cRCT) was to establish the
130 effectiveness of this new WHO group intervention in a conflict-affected setting. We
131 hypothesized that women assigned to the intervention would show greater reductions
132 in symptoms of anxiety, depression, PTSD, and functional impairment, improved
133 social support, and reduced rates of depressive disorder, at 3-months post-intervention
134 compared with those randomized to EUC.

135 **METHODS**

136 **Settings and Study design**

137

138 The study was conducted in Swat District, Pakistan, which has an estimated
139 population of about 2 million. Over 85% percent of the population lives in rural areas,
140 with agriculture and tourism the main sources of income generation. From 2007 to
141 2011, Swat experienced a severe armed conflict between the Pakistani military and
142 Taliban insurgents, displacing over 1.5 million people and causing significant damage
143 to Swat's economy, infrastructure and social fabric.¹³ Over a third of health and
144 educational facilities, and hundreds of businesses were destroyed.^{13,14} Sporadic
145 violence since continued to have a negative impact on the well-being of the
146 population.¹⁴ The post-conflict rehabilitation, reconstruction and recovery is still in
147 progress.

148

149 The District of Swat has 65 Union Councils (UCs). The UC is the smallest rural
150 administrative unit in Pakistan containing approximately 25,000 people, served by a
151 primary healthcare (PHC) facility staffed by a physician, a midwife, a vaccinator, and
152 15-20 community-based Lady Health Workers (LHWs) including a supervisor. LHWs
153 are community health workers, each responsible for a community-cluster of
154 approximately 1,000 people or 150 homes, visiting five to seven homes daily.

155

156 This two-arm single-blind cluster RCT was conducted in two rural UCs of Swat,
157 Odigram and Ghalegay, from January 11, 2016 to December 30, 2016. As the
158 intervention was delivered through community-based groups, a cluster randomized
159 design, with an LHW community-cluster as the unit of randomization, was used to
160 minimize the risk of contamination of the control group with the intervention. Primary
161 outcome was combined symptom score of anxiety and depression measured with
162 HADS at 3 months post-intervention. Secondary outcomes were PTSD symptoms,
163 functional impairment, problems for which the person sought help, perceived social
164 support, and rates of depressive disorder.

165

166 The project was approved by the Institutional Review and Ethics Board of the
167 Institute of Psychiatry, Rawalpindi Medical College, Pakistan; and by the WHO
168 Ethical Review Committee (RPC705, version 4, November 2, 2015). The trial
169 protocol is available online.¹⁵

170

171 **Randomisation and masking**

172 Individual LHW community-clusters across Odigram and Ghalegay UCs formed the
173 units for randomization, each UC contributing 23 and 22 LHW community-clusters
174 respectively. Of these 45 community-clusters, 11 were inaccessible or unstaffed by
175 LHWs and were excluded (Fig 1). Randomisation of the remaining 34 community-
176 clusters was done before the participants were approached for screening and
177 enrolment. Permuted-block randomisation method was used to generate the
178 randomisation code, with a block size of 6. Allocation of clusters was carried out by
179 an independent statistician based at the University of Liverpool using a computerized
180 randomisation sequence.

181

182 It was not possible to mask participants or group facilitators to the intervention
183 allocation. However, the researchers responsible for identifying, obtaining consent,
184 enrolling trial participants, and conducting outcome assessments were masked to the

185 allocation status. All assessors resided outside the study area, and had no interaction
186 with the intervention team. Women were asked not to tell the interviewers about their
187 group session, and all household members were reminded of this by the Lady Health
188 Worker before each assessment visit. To elicit the success of the masking, outcome
189 assessors were asked to guess the allocation status of each participant before the 3-
190 month assessment.

191

192 **Participants**

193 Participants were women in the 34 community-clusters who were aged 18–60 years,
194 and intended to reside in the study area for the next 6 months. They were enrolled
195 from January 11, 2016, to August 21, 2016. Lists of participants from each cluster
196 were compiled from official registers kept with the LHWs. The research staff
197 screened a random sample of potentially eligible residents until the desired enrolment
198 number was reached. Women with severe mental disorder (e.g., psychotic disorders,
199 substance dependence) or severe cognitive impairment (e.g., severe intellectual
200 disability), or imminent suicide risk were excluded (Figure 1). All participants
201 provided written informed consent to participate in the research.

202

203 Eligible participants who scored both (a) 3 or above on a screening questionnaire for
204 common mental disorders (General Health Questionnaire-12; GHQ-12),¹⁶ and (b) 17
205 or above on a questionnaire for functional impairments (WHO Disability Assessment
206 Schedule 2.0; WHODAS)¹⁷ were invited to participate in the cRCT. The GHQ-12
207 evaluates psychological distress and has 12 questions scored on a 4-point Likert.
208 When applied as a screener, it is scored bimodally (0-0-1-1). A cut-off of 3 or above
209 has been used in previous validation studies in Pakistan and indicates likelihood of
210 clinically significant distress.¹⁶ The WHODAS is a 12-item interviewer-administered
211 tool which assesses health-related difficulties across domains of functioning.
212 Difficulties are scored on a 5-point scale over last 30 days, with a total score of 60,
213 and the cut-off score of 17 has been used in previous studies in Pakistan.^{11,12}
214 Recruitment continued until 18 eligible women were recruited from each community-
215 cluster.

216

217 **Procedures**

218

219 **Intervention:** The group intervention is an adaptation of a WHO individual
220 intervention, Problem Management Plus (PM+).¹⁰ Group PM+ consists of five weekly
221 group sessions with approximately 6-8 participants per group, each session lasting
222 approximately two hours (excluding breaks). The first session includes
223 psychoeducation, goal setting, and brief motivational interviewing. Sessions one to
224 four introduce strategies for stress management, problem solving, behavioural
225 activation and strengthening social support. Each strategy is reviewed in every
226 subsequent session, and the final session involves revision of learning, education on
227 preventing relapse, and a closing ceremony. As many participants are non-literate, the
228 intervention includes locally relevant pictorial materials and adopts a narrative format
229 through sharing case examples of women experiencing common practical and
230 emotional problems, with participants following their stories through the sessions.
231 The groups, facilitated by local women, gives participants a safe space to share
232 feelings and learn from each other's experiences, allowing a degree of empowerment
233 and control over their lives as they problem-solve together. Each LHW provided
234 logistical support by convening sessions in her house with participants from her

235 catchment area. LHWs are mandated to provide one room in their house, referred to
236 as the ‘health house,’ for community-based health promotion groups.

237

238 The therapists, called facilitators, were local bachelor level graduates without mental
239 health care experience. The facilitators received seven days of intervention training by
240 a master trainer (KSD) and supported by three in-country supervisors based in
241 Islamabad, Pakistan (PA, HN, AM). Intervention training included education on
242 adversity and its impact upon mental health, basic helping skills, delivering the
243 intervention strategies, skills in group facilitation, and facilitator self-care. All
244 facilitators delivered one practice group each at an accelerated rate (five sessions in
245 two weeks) with participants living outside the trial area and under intensive
246 supervision (10 hours supervision over two weeks). All facilitators were assessed for
247 their competency using a specially developed checklist that evaluated basic
248 counselling skills and their use of intervention strategies through direct observation of
249 specially designed role plays. Competency was rated using a 5-point Likert scale
250 ranging from 0 (not done) to 4 (excellent). A score of 2 or higher on each item
251 indicated competency. Six facilitators delivered the intervention to the trial
252 participants. The facilitators received a small honorarium of USD100 per month.

253

254 Supervision of the facilitators was conducted through 2 hours of weekly group session
255 by experienced Islamabad-based supervisors via Skype. In turn, the supervisors
256 received 1.5 hours of fortnightly supervision via Skype by the master trainer in
257 Sydney, Australia. Supervision included review of participants’ progress and
258 individual case-management, refresher training on strategies and rehearsing skills
259 through role-play.

260

261 Intervention fidelity was monitored by independent observers of 15% of randomly
262 selected sessions of each facilitator (N=36; 6 sessions per facilitator) against a
263 checklist consisting of items capturing key intervention strategies for each session.
264 The responses were recorded as yes or no for each given strategy for the particular
265 session. Based on this evaluation, the supervisor rated the fidelity of each session
266 overall as satisfactory or unsatisfactory. Weak areas identified were reinforced during
267 supervision.

268

269 **Enhanced usual care (EUC):** EUC for all participants comprised the following: a)
270 feedback about the assessment results; b) participants and their accompanying family
271 members were offered psychoeducation and the opportunity to talk about their health
272 with their LHW who received a half-day training programme in psychoeducation and
273 supportive communication; and; c) information about the options for seeking care for
274 distress (i.e. through their PHC center or the tertiary healthcare center). The PHC
275 providers received a half-day training in the detection and management of mental
276 health problems, and referral pathways for care.

277

278 **Outcomes**

279 The primary outcome assessed at the individual level was severity of anxiety and
280 depressive symptoms measured using the Hospital Anxiety and Depression Scales
281 (HADS)¹⁸ (total HADS scores at 3 months post-intervention). The HADS is a 14-item
282 scale consisting of 2 sub-scales: HADS-A (anxiety, 7 items, range 0-21) and HADS-
283 D (depression, 7 items, range 0-21). Higher scores indicate more anxiety and/or
284 depression symptoms. The HADS has been validated across cultures, including

285 Pakistan, and found to have good reliability and validity.¹⁹ The minimal clinically
286 important difference has been determined at 1.32 for HADS-A and 1.40 for HADS-
287 D.²⁰

288

289 Secondary outcomes, also measured at the individual level, included PTSD symptoms
290 using the 20-item PTSD Checklist for DSM-5 (PCL-5).²¹ Items are rated 0 to 4 (total
291 score range of 0-80). The PCL has shown good diagnostic accuracy and internal
292 consistency and has been used in Pakistan.¹¹ Functional impairment was measured
293 through the WHODAS, which has shown good psychometric properties in terms of
294 internal consistency, test-retest reliability, and agreement with other measures of
295 disability across countries including Pakistan.¹⁷ The Psychological Outcome Profiles
296 (PSYCHLOPS)²² was used to measure progress on problems for which the person
297 sought help. It covers 3 domains: problems (2 questions), functioning (1 question),
298 and wellbeing (1 question). Responses are scored on an ordinal 6-point scale
299 producing a maximum score of 20 (5 points per question). The PSYCHLOPS has
300 shown satisfactory internal consistency, and good convergent validity with measures
301 of psychological distress and sensitivity to change. Perceived social support was
302 measured by the Multi-Dimensional Scale of Perceived Social Support, validated in
303 South Asian women.²³ Participants were assessed for likely depressive disorder with
304 the Patient Health Questionnaire (PHQ-9).²⁴ Participants rate their responses on a 4-
305 point scale ranging from “not at all” to “nearly every day.” The PHQ-9 total score
306 ranges from 0 to 27. The PHQ-9 has been validated in Urdu, showing good sensitivity
307 and specificity.²⁵ A cut-off score of 10 or above is used to estimate likely depressive
308 disorder.

309

310 All outcome assessments were conducted by trained researchers who shared the same
311 culture as the participants. Due to a large number of non-literate participants,
312 questions were read out by the researchers who were trained to ask them in a uniform
313 and standardised fashion. Information on severe adverse events including death of the
314 participant due to any cause, suicide attempt, hospitalisation, stigmatisation, and
315 reported violence were collected.

316

317 **Statistical analysis**

318 Community-based intervention studies using change in symptom-based
319 questionnaires like the HADS have used effect sizes of at least 0.4 when testing
320 treatment as usual groups with limited or no active therapeutic elements.^{11,26} Intra-
321 cluster correlation (ICC) of 0.05 was used to allow for between-community-cluster
322 correlation. This is a conservative estimate based on a previous study in a similar rural
323 population,²⁶ where ICC of 0.04–0.09 was observed between union councils (which
324 were the cluster units). We would expect a substantially lower ICC between
325 community-clusters within a union council. Assuming an effect size of 0.4 for the
326 primary endpoint (HADS total score at 3 months post-intervention), with 90% power
327 and 5% significance, an ICC of 0.05, and a two-sided hypothesis test with 34
328 community-clusters randomised at a 1:1 allocation ratio, and accounting for 20%
329 attrition, we required 612 participants (306 in each arm), or an of average 18
330 participants per cluster.

331

332 Primary analyses were intention-to-treat and included participants who were
333 randomised and had at least one complete measurement of primary or secondary
334 outcomes. A linear mixed model was employed for the primary endpoint analysis.

335 The mixed model included treatment, visit, interaction between treatment and visit as
336 fixed effects, baseline measurement of HADS as covariate, and cluster and subject as
337 random effects. The mean difference between two treatment arms at each visit,
338 together with its 95% confidence interval (CI), was derived from the mixed model.
339 Covariate-adjusted mixed model of primary endpoint was also performed by adding
340 three pre-specified covariates at baseline (age, WHODAS and PHQ scores) into the
341 above model. Missing data were treated as missing at random in the mixed model
342 analysis and no imputation of primary endpoint was made. To assess the sensitivity of
343 the result to this assumption, the last observation carried forward strategy was used to
344 compute missing primary endpoints. Subgroup analysis was performed on the three
345 pre-specified covariates.

346
347 Continuous secondary outcomes were analysed in a similar way as the primary
348 endpoint analysis. For the analysis of binary secondary outcomes, a generalised linear
349 mixed model was employed with treatment, visit, interaction between treatment and
350 visit as fixed effects, baseline measurement as covariate, and cluster and subject as
351 random effect. The odds ratio between two treatment arms at each visit together with
352 its 95% CI was derived from the generalised mixed model. All analyses were
353 described in detail in the finalized and signed statistical analysis plan before
354 unmasking the study. Data were analysed using SAS 9.3 and SPSS Version 21.

355

356 **Role of funding source:**

357 The study is supported by a grant from the Office of Foreign Disaster Assistance
358 (OFDA) to WHO and a small travel grant from the University of Liverpool Overseas
359 Development Agency Seed Fund. The funding bodies had no role in the design and
360 conduct of the study; collection, management, analysis, and interpretation of the data;
361 preparation, review, or approval of the report. The corresponding author had full
362 access to all the data in the study and had final responsibility for the decision to
363 submit for publication.

364

365 **RESULTS**

366

367 Figure 1 depicts participant flow through the trial. From a list of 2565 potential
368 participants 1745 women were randomly screened, and 612 women meeting the
369 eligibility criteria were enrolled between January 11, 2016 and August 21, 2016. The
370 primary outcome assessment point of 3 months post-intervention was available for
371 288/306 (94%) of intervention group participants and 290/306 (95%) of the control
372 group. At one-week post-intervention the follow-up rates were 298/306 (97%) and
373 300/306 (98%) in the intervention and control groups respectively.

374

375 Of 612 participants, 503 (83%) were non-literate, the mean (SD) age of the
376 participants was 36.32 (9.78) years. There was no significant difference between the
377 intervention and control group in demographic characteristics (Table 1).

378

379 Table 2 presents the findings of the primary and secondary outcomes at all time-
380 points. At baseline the intervention and control groups had similar scores on HADS
381 total score 21.08(6.69) vs 21.83(7.30) as well as individual HADS scores of anxiety
382 (mean [SD] 11.46[3.99] vs 11.71[3.95] and depression 9.62 [3.64] vs 10.12 [4.18].
383 At three months post-intervention, the intervention group had significantly lower
384 score than the control group on HADS total score (mean [SD], 10.01[7.54] vs 14.75

385 [8.11], AMD, -4.53; 95% CI, -7.13 to -1.92). Individual anxiety (mean [SD]
386 (5.43[4.18] vs. 8.02[4.69], AMD, -2.52; 95% CI, -4.04 to -1.01) and depression
387 symptom scores (4.59[3.87] vs. 6.73[3.91], AMD, -2.04; 95% CI, -3.19 to -0.88) were
388 also significantly lower in the intervention group. Similar differences were measured
389 at 1-week post-intervention in HADS total scores (mean [SD] 10.58 [8.05], vs 17.00
390 [8.30], AMD, -6.30; 95% CI, -8.89 to -3.70), as well as individual anxiety (5.84[4.58]
391 vs 8.99 [4.70], AMD, -3.14; 95% CI -4.64 to -1.63) and depression scores (4.74[3.95]
392 vs 8.01[4.21], AMD, -3.20; 95% CI, -4.35 to -2.05).

393

394 At 3-months post-intervention, there were also significant reductions in WHODAS
395 functional impairment scores (AMD -2.90; 95% CI, -5.39 to -0.42), problems for
396 which the person sought help (PSYCHLOPS score: AMD, -2.07; 95% CI, -3.73 to -
397 0.41), and symptoms of depressive disorder (PHQ-9 score: -1.67; 95% CI, -3.16 to -
398 0.19).

399

400 Results with covariate adjusted analysis and Last Observation Carried Forward
401 (LOCF) analysis are reported in Tables 3 and 4 respectively and are consistent with
402 those reported in Table 2. Table 5 shows that age and initial severity of depression
403 and impaired functioning did not influence the interventions effects on the HADS
404 primary outcome.

405

406 At baseline, 137/306 (45%) of participants in the intervention group and 171/306
407 (56%) in the control group met the PHQ-9 criteria for depressive episode, while at 3
408 months post-intervention, 42/288 (15%) and 87/290 (30%) participants in the
409 intervention and control group, respectively, met the PHQ-9 criteria for depressive
410 disorder (OR, 0.44; 95% CI, 0.20 to 0.95). Although significant differences were
411 found in mean PTSD symptom scores at 1-week post-intervention (PCL-5 score
412 AMD, -3.44, 95% CI -6.15,-0.73), no significant differences were found on PTSD
413 (AMD, -2.16; 95% CI, -4.88 to 0.56).

414

415 The outcome assessors correctly guessed the allocation of 125 (44%) of 287 in the
416 EUC group compared with 158 (55%) of 285 of in the intervention group prior to the
417 primary outcome assessment at 3 months post-intervention, indicating that masking
418 was successful.

419

420 Competency assessments following training found that all 6 facilitators who delivered
421 the intervention scored 2 or more on all items of the basic counselling skills, group
422 management skills and intervention strategies assessed. Of 36 sessions observed
423 directly to evaluate intervention fidelity, 33 (92%) were assessed as satisfactory.

424

425 A total of 255 sessions were conducted in 51 groups, with an average group size of
426 6 ± 0.49 (range 4 to 7). 251/306 (82%) of the participants attended 3 or more sessions.
427 The mean [SD] number of sessions attended by the intervention participants was 3.80
428 (1.57). Average duration of a Group PM+ session was 2 hours \pm 15 minutes. Only 2
429 intervention arm participants attended the primary care centre for their psychological
430 problems in the period of the study.

431

432 All EUC participants were provided psychoeducation consisting of feedback about
433 their assessment result and informed about the options for seeking appropriate care.
434 In the EUC arm, we documented 142 contact sessions of 89 participants with the

435 LHWs, 141 contact sessions of 100 participants with the PHC physician and 6 contact
436 sessions of 4 participants with a mental health professional during the study. No
437 psychotropic medicine was prescribed to any participant for the duration of study.
438

439 **DISCUSSION**

440

441 This study tested the effectiveness of a new WHO group intervention, delivered by
442 non-specialists to women with psychological distress living in rural, conflict-affected
443 Swat, Pakistan. Results showed significant reductions in symptoms of anxiety and
444 depression, self-identified problems for which the women sought help, and rates of
445 depressive disorder, measured at one week and at 3 months' post-intervention. Initial
446 problem severity did not affect the outcomes, indicating the intervention is also
447 helpful for those with severe problems.
448

449 These results are in keeping with the literature on group psychological interventions
450 from High Income countries (HIC). A meta-analysis of 23 studies of group therapies
451 in PHCs and the community found that group therapies were more efficacious for
452 clinically depressed participants compared to usual care.²⁷ However, most of these
453 interventions were delivered by specialists or experienced practitioners. Furthermore,
454 accepted guidelines such as the National Institute for Health and Clinical Excellence
455 in the UK recommend 16-20 sessions over 3-4 months for high-intensity, and a
456 minimum of 8 sessions for low-intensity interventions. To our knowledge, this is the
457 first randomized trial to demonstrate the effectiveness of a brief 5-session group
458 intervention delivered by local non-specialists for common mental disorders. The
459 group format is likely to be attractive for communities where support networks are
460 often communal but may have been disrupted in the aftermath of conflict. Brief group
461 interventions are less resource-intensive per person helped than individual
462 interventions, and are possibly more cost-effective.²⁷ This trial should provide a
463 policy impetus to include such interventions in post-conflict reconstruction and
464 rehabilitation efforts.
465

466 In our previous study in primary care settings, the individually administered
467 intervention led to sustained improvements in trauma symptoms in a mixed gender
468 sample compared to controls¹¹, while in the current study, these differences were
469 significant at 1-week post-intervention but not at 3-months post-intervention. This
470 may be a reflection of the differences in the study population – the current study was
471 entirely women in a rural setting where the impact of the conflict was more diffused
472 and baseline rates of post-traumatic stress symptoms were much lower in this study.
473 Ongoing problems of living might contribute more to these women's distress
474 compared to the mixed population presenting to peri-urban primary care centres
475 whose symptoms might have been more directly related to trauma. Also, it is likely
476 that persons with trauma symptoms benefit more of approaches that involve trauma-
477 focused emotional processing, which are key to the most effective PTSD treatments²⁸,
478 and which are not part of PM+.
479

480 The results of this trial demonstrate the feasibility of employing local non-specialist
481 graduates to deliver a transdiagnostic psychological intervention in this post-conflict
482 setting. The apprenticeship and cascaded model of training and supervision²⁹ was
483 successfully implemented. Following the one-off 7-day classroom training, all
484 subsequent supervision was conducted by off-site supervisors in a different city

485 (Islamabad), who in turn were supervised by a master trainer in another country
486 (Australia). The group facilitators demonstrated satisfactory competence levels
487 following training, and fidelity to intervention protocols throughout the study. As the
488 use of technology for supervision from distance becomes more widespread³⁰ such
489 models hold great promise in disseminating services in hard-to-access areas such as
490 conflict zones. The non-specialist graduates worked in partnership with the well-
491 established LHWs who convened and hosted the PM+ Groups. All groups were
492 successfully completed and attendance rates were high, demonstrating the cultural
493 acceptability and feasibility of this approach. Local facilitators are more likely
494 understand people's problems and be culturally sensitive compared to 'parachute'
495 therapists from outside the community.³¹

496
497 Despite being conducted in a post-conflict environment with sporadic ongoing
498 violence, this community-based trial had a high response and follow-up rate. As far as
499 possible, efforts were made to train local researchers who had a good understanding
500 of community sensitivities and were not seen as outsiders. All recruitment and
501 assessments were done by trained female research assistants from the same cultural
502 background as the women participants. Involvement of local researchers has been
503 identified as an important practical as well as ethical step to conducting research in
504 conflict and post-conflict settings.³²

505
506 Our study had several other strengths. The cluster design ensured that the risk of
507 contamination was minimised. The baseline characteristics in the two groups were
508 similar, and efforts to ensure that the assessors remained unaware of treatment group
509 allocation were successful. Many participants showed an improvement in the
510 enhanced usual care group. While this could be a consequence of regression to the
511 mean, some contamination of the control group with elements of the intervention
512 cannot be entirely ruled out as the participants attended the same health facilities and
513 may have interacted. The intervention and EUC conditions differed on several
514 variables, including number of sessions, the role of supervision, and importantly,
515 group versus individual format. Although records were kept of number of contacts
516 with health staff, details of what happened in the EUC contacts was not collected.
517 Thus, we cannot exclude the explanation that significant symptom reduction in the
518 control group could be attributed to unmeasured factors. In studies such as this it is
519 not possible to keep the participants blind to the intervention and control conditions.
520 Furthermore, the outcomes were based on self-report questionnaires. Both of these
521 factors can potentially bias the results. Another limitation is the lack of a longer-term
522 follow-up. Given the challenges of conducting research in such settings, the study
523 results give hope that evidence-based interventions can be feasibly provided to
524 populations living in the aftermath of conflict. Replication trials are being conducted
525 to indicate the effectiveness of Group PM+ across settings and contexts. Such studies
526 will also explore which component mediates effect, how long this lasts, and if
527 additional sessions may be necessary to maintain effect.

528
529 In conclusion, given that a large number of women suffer from anxiety and
530 depression following exposure to conflict, this brief group psychological intervention
531 delivered in rural settings by local non-specialists can be effective in reducing the
532 burden from these conditions. This WHO group intervention may increase the options
533 that humanitarian agencies have to provide mental health support to rural women in
534 Pakistan and globally.

535 **Contributors:**

536

537 AR drafted the manuscript assisted by MNK, SUH and AC, and all authors reviewed
538 and approved it. AR, SUH, MNK, RB, MS, AC and MvO were responsible for the
539 design of the trial; KD, RB, PA, HN, AN, AM, AC, and MvO were responsible for
540 intervention content, training and supervision; MNK, SUH, IUD, NAK, MS, MvO
541 and AR were responsible for trial conduct; DW, NS and SUH were responsible for
542 database design and management; and DW was responsible for analyses; all authors
543 contributed to the interpretation of the data.

544

545 **Declaration of Interests:**

546 The authors declare that they have no competing interests.

547

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557 institutions with which they are affiliated.

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563 **Research in context**

564

565 **Evidence before this study**

566 WHO guidelines for management of mental disorders in non-specialist settings
567 (http://www.who.int/mental_health/mhgap/evidence/en/), revised in 2016, synthesised
568 available evidence on psychological interventions for common mental disorders
569 including depression, anxiety and post-traumatic stress disorder. The guidelines
570 recommend a range of interventions for non-specialised health care settings including
571 cognitive behavioural therapy, interpersonal therapy and stress management,
572 delivered in individual or group formats. Supplementing the WHO evidence-review, a
573 recent systematic review of psychological intervention for depression, anxiety and
574 posttraumatic stress delivered by non-specialists in LMIC, searched 7 databases
575 (CINAHL, MEDLINE, WHO's Global Index Medicus, PsychINFO, Web of Science,
576 Cochrane CENTRAL, and EMBASE) from Jan 2012 to March 2016 as well as hand
577 searching reference lists of selected systematic reviews. The 27 randomised trials
578 reviewed demonstrated that individual and group interventions incorporating
579 psychological strategies, including behavioural, interpersonal, emotional, and
580 cognitive, delivered largely by non-specialists, had moderate to strong effects in
581 reducing the burden of common mental disorder in these settings (pooled effect size
582 0.49 (95% confidence interval = 0.36–0.62)).

583

584 **Added value of this study**

585 Conflict-affected settings present a particular set of challenges to both implementation
586 and robust evaluation of evidence-based interventions, especially those targeting
587 vulnerable women. This study shows that local non-specialists, working in
588 partnership with the primary care system, and supervised by experts from a distance,
589 were able to successfully deliver a brief group psychological intervention
590 incorporating behavioural and stress-management strategies to previously untreated
591 women. A cRCT, with a high response rate at 3-months post-intervention,
592 demonstrated strong intervention effects in reducing anxiety and depression in this
593 population (effect size 0.58).

594

595 **Implications of all the available evidence**

596 Addressing the psychological sequelae of conflict is an essential element of
597 reconstruction and rehabilitation. Building on existing evidence from LMIC, this
598 feasible and effective brief group intervention likely has the potential for scale-up in
599 post-conflict settings to reduce the burden from common mental disorder, especially
600 in hard-to-access vulnerable women.

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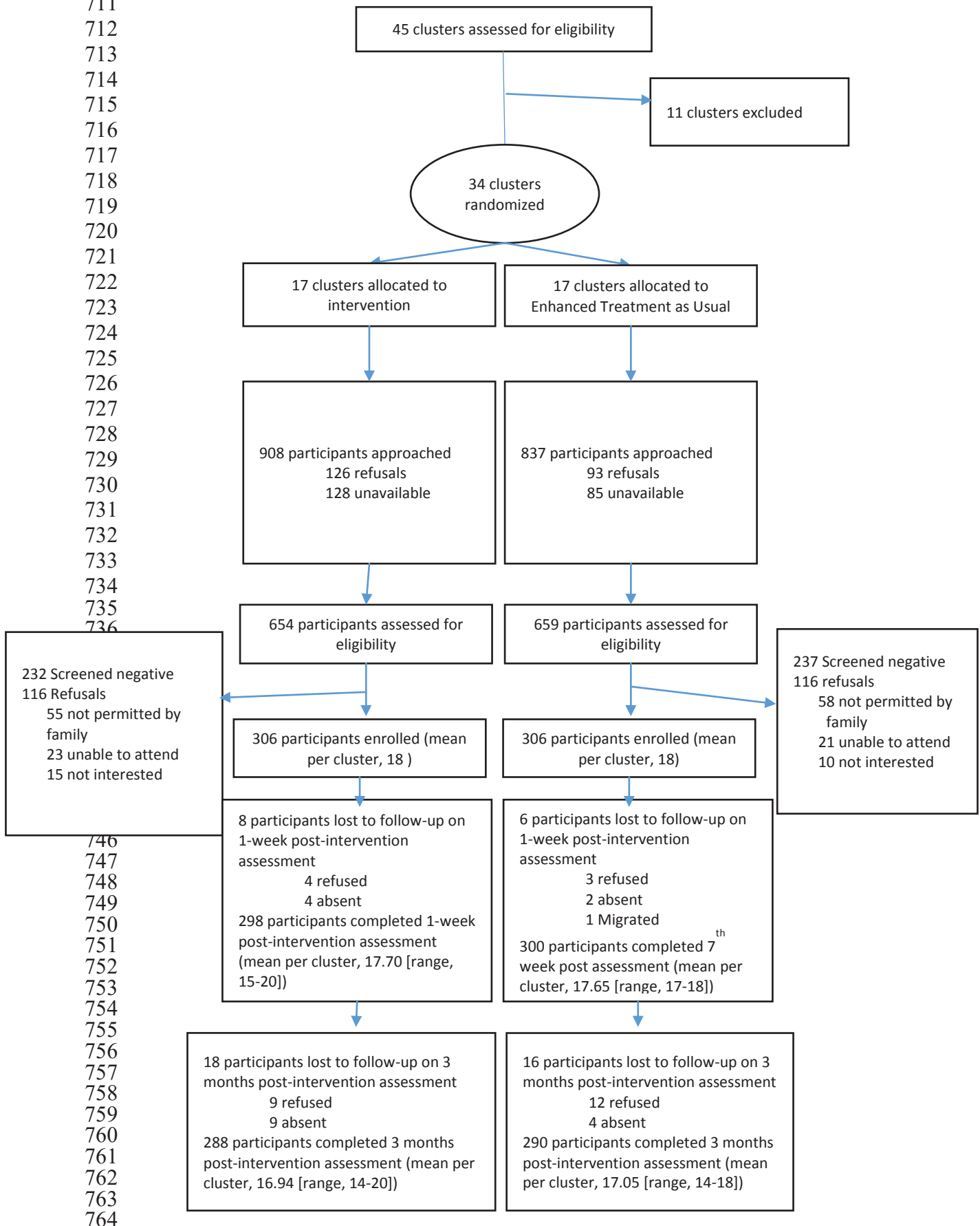
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710 **Fig 1: Flow of participants through trial**



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Table 1. Demographic characteristics

Variables	Total (N=612)	Intervention group (N=306)	Control group (N=306)
Age	36.26(9.87)	37.35(10.50)	35.19(9.11)
Education			
No schooling	503(82.2%)	248(81.0%)	255(83.3%)
Primary (6 years)	51(8.3%)	28(9.2%)	23(7.5%)
Middle (8 years)	22(3.6%)	12(3.9%)	10(3.3%)
Matriculate (10 years)	18(3.0%)	12(3.9%)	6(2.0%)
Intermediate (12 years)	9(1.5%)	3(1.0%)	6(2.0%)
College and university (16 years)	6(1.0%)	1(0.3%)	5(1.6%)
Missing data	3(0.5%)	2(0.7%)	1(0.3%)
Work Status			
Housewife	517 (84.3%)	269 (87.9%)	248 (81.0%)
Self-employed (such as own business)	14(2.3%)	8(2.6%)	6(2.0%)
Paid work (such as sewing)	63 (10.3%)	23(7.5%)	40(13.1%)
Non paid work (such as volunteer or charity)	1(0.2%)	1(0.3%)	0(0%)
Student	3(0.5%)	0(0%)	3(1.0%)
Unemployed	11(1.8%)	4(1.3%)	7(2.3%)
Other	2(0.3%)	0(0%)	2(0.7%)
Missing data	1(0.2%)	1(0.3%)	0(0%)
Family structure			
Nuclear	303 (49.5%)	156 (51.0%)	147 (48.1%)
Joint (woman sharing home with her in-laws)	308 (50.3%)	150 (49.0%)	158 (51.6%)
Missing	1(0.2)	0(0%)	1(0.3)
Number of children	4.72(2.30)	4.84(2.45)	4.61(2.15)

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768

769 **Table 2. Summary statistics and results from mixed model analysis of primary and secondary**
770 **outcomes**

		Descriptive statistics				Mixed model analysis		
Primary and secondary outcomes	Visit	Intervention		EUC		Difference in LS mean (95%CI)	P-value	Effect size ^a
		N	Mean (SD)	N	Mean (SD)			
HADS total (Anxiety and Depression)	Baseline	306	21.08(6.69)	305	21.83(7.30)			
	1-week post-intervention	300	10.58(8.05)	298	17.00(8.30)	-6.30(-8.89,-3.70)	<.0001	0.77
	3 months post-intervention	288	10.01(7.54)	289	14.75(8.11)	-4.53(-7.13,-1.92)	0.0007	0.58
HADS Anxiety	Baseline	306	11.46(3.99)	305	11.71(3.95)			
	1-week post-intervention	300	5.84(4.58)	298	8.99(4.70)	-3.14(-4.64,-1.63)	<.0001	0.68
	3 months post-intervention	288	5.43(4.18)	289	8.02(4.69)	-2.52(-4.04,-1.01)	0.0011	0.57
HADS Depression	Baseline	306	9.62(3.64)	305	10.12(4.18)			
	1-week post-intervention	300	4.74(3.95)	298	8.01(4.21)	-3.20(-4.35,-2.05)	<.0001	0.78
	3 months post-intervention	288	4.59(3.87)	289	6.73(3.91)	-2.04(-3.19,-0.88)	0.0006	0.52
WHO DAS	Baseline	306	28.41(6.71)	306	30.30(7.68)			
	1-week post-intervention	300	19.68(7.11)	300	24.84(7.34)	-4.67(-7.15,-2.19)	0.0002	0.65
	3 months post-intervention	288	19.36(6.64)	289	22.82(7.35)	-2.90(-5.39,-0.42)	0.0222	0.41
PCL-5	Baseline	306	18.67(11.57)	306	21.35(12.02)			
	1-week post-intervention	300	8.66(9.17)	299	12.41(8.29)	-3.44(-6.15,-0.73)	0.0128	0.39
	3 months post-intervention	288	9.75(7.11)	290	12.33(8.57)	-2.16(-4.88,0.56)	0.1188	0.28
MSPSS	Baseline	306	50.86(17.05)	306	50.71(18.43)			
	1-week post-intervention	300	54.13(17.40)	299	50.70(18.22)	3.47(-1.33,8.28)	0.1560	0.20
	3 months post-intervention	288	57.00(18.31)	290	55.05(18.73)	1.96(-2.87,6.78)	0.4259	0.11
PHQ-9	Baseline	306	10.11(4.13)	306	11.19(4.32)			
	1-week post-intervention	300	5.74(4.83)	299	9.69(4.95)	-3.67(-5.15,-2.19)	<.0001	0.75
	3 months post-intervention	288	5.77(4.16)	290	7.79(4.65)	-1.67(-3.16,-0.19)	0.0271	0.38
PSYCHLOPS	Baseline	306	13.92(3.18)	306	14.65(2.45)			
	1-week post-intervention	300	7.21(4.72)	300	11.24(4.17)	-3.84(-5.49,-2.19)	<.0001	0.86
	3 months post-intervention	288	8.10(5.66)	290	10.39(5.48)	-2.07(-3.73,-0.41)	0.0147	0.37

771 Abbreviations. EUC = Enhanced usual care; LS = Least Square; HADS = Hospital Anxiety and
772 Depression Scales (subscale score range: 0-21; higher scores indicate elevated anxiety or depression,
773 respectively); WHODAS = WHO Disability Assessment Schedule (total score range: 0-48; higher
774 scores indicate more severe impairment); PCL-5 = Posttraumatic Stress Disorder Checklist (total score
775 range: 0-80; higher scores indicate more severe PTSD severity); MSPSS = Multidimensional scale of
776 perceived social support PHQ-9 = Patient Health Questionnaire (total score range: 0-27; higher scores
777 indicate more severe depression); PSYCHLOPS = Psychological Outcomes Profiles (total score range:
778 0-20; higher scores indicate poorer outcome);
779 ^aEffect size was calculated by the difference in least square means between intervention and EUC from
780 mixed model divided by the pooled standard deviation at each visit
781

782 **Table 3. Summary of mixed model analysis of primary and secondary outcomes: covariate**
 783 **adjusted analysis***

		Descriptive statistics				Mixed model analysis	
Primary and secondary outcomes	Visit	Intervention		EUC		Difference in LS mean (95%CI)	P-value
		N	Mean (SD)	N	Mean (SD)		
HADS Anxiety and Depression	Baseline	306	21.08(6.69)	305	21.83(7.30)		
	1 week post-intervention	300	10.58(8.05)	298	17.00(8.30)	-5.78(-8.16,-3.41)	<.0001
	3 months post-intervention	288	10.01(7.54)	289	14.75(8.11)	-4.03(-6.42,-1.65)	0.0009
HADS Anxiety	Baseline	306	11.46(3.99)	305	11.71(3.95)		
	1 week post-intervention	300	5.84(4.58)	298	8.99(4.70)	-2.83(-4.26,-1.40)	0.0001
	3 months post-intervention	288	5.43(4.18)	289	8.02(4.69)	-2.23(-3.66,-0.80)	0.0023
HADS Depression	Baseline	306	9.62(3.64)	305	10.12(4.18)		
	1 week post-intervention	300	4.74(3.95)	298	8.01(4.21)	-2.94(-4.00,-1.88)	<.0001
	3 months post-intervention	288	4.59(3.87)	289	6.73(3.91)	-1.79(-2.86,-0.72)	0.0010
WHO DAS	Baseline	306	28.41(6.71)	306	30.30(7.68)		
	1 week post-intervention	300	19.68(7.11)	300	24.84(7.34)	-4.52(-7.02,-2.01)	0.0004
	3 months post-intervention	288	19.36(6.64)	289	22.82(7.35)	-2.74(-5.25,-0.24)	0.0320
PCL-5	Baseline	306	18.67(11.57)	306	21.35(12.02)		
	1 week post-intervention	300	8.66(9.17)	299x	21.35(12.02)	-3.17(-5.77,-0.58)	0.0167
	3 months post-intervention	288	9.75(7.11)	290	12.33(8.57)	-1.91(-4.51,0.70)	0.1508
MSPSS	Baseline	306	50.86(17.05)	306	50.71(18.43)		
	1 week post-intervention	300	54.13(17.40)	299	50.70(18.22)	1.66(-3.38,6.70)	0.5176
	3 months post-intervention	288	57.00(18.31)	290	55.05(18.73)	-3.62(-5.23,-2.01)	0.0001
PHQ-9	Baseline	306	10.11(4.13)	306	11.19(4.32)		
	1 week post-intervention	300	5.74(4.83)	299	9.69(4.95)	-3.51(-4.95,-2.07)	<.0001
	3 months post-intervention	288	5.77(4.16)	290	7.79(4.65)	-1.52(-2.96,-0.07)	0.0396
PSYCHLOPS	Baseline	306	13.92(3.18)	306	14.65(2.45)		
	1 week post-intervention	300	7.21(4.72)	300	11.24(4.17)	-3.62(-5.23,-2.01)	<.0001
	3 months post-intervention	288	8.10(5.66)	290	10.39(5.48)	-1.86(-3.47,-0.24)	0.0242

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*Adjusted for age and baseline HADS and WHODAS scores

790 **Table 4. Summary of mixed model analysis of primary and secondary outcomes: LOCF**
 791 **imputation**

		Descriptive statistics				Mixed model analysis	
Primary and secondary outcomes	Visit	Intervention		EUC		Difference in LS mean (95%CI)	P-value
		N	Mean (SD)	N	Mean (SD)		
HADS Anxiety and Depression	Baseline	306	21.08(6.69)	305	21.83(7.30)		
	1-week post-intervention	306	10.70(8.02)	305	17.10(8.25)	-6.30(-8.89,-3.70)	<.0001
	3 months post-intervention	306	10.33(7.62)	305	14.97(8.02)	-4.53(-7.13,-1.92)	0.0007
HADS Anxiety	Baseline	306	11.46(3.99)	305	11.71(3.95)		
	1-week post-intervention	306	5.87(4.55)	305	9.01(4.65)	-3.14(-4.64,-1.63)	<.0001
	3 months post-intervention	306	5.58(4.23)	305	8.12(4.61)	-2.52(-4.04,-1.01)	0.0011
HADS Depression	Baseline	306	9.62(3.64)	305	10.12(4.18)		
	1-week post-intervention	306	4.82(3.98)	305	8.09(4.24)	-3.20(-4.35,-2.05)	<.0001
	3 months post-intervention	306	4.75(3.91)	305	6.85(3.94)	-2.04(-3.19,-0.88)	0.0006
WHO DAS	Baseline	306	28.41(6.71)	306	30.30(7.68)		
	1-week post-intervention	306	19.83(7.12)	306	25.04(7.45)	-4.67(-7.15,-2.19)	0.0002
	3 months post-intervention	306	19.60(6.69)	306	23.01(7.39)	-2.90(-5.39,-0.42)	0.0222
PCL-5	Baseline	306	18.67(11.57)	306	21.35(12.02)		
	1-week post-intervention	306	8.75(9.16)	306	12.58(8.36)	-3.44(-6.15,-0.73)	0.0128
	3 months post-intervention	306	10.01(7.56)	306	12.48(8.57)	-2.16(-4.88,0.56)	0.1188
MSPSS	Baseline	306	50.86(17.05)	306	50.71(18.43)		
	1-week post-intervention	306	53.85(17.38)	306	50.35(18.34)	3.47(-1.33,8.28)	0.1560
	3 months post-intervention	306	56.49(18.36)	306	54.64(18.89)	1.96(-2.87,6.78)	0.4259
PHQ-9	Baseline	306	10.11(4.13)	306	11.19(4.32)		
	1-week post-intervention	306	5.77(4.81)	306	9.72(4.93)	-3.67(-5.15,-2.19)	<.0001
	3 months post-intervention	306	5.95(4.27)	306	7.92(4.61)	-1.67(-3.16,-0.19)	0.0271
PSYCHLOPS	Baseline	306	13.92(3.18)	306	14.65(2.45)		
	1-week post-intervention	306	7.36(4.81)	306	11.31(4.20)	-3.84(-5.49,-2.19)	<.0001
	3 months post-intervention	306	8.23(5.63)	306	10.48(5.39)	-2.07(-3.73,-0.41)	0.0147

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793 LOCF=Last observation carried forward

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Table 5. Summary of mixed model analysis of primary outcome (HADS total anxiety and depression): subgroup analysis on baseline age and initial severity

Variable	Subgroup	Visit	Descriptive statistics N, mean(SD)		Mixed model result		
			Intervention	EU	Difference in LS mean (95%CI)	P-value	P-value from interaction test
Age group	<35 year	1 week post-intervention	118,-10.53(9.38)	138,-5.10(9.55)	-5.84(-8.58,-3.09)	<.0001	0.8500
		3 months post-intervention	111,-11.32(9.45)	136,-6.69(9.22)	-4.90(-7.66,-2.13)	0.0006	
	≥35 year	1 week post-intervention	182,-10.63(10.10)	160,-4.63(7.92)	-6.78(-9.73,-3.82)	<.0001	
		3 months post-intervention	177,-10.92(9.29)	153,-7.16(9.77)	-4.43(-7.39,-1.46)	0.0036	
WHODAS	<29	1 week post-intervention	164,-11.23(10.71)	135,-4.78(10.14)	-6.20(-9.18,-3.23)	<.0001	0.4109
		3 months post-intervention	156,-12.19(9.55)	133,-7.33(10.39)	-4.63(-7.62,-1.64)	0.0025	
	≥29	1 week post-intervention	136,-9.82(8.57)	163,-4.91(7.33)	-6.17(-8.91,-3.42)	<.0001	
		3 months post-intervention	132,-9.77(8.94)	156,-6.60(8.69)	-4.12(-6.88,-1.35)	0.0037	
PHQ	<11	1 week post-intervention	165,-10.07(9.54)	133,-4.44(9.50)	-5.42(-8.33,-2.50)	0.0003	0.1571
		3 months post-intervention	161,-10.24(9.09)	131,-6.52(10.16)	-3.58(-6.51,-0.66)	0.0165	
	≥11	1 week post-intervention	135,-11.22(10.12)	165,-5.18(8.01)	-5.95(-8.66,-3.24)	<.0001	
		3 months post-intervention	127,-12.13(9.57)	158,-7.28(8.94)	-4.37(-7.11,-1.63)	0.0018	

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