Trypanosoma brucei colonises the tsetse gut via an immature peritrophic matrix in the proventriculus

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23 Note: Supplementary videos are not included in this version

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26 Abstract

The peritrophic matrix (PM) of haematophagus insects is a chitinous structure that surrounds the bloodmeal, forming a protective barrier against oral pathogens and abrasive particles. To establish an infection in the tsetse midgut, Trypanosoma brucei must colonise the ectoperitrophic space (ES), located between the PM and gut epithelium. Although unproven, it is generally accepted that trypanosomes reach the ES by directly penetrating the PM in the anterior midgut. Here we revisited this event by employing novel fluorescence and electron microscopy methodologies and found that instead, trypanosomes reach the ES via the newly secreted PM in the tsetse proventriculus. Within this model, parasites colonising the proventriculus can either migrate to the ES or become trapped within PM layers forming cysts that move along the entire gut as the PM gets remodelled. Early proventricular colonisation appears to be promoted by unidentified factors in trypanosome-infected blood, resulting in higher salivary gland infections and potentially increasing parasite transmission.

50 Introduction

51 Trypanosoma brucei sub-species, the causative agent of human sleeping sickness and also 52 partially responsible for animal trypanosomiasis in sub-Saharan Africa, are transmitted 53 exclusively by flies of the family Glossinidae, commonly known as tsetse. These parasites have a complex life cycle within the fly, but key to transmission is the ability to first establish 54 55 an infection within the insect midgut. After a fly ingests blood from an infected mammal, the "stumpy" bloodstream trypanosome transforms into the procyclic stage within the midgut 56 57 lumen [1]. During this process, the coat of variant surface glycoproteins (VSG) is replaced by a different one composed of procyclins [2, 3]. In the most accepted model of parasite migration 58 within the tsetse, procyclic trypanosomes first establish an infection in the ectoperitrophic 59 60 space (ES) (defined as the space between the gut epithelium and the peritrophic matrix (PM) 61 Fig. 1a), followed by colonisation of the proventriculus (also known as cardia), and terminating in the salivary glands, where the parasites become mammalian infective again [4-6]. 62

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64 The tsetse PM functions to compartmentalise the bloodmeal and to prevent both abrasion and infection of the gut epithelium [7], thus acting as a protective barrier that trypanosomes must 65 66 overcome in order to reach the ES. Glossina morsitans secretes a type II PM, which is 67 continuously produced at a rate of approximately 1 mm/h [8, 9] as an unbroken, multi-layered 68 concentric sleeve (becoming fully formed after ~80-90h of being secreted [10]) by specialised 69 cells in the proventriculus. This immunologically important organ [11], marks the border 70 between the ectodermal foregut (i.e. buccal cavity, pharynx, oesophagus and crop) and 71 entodermal midgut, functioning as a valve due to its arrangement into a ring-shaped fold 72 (valvular cardiaca) [12] (see also Supplementary Fig. 1). After secretion, the tsetse PM is assembled as a trilaminate sheath (PM1-3) [13] (Supplementary Fig. 2), with each layer 73 74 differing in thickness and composition, but mainly comprised of chitin fibres that are crosslinked to structural glycoproteins (peritrophins) [13-15]. 75

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77 Several suggestions have been made for how trypanosomes reach the tsetse ES, including circumnavigation of the PM in the posterior gut [16, 17], penetration of the 'freshly secreted' 78 PM within the proventriculus [18-21], or direct penetration of the 'mature' PM within the anterior 79 80 midgut [22-25]. The latter hypothesis, which involves 1) parasite recognition to, and 81 penetration of, PM1 layer (which faces the gut lumen; Fig. 1a), 2) direct crossing of PM2 and PM3 layers, and 3) exit to the ES, has persisted for over 40 years and has been influenced 82 83 mainly by the visualisation of 'penetrating' trypanosomes between PM layers [22]. However, 84 neither an adhesion ligand on PM1 has been identified nor has experimental evidence for 85 steps 2 and 3 been obtained. Moreover, unlike parasites such as Leishmania [26] and Plasmodium [27], trypanosomes do not secrete PM-degrading enzymes such as chitinases 86 [28]. Overall, this suggests that the fast turnover of a structurally complex tsetse PM would 87 make a difficult barrier for trypanosomes to degrade, although, very little is known about the 88 89 physiological response of type II PMs to oral pathogens [29, 30].

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91 In this work, we have revisited how *T. brucei* reaches the tsetse ES by employing several 92 microscopy techniques, including serial block-face scanning electron microscopy (SBF-SEM), 93 and novel confocal laser scanning microscopy (CLSM) methodologies, which collectively allowed the 3D-reconstruction of trypanosome-infected tsetse tissues. We propose that ES 94 invasion occurs via the proventriculus during PM assembly rather than by direct crossing of 95 the mature PM in the midgut, as previously suggested [22, 24, 25]. Furthermore, we give 96 evidence that an early proventricular invasion by trypanosomes is promoted by unknown 97 factor(s) present in trypanosome-infected blood, thus leading to a higher prevalence of salivary 98 gland infections and potentially increasing parasite transmission. 99

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101 **Results and Discussion**

102 CLSM shows trypanosomes are trapped within the tsetse PM

103 In order to visualise how trypanosomes interact with the tsetse PM, we analysed by CLSM ex vivo PMs stained with rhodamine-conjugated wheat germ agglutinin (WGA) [31] from either 104 naïve flies or flies infected with eGFP-expressing trypanosomes (n=35) (Fig. 1 and 105 Supplementary Videos 1 and 2). WGA exclusively recognises the PM chitin fibres as shown 106 107 by its inhibition with chitin hydrolysate or when tissues were stained with the succinylated lectin (not shown). Whilst individual trypanosomes appear to be partially penetrating the PM or stuck 108 on either the ES or the luminal side (Fig. 1d), z-stacks orthogonal projections depicted many 109 110 parasites inside PM cysts as the rhodamine signal could be seen above and below the cells 111 (Fig. 1f). This is better visualised when the eGFP signal is switched off. Moreover, the integrity 112 of all PM cysts analysed was never compromised (i.e. no evidence of parasites penetrating any of the PM layers) and their thinner part (i.e. PM1, see EM section) always faced the luminal 113 114 side.

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118 a, Cartoon depicting a 2D view of naïve tsetse midguts. Rotating 90° on both the X and Y axis gives an 119 indication of what can be seen under CLSM and provides a guide for understanding the orientation of 120 the ex vivo PMs in the orthogonal view. b, Same as Fig. 1a but depicting a section of a trypanosome-121 infected gut. Although the three PM layers cannot be seen under CLSM, this schematic shows the 122 position of the trypanosome cysts between PM1 and PM2; these cysts always orientate towards the 123 luminal side of the gut (see also Fig. 2). ES, ectoperitrophic space. EC, epithelial cell. c, Washed, ex 124 vivo PM from a naïve fly (11 dpi) stained with WGA (top). In infected flies (11 dpi), eGFP-trypanosomes 125 can be seen (green) in close proximity to the PM, with DAPI (magenta) showing parasite nuclei and 126 kinetoplasts (middle). Scale bar 200µm. Inset corresponds to the higher magnification of the same area 127 as seen in bottom panel. DIC, Differential Interference Contrast. Scale bar 20µm. d, (1) CLSM 3D 128 reconstructions from multiple z-stacks of washed ex vivo PMs from a fly at 9 dpi. Ectoperitrophic space 129 side (ES), luminal side (LS), trapped trypanosomes (T). Scale bar 20 µm. (2) Maximum Intensity 130 Projection (MIP) (top) and 3D reconstruction (bottom) of a trapped trypanosome. e, A PM sample from 131 a naïve fly depicting how this tissue looks under DIC and MIP after rendering from multiple z-sections, whilst the orthogonal view shows the XZ/YZ planes of the folded PM section. f, DIC and MIP of an 132 133 infected PM sample containing trypanosome cysts, whilst the orthogonal XZ-YZ views show 134 trypanosomes trapped within PM layers. A second, smaller cyst-like structure can be seen in the XZ 135 orthogonal view at a North-West position to the bigger cyst. ES, ectoperitrophic space. L, lumen. Scale 136 bar 20µm.

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Transmission electron microscopy (TEM) analyses of trypanosome-infected midguts 138 139 TEM was then used to better understand, at the ultrastructural level, the nature of the PM 140 cysts and the overall localisation of parasites in infected midguts. We initially focused on the anterior midgut as previous work suggested trypanosomes may cross the PM in this region 141 [22-25]. Parasites were observed either in the lumen, trapped within PM layers or already in 142 the ES at all time-points (5, 8 and 11 dpi). In most infected flies, PM damage was a common 143 144 occurrence, which was typically characterised by a separation of PM1 and PM2 layers (Fig. 2a-c, e, and f), as previously reported [22-24]. PM1 appears as a thin (electron-dense) layer 145 that is equivalent to the luminal rhodamine signal observed by CLSM (Fig. 1f). Furthermore, 146

147 this damage was not observed in naïve or refractory flies (not shown), and usually one or more trypanosomes were found within this separation. Occasionally, parasites were seen 148 embedded within PM2 rather than 'unzipping' PM1 from PM2 (Fig. 2d). Moreover, at 11 dpi, 149 multiple parasites were commonly found between PM layers, forming bigger cysts (Fig. 2f). 150 151 The presence of trypanosome-filled cysts, which were more commonly observed in older infected flies, agrees with the structures observed by CLSM (Fig. 1b and d) and previous 152 153 observations [25, 32]. Interestingly, in all instances where PM1 separated from PM2, we found 154 no evidence of breaks, degradation or thinning of PM1, even when cysts appear to contain 155 high parasite numbers (Fig. 2f). Furthermore, we never observed parasites in the process of 156 entering or leaving the PM1 or PM2 side, partially in or out of the PM, nor did we see a complete break through PM2 layer. 157

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Fig. 2 | TEM images of sections showing trypanosomes between tsetse PM layers. Typical damage found in infected flies is the separation of PM1 from PM2. Usually, the electron dense (PM1) layer appears unbroken, but can be seen peeling away from the second layer (arrowheads). Note that

PM1 remains unbroken even when cysts contain high parasite numbers (f). Images were taken from
flies at 11 dpi (a-c, and f), 8 dpi (d) or 5 dpi (e). L, lumen. ES, ectoperitrophic space. Ep, epithelial cells.
Numbers of technical and biological replicates used, average number of grids and average number of
images per separate grid can be seen in Supplementary table 1.

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SBF-SEM analysis of a trypanosome cyst reveals conserved parasite orientation and
 absence of PM degradation

170 To gain more insights into the organisation of trypanosomes within PM cysts located in the anterior midgut, we used SBF-SEM. We prepared >500 serial sections (each ~100nm thick) 171 of a cyst sample (from a fly at 11 dpi) and then 3D-reconstructed this region (Fig. 3, 172 173 Supplementary Video 3 and 4). It was observed that all parasites, which appeared to be aligned in the same direction as indicated by the orientation of the flagellar tips, were 174 exclusively contained within PM1 and PM2 (Fig. 3c). However, no evidence of crossing or PM 175 176 damaged induced by trypanosomes was seen corroborating the CLSM and TEM observations 177 (Fig. 1 and Fig. 2).

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Why most trypanosomes trapped within the cyst (Fig. 3) appear to have the same orientation is unknown, particularly when there is no evidence of cell duplication (e.g. flagellar division) in this and other cysts that were analysed by TEM. Alternatively, we hypothesise that proventricular parasites may form cysts by collective motion (CoMo) [31] whereby several trypanosomes, swimming in the same direction, may simultaneously penetrate through an immature PM thus becoming trapped between its layers.



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187 Fig. 3 | SBF-SEM 3D reconstruction of a trypanosome cyst in the PM from the anterior midgut. 188 Sample taken from a fly at 11 dpi. See also Supplementary Video 4. a, Multiple trypanosomes between 189 PM1 and PM2. Arrows show the point of separation as trypanosomes reside inside and both layers 190 remain unbroken. ES, Ectoperitrophic Space. L, Lumen. b-e, SBF-SEM slices merged with manual 191 segmentation. **b**, Image illustrating breaks or damage to PM1 (grey) are absent during a trypanosome 192 infection. c, Multiple parasites between PM1 and PM2; most parasites appeared oriented in the same 193 direction as indicated by the position of the anterior end flagellar tips. d, A reverse view of the image 194 depicted in 4c, showing parasites contained within PM1. e, Still depicting one parasite (blue) in the 195 ectoperitrophic space side. f, A measurement of a partially reconstructed trypanosome within the cyst. Scale bars are representative of the SEM image (not the reconstruction). 196

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198 Trypanosomes reach the ES by early invasion of the proventriculus

The fact that none of the trypanosomes inside the reconstructed cyst or the ones observed by either TEM or CLSM appear to penetrate the PM layers was puzzling. This raises the question of how these cysts are formed if no evidence of parasite crossing is seen in the anterior midgut. 202 One clue, however, came from the lengths of individual trypanosomes from inside the (3Dreconstructed) cyst, which were longer than average midgut procyclics (~20µm; see example 203 in Fig. 3f) and so similar in size to mesocyclic proventricular forms [31, 33] (see also Fig. 7). 204 Therefore, we hypothesised that trypanosome-containing cysts could originate in the 205 206 proventriculus during PM assembly and consequently, analysed the proventriculus from infected flies at 5 (early infection, Fig. 4) and 11 (late infection, Fig. 5) dpi. After 5 dpi, the 207 proventriculus was heavily infected (63.6% prevalence) with trypanosomes (Fig. 4b-e). 208 209 Parasites were observed to be adjacent to where the foregut cells become confluent with 210 midgut cells. This suggests trypanosomes can overcome or bypass the PM at this point (Fig. 211 4b), confirming previous observations [18-21]. Parasites were also observed in the lumen and ectoperitrophic side of the PM and, in some cases, in close proximity to (but not penetrating) 212 213 the epithelial cells. Moreover, they could also be seen between PM1 and PM2 (Fig. 4d). A 214 proventriculus from a fly at 5 dpi (Supplementary Fig. 3) was subsequently processed for SBF-SEM at the regions of interest (ROI) shown (Supplementary Video 5 and 6), and a partial 215 reconstruction of a small number of parasites that were in close proximity to the chitinous 216 217 foregut was performed on ROI 2 (Supplementary Video 7).



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Fig. 4 | TEM images of an early (5 dpi) proventricular invasion by trypanosomes

a, Schematic depiction of the tsetse proventriculus as seen in the sagittal plane. The proventriculus is
a transition area between the foregut (purple), comprised of the oesophagus (O) and crop (C) (lined
with cuticular intima, CI), and midgut cells (yellow). The PM (orange) originates from a number of
specialised epithelial cells, collectively termed annular pad, and is continuously secreted posteriorly.
Dashed squares represent the approximate areas that the micrographs in figures **5b-e** were taken from,
with the letters inside corresponding to the lettered micrographs. L, lumen. **b**, Area of cell transition

between the foregut (FG) and the proventricular/midgut epithelial cells (Ep). Trypanosomes (T) can already be seen near to the epithelial cells. CI, Cuticular Intima. MV, microvilli (8200 x). **c**, Only PM2 is visible and trypanosomes appear to be filling the cavity between the foregut and epithelial cells (8200 x). **d**, Tightly packed parasites can be seen already trapped between PM1 and PM2, and a single trypanosome (T) can also be seen already in the ES (8200 x). **e**, The proventriculus is heavily infected, and parasites are located in the lumen, the ES and between PM layers (1700 x). All midguts from the same proventriculus samples shown in this figure had trypanosome infections (not shown).

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234 At 11 dpi, trypanosomes continued to be seen in the proventriculus (Fig. 5) (50% prevalence). 235 However, whilst at 5 dpi parasites were located in the ES, the lumen and also between PM 236 layers, by 11 dpi trypanosomes were neatly contained either within PM layers or inside the ES 237 (Fig. 5c-d). In addition, cyst-like structures such as those in the anterior midgut could be observed (Fig. 5b) and with no evidence of a damaged PM1 layer. In summary, at 5 dpi, flies 238 239 show two clear phenotypes: susceptible - those that have a high parasite load (including trypanosomes near to the cuticular intima of foregut cells) and refractory – those with no sign 240 of parasite infection. In the former, trypanosomes widely distribute throughout the 241 242 proventriculus, filling all available spaces. The high parasite numbers indicate trypanosomes are replicating during early infection. In contrast, by 11 dpi, parasites are absent from the 243 proventriculus lumen and concentrated within PM layers. Overall, TEM analyses of infected 244 proventriculi suggest trypanosomes are capable of penetrating the PM at its point of synthesis. 245 Here PM2 is not fully formed and exists as a disorganised structure [21], so it is possible for 246 247 trypanosomes to become passively engulfed by it rather than actively penetrating as previously suggested. 248



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251 Fig. 5 | Proventricular trypanosomes contained within the PM and formation of trypanosome-252 filled cysts at 11 dpi. Micrographs are taken from an equivalent area of the proventriculus as shown 253 in Fig. 5. a, Area of cell transition between the foregut (FG) and the epithelial cells (Ep). Trypanosomes 254 (T) can already be seen near to the epithelial cells. CI, Cuticular Intima. MV, microvilli (8200 x). b, Cysts 255 of trypanosomes are formed in the proventriculus (8600 x). c, Trypanosomes neatly contained in the 256 ES with no visible parasites in the lumen (L). Parasites can also be seen between PM1 and PM2 (1700 257 x). d, High numbers of parasites (T) can be seen trapped between PM1 and PM2 layers, and within the 258 ES (8600 x).

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260 CLSM confirms early proventricular colonisation

To further demonstrate an early proventricular invasion by trypanosomes, we used CLSM to localise live parasites (eGFP-expressing J10 BSFs, one of the strains used for TEM analysis) within tsetse tissues over a 5-day time course (Fig. 6). This parasite strain expresses eGFP only upon transformation into procyclics. Trypanosomes were detected within the
proventriculus from 2 dpi (10% infection prevalence) onwards; however, at 3 dpi
(Supplementary Fig. 4), heavy proventricular infections could be seen in 35% of the flies.
Additionally, most of the flies at 3-5 dpi presented a high midgut infection particularly in the
anterior midgut, either within the ES or the midgut lumen (Fig. 6a and 6d, and Supplementary
Videos 8, 11 and 12).

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Fig. 6 | CLSM analysis of the early proventicular infection by bloodstream trypanosomes. a, Time course of infection up to 5 dpi with eGFP BSFs J10 strain. Figure shows representative proventriculi and anterior midguts from each dpi. "Day 0", proventriculus from a fly dissected 1h after receiving an infected meal. White arrowhead at 2 dpi shows trypanosomes within the proventriculus (see also Supplementary video 8). Scale bar 200 µm under 10X. **b**, Example of an infected proventriculus and 277 anterior midgut (3 dpi) showing the location of trypanosomes (green) in relation to the PM (orange). Top 278 panel, naïve flies (Supplementary videos 9 and 10). Both naïve and trypanosome-infected flies received 279 serum meals containing rhodamine-WGA four hours prior to dissection, which shows PM originating 280 from proventriculus. SiR-actin labels the filamentous-actin (white) of all tsetse proventricular cells and 281 DAPI (magenta) their nuclei. Bottom panel, a heavy trypanosome infection inside the proventriculus 282 and anterior midgut (Supplementary videos 11 and 12). Insets were analysed at a higher magnification 283 (6c). Scale bars 100 µm under 10X. c, top, Representative stack from a 3D-reconstructed 284 proventriculus and anterior midgut at the region of interest from a naïve fly. Scale bar 100 µm under 285 10X. c, bottom, Representative stack from a 3D-reconstructed infected proventriculus and anterior 286 midgut. Trypanosomes can be seen in the ES within either the proventriculus or the anterior midgut, 287 whilst the orthogonal views show trypanosomes either within the lumen or the PM layers (white section), 288 or within the ES (cyan section). Scale bar 100 µm under 10X. d, CLSM 3D reconstructions from multiple 289 z-stacks of proventriculi and anterior midgut from a naïve (top) and infected fly at 5 dpi (middle and 290 bottom). Scale bar 100 µm under 10X.

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292 The same early proventricular infection phenotype was also seen in flies infected with BSFs 293 from another T. b. brucei strain (AnTat 1.1, clone 90:13) (Supplementary Fig. 5a). However, and completely unexpected, when infections were carried out using *in vitro* cultured AnTat 1.1 294 295 BSFs (cBSF) proventricular trypanosomes could only be seen at 15 dpi or later (Supplementary Fig. 5a-b). Furthermore, the ability of cBSFs to colonise the proventriculus 296 few days after infection was severely reduced as early as 9 days after adaption in culture when 297 compared to BSFs (Supplementary Fig. 5a). Strikingly, whilst BSFs are able to establish 298 299 normal salivary gland (SG) infections (21% infection prevalence), recently adapted cBSFs 300 show lower prevalence (10%) and cBSFs completely fail (0%) to colonise the tsetse SGs (Supplementary Fig. 5a). Furthermore, when we retrospectively analysed infection data 301 collected in our lab over a period of three years, it was confirmed that almost 30% of flies fed 302 303 with AnTat BSFs developed SG infections (Supplementary Fig. 5c). In contrast, flies that received bloodmeals containing either procyclic or cBSFs produced ~10% and 0% SG 304 infections, respectively, after 30 days. Thus, an early proventricular colonisation by 305

306 bloodstream trypanosomes results in a higher infection prevalence of the tsetse salivary307 glands.

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To understand the dynamics of trypanosome development in early proventricular infections, 309 310 we isolated parasites from infected proventriculi and midguts at 5 and 15 dpi, and analysed length (Fig. 7), morphology, and kinetoplast position relative to the nucleus [4, 31, 33] 311 312 (Supplementary Fig. 6). Proventricular trypanosomes at 5 dpi, although on average ~3µm 313 shorter in length than those observed at 15 dpi, were significantly longer (~35µm average 314 length) and morphologically different than midgut procyclics, at either time point. This implies procyclic forms can differentiate into mesocyclics early on within the proventriculus. 315 Epimastigote forms developed at a slower rate and were only detected from 15 dpi. 316

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Mean cell length (μ m) of trypanosomes isolated from fly midguts (MG) and proventriculi (PV) at either 5 dpi (•) or 15 dpi (\Box) were DAPI stained and analysed by CLSM. Midgut trypanosomes include free swimming and encysted parasite forms. Error bars represent \pm s.d. Vertical lines show statistical significance (one-sided *t*-test, assuming normal distribution) among life stage groups and the two time
 points (p-values indicated next to vertical lines).

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We also compared the expression of procyclin, a surface glycosylphosphatidylinositol (GPI)-326 327 anchored glycoprotein marker, in proventriculus and midgut trypanosome populations using antibodies specific for each form (EP or GPEET; Fig. 8) [34]. We observed a similar pattern of 328 329 procyclin expression in parasites isolated from both organs at 3, 5 and 7 dpi. Whilst EP procyclin was detected in 100% of cells at all time points, both forms of GPEET 330 331 (unphosphorylated and phosphorylated) were primarily detected in proventricular and midgut 332 forms at 3 dpi. Altogether, these results suggest that although proventricular trypanosomes may be developing at a faster rate than those in the midgut, the programme of procyclin 333 expression mirrors that of proliferating midgut procyclics; i.e. GPEET is only expressed early 334 335 on during the infection (regardless of the trypanosome stage and tissue infected) and EP becomes the dominant form from 5 dpi onwards [2, 3]. Interestingly, at 3 dpi, midgut 336 337 trypanosomes showed a fully posterior kDNA compared to proventricular forms at the same 338 time-point (Fig. 8c), which is more reminiscent of transforming 'stumpies' than fully developed 339 procyclic cells.



341

342 Fig. 8 | T. brucei procyclin expression during early infection in the tsetse. a, Profile of procyclin 343 expression in trypanosomes during a time course infection experiment (n=1) as determined by 344 immunostaining. Percentage of T. brucei cells from midgut (MG) and proventriculus (PV) at 3, 5 and 7 345 dpi, either recognised by antibodies against GPEET (phosphorylated (dark blue) or unphosphorylated 346 (light blue)) or EP procyclins (orange). Numbers on bars represent individual trypanosomes analysed. 347 b, Representative immunostaining images of T. brucei procyclic cultured forms (PCF) (antibody 348 controls) shown in differential increased contrast (left) and a merged image of DAPI DNA counterstain 349 (white) with either anti-EP (orange) or anti-GPEET (phosphorylated; blue) (right). c, >100 cells per 350 tissue and time point were analysed for each antibody with the exception of cells from the PV at 3 dpi

due to very few infections at this time (*n*=11, 9 and 7 for phosphorylated GPEET, unphosphorylated
GPEET and EP, respectively). Imaged at 63X; Scale bars 10µm.

353

354 **Do serum factors influence early colonisation of the proventriculus?**

We also investigated whether factors in trypanosome-infected serum promoted an early 355 356 proventricular colonisation (Fig. 9). Teneral flies that received bloodmeals consisting of 357 established AnTat 1.1 90:13 cBSFs, spiked with either naïve serum or serum from mice originally infected with BSFs AnTat 1.1 90:13, showed no proventricular colonisation 358 359 compared to BSFs at 5 dpi (i.e. >30% infection prevalence; Fig. 9a). Infectivity was only 360 evident at 10 dpi (Fig. 9b). Surprisingly, flies that were fed with bloodmeals consisting of AnTat 1.1 90:13 procyclic cultured forms (PCFs) spiked with infected serum showed a significant 361 10.3-fold increase in proventriculus infection prevalence (>87% average) at 5 dpi compared 362 with the control serum group. This suggests that serum factors from trypanosome-infected 363 blood may facilitate early proventricular infections only once transformation from BSFs into 364 PCFs has occurred within the fly gut. However, these results also indicate that intrinsic cell 365 factors are important to establish an early proventricular infection as this phenotype was lost 366 during long-term culture and could not be rescued in the presence of trypanosome-infected 367 368 serum (Fig. 9a).



Fig. 9 | *T. brucei* life stages display different infection phenotypes in the fly when in the presence
 of serum from trypanosome-infected animals. Mean trypanosome infection prevalence (percentage)

in midguts and proventriculi of tsetse infected with bloodmeals consisting of serum harvested from either trypanosome-infected blood or naïve and then equally combined with washed parasites (either cultured procyclics forms (PCFs), cultured bloodstream forms (cBSFs) or bloodstream forms from infected mice (BSFs)) and horse blood, were given to teneral flies and then infection prevalence was scored after 5 (**a**) or 10 (**b**) dpi. Error bars show \pm s.d. Horizontal lines represent statistical significance from two biological replicates (*n*=2) using a one-sided *t*-test assuming normal distribution (p-values indicated on the lines).

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One possible serum factor that could promote establishment of an early proventricular 380 infection are released variable surface glycoproteins (VSGs) [35-39]. However, when flies 381 were infected with Antat 1.1 PCFs in bloodmeals containing several concentrations of soluble 382 383 (i.e. GPI-cleaved) VSG variant MITat1.4, we saw no significant difference in either proventricular or midgut infectivity (data not shown). It is worth mentioning that upon ingestion 384 of a trypanosome-infected bloodmeal, released VSG molecules -presumably from 385 transforming parasites- lead to a transcriptional down-regulation of PM associated genes 386 387 expressed by proventricular epithelial cells, including several peritrophins [29]. The authors conclude that this interference facilitates the crossing of the PM by procyclic trypanosomes in 388 the anterior midgut during early infection. However, based on the data herein presented, we 389 suggest that the VSG-induced down-regulation of proventricular genes may instead facilitate 390 parasite crossing of the PM in the proventriculus rather than in the anterior midgut in which 391 the PM is present as a fully assembled, multi-layered tissue. Furthermore, whilst at a 392 transcriptional level this may be true, a comparison of the PM width in the anterior midgut 393 between naïve and infected flies (at either 5 or 11 dpi) showed no significant difference in 394 395 architecture or thickness as evaluated using TEM. On average, the tsetse PM is ~300nm in all conditions (Supplementary Fig. 7). 396

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398 Our results support a new infection model where recently transformed *T. brucei* procyclics 399 reach the ectoperitrophic space after crossing the peritrophic matrix located within the

400 proventriculus [18, 19], and not in the anterior midgut as previously suggested [22, 24, 25]. In this scenario, procyclic trypanosomes can either first establish a proventricular infection and 401 then gradually invade the ES after 3 dpi or, alternatively, directly establish an ES infection in 402 the anterior midgut and then migrate to the proventriculus as the infection progresses (usually 403 404 after one week depending on the parasite strain). The precise location of PM penetration by 405 trypanosomes within the proventriculus remains unknown; however, we hypothesise that it 406 may occur in the region where specialised epithelial cells (annular pad) secrete the different 407 PM layers, as suggested by Fairbairn in 1958 [20] and later by Moloo in 1970 [21]. If so, this 408 implies a race against time for trypanosomes as they must escape the confines of the PM to 409 gain entry into the ES before it matures to a point where they become trapped in cysts that 410 move along the midgut (due to continuous PM secretion) and then become potentially 411 eliminated in the hindgut (posterior end; Fig. 10). Indeed, TEM analysis of the hindgut from 412 infected flies showed parasites with abnormal morphology (i.e. containing many intracellular vesicles and multiple flagella) and a damaged PM (Supplementary Fig. 8), suggesting a 413 possible degradation as parasite cysts transit towards this region. The remarkable PM 414 expansion observed in some of the cysts at 11 dpi, where the PM layers remain intact despite 415 containing several tightly packed trypanosomes, is indicative of a highly flexible PM rich in β-416 417 chitin fibres cross-linked to O-glycosylated peritrophins [14, 40]. Whether parasite 418 encapsulation within the PM is a novel tsetse defence mechanism to control trypanosome infection intensity remains to be elucidated, but one should note this process does not lead to 419 self-cure. In fact, in flies at least 40 dpi, similar cysts have been reported within the 420 proventriculus in which the integrity of PM1 seems to be compromised, although the 421 422 phenotypic differences could be accounted for by fly age and duration of infection [41]. In summary, the model of trypanosome PM crossing in the anterior midgut, which for several 423 decades has been mainly supported by TEM visualisation of parasite cysts in the same region 424 [22], can no longer be accepted as the sole route of ES invasion based on our collective 425 426 microscopy evidence.



Fig. 10 | Schematic (artistic) representation depicting the entry trypanosomes into the 428 429 ectoperitrophic space via the proventriculus. Specialised epithelial cells in the proventriculus 430 annular pad are responsible for PM (orange) assembly and secretion. Ingested trypanosomes (green) either remain in the proventriculus lumen (1) successfully migrate to the ES through a more fluid PM in 431 the proventriculus (2) before it maturates into a rigid structure as seen in the midgut, or become trapped 432 between PM layers (cysts) (3). Those that have become trapped between PM layers are carried through 433 434 to the midgut as the PM continues to be secreted (4). "O" and C" represents direction of the blood flow 435 from either the oesophagus or crop, respectively.

436

Why procyclic trypanosomes 'hide' within the proventriculus and/or midgut ES to establish an 437 infection is unknown. As previously suggested, the most likely explanation is that the tsetse 438 439 ES offers a safer environment to proliferative procyclic trypanosomes against the action of harmful blood factors, including reactive oxygen species [11, 42] and vertebrate complement 440 441 [43]. In addition, considering that the tsetse PM is continually secreted, attachment to it from the midgut lumen would result in the eventual excretion of trypanosomes. This contrasts to 442 mechanisms used by Leishmania and Plasmodium parasites within the gut of the sand flies 443 and mosquitoes, respectively, as these parasites secrete chitinases to degrade the type I PM 444 of these insects in order to migrate [26, 27, 44]. Trypanosomes do not secrete chitinases, 445 however, exochitinase activity from the tsetse symbiont Sodalis glossinidus [45] or bloodmeal 446

chitinases [46] may facilitate trypanosomes penetration into the proventriculus. Thus, invasion
of the ES by penetrating an immature PM in the proventriculus may be an adaptive strategy
to compensate for the inability of all *T. brucei* sub-species (and possibly also for *T. congolense*) to attach to and degrade a mature tsetse PM. In fact, a type II PM is a more
complex and organised structure compared to type I PMs, and blood feeding insects secreting
type I PMs are usually more permissive disease vectors [7].

453

It is not clear what the impact of an early proventricular colonisation has on trypanosome 454 development or transmission. Our results indicate that establishment of an early proventricular 455 infection may increase parasite transmissibility as the proportion of infected SGs is much 456 457 higher compared to strains (or parasite stages) that first colonise the midgut. The tsetse proventriculus, besides regulating the blood flow coming from the oesophagus and crop, and 458 also being the place of PM synthesis, is an immunoregulator organ that responds to a 459 460 trypanosome infection by increasing the levels of nitric oxide and radical oxygen species, and 461 parasite-specific antimicrobial peptides [11, 47]. Collectively, these molecules appear to be 462 key in conferring to tsetse refractoriness to a trypanosome infection. Thus, it is possible that 463 during an early colonisation of the proventriculus, procyclic trypanosomes in combination with 464 serum factors present in infected blood down-regulate the release of immunoregulator molecules, which in turn will facilitate establishment of a parasite infection and a faster 465 466 development (i.e. formation of epimastigotes) within this organ. This is in contrast to a later proventricular colonisation phenotype, which normally occurs after 10 dpi and correlates with 467 468 a lower transmission index.

469

There is increasing evidence that procyclic trypanosomes undergo social motility (SoMo) *in vitro* [48-50]. This phenomenon appears to occur only in early procyclic cells, which are characterised by expressing GPEET procyclin on the surface [51]. Furthermore, although SoMo is yet to be observed within the fly's midgut, it may play a role in the migration of midgut

474 procyclics to the proventriculus [52, 53]. We did not investigate whether trypanosome SoMo occurs in insecta, but our data suggest that this phenomenon could happen in developing 475 476 (early) procyclics in the proventriculus, as supported by the expression of GPEET procyclins in proventricular-associated parasites (Fig. 8). Alternatively, there is strong evidence for 477 478 trypanosome CoMo within infected tsetse tissues [31], although this may not be operative during an early proventricular infection. However, both phenomena (SoMo vs. CoMo) are not 479 480 necessarily mutually exclusive as they could operate in parallel or at different stages of 481 trypanosome development in tsetse.

482

483 In conclusion, we have developed new microscopy methodologies that allowed us to revisit the route by which trypanosomes migrate through the tsetse gut. We provide evidence that T. 484 brucei procyclics reach the tsetse ES when they encounter the immature PM secretions at its 485 486 point of production in the proventriculus. Furthermore, trypanosomes observed within PM cysts in the anterior midgut are likely formed in the proventriculus during PM assembly and 487 are not indicative of PM penetration in this region. Moreover, unknown factors present in 488 infected blood (of mammalian and/or parasite origin) may promote early proventricular 489 490 invasion, which in turn leads to higher salivary gland infection rates and potentially increasing parasite transmission. 491

492

493 Materials and Methods

494 **Tsetse**

495 Male flies were reared in an established colony (*Glossina morsitans morsitans* (Westwood)) 496 at the Liverpool School of Tropical Medicine and maintained on sterile, defibrinated horse 497 blood (TCS Biosciences) at an ambient temperature of $27^{\circ}C \pm 2^{\circ}C$ and a relative humidity of 498 65-75%. Experimental flies were collected at <24 hours post-eclosion (p.e.) and offered a 499 bloodmeal every 2 days before being starved for 72 hours in preparation for dissection at variable timepoints (5, 8 or 11 dpi) control blood meal. Flies used for CLSM were an exception
to this feeding regime (see below).

502

503 Trypanosome strains

504 Three different strains of *Trypanosoma* (Trypanozoon) *brucei brucei* were used in this study. TSW-196 BSFs [54] (from murine stabilates) were used for TEM experiments. J10 505 (MCRO/ZM/73/J10) green fluorescent protein (eGFP) expressing BSFs [55] were used for 506 TEM, CLSM and both BSFs and procyclic forms (PCFs) used in the procyclin expression 507 experiments. BSFs of AnTat 1.1 90:13 engineered with an mNeonGreen expressing construct 508 was used for CLSM (see below), whilst cultured BSFs (cBSFs) and PCFs of the same strain 509 510 was used for CLSM and infected serum experiments. For infections, flies <24 hours p.e. were 511 fed either a blood or serum meal containing one of the strains described above; unfed flies 512 were removed and conditions prior to sacrifice are the same as described for control flies.

513

514 **Trypanosome growth and transformation**

515 Cultured BSFs were grown in HMI-9 supplemented with 10% foetal bovine serum (FBS) at 37°C with 5% CO₂ whereas PCFs were grown in SDM-79 with 10% FBS at 27°C and 5% CO₂. 516 Cultured BSFs were transformed to PCFs using 6mM cis-aconitate in DTM (Differentiation 517 medium [56]) supplemented with 20% FBS at 27°C and 5% CO₂ for 24 hours. To generate 518 519 the trypanosome mNeonGreen clone, 4x10⁷ AnTat 1.1 90:13 cultured BSF cells in exponential growth phase were transfected with 10µg of a modified pALC14 plasmid ([57]) for ectopic 520 expression of the tetracycline-inducible mNeonGreen protein under a GPEET procyclin 521 promoter, using an Amaxa 4D nucleofector (program FI-115). Clonal cell lines were selected 522 by limiting dilution in SMD-79 10% FBS, containing 1µg/mL puromycin. 523

524

525 Transmission Electron Microscopy

526 Tsetse midguts or proventriculi were dissected in ice-cold fixative (0.2M cacodylate, 4% paraformaldehyde (PFA), 2.5% glutaraldehyde (GA), 3% sucrose, pH 7.4), transferred to fresh 527 fixative, and incubated on ice for an hour. Tissues were then washed twice in ice-cold 0.1M 528 cacodylate buffer containing 3% sucrose (pH 7.4) for 2 minutes and left in 1% osmium 529 tetroxide for an hour at room temperature. After washing with copious amounts of ice-cold 530 0.1M cacodylate buffer, followed by washes with distilled water, tissues were placed in 0.5% 531 532 uranyl acetate in 30% ethanol before going through a series of 10 minute ethanol washes in 533 increasing concentration (30-80%) and left for 30 minutes in 100% ethanol. Graded hard 534 embedding resin 182 (TAAB) was mixed in a 1:1 ratio with 100% ethanol and left on tissues overnight, then replaced with fresh 100% resin for 30 minutes and placed in an oven at 60°C 535 for 48 hours to cure. Ultrathin orthogonal serial sections (70-74 nm) were cut through regions 536 of interest and collected on freshly prepared Pioloform®-coated 200 (for midguts and 537 538 proventriculi) or 100 (for proventriculi) mesh nickel grids, before post-staining in uranyl acetate (5% w/v in 30% ethanol) and 50% lead citrate. Sections were viewed at 100 KV in a FEI Tecnai 539 G2 Spirit and all micrographs were taken using either an Olympus Megaview3 or a Gatan 540 Orios camera with AnalySIS or Gatan GMS2 software respectively. 541

542

543 SBF-SEM and 3D reconstructions

544 Tissues were prepared and stained for SBF-SEM and 3D reconstruction using a modified 545 method based on the protocol of Deerinck et al 2010 [58]. Briefly, tsetse midguts were dissected in ice-cold fixative (0.1M cacodylate, 2% paraformaldehyde (PFA), 2% 546 547 glutaraldehyde, 3% sucrose, 2mM calcium chloride pH 7.4) or modified fixative (0.1M cacodylate, 2% PFA, 2% GA, 3% sucrose, 0.1% tannic acid pH 7.4) followed by washes in 548 0.1M cacodylate buffer pH 7.4 with 2mM calcium chloride prior to staining with reduced 549 osmium tetroxide (2%) containing 1.5% potassium ferrocyanide in 0.1M cacodylate buffer. 550 Midguts were washed in distilled water and incubated in 1% thiocarbohydrazide (TCH) for 30 551 552 minutes before further washes in distilled water. A second osmium (2%) staining was carried 553 out at room temperature for 40 minutes, followed by washing in distilled water before incubation in 1% aqueous uranyl acetate overnight at 4°C. A final wash in distilled water was 554 carried out and samples were stained in warmed lead aspartate for 30 minutes before 555 dehydration in graded ethanol 30-90% followed by 100% ethanol. For samples dissected in 556 557 modified fixative an additional step was added and samples were placed in 100% propylene oxide following the series of ethanol washes. Samples were placed in hard resin 812 (TAAB) 558 559 at a 1:1 ratio with 100% propylene oxide and left overnight before infiltration with increasing ratios of resin:propylene oxide until 100% resin and left to cure for 48 hours. Samples were 560 561 prepared for SBF-SEM by mounting a small square of embedded sample onto a cryo pin with conductive epoxy. Excess resin was trimmed away with an ultra-microtome and the sample 562 coated with 10nm AuPd using a Q150T sputter coater (Quorum Technologies). Samples were 563 imaged with a FEI Quanta 250 FEG modified with a Gatan 3View running GMS2 software. All 564 565 samples were imaged in Low vacuum mode with a chamber pressure of 50 Pa. For the midgut imaging conditions were 2.2 KV, dwell time of 12 µs per pixel, magnification 26 K, giving a 566 resolution of 3.3 nm in X and Y 100nm in Z over 474 slices of which the first 200 were taken 567 for reconstruction. For proventriculus imaging conditions were 2 KV, dwell time 12 µs per pixel, 568 569 magnification 8.7 K and Z was reduced to 40nm and 3 regions of interest (ROI) were scanned, all of which were 458 with a resolution of 18.7 nm in X and Y. The reconstruction was carried 570 out on the first 400 slices of ROI 2. GMS2 was used for alignments and conversions to TIFFs 571 and the reconstructions were carried out using Bitplane Imaris version 8.1. 572

573

574 Confocal Laser Scanning Microscopy

575 Whole tissues

G. m. morsitans teneral flies (0-24 hours old) were infected with FBS containing 10% rat blood
spiked with either cBSF or BSF AnTat or J10 eGFP BSF trypanosomes (final density of 2 x
10⁶ cells) and 10µg/mL wheat germ agglutinin (WGA)-rhodamine. A naïve group (uninfected)
was fed with serum meal only. Flies were fed every day with FBS 10µg/mL WGA-rhodamine

and dissected in PBS to score trypanosome infection in midgut and proventriculus. The proventriculi were fixed in fresh 1% PFA on ice for 1 hour, stained with SiR-actin (1:1000 dilution in PBS, Cytoskeleton Inc.) for 4 hours, incubated with 300ng/mL DAPI for 10 minutes and mounted in 1% low melting agarose with SlowFade Diamond antifade (ThermoFisher). Samples were imaged using a Zeiss LSM800 confocal laser scanning microscope.

585

586 Isolated PM

Flies infected with J10 eGFP BSF trypanosomes, were dissected at 5, 9 or 11 dpi and their peritrophic matrix was dissected out in ice-cold fresh 1% PFA and transferred to poly-lysine slides for 1 hour. Samples were incubated with 10µg/mL WGA-rhodamine and 300ng/mL DAPI for 15 minutes, washed and mounted in SlowFade Diamond antifade (ThermoFisher). Samples were imaged using a Zeiss LSM800 confocal laser scanning microscope.

592

593 **Procyclin immunostaining**

Teneral flies were infected with BSFs J10 eGFP strain and after 3, 5 or 7 dpi both proventriculi 594 and midguts were dissected on a glass slide in fresh PBS and each tissue manually ruptured. 595 Released parasites were harvested and pooled for each tissue and timepoint. Cells were 596 gently pelleted and fixed in 4% PFA for 30 minutes, before washing in PBS and added to poly-597 598 lysine slides. Cells were left to adhere for 30 minutes at room temperature in a humid chamber before an hour block in 20% foetal bovine serum in PBS. The following anti-procyclin 599 antibodies were then added for 1 hour in blocking solution; mAb 9G4 (mouse anti-GPEET 600 unphosphorylated form, Biorad) 1:200 dilution, mAb 5H3 from hybridoma supernatant (mouse 601 anti-GPEET phosphorylated form, Professor Terry Pearson) 1:10 dilution and mAb Clone 602 TBRP1/247 (mouse anti-EP, Cedarlane) 1:800 dilution. The secondary antibody, anti-mouse 603 IgG conjugated to Alexa Fluor 555 (ThermoFisher) was used at a 1:1000 dilution in blocking 604 solution for an hour followed by 300 ng/mL of DAPI (ThermoFidsher) for 10 minutes. Samples 605 606 were mounted in SlowFade Diamond antifade (ThermoFisher) and imaged using a Zeiss

LSM800 confocal laser scanning microscope. Cultured AnTat 1.1 90:13 PCFs were used asantibody positive controls.

609

610 **PM thickness measurements**

611 100 different images from different flies and separate experiments for each time point and 612 group was used: 5 dpi, 5-day naïve, 11 dpi and 11-day naïve. Each image from each time 613 point/group was overlaid by a 10x10 square grid and a random number generator (numbers 614 between 1-10 only) used to determine X and Y squares in which to take measurements. 615 Measurements were made using ImageJ [59].

616

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629

630 **Competing interests**

631 The authors declare no conflict of interest.

632

633 Author contributions

- 634 CR, NAD, ACS, MJL, and AAS conceived and designed experiments. CR, NAD, AJB, BM,
- 635 CS, MJL and IAP conducted and analysed EM work. ACS, CR, NAD and MM obtained 636 confocal data. CR and AAS wrote the paper with input from all authors.
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