

1 Emergence of carbapenemase producing *Enterobacteriaceae*, Malawi

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10 Since ceftriaxone was introduced into the Malawian national formulary in 2005, there
11 have been rapid increases in the incidence of extended-spectrum beta-lactamase
12 producing *Enterobacteriaceae* (ESBL-E), which are often untreatable due to a lack of
13 locally available alternative treatment options.[1] Carbapenem antibiotics, the
14 treatment of choice for invasive ESBL-E, were introduced to the Malawian essential
15 medicine list in 2015, but remain sporadically available even in tertiary level facilities,
16 frequently curtailing empiric therapeutic regimens prior to clinical improvement or
17 completion of a course. Surveillance of bloodstream infection via automated blood
18 culture (Biomerieux, France) has yet to detect any carbapenemase-producing
19 organisms in Malawi[2], however , we report the detection of an NDM-5 producing *E.*
20 *coli*, despite the low availability of carbapenems.

21

22 On 19 March 2018, a 67-year-old man attended Queen Elizabeth Central Hospital
23 (QECH), Blantyre, with fever, headache and cough of a week's duration. He was
24 HIV-infected and stable on antiretroviral therapy, but was not taking co-trimoxazole
25 preventative therapy. He had received no antibiotics in the previous month and had
26 no history of foreign travel. He had not been admitted to hospital in the previous 6
27 months. Malaria rapid diagnostic test was negative for *P. falciparum* and aerobic
28 culture of blood and cerebrospinal fluid yielded no pathogens. He was treated with
29 seven days of intravenous ceftriaxone, made an uneventful recovery and was
30 discharged after seven days of admission.

31

32 As part of an observational study investigating acquisition of gut mucosal carriage of
33 ESBL-E during admission to QECH (approved by ethics committees of the Malawi
34 College of Medicine [P.11/16/2063] and Liverpool School of Tropical Medicine [16-

35 062]), the patient's stool was selectively cultured for ESBL-E on CHROMagar ESBL
36 media (CHROMagar, Paris, France) on admission and on day seven of hospital
37 admission. Morphologically distinct bacterial colonies growing on CHROMagar were
38 confirmed to be ESBL producers using combination disc testing, speciation was
39 carried out using the API system (Biomerieux, France) and antimicrobial sensitivities
40 were determined using disc diffusion testing as per British Society of Antimicrobial
41 Chemotherapy (BSAC) guidelines. All analyses were undertaken in the Malawi-
42 Liverpool Wellcome Trust clinical laboratory, which subscribes to the UK National
43 External Quality Assessment Service (NEQAS).

44

45 An ESBL-producing *Escherichia coli* was isolated from stool collected on day 7 of
46 hospital admission, resistant to ciprofloxacin, co-trimoxazole, gentamicin, ceftriaxone
47 and meropenem, with sensitivity to amikacin and chloramphenicol. Minimum
48 inhibitory concentration to meropenem was 4mg/L by E-test (bioMerieux, France),
49 and an ESBL/carbapenemase high resolution melt (HRM) PCR assay confirmed the
50 presence of a New-Delhi metallo-beta-lactamase gene (NDM).[3]

51

52 In view of this resistance pattern, genomic DNA was extracted using the Qiagen
53 DNA mini kit (Hilden, Germany) as per the manufacturer's instructions, and paired-
54 end short-read whole genome sequencing was undertaken at the Wellcome Trust
55 Sanger Institute using Illumina HiSeq-X10. De novo assembly was performed using
56 SPAdes V3.11.0 followed by annotation with Prokka v1.5; assemblies were
57 deposited in GenBank (accession number ERS2493547). Multi-locus sequence
58 typing (MLST) using ARIBA V2.12.1 showed that this bacterium belonged to *E. coli*
59 Sequence Type 2083, and a search for known antimicrobial resistance genes
60 against the Comprehensive Antibiotic Resistance Database again using ARIBA
61 V2.12.1 confirmed the presence of *bla*_{NDM-5} as well as other genes encoding
62 products conferring resistance to aminoglycosides (*aac(3)-IIa*, *aac(6')-IIb*, *aph(3')-Ib*,
63 *aph(6)-Id*, *aadA5*), tetracyclines (*tet(R)*, *tet(A)*, *tet(D)*), trimethoprim (*dfrA17*) and
64 sulfonamides (*sul1*, *sul2*) as well as a CMY-42 *ampC* and *bla*_{TEM-95} narrow-
65 spectrum beta lactamase, but no plasmid-mediated quinolone resistance.

66

67 Plasmid replicons were identified using ARIBA v2.1.2.1 and the PlasmidFinder
68 database[4]. The *bla*_{NDM-5} gene was carried on a partially assembled Inc-X3 plasmid,

69 which had 99% identity with a previously sequenced plasmid, pNDM-MGR194, a
70 46.2 kbp *bla*_{NDM-5} containing Inc-X3 plasmid found in India between 2011-13.[5] We
71 therefore fully assembled the plasmid from our isolate by mapping reads to this
72 reference using Burrows-Wheeler alignment and found it to be extremely similar,
73 with only 13 single nucleotide polymorphisms (SNPs) (Figure 1). Since its
74 identification in India, virtually identical plasmids to pNDM-MGR194 have been
75 described in humans and animals worldwide, [6] carried by *Klebsiella pneumoniae*,
76 *Citrobacter freundii* and a wide variety of *E. coli* sequence types - though not
77 previously ST 2083. There were a number of other plasmid replicons identified in our
78 isolate: IncFI, IncFIA, IncFIB, IncFII and IncI1. The location of the CMY-42 ampC in
79 the genome could not be determined, but did not seem to be carried on the same
80 plasmid as the *bla*_{NDM-5} gene.

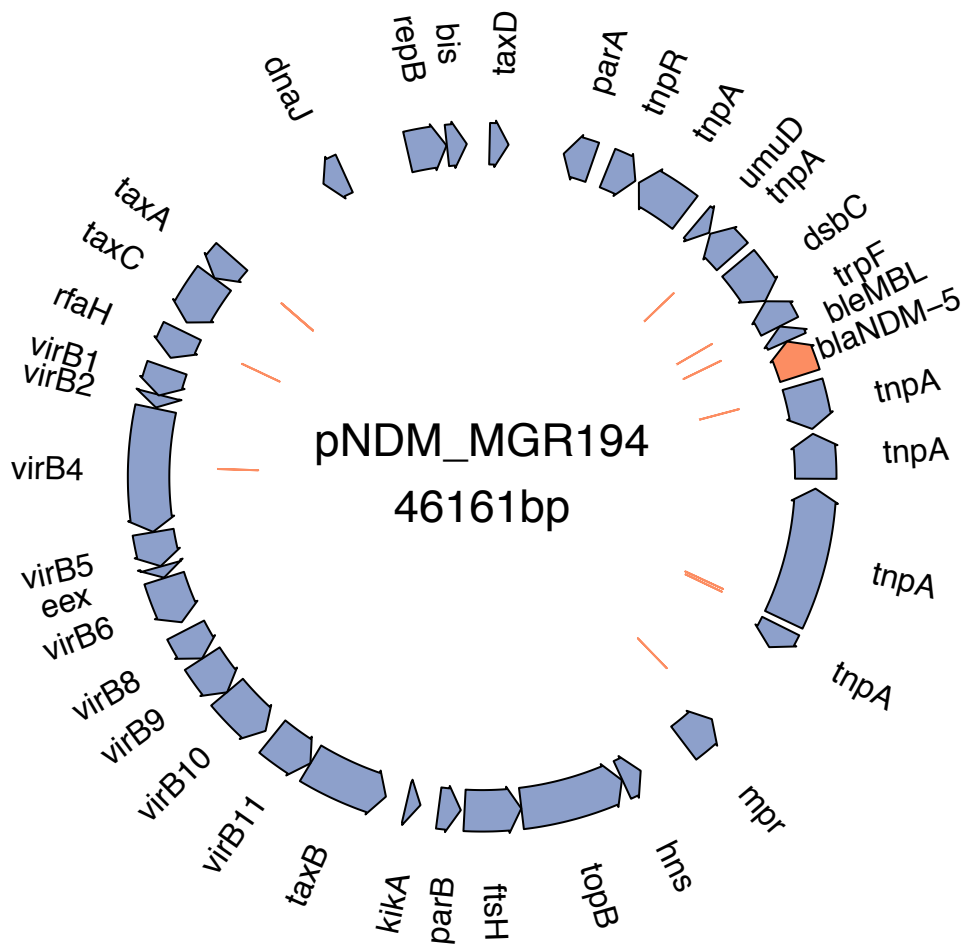
81

82 The admission stool sample was also selectively cultured for ESBL-E using the
83 same protocol; the patient was found to be colonised with an ESBL-producing *E. coli*
84 on admission but the admission isolate was distinct in terms of AMR profile and *E.*
85 *coli* sequence type. It was meropenem sensitive on antimicrobial sensitivity testing
86 and, following whole-genome sequencing, MLST and identification of AMR genes as
87 above, was found to contain no carbapenemase genes but a *bla*_{CTX-M-16} ESBL gene.
88 It was also not ST 2082 on MLST, but a novel ST, suggesting possible hospital
89 acquisition of the carbapenemase-producing isolate.

90

91 The rapid emergence of carbapenem resistance in Malawi soon after the introduction
92 of carbapenems on only a small scale is alarming, and hard to balance with the
93 growing unmet need for access to this class of antimicrobial due to the problem of
94 ESBL-E infection. It is likely that sporadic availability of carbapenems, often for
95 incomplete courses, is creating selection pressure for the dissemination of this
96 resistance type. This report highlights the urgent need for a holistic and context
97 specific approach to both hospital infection prevention and control and antimicrobial
98 stewardship in low-income settings, respecting the need to ensure appropriate
99 access as well as to safeguard watch and reserve antimicrobials.

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103 **Figure 1:** Plasmid pNDM_MGR194 annotated with gene names; location of single
 104 nucleotide polymorphisms (SNPs) identified in the Malawian plasmid shown in inner
 105 ring as lines. NDM-5 gene highlighted.

106

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108

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115 **Transparency Declarations**

116

117 None to declare.

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119 **References**

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