1	The Utility of Traditional Chinese Medicine (Shenmai) in the Cardiac Rehabilitation after
2	Coronary Artery Bypass Grafting: a Single-Center Randomized Clinical Trial
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Introduction

22	Shenmai, a traditional Chinese medicine has been shown to improve cardiac function
23	during coronary artery bypass grafting, has not yet been assessed as adjunctive treatment to be
24	used during cardiac rehabilitation. Shenmai is a form of medication which is administered orally
25	or intravenously. It is widely used in clinics for improving heart functions by regulating blood
26	pressure, dilating coronary arteries, and generating antioxidative effect. ^{1,2} Studies have
27	demonstrated that Shenmai has a positive inotropic effect and improves exercise tolerance
28	among patients suffering from coronary artery disease and heart failure. ^{3,4}
29	Cardiac rehabilitation after coronary artery bypass grafting is highly recommended by the
30	clinical practice guidelines. ⁵ In China, however, cardiac rehabilitation is only 24% available, and
31	only in the large medical centers, and the percentage of patients undergoing cardiac rehabilitation
32	is relatively low. ⁶ Due to cultural beliefs, Chinese patients are more willing to accept traditional
33	ways of rehabilitation. For example, they are more likely to perform Taiji as a way of exercise
34	instead of walking on a treadmill or riding an exercise bike. They also prefer traditional Chinese
35	herbs and medicine since they are thought to be more natural, cheaper and safer.
36	Shenmai is extracted from several Chinese herbs, mainly from Panaxginseng and
37	Ophiopogon japonicus. It is approved by the China Food and Drug Administration since 1995. ⁷
38	The formula of the compound is standardized, and mass produced in two forms, capsule or
39	injection. Considering its multi-effect on the cardiovascular system, ⁸ Shenmai is assumed as a
40	complement to contemporary cardiac rehabilitation after coronary artery bypass grafting.
41	However, the effect of Shenmai as a complement to standard cardiac rehabilitation in patients
42	who received coronary artery bypass grafting is unknown. Therefore, the aim of this study is to
43	examine the efficacy of Shenmai as a complement to standard cardiac rehabilitation in Chinese

44	patients undergoing coronary artery bypass grafting, mainly in the patients with mild to moderate
45	impaired heart function (New York Heart Association (NYHA) classification II-III). ^{7,9}
46	Methods
47	The study was conducted by Beijing Anzhen Hospital, Capital Medical University,
48	Beijing, China. It was approved by the independent Medical Ethics Committee of Anzhen
49	Hospital before the start (No. 2016P02). The clinical trial has been registered at Chinese Clinical
50	Trial Registry with the name 'The utility of Chinese traditional medicine (Shenmai) in the
51	cardiac rehabilitation after coronary artery bypass graft: a randomized controlled trial' and the
52	registration number is ChiCTR1800015547. The data collection began in March 2018 and ended
53	in May 2018.
54	The study was a single-center randomized clinical trial. A total of 166 eligible patients
55	received coronary artery bypass grafting were enrolled and allocated equally to the Shenmai and
56	control group (83:83). The randomization was conducted based on random numbers generated
57	by a random number generator from the clinical trial data management center of Anzhen
58	Hospital and a random allocation occurred just after the recruitment. All participants received
59	standard cardiac rehabilitation according to the clinical guidelines, while participants in the
60	Shenmai group was treated with Shenmai (injection and capsule sequential) additionally. A 30-
61	day follow-up was completed through the outpatient's department. Participants were assessed at
62	baseline, on the day of discharge and 30-day follow up.
63	Setting and participants
64	From March 2018 to April 2018, a total of 166 patients received coronary artery bypass
65	grafting in our center were consecutively enrolled according to the inclusive criteria. Individuals
66	were eligible if they were 1) \geq 18 years old; 2) diagnosed as coronary artery disease and planned

to receive the coronary artery bypass grafting, no matter what other procedure was added simultaneously; 3) gave informed consent; 4) competent to complete the 6-minute walking test without any assistance. The patients were excluded when: 1) with severe comorbidity that can't finish the 6-minute walking test alone, such as heart failure, stroke with severe sequela, multiorgan dysfunction or disable; 2) with tumor that the predicted life time is less than 3 months.

72 Intervention

Participants in both groups received standard cardiac rehabilitation after coronary artery
bypass grafting. In addition, the Shenmai group received Shenmai injections and capsules, while
the control group received no additional treatment.

The rehabilitation program was designed according to the clinical guidelines,¹⁰ including 76 77 exercise training, focusing on aerobic exercise such as a combination of walking or jogging on a 78 treadmill or stationary surface, stair climbing, and step aerobics. It began right after the patients 79 could get out of bed. The intensity of the training program was established according to 80 participants' clinical condition and tolerance for symptom-limited exercise. Exercise training 81 was conducted twice a day and was supervised by members of the cardiac rehabilitation staff. 82 Each exercise session lasted up to 60 minutes (as tolerated) and included at least 5 minutes each for warm-up and cool-down exercises.¹¹ 83

Shenmai is mainly extracted from two plants, Panaxginseng and Ophiopogon japonicus.¹²
It has been mass-produced as a patented drug based on the national standards approved by
CFDA (China Food and Drug Administration). Shenmai injection used in our research was
manufactured in accordance with applicable GMP by Qing Chunbao Pharmaceutical Co., Ltd
(Hangzhou, China). It was administrated during the inpatient period (100ml/day). Shenmai

89 capsule was manufactured by Xinbang Pharmaceutical Co., Ltd (Guizhou, China) and was

90 prescribed after the day discharged from our hospital and back to home (3.6g/day).

91 Measurements

92 These baseline clinical outcomes data were collected based on medical record, which
93 include age, gender, body mass index (BMI), smoking history, family history of coronary artery
94 disease, et al.

95 The 6-minute walking test was implemented at three time points. The first point was on 96 the day just before the operation. The second point was on the day when participants were 97 discharged from the hospital and sent home. The third point was on the last day of 30-day, post-98 discharge follow-up. The first and second measurements were conducted in-hospital. For the 99 third (follow-up) test, all participants were required to return to the outpatient clinic to perform 100 the walking test.

101 The 6-minute walking test was conducted by two special assistant investigators. They 102 instructed the participants to implement the test and measured the distance. They were 103 supervised by research team members during the entire measurement to ensure their blindness to 104 the allocation.

The patients were instructed to walk as far as possible along a 20-m straight, flat hospital corridor in 6 minutes. Patients who showed any uncomfortable symptoms (e.g. angina, severe dyspnea, dizziness and musculoskeletal pain) were told to stop or slow their walking and to restart if symptoms disappeared within 6 minutes. The participants were not encouraged to go beyond their tolerance by researchers. The total distance walked was measured to the nearest meter of integer and recorded.

111 Clinical outcomes.

The primary outcome was the distance of 6-minute walking test on the point of discharge; The secondary outcomes related to the safety and efficiency of the intervention, such as perioperative and follow-up mortality, MI, stroke, reoperation, the length of stay in ICU, the duration under the mechanical ventilation and the length of stay after the operation in hospital. The distance of 6-minute walking test at the point of 30-day follow up was also a secondary endpoint in this study.

118 Sample size calculation

119 The sample size was estimated based on the expected improvement in the distance of 6-120 minute walking test on the day of discharge through the previous clinic trial that applied Shenmai in the patients with heart failure.³ It was expected that a 32 meters improvement in 121 122 Shenmai group and the standard deviation (SD) of 65 meters was derived from previous study. 123 Given a power (1- β) of 80% and α = 0.05 (two-side), 66 patients would be required for each 124 group to detect the superiority. Moreover, considering the dropout rate was approximately 20%, 125 a total of 166 patients (83 per treatment group) was needed to be randomized to achieve the 126 required number of patients for the efficacy analysis.

127 Statistical analysis

Statistical analyses were performed using the R statistical package (R version 3.4.2 (2017-09-28)). The continuous variables were calculated for mean and standard deviations. Comparison of normal distributed data from the patients who completed both initial and followup exercise test was performed using the Student's t-test between two groups. The non-normally distributed data was analyzed using the Wilcoxon rank sum test. Categorical variables were presented as counts and percentages. The differences in categorical variables between patient subgroups were evaluated with chi-square test or Fisher exact test as appropriate.

Linear mixed model was performed with SAS version 9.4 (SAS Institute, Cary, NC) to examine the differences of 6-min walking distance between two groups over time. A two-tailed p value < 0.05 was considered statistically significant. Intension to treat principle was performed, that is, we still included participants for data analysis if they withdrew study.

139

Results

140 This trial was implemented according to the flow diagram (Figure 1). From March 2018 141 to April 2018, a total of 213 patients were approached, but only 166 were recruited and allocated 142 randomly into two equal groups. All participants completed the clinical data collection along 143 with the basal 6-minute walking test. The participants of Shenmai group were administrated the 144 Shenmai injection right after the surgery for 9.28 ± 3.75 days and then changed to the Shenmai 145 capsule for 30 days. Only two suffered from severe surgical complications, the other 164 patients 146 accomplished the 6-minute walking test on discharge and 30-day follow up. No participants 147 dropped out during the follow up.

The demographic characteristic of the study cohort was shown in Table 1. The sample (n=166) was predominately male (84%). The mean age was 61.12 ± 9.13 years. The baseline characteristics between two groups were roughly equal. The procedural characteristics were also comparable (Table 2).

The post-operative outcomes of the study were shown in Table 3. There was one death in the control group, owing to the postoperative myocardial infarction (MI) and acute heart failure. It happened the day after the operation and appeared as progressive hypotension. The electrocardiogram and myocardial enzyme helped make the final diagnosis (the cardiac troponin I was greater than the upper bound (85ng/l) of the test kit). An intensive therapy was administered shortly after, including the intra-aortic balloon pump (IABP), but failed. A patient

in the Shenmai group suffered severe stroke and couldn't extubate in the ICU. After prolonged hours of mechanical ventilation and length of stay in the ICU, this patient was transferred to the department of Neurology to receive rehabilitation. There was no other death, stroke, MI and reoperation during the in-hospital stage. The duration of the length of stay in ICU of the entire cohort was 62.64 ± 58.40 [95% CI: 53.69, 71.59] hours. The hours of mechanical ventilation were 16.07 ± 32.54 [95% CI: 11.06, 21.09]. There was no death, stroke, MI, reoperation, or rehospitalization in the period of follow up.

165 The 6-minute walking test was implemented according to the protocol. The baseline 166 distance of the two groups before the operation was comparable. As shown in the linear mix 167 model analysis, there were group (p = .005) and time points of measurement (p < .001) effects on 168 the 6-min walking distance. However, there was no interaction effect between group and time 169 points of measurement. Participants in the Shenmai group walked longer distance in meters 170 compared with the control group on the day of discharge $(314.54 \pm 64.14 \text{ vs. } 271.29 \pm 76.82,$ 171 P<0.001), while there were no significant differences before operation (399.72±93.19 vs. 403.67 172 \pm 91.99, p=.78) or on the 30-day follow-up (436.54 \pm 67.64 vs. 421.64 \pm 83.53, p=.21). Also, there was greater improvement at the point of 30-day follow-up compared to the point of pre-173 174 operation and discharge in both groups (p_s<.001) (table 4). These differences were maintained 175 after adjusting for age, gender, BMI, smoking history and post-operation length of stay in 176 hospital. The post-operation length of stay in hospital was slightly longer in Shenmai group than 177 control group (9.28 \pm 3.75 days vs. 8.60 \pm 2.50 days) and might have influence on the exercise 178 tolerance comparison at discharge; however, the conclusion did not change after adjusting this 179 confounder.

180

Discussion

As shown in this study, Shenmai significantly improved the exercise tolerance at the end of the in-hospital stage of cardiac rehabilitation. At the 30-day follow-up, the Shenmai group reached a greater distance in the 6-minute walking test compared with the control group, but there was no statistically significant difference. Other outcomes were comparable between the two groups and no side effects were experienced by the Shenmai group.

Shenmai is widely used in China as a complementary treatment for either acute or chronic heart failure. It is extracted from Panax ginseng and Ophiopogon japonicus and usually mass-produced as a patented drug in different forms (including capsule, powder, oral liquid and injection) based on a standardized formula. In clinical practice, Shenmai is used to ease the symptoms and discontinued after the relief of symptoms or in the case of disease remission.

Adverse effects are rare and mild; an allergic reaction is most common.¹³
In this study, we administrated Shenmai in the cardiac rehabilitation after

In this study, we administrated Shenmai in the cardiac rehabilitation after coronary artery bypass grafting. An exercise improvement was achieved in the early postoperative stage. Although the key ingredient in Shenmai is complex and not very clear, the study indicated the potential mechanism in five aspects, including positive inotropic effect,¹ dual-directional regulation on blood pressure,¹⁴ improving hemodynamic parameters,¹⁵ delaying the cardiac remodeling,² and antioxidative effect.¹⁶ The cure effect is also shown in many other aspects, such as reduction of the mortality, improvement of function classification according to the New York Heart Association (NYHA), and decrease of the adverse effects.¹³

Similar to other traditional Chinese medicines, Shenmai is too obscure to be understood based on traditional Chinese medicine theory. Then inevitably, we will ask why a significant difference in the 6-minute walking test was not demonstrated on the 30-day follow-up between two groups? Does it mean that Shenmai has no effect on the cardiac rehabilitation at all, even

204 though the significant improvement was shown at discharge? When looking insight into this 205 study, two major facts should be paid attention. Considering the study design, the point of 206 discharge is the primary endpoint, the 30 days outcome is secondary endpoint. Perhaps the 207 efficacy of study design is insufficient for a positive outcome at 30-day follow up, because the 208 sample size calculation is based on information at the time point of discharge. Further study will 209 focus on the long-term follow up based on the data demonstrated by this trial. The second 210 possible reason was the change of Shenmai from injections to capsules when discharged. The 211 capsule of Shenmai needs much more time and dosage to show its cure effect. The third possible 212 reason is that we did not provide placebo to the control group, which might confound results. 213 Chinese herbs and medicine closely relate to the cultural belief that traditional Chinese 214 medicine is more natural, effective, cheap and has fewer adverse effects. In the traditional 215 Chinese medicine theory, when treating the patient as an entirety, the 'Qi' deficiency is the 216 critical factor during the cardiac rehabilitation. Many methods from traditional Chinese medicine 217 have been used in the exercise prescription of cardiac rehabilitation to improve the deficiency, 218 such as Taiji and Ba Duanjin. Given this study and others, Shenmai also showed significant benefit in improving the exercise tolerance.³ Many other treatment ways originated from the 219 220 traditional Chinese medicine have similar efficacy. Future study needs examine long-term effect 221 and the effective ingredients in Shenmai, which need to be purified and fixed. 222

223

Clinical messages

Shenmai improves the exercise tolerance in the early stage of the cardiac rehabilitation
 according to this study. It is safe and effective when administrated to patients who
 received coronary artery bypass grafting.

227	• The traditional Chinese medicine can be complementary to the standard cardiac
228	rehabilitation.
229	Competing interests, and source of funding
230	This study is supported by Beijing Municipal Administration of Hospitals Incubating Program,
231	Code: PZ2019006; the Foundation of Beijing Anzhen Hospital, Capital Medical University (No.
232	2013Z04, No. 2016P020). There are no potential conflicts of interest for the authors and the
233	study.
234	
235	Contributors
236	CX Z initiated the study; CX Z, YG Z and TC design the study; CX Z, YG Z wrote the paper;
237	YG Z and TC decided on the analytic strategy; SY W monitored progress; M X is the supervisor
238	and consultant of this study; CX Z is the Principal investigator.
239	

241 Table.1. Clinical demographics characteristics of patients

	Control (n=83)	Shenmai (n=83)	P value
Sex (male %)*	69 (83)	70 (84)	0.83
Age (year)#	61.69 ± 8.60	60.55 ± 9.65	0.42
BMI (kg/m^2) #	25.30 ± 3.24	25.71 ± 3.01	0.39
Smoking history (%)*	45 (54)	47 (57)	0.75
Family history of CAD (%) [†] *	6 (7)	4 (5)	0.75
Pre-MI (%)*	52 (63)	38 (46)	0.029
DM (%)*	23 (28)	26 (31)	0.61
Hyperlipoidemia (%)*	49 (59)	49 (59)	1.00
Hypertension (%)*	55 (66)	50 (60)	0.42
Stroke (%)*	7 (8)	7 (8)	1.00
Renal disfunction (%) [†] *	2 (2)	1 (1)	1.00
PVD (%) İ *	0 (0)	1 (1)	1.00
NYHA			
I (%) † *	3 (4)	1 (1)	0.62
Ⅱ (%)*	53 (64)	62 (75)	0.13
Ⅲ (%)*	26 (31)	19 (23)	0.22
IV (%) † *	1 (1)	1 (1)	1.00
LVEF (%)1*	57.69 ± 8.79	58.53 ± 9.77	0.44
LM disease (%)*	35 (42)	30 (36)	0.22
Creatinine (umol/L)ł#	89.17 ± 22.87	87.72 ± 19.18	0.67
TC (mmol/L)#	4.34 ± 1.00	4.12 ± 1.15	0.66
ALB (g/L)ł#	39.66 ± 4.35	40.60 ± 3.94	0.09
hs-CRP (ug/L)ł#	3.08 ± 3.84	2.1 ± 2.22	0.20

242 BMI: body mass index; MI: myocardial infarction; DM: Diabetes mellitus; PVD: peripheral

243 vascular disease; NYHA: New York Heart Association Functional Classification; LVEF: left

244 ventricular ejection fraction; LM: left main coronary artery; TC: total cholesterol; ALB: serum

245 albumin; hs-CRP: high-sensitivity C-reactive protein;

246 1: Fisher's exact test; 1: Wilcoxon rank sum test

247 *: number of patients (percentage)

248 #: mean \pm standard deviation (SD)

	Control (n=83)	Shenmai (n=83)	P value
On pump CABG (%)*	41 (49)	42 (51)	0.88
CPB time (mins) [†] #	117.10 ± 31.69	112.79 ± 28.50	0.60
Clamp occlusion time#	78.34 ± 25.03	73.5 ± 24.51	0.38
Simultaneous heart valve surgery (%)*	7 (8)	7 (8)	1.00
LIMA in use (%)*	62 (75)	68 (82)	0.26
No. of SVG grafts			
SVG grafts = $1 (\%)^*$	12 (14)	18 (22)	0.23
SVG grafts = $2 (\%)^*$	29 (35)	37 (45)	0.20
SVG grafts = $3 (\%)^*$	33 (40)	23 (28)	0.10
SVG grafts = $4 (\%)$ ¹ *	8 (10)	2 (2)	0.10

251 Table.2. Characteristics of intraoperation

252 CABG: coronary artery bypass grafting; CPB: cardiopulmonary bypass; LIMA: left internal

253 mammary artery; SVG: saphenous vein graft;

1: Wilcoxon rank sum test; 1: Fisher's exact test

255 *: number of patients (percentage)

256 #: mean \pm standard deviation (SD)

	259	Table.3. Differences in	Postoperative	Outcomes Between	Groups
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	Control (n=83)	Shenmai (n=83)	P value
Mortality (%) İ *	1 (1)	0	1.00
Stroke (%) [†] *	0	1 (1)	1.00
ICU LOS (hours)ł#	59.01 ± 38.67	66.28 ± 73.09	0.82
Mechanical ventilation (hours) #	13.90 ± 9.88	18.24 ± 44.99	0.63
Postoperative LOS in hospital (day)!#	8.60 ± 2.50	9.28 ± 3.75	0.43

ICU: intensive care unit; LOS: length of stay;

i: Fisher's exact test; i: Wilcoxon rank sum test
*: number of patients (percentage)
#: mean ± standard deviation (SD)

 Z66
 Table.4. Differences in Six-Minute Walking Test Between Groups over Time

 Control (n=83)
 Control (n=83)

 Group x time
 group

	$C \rightarrow 1$ (00)			P-values	
	Control (n=83)	Shenmai (n=83)	group x time	group	time
6MWT distance before surgery (meters) #	399.72 ± 93.19	403.67 ± 91.99	0.07	0.005	<0.001
6MWT distance at discharge (meters) #	271.29 ± 76.82	314.54 ± 64.14			
6MWT distance at 30-day follow up (meters) #	421.64 ± 83.53	436.54 ± 67.64			

267 6MWT: six-minute walking test; P-values for between group differences before surgery, at

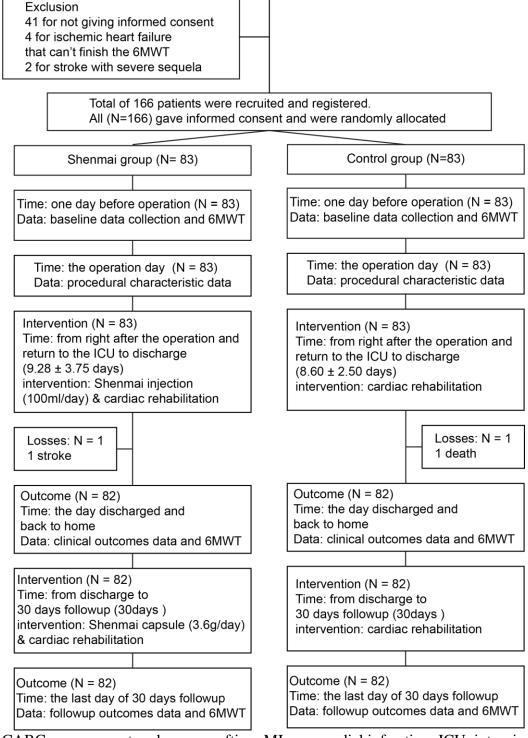
discharge and 30-day follow-up was 0.78, <.001 and 0.21, respectively. These differences were

269 maintained after adjusting for age, gender, BMI, smoking history and post-operation length of

270 stay in hospital.

271 #: mean ± standard deviation (SD)

213 patients who planned to receive CABG were approached and screened





276 6MWT: 6-minute walking test;

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