

1 Pharmacokinetics and pharmacodynamics of clofazimine for treatment of cryptosporidiosis  
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14 Running Head: Clofazimine pharmacokinetics and pharmacodynamics

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21 **gastrointestinal, infectious diseases**

22

23

24 **Abstract**

25

26 Infection with *Cryptosporidium* spp. can cause severe diarrhea leading to long-term  
27 adverse impacts and even death in malnourished children and immunocompromised patients.28 The only FDA-approved drug for treating cryptosporidiosis, nitazoxanide, has limited efficacy in  
29 the populations impacted the most by the diarrheal disease, and safe, effective treatment options30 are urgently needed. Initially identified by a large-scale phenotypic screening campaign, the  
31 antimycobacterial therapeutic clofazimine demonstrated great promise in both in vitro and in vivo32 preclinical models of *Cryptosporidium* infection. Unfortunately, a Phase 2a clinical trial in HIV  
33 infected adults with cryptosporidiosis did not identify any clofazimine treatment effect on34 *Cryptosporidium* infection burden or clinical outcomes. To explore whether clofazimine's lack of  
35 efficacy in the Phase 2a trial may have been due to subtherapeutic clofazimine concentrations, a36 pharmacokinetic/pharmacodynamic modeling approach was undertaken to determine the  
37 relationship between clofazimine in vivo concentrations and treatment effects in multiple38 preclinical infection models. Exposure-response relationships were characterized using  $E_{max}$  and  
39 logistic models which allowed predictions of efficacious clofazimine concentrations for the control40 and reduction of disease burden. After establishing exposure-response relationships for  
41 clofazimine treatment of *Cryptosporidium* infection in our preclinical model studies, it was42 unmistakable that the clofazimine levels observed in the Phase 2a study participants were well  
43 below concentrations associated with anti-*Cryptosporidium* efficacy. Thus, despite a dosing44 regimen above the highest doses recommended for mycobacterial therapy, it is very likely the  
45 lack of treatment effect in the Phase 2a trial was at least partially due to clofazimine concentrations46 below those required for efficacy against cryptosporidiosis. It is unlikely that clofazimine will  
47 provide a remedy for the large number of cryptosporidiosis patients currently without a viable48 treatment option unless alternative, safe clofazimine formulations with improved oral absorption  
49 are developed.

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51

52 **Introduction:**

53

54 The symptoms of cryptosporidiosis, the disease caused by parasitic infections with  
55 *Cryptosporidium spp.*, include prolonged episodes of watery diarrhea along with other symptoms  
56 such as stomach pain, dehydration, nausea, vomiting, and fever. While cryptosporidiosis is most  
57 commonly self-limiting in healthy adults, the disease can cause recurrent or chronic diarrhea in  
58 children and immunocompromised individuals and can lead to death in severe cases (1, 2).  
59 Cryptosporidiosis is also highly associated with long-term adverse impacts including growth  
60 stunting, poor physical fitness, and poor cognitive development when infections occur at a young  
61 age, even when the infections are asymptomatic (3-7). A reanalysis of the Global Enteric  
62 Multicenter Study (GEMS) revealed that *Cryptosporidium* was one of the top six pathogens  
63 responsible for moderate-to-severe diarrhea in children younger than 5 years in Africa and Asia  
64 (8). For children under the age of 1 year, *Cryptosporidium* was the third most common cause of  
65 moderate-to-severe diarrhea, ranking higher than *Shigella*, norovirus, and *Salmonella*. Due to  
66 underdiagnosis and underappreciation of the disease, the true global burden of cryptosporidiosis  
67 is not known. The pervasive impact of cryptosporidiosis was illustrated by The Global Burden of  
68 Disease 2016 study which estimated that over 44.8 million episodes of diarrhea and 48,000  
69 deaths globally can be attributed to cryptosporidiosis annually (9). *Cryptosporidium* infections,  
70 while exerting the most detrimental effects in under-resourced countries, are also common to  
71 high-income countries. Due to the parasite's highly infectious nature and its resistance to water  
72 chlorination, it is a leading cause of waterborne disease in the United States (10-15).

73 As a historically neglected disease, cryptosporidiosis has only one drug, nitazoxanide,  
74 approved for treatment by the US Food and Drug Administration (FDA). While nitazoxanide is  
75 effective in treating otherwise healthy adults with parasite clearance reported in up to 93% of  
76 treated subjects (compared to 37% with placebo treatment) (16), its efficacy is greatly  
77 compromised in malnourished children in which the response rate dropped to only 56% following  
78 nitazoxanide treatment compared to the 23% with placebo control (17). No significant treatment  
79 benefit was observed when nitazoxanide was compared to placebo in Zambian children living  
80 with HIV (17, 18) supporting the belief that the efficacy of nitazoxanide is heavily dependent on  
81 the competency of infected individuals' immune system. Unfortunately, immunocompromised  
82 individuals and malnourished children, who may not benefit from nitazoxanide treatment, are  
83 those who are most vulnerable and suffer the most severe consequences of *Cryptosporidium*  
84 infection. Therefore, there is an urgent need for an effective and safe treatment that can be used  
85 to treat malnourished children and the immunocompromised. A traditional approach for drug

86 discovery in cryptosporidiosis would require screening against large collections of small  
87 molecules, and any hits would most likely need extensive optimization to improve safety,  
88 pharmacokinetics, and/or potency. While there are many promising, novel treatments in  
89 development, it is not clear if/when any of these new therapeutic candidates will be available in  
90 the clinic. Drug repurposing provides an alternative approach to cryptosporidiosis drug  
91 development, and repurposing candidates will often already have established safety, toxicity, and  
92 pharmacokinetic data (19, 20). Thus, drug repurposing can facilitate the delivery of new  
93 therapeutic interventions to the clinic by reducing the time and resources required for  
94 development.

95 Through an unprecedented phenotypic screening campaign by the California Institute for  
96 Biomedical Research (Calibr), ~80,000 compounds were screened in vitro against  
97 *Cryptosporidium*, and it was observed that the antimycobacterial clofazimine was active against  
98 both *C. parvum* and *C. hominis* (21). Clofazimine is an FDA-approved antimicrobial agent for the  
99 treatment of lepromatous leprosy (22, 23), and the therapeutic demonstrated in vivo efficacy in a  
100 preclinical mouse model of *Cryptosporidium* infection (21). Nevertheless, when a Phase 2a  
101 clinical trial conducted in HIV infected adults investigated clofazimine as a potential treatment of  
102 cryptosporidiosis, clofazimine treatment did not result in improved microbiological or clinical  
103 outcomes compared to placebo (24). The lack of treatment effect was observed with a clofazimine  
104 dosing regimen exceeding the daily recommended dosage and was the maximum given in clinical  
105 practice. In an effort to determine whether higher clofazimine concentrations in the Phase 2a trial  
106 may have led to a favorable therapeutic response, we undertook a  
107 pharmacokinetic/pharmacodynamic (PK/PD) modeling approach informed by previously  
108 unpublished preclinical in vivo studies to develop exposure-response relationships for clofazimine  
109 treatment of *Cryptosporidium* infection.

110

111

112 **Materials and methods**

113

114 *Ethics statement*

115

116 All in vivo studies were carried out in strict accordance with the recommendations in the  
117 Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. For the  
118 mouse model studies, the protocol (S13013) was approved by the Institutional Animal Care and  
119 Use Committee of the University of California, San Diego (Animal Welfare Assurance Number:  
120 A3033-01). For the calf model study, the protocol (09-120) was approved by the Institutional  
121 Animal Care and Use Committee of the University of Arizona, Tucson (Animal Welfare Assurance  
122 Number: A-3248-01). Calf studies were performed in compliance with guidelines in the Animal  
123 Welfare Act and *Guide for the Care and Use of Agricultural Animals in Research and Teaching*  
124 (Federation of Animal Science Societies). The ABSL-2 facilities used were fully accredited by the  
125 American Association for Laboratory Animal Care. All efforts were made to minimize suffering of  
126 animals employed in these studies.

127

128 *Clofazimine LC-MS/MS analysis*

129

130 Clofazimine levels in mouse and calf plasma were determined by liquid chromatography  
131 with tandem mass spectrometry (LC-MS/MS) using an Agilent 1100 (Santa Clara, California)  
132 coupled with an ABSciex API4000 (Foster City, California). A calibration curve was generated in  
133 plasma of the study species. Calibration curves were generated with concentrations ranging  
134 from 0.3 – 5,000 ng/mL. 250  $\mu$ L ice cold acetonitrile was added to 20  $\mu$ L of calibration curve  
135 sample or pharmacokinetic sample. Samples were shaken for 10 minutes followed by  
136 centrifugation at 4,000 rpm for 20 minutes. 200  $\mu$ L supernatant was removed from each sample  
137 into a new plate and 50  $\mu$ L 0.1% formic acid in HPLC grade water was added and mixed. 10  $\mu$ L  
138 of sample was injected for LC-MS/MS analysis. A 50 x 2.0 mm Kinetex RP 5.0 micron C-18  
139 column (Phenomenex, Torrance, California) was used for analyte separation with mobile  
140 phases A: water with 0.1% formic acid and B: acetonitrile with 0.1% formic acid at a flow rate of  
141 500  $\mu$ L/min. The binary gradient was as follows: 10% B for 2 minutes, 10% B to 95% B for 2.0-  
142 3.5 minutes, 95% B for 3.5-5.5 minutes, 95% B to 10% B for 5.0-5.5 minutes, and 95% B for  
143 5.50-7.0 minutes. Clofazimine was quantified using electrospray ionization in positive ion mode  
144 with the 473.2 to 431.0  $m/z$  transition. The source temperature was set at 450  $^{\circ}$ C, curtain gas at  
145 25, ion spray voltage at 3,000, CAD gas at medium, entrance potential at 10, declustering

146 potential at 121, and collision energy at 51. For each species, samples were analyzed over the  
147 course of a single day, and the coefficient of variation for calibration curve samples were all  
148 <15% with the exception of one concentration (5000 ng/mL in mouse plasma had a 23%  
149 coefficient of variation). For both the mouse and calf calibration curves, the  $R^2$  values  
150 were >0.95. The limit of detection (LOD) and lower limit of quantification (LLOQ) for clofazimine  
151 in mouse plasma were 0.15 and 0.3 ng/mL, respectively. For the calf study, the LOD and LLOQ  
152 were 0.3 and 1.2 ng/mL, respectively. The human clinical trial samples were analyzed by Q2  
153 solutions as previously described using a LC-MS/MS assay developed and validated according  
154 to FDA guidelines(24).

155

156

#### 157 *Mouse pharmacokinetic study*

158

159 8-week old male C57BL/6 mice were used to characterize the pharmacokinetics of  
160 clofazimine after a single oral dose. Clofazimine (USP reference standard, Sigma-Aldrich) dose  
161 levels of 0.03, 0.1, 0.3, 1, 3, 10, 30, 100, 300 mg/kg were formulated in 0.5% methylcellulose and  
162 0.5% Tween-80 (MC-Tween) and dosed at 5 mL/kg. Each dose group contained 6 mice  
163 randomized to 2 equal cohorts, where blood samples were collected at 0.5, 3, 8, and 120 hours  
164 post-dose for cohort 1 and at 1, 8, 24, 240 hours post-dose for cohort 2.

165

#### 166 *Mouse efficacy study*

167

168 The mouse model used to characterize clofazimine pharmacodynamics has been  
169 described in detail previously (21). Briefly, four- to five-week old female C57BL/6  $INF\gamma^{-/-}$  mice were  
170 inoculated with  $10^6$  purified *C. parvum* oocysts (Iowa strain) at a density of  $5 \times 10^6$  oocysts/mL in  
171 sterile water via oral gavage. Four days post infection, clofazimine was administered as a single,  
172 oral dose to infected mice at dose amounts of 0.03, 0.1, 0.3, 1, 3, 10, 30, 100, 300 mg/kg. Each  
173 dose group contained four male mice. Mice were placed in isolation to allow for collection of feces  
174 in 24-hour intervals post treatment for each mouse. Fecal pellets were weighed immediately after  
175 collection and placed in 0.5 mL 2.5% potassium dichromate solution and stored at 4°C until  
176 processing. Oocysts in fecal samples were quantified using a Guava EasyCyte flow cytometer  
177 and CytoSoft Data Acquisition and Analysis software (v5.3; Guava Technologies, Inc).

178

179 *Calf pharmacokinetic and efficacy study*

180

181 All calves were obtained from the same United States Department of Agriculture (USDA)  
182 licensed closed herd dairy vendor. Calves were fed commercial colostrum replacer within 2 h after  
183 birth (Bovine IgG Colostrum Replacement, Land O'Lakes, Shoreview, MN) per label instructions.  
184 A total of 12 calves were used, with 6 randomly assigned by the Microsoft Excel Random Number  
185 Generation Tool (Redmond, WA) to the treatment group and 6 to the control group. Experiment  
186 personnel were blinded to the treatment and control assignments during the course of the study.  
187 All calves were housed in an ABSL-2 facility in separate containment rooms. Precautions and  
188 disinfection measures were taken for the deliveries and housing of these calves to prevent  
189 unintended *Cryptosporidium* or other enteropathogen infection. The calves were fed antibiotic-  
190 free milk replacer (Nutrena Snowflakes Calf Milk II-Utiliz® Milk Replacer, Cargill Animal Nutrition,  
191 Minneapolis, MN) twice daily from 12 hours of age until termination of the experiment at day 10  
192 post infection. An oral electrolyte solution (Re-Sorb®, Pfizer) was supplemented once diarrhea  
193 developed in an animal.

194 At 36-48 hours of age (study day 0), each calf was infected by oral inoculation of  $5 \times 10^7$   
195 purified disinfected *C. parvum* oocysts (Iowa strain) (25). Starting at study day 2 (2 days post-  
196 infection), each calf in the treatment group received 30 mg/kg clofazimine twice daily (BID) over  
197 5 days for a total of 10 doses. Clofazimine was dosed in MC-Tween with a total dose volume of  
198 1 mL/kg. Control calves received only MC-Tween. Over the 5-day dosing period, a total of 16  
199 blood samples were collected to characterize clofazimine pharmacokinetics. On study days 2 and  
200 6, pharmacokinetic samples were collected immediately prior to the first dose of the day and 1, 2,  
201 4, 12, 13, and 24 hours post dose. In addition, predose pharmacokinetic samples were collected  
202 for doses 5 and 7. Stool samples were collected every 24 hours starting on study day 3. The total  
203 volume of feces excreted for successive 24 hours collections was recorded. Total daily oocyst  
204 counts for each calf were determined as previously described (25). Briefly, real-time quantitative  
205 polymerase chain reaction (PCR) was used to quantify *C. parvum* oocysts from feces collected  
206 over successive 24-hour periods which had been well-mixed using a commercial blender to  
207 ensure sample uniformity. Calves were also assigned numerical scores for the following variables  
208 twice daily: clinical symptoms, general health (willingness to rise, stance, rectal temperature,  
209 appetite and food intake, attitude, hydration status), presence or absence of diarrhea, and fecal  
210 consistency (26). All calves with the exception of one control calf were euthanized on study day  
211 10. The control calf euthanized on study day 9 was withdrawn from the study one day early due  
212 to bloat and severe diarrhea.

213

214 *Clofazimine Phase 2A trial for treatment of cryptosporidiosis*

215

216 Detailed description of the study design and outcomes were described previously (24).  
217 Briefly, the trial was a single-center, randomized, double-blind, placebo-controlled phase 2a trial  
218 conducted in Blantyre, Malawi (NCT#: 03341767). HIV infected adults between 18 and 65 years  
219 of age, weighing over 35.4 kg, who had received antiretrovirals for at least 1 month, experienced  
220 at least 14 days of diarrhea, and tested positive for *Cryptosporidium* infection by qPCR were  
221 eligible to enroll. Subjects were randomized 1:1 to receive oral clofazimine (n=12) or placebo  
222 (n=10). The dosage of clofazimine (Lamprene formulation) was the maximum given in clinical  
223 practice, 100 mg three times daily (TID) for participants who weighed  $\geq 50$  kg or 50 mg TID for  
224 participants who weighed  $< 50$  kg. Participants were dosed with Lamprene or placebo for a total  
225 of 5 days. Approximately 30 minutes before each clofazimine dose administration, subjects  
226 received Plumpy'Soy or Plumpy'Nut nutritional supplement.

227 Blood samples for pharmacokinetic measurements were collected on day 1 pre-dose, and  
228 2, 3, 4 hours post-first dose and immediately prior to the second and third dose. On days 2 and  
229 3, blood draws were taken immediately prior to each dose. On day 5, samples were taken  
230 immediately prior to each of the three doses, and 2, 3, and 4 hours post the first dose. Clofazimine  
231 concentration assessment was performed by Q2 Solutions (Ithaca, NY, USA) using liquid  
232 chromatography-tandem mass spectrometry (LC-MS/MS). The assay methods used were  
233 validated according to US Food and Drug Administration guidelines for quantification of  
234 clofazimine within the range of 1.0 ng/mL– 1000 ng/mL in human plasma. Stool samples were  
235 collected and assessed three times a day prior to each dose administration from day 1 to day 5,  
236 and once on day 6 prior to discharge. The presence of diarrhea and severity of diarrhea were  
237 recorded. All *Cryptosporidium* shedding was quantified using qPCR.

238

239 *Pharmacokinetic-pharmacodynamic modeling*

240

241 For all in vivo studies, pharmacokinetic parameters were initially estimated through non-  
242 compartmental analysis (NCA) using Phoenix WinNonlin version 8.3 (Certara, Princeton, NJ). To  
243 determine *Cryptosporidium* oocyst shedding rates for each subject, a linear regression line was  
244 fit to log-transformed daily oocyst shedding counts for the first 3 days beginning at the day of  
245 clofazimine treatment initiation. To determine the percent reduction in oocyst shedding, the oocyst



246 count 2 days post initial treatment was divided by the baseline oocyst count on the day of  
247 treatment initiation for each study subject, multiplied by 100, and subtracted from 100%.

248 To quantitatively evaluate the relationship between oocyst reduction rate and clofazimine  
249 dose in mice, an  $E_{\max}$  model was fitted using mean clofazimine concentration for the first 24 hours  
250 following treatment administration ( $Cavg_{0-24}$ ) at each dose level determined from the mouse  
251 pharmacokinetic study, and the individual rate of oocyst reduction following clofazimine  
252 administration as calculated from the mouse efficacy study (Equation 1).

253

$$254 \quad RR = E_0 + \frac{E_{\max} * Cavg_{0-24}^{\gamma}}{EC_{50}^{\gamma} + Cavg_{0-24}^{\gamma}} \quad \text{Equation 1}$$

255

256 RR is the rate of reduction in daily oocyst shedding,  $E_0$  is the rate of reduction observed in the  
257 vehicle control group,  $\gamma$  is the Hill coefficient,  $E_{\max}$  is the estimated maximum rate of reduction in  
258 daily oocyst shedding, and  $EC_{50}$  is the average clofazimine concentration at which half the  
259 maximum rate of reduction in oocyst shedding can be achieved.

260 A dichotomous variable was also designed to explore the relationship between treatment  
261 success and average clofazimine concentration. A “success” event was defined for achieving a  $\geq$   
262 90% reduction in oocyst count by comparing day 6 oocyst shedding numbers to the baseline  
263 oocyst count at treatment initiation (day 4 post infection). A logistic model was developed to  
264 describe the relationship between the probability of “success” for each individual mouse in the  
265 efficacy study and the mean clofazimine concentration  $Cavg_{0-24}$  for its corresponding dose group  
266 in the pharmacokinetic study (Equation 2).

267

$$268 \quad \log\left(\frac{p}{1-p}\right) = \beta_0 + \beta_1 \times Cavg_{0-24} \quad \text{Equation 2}$$

269

270  $p$  is the probability of achieving a “success” event (i.e., the probability of achieving a  $\geq 90\%$   
271 reduction in oocyst count).  $EC_{50}$ , the average clofazimine concentration at which the probability  
272 of “success” is 0.50, can be estimated by substituting 0.50 for  $p$  in the equation.  $\beta_0$  and  $\beta_1$  are  
273 the intercept and slope of the equation, respectively, describing how the logit function of  $p$   
274 changes in response to changes in  $Cavg_{0-24}$ .

275 Lastly, a time-to-event outcome variable was adopted to study the relationship between  
276 average clofazimine concentration and the time to achieve treatment success, defined as a  $\geq 90\%$   
277 reduction in oocyst counts compared to day 4 post infection (day of treatment initiation). The  
278 number of days following clofazimine administration each mouse took to achieve “success” was

279 compared to the  $Cavg_{0-24}$  for its corresponding dose group in the pharmacokinetic study. Since  
 280 one mouse at the 0.1 mg/kg dose level did not achieve “success” by study termination, it was  
 281 excluded from this analysis (N = 39). An  $E_{max}$  model similar to Equation 1 was fitted to describe  
 282 the relationship (Equation 3).

283

$$284 \quad TTE = E_0 + \frac{E_{max} \times Cavg_{0-24}^\gamma}{EC_{50}^\gamma + Cavg_{0-24}^\gamma} \quad \text{Equation 3}$$

285

286  $E_0$  is the average number of days after treatment initiation to achieve “success” for the control  
 287 group, and this value was fixed at 6.50 days based on the observed data.  $E_{max}$  is the largest  
 288 possible change in the number of days to achieve “success” due to a treatment effect and was  
 289 set to -5.50 days. TTE is the time-to-event (achieving  $\geq 90\%$  oocyst reduction) in days,  $\gamma$  is the Hill  
 290 coefficient, and  $EC_{50}$  is the  $Cavg_{0-24}$  at which the time to “success” is reduced by half of the  
 291 estimated maximum change possible. By fixing  $E_0$  and  $E_{max}$ , the lower bound of TTE was  
 292 designated to be 1 day. In other words, when the clofazimine concentration is high enough to  
 293 produce the maximum treatment effect, the least amount of time required to achieve treatment  
 294 success would be the sum of  $E_0$  and  $E_{max}$ , which is 1 day post clofazimine treatment initiation.  
 295 This would be the minimum duration possible and plausible given the daily sampling schedule.

296 Since no baseline oocyst shedding data on study day 2 (day of clofazimine treatment  
 297 initiation) was collected for the calf study, the rate of reduction in oocyst shedding immediately  
 298 following treatment initiation and percent reduction comparing daily oocyst counts to the predose  
 299 baseline cannot be calculated as done for the mouse study. Instead, area under the curves (AUCs)  
 300 were calculated for oocyst count, fecal volume, fecal consistency, urine volume, and clinical score  
 301 for the entire duration of which each outcome was assessed. The relationship between the  
 302 pharmacodynamic (PD) endpoint and clofazimine  $Cavg_{0-24}$  and  $Cavg_{96-108}$  was described by a  
 303 linear regression model (Equation 4).

304

$$305 \quad PD \text{ endpoint} = \beta_0 + \beta_1 \times Cavg \quad \text{Equation 4}$$

306

307 The outcome variables “PD endpoint” examined include oocyst count  $AUC_{24-192}$  (from 24 to 192  
 308 hours post clofazimine initiation), fecal volume  $AUC_{24-192}$ , fecal consistency score  $AUC_{0-192}$ , urine  
 309 volume  $AUC_{24-192}$ , and clinical evaluation score  $AUC_{24-192}$ .  $\beta_0$  is the intercept of the equation and  
 310 estimates the level of the PD endpoint without clofazimine treatment.  $\beta_1$  is the slope, describing

311 the direction and magnitude that a PD endpoint changes with changing clofazimine average  
312 concentration. The  $C_{avg}$  examined includes  $C_{avg_{0-24}}$  and  $C_{avg_{96-108}}$ .

313

314 *Statistical Analysis*

315

316 Linear regression, logistic regression, and  $E_{max}$  models were used to model the  
317 associations between outcome and clofazimine concentration variables. Kaplan-Meier curves and  
318 log-rank tests were used for time-to-event comparisons. Bonferroni correction for multiple  
319 comparisons was employed for comparing fecal volume, fecal consistency, urine volume, and  
320 clinical scores of the treatment and control groups in the calf study. Statistical analyses were all  
321 carried out using R version 3.6.1 (2019-07-05).

322 **Results**

323

324 *Clofazimine pharmacokinetics and efficacy in mice*

325

326 When administered orally to mice as single doses ranging from 0.03 mg/kg to 300 mg/kg,  
327 clofazimine was observed to have non-linear kinetics (**Table 1**). While the reason for the non-  
328 linearity is unclear, the less than proportional increase in exposure with increasing dose may  
329 reflect a reduction in gastrointestinal absorption (i.e., lower oral bioavailability) due to its poor  
330 aqueous solubility.

331 The efficacy of clofazimine in a mouse model of *Cryptosporidium* infection was dose  
332 dependent. In the mouse efficacy study, a single, oral dose of clofazimine ranging from 0.03 mg/kg  
333 to 300 mg/kg was administered 4 days after oral inoculation with  $5 \times 10^6$  *C. parvum* oocysts/mouse  
334 (n= 4/group) and oocyst shedding was measured daily up to 10 days after treatment initiation. It  
335 was observed that while oocyst counts declined over time for all treatments, a dose-dependent  
336 difference in the rate and onset of decline existed over the two days post treatment (**Fig. 1A**). The  
337 results, when summarized by dose group, demonstrated that a reduction in oocyst shedding rate  
338 between day 4 and day 6 post-infection was absent for clofazimine doses lower than 3 mg/kg but  
339 started to manifest at clofazimine doses  $\geq 3$  mg/kg (**Fig. 1B**). The reduction in oocyst shedding  
340 rate appeared to increase with increasing clofazimine dose and was the greatest at the highest  
341 dose level, 300 mg/kg. When fit with an  $E_{\max}$  model (**Fig. 1C**, Equation 1) which describes the  
342 relationship between average clofazimine concentration over the 24 hours post treatment and the  
343 rate of oocyst reduction, it was estimated that  $E_0$  was  $-0.670$  log (oocysts)/mg feces/day,  $E_{\max}$  was  
344  $3.46$  log (oocysts)/mg feces/day, Hill coefficient was 1, and  $EC_{50}$  was 316 ng/mL with a 95%  
345 confidence interval range of 205-480 ng/mL. These estimates were used to calculate an  $EC_{90}$   
346 value of 2,770 ng/mL with a 95% confidence interval range of 1840-4320 ng/mL (i.e.,  $Cav_{90-24}$   
347 associated with 90% of maximum reduction in oocyst shedding rate).

348 In addition to changes in oocyst shedding rate in the initial two days post clofazimine  
349 administration, a dichotomous variable was designed where “success” was defined as achieving  
350 a 90% reduction in oocyst counts by comparing day 6 oocyst shedding numbers to the baseline  
351 oocyst count on day 4 post infection. A logistic model was developed to characterize the  
352 relationship between “success” and clofazimine  $Cav_{90-24}$  (**Fig. 1D**, Equation 2). Based on this  
353 logistic model, the  $EC_{50}$ , which is concentration at which there is a 0.50 probability of achieving  
354 “success”, was estimated to be 394 ng/mL and  $EC_{90}$  to be 589 ng/mL.

355 A time-to-event outcome variable was also used to identify differences in efficacy between  
356 clofazimine treatment groups. A “success” event was designated as a 90% reduction in oocyst  
357 infection burden by comparing oocyst shedding counts on each day to the baseline oocyst count  
358 at treatment initiation, similar to the dichotomous approach. However, instead of focusing on  
359 whether “success” was achieved by a certain time point, the time-to-event variable evaluated how  
360 quickly “success” was achieved. At lower doses, 90% reduction in oocyst shedding (i.e., success)  
361 was generally achieved around 6 days post treatment (**Fig. 1E**). However, at higher dose levels  
362 of 30 mg/kg, 100 mg/kg, and 300 mg/kg, 90% reduction was observed as early as 1 day post  
363 treatment. The median number of days mice in these dose groups needed to achieve 90%  
364 reduction in oocyst shedding was 1 day. The data were fitted with an  $E_{\max}$  model to describe the  
365 relationship between time to reach 90% oocyst reduction and  $C_{\text{avg}_{0-24}}$  (**Fig. 1F**, Equation 3). The  
366 curve illustrates that with increasing clofazimine concentrations, the time needed to achieve a 90%  
367 reduction in oocyst shedding was reduced.  $E_0$  was set to 6.50 days post treatment and  $E_{\max}$  was  
368 fixed at -5.50 days post treatment. The Hill coefficient was estimated to be 1,  $EC_{50}$  was 227 ng/mL,  
369 and  $EC_{90}$  was 2,040 ng/mL.

370

#### 371 *Clofazimine pharmacokinetics and efficacy in calves*

372

373 The microbiological and clinical efficacy of clofazimine treatment was characterized in an  
374 established calf model of cryptosporidiosis. Clofazimine concentrations observed with 30 mg/kg  
375 clofazimine BID x 5 days treatment for the 6 calves in the treatment group are plotted in Figure  
376 2A. The mean  $\pm$  standard deviation (SD) clofazimine concentrations over the initial 24 hours,  
377  $C_{\text{avg}_{0-24}}$ , and 96-108 hours post treatment initiation,  $C_{\text{avg}_{96-108}}$ , were  $500 \pm 248$  ng/mL and  $1,330$   
378  $\pm 605$  ng/mL, respectively. Daily oocyst shedding counts for calves in the treatment and control  
379 groups demonstrated that the mean oocyst counts for the treatment group tended to be lower  
380 than those of the control group for the entire duration of the study (**Fig. 2B**), although the  
381 differences were not statistically significant with the single dosing regimen tested and the number  
382 of calves involved in this study. Clinical endpoints other than oocyst counts were also collected,  
383 including daily fecal volume, fecal consistency score, clinical evaluation score, and daily urine  
384 volume. The profiles of these endpoints in the treatment group and the control group animals are  
385 summarized in Figure 2C-F. Daily fecal volume and fecal consistency scores are indicators of the  
386 severity of diarrhea. While the mean daily fecal volume profiles were not different between the  
387 control and the treatment groups, the mean fecal consistency score profiles suggested that the  
388 treatment group animals tended to have less severe diarrhea compared to the control group

389 animals (**Fig. 2C, D**). The mean daily urine volume profile showed that the treatment group calves  
390 tended to produce more urine than the control group calves (**Fig. 2E**), with the difference being  
391 significant on days 3 and 4 post infection (within 2 days post treatment initiation). Thus, there  
392 were less signs of dehydration in the treatment calves. The clinical evaluation score summarizes  
393 clinical symptoms, general health observations, presence or absence of diarrhea, and fecal  
394 consistency, where a lower score represents better clinical outcome. The mean clinical evaluation  
395 score profile for the two groups suggested that the treatment group tended to have lower clinical  
396 scores, thus better clinical outcomes (**Fig. 2F**). The clinical scores were significantly better for the  
397 treatment group compared to the control group on days 3 and 7 post infection.

398 To better evaluate the effect of clofazimine quantitatively, additional modeling approaches  
399 were undertaken. Linear regression models were employed to examine the relationship between  
400 PD outcomes including oocyst count  $AUC_{24-192}$ , fecal volume  $AUC_{24-192}$ , fecal consistency score  
401  $AUC_{0-192}$ , urine volume  $AUC_{24-192}$ , clinical evaluation scores  $AUC_{24-192}$ , and exposure variables  
402 clofazimine  $Cav_{g0-24}$  and clofazimine  $Cav_{g96-108}$ . The results are summarized in Table 2 and Figure  
403 3A, B. While clofazimine  $Cav_{g0-24}$  was significantly and inversely associated with oocyst count  
404  $AUC_{24-192}$  ( $P \leq 0.01$ ), clofazimine  $Cav_{g96-108}$  was not significantly associated with oocyst count  
405  $AUC_{24-192}$  ( $P = 0.24$ ). There was a significant, negative correlation between fecal consistency  
406 score and clofazimine  $Cav_{g0-24}$  and  $Cav_{g96-108}$  ( $P \leq 0.05$ ). The clinical evaluation score  $AUC_{24-192}$   
407 and clofazimine  $Cav_{g96-108}$  was also negatively correlated and statistically significant ( $P \leq 0.05$ ).  
408 However, while fecal volume  $AUC_{24-192}$  and urine volume  $AUC_{24-192}$  may be negatively and  
409 positively correlated with clofazimine  $AUC_{0-192}$ , respectively, statistical significance was not  
410 achieved with the data collected in this experiment. Lastly, for easy visual comparison, boxplots  
411 were used to summarize the four additional clinical endpoints by treatment groups (**Fig. 3C**).  
412 Although the clofazimine treatment group appears to have better outcomes for all four PD  
413 endpoints, the difference was not statistically significant after Bonferroni correction (**Fig. 3C**).

414

415

#### 416 *Clofazimine Phase 2a trial pharmacokinetics and efficacy*

417

418 As previously reported, a Phase 2A trial demonstrated that clofazimine treatment failed to  
419 improve cryptosporidiosis microbiological or clinical outcomes in a population of HIV infected  
420 adults (24). A high level of variability was observed in clofazimine concentrations among the 12  
421 subjects who were randomized to receive treatment (**Fig. 4A**). Of the 12 participants, 10 weighed  
422 less than 50 kg at study enrollment and thus received 50 mg clofazimine TID whereas only 2

423 weighed over 50 kg and received 100 mg clofazimine TID. Therefore, clofazimine  $C_{avg_{0-24}}$  and  
424  $C_{avg_{96-108}}$  values were calculated from 10 participants and 2 participants for 50 mg TID and 100  
425 mg TID dose levels, respectively (**Table 3**). Due to the high level of observed variability in  
426 pharmacokinetics and the limited number of subjects available, especially at the 100 mg TID  
427 clofazimine dosing regimen, there was considerable uncertainty to the mean estimates.  
428 Furthermore, one participant receiving 50 mg TID clofazimine treatment had relatively high levels  
429 of clofazimine in their plasma (**Fig. 4A**). The extreme levels of clofazimine observed with the  
430 participant had further contributed to the uncertainties in mean clofazimine  $C_{avg}$  estimates. The  
431  $C_{avg_{0-24}} \pm SD$  for the 10 subjects receiving 50 mg TID dose of clofazimine was  $63.0 \pm 79.4$  ng/mL,  
432 and the  $C_{avg_{0-24}} \pm SD$  for the 2 subjects receiving 100 mg TID dose of clofazimine was  $50.1 \pm$   
433  $45.3$  ng/mL (**Table 3**).  $C_{avg_{96-108}} \pm SD$  was estimated to be  $233 \pm 340$  ng/mL and  $182.0 \pm 60.0$   
434 ng/mL for the 50 mg TID and 100 mg TID clofazimine dose groups, respectively (**Table 3**). To  
435 compare daily oocyst shedding between treatment and placebo groups, the mean and SD of daily  
436 oocyst shedding counts in the first stool collected in the morning were determined and plotted for  
437 the two groups (**Fig. 4B**). No apparent effect of clofazimine treatment on lowering oocyst shedding  
438 counts was observed. Similarly, no correlation was clearly seen comparing the rate of reduction  
439 in oocyst shedding counts to clofazimine  $C_{avg_{0-24}}$  (**Fig. 4C**) or  $C_{avg_{96-108}}$  (Supplemental Material).  
440 A time-to-event approach was also taken to explore potential clofazimine treatment effects.  
441 Defining 90% oocyst shedding count reduction as the “success” event, the amount of time taken  
442 to achieve “success” was plotted against clofazimine  $C_{avg_{0-24}}$  (**Fig. 4D**) or  $C_{avg_{96-108}}$   
443 (Supplemental Material). No significant correlation between the concentration and response  
444 variables was detected (**Table 4**). Although the trend between clofazimine exposure and time to  
445 success appears to be negative, where increasing clofazimine seems to be associated with less  
446 time to achieve 90% reduction in oocyst shedding counts, it is mostly driven by two subjects with  
447 high clofazimine exposure. The lack of varying clofazimine doses and large exposure range is a  
448 great limitation of the analyses. A Kaplan-Meier curve was used as an alternative approach to  
449 detect potential differences in the amount of time taken to achieve 90% oocyst shedding count  
450 reduction between treatment and placebo groups (**Fig. 4E**). However, no significant difference  
451 was found, as shown by the log rank test ( $P = 0.47$ ).

452  
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455

456 **Discussion**

457 Despite the observed efficacy in preclinical models of cryptosporidiosis, clofazimine  
458 administration failed to reduce the parasitic excretion in cryptosporidiosis in a Phase 2a trial (24).  
459 Our PK/PD modeling approach described in this manuscript addresses the critical question  
460 whether subtherapeutic clofazimine concentrations for cryptosporidiosis therapy contributed to  
461 the lack of clinical and microbiological efficacy against cryptosporidiosis.

462 Through oocyst shedding profiles observed in mice after clofazimine treatment, there was  
463 a clear dose dependent decline in oocyst shedding within 2 days of clofazimine oral administration  
464 (**Fig. 1A**). The mouse model of *Cryptosporidium* infection used in our studies does not exhibit  
465 clinical signs of cryptosporidiosis (e.g., loose stool), so outcomes were limited to microbiological  
466 responses to treatment. Oocyst shedding decreased over time for all dose groups, but the  
467 magnitude and onset of the decrease in infection was dependent on the dose amount with doses  
468  $\geq 3$  mg/kg required for an oocyst reduction rate greater than control. While this promising result  
469 supported a dose-response relationship for clofazimine treatment of *Cryptosporidium* infection in  
470 mice, ideally the response to clofazimine treatment will also be associated with therapeutic  
471 concentrations (i.e., exposure-response relationship) that can provide further guidance for dose  
472 selection in larger animal studies and clinical trials.

473 Since clofazimine was observed to have non-linear pharmacokinetics at the dose levels  
474 used in the mouse efficacy study, the relationship between oocyst reduction and clofazimine  
475 concentrations was explored with several models. The model estimates suggested a simple  $E_{max}$   
476 model was adequate in describing the observed data as the  $E_{max}$  model line well captured the  
477 shape of the observed trend (Equation 1, **Fig. 1C**). Based on the  $E_{max}$  model, the estimated  
478 clofazimine concentrations that are associated with achieving 50% and 90% of the maximum rate  
479 of reduction ( $EC_{50}$  and  $EC_{90}$ ) were estimated to be 316 ng/mL and 2,770 ng/mL, respectively.

480 In addition to the rate of oocyst reduction model, a second approach taken was to use a  
481 dichotomous variable to define an outcome as either treatment success or failure for the mouse  
482 data (Equation 2). Here, the “success” threshold of reaching a parasite reduction by at least 90%  
483 of baseline has often been used to define parasitological success in other parasitic infectious  
484 disease studies such as clinical studies investigating malaria treatments (27, 28). The assumption  
485 for this definition of “success” was that a 90% or greater reduction in oocyst shedding 2 days post  
486 clofazimine treatment was the threshold for clinically significant treatment success, whereas  
487 anything below 90% was insufficient. The logistic model developed to characterize the  
488 relationship between the dichotomized outcomes (success/no success) and clofazimine  $Cav_{90-24}$   
489 again illustrated that there was a clofazimine concentration dependent increase in achieving



490 treatment success (**Fig. 1D**). Furthermore, the predicted  $EC_{50}$  value was 394 ng/mL which was  
491 well aligned with the  $EC_{50}$  predicted with the rate of oocyst reduction model (Equation 1). It should  
492 be noted the artificially introduced threshold of 90% and the inflexible nature of using a  
493 dichotomous outcome variable are two limitations of this approach. This dichotomous outcome  
494 and logistic model approach do not distinguish between varying degrees of “failure”; a case with  
495 89% reduction in oocyst shedding was treated the same as where there was an entire absence  
496 of reduction, or even a case where there was an increase in oocyst shedding. This also partially  
497 explains why the logistic model predicted  $EC_{90}$  of 589 ng/mL was much lower than the  $E_{max}$  model  
498 predicted  $EC_{90}$  of 2,770 ng/mL described previously for the rate of oocyst reduction model. The  
499 black-and-white nature of the outcome variable definition led to a sharp increase in  $p$ , the  
500 probability of achieving “success”. However, these limitations do not take away the virtue of this  
501 approach. Importantly, this approach provided a second layer of easily visualizable and  
502 appreciable evidence that increasing clofazimine plasma concentration was correlated with  
503 increasing probability of achieving successful reduction in oocyst shedding within 2 days post  
504 treatment.

505 Lastly, a time-to-event outcome variable was employed to examine the mouse data  
506 (Equation 3). This approach recognizes that *Cryptosporidium* infection can be self-limiting and  
507 that oocyst numbers may drop over time even without treatment. The clearance of  
508 *Cryptosporidium* infection by the natural immune response was addressed by  $E_0$ , which specifies  
509 that on average 6.50 days are needed to achieve 90% oocyst reduction without any clofazimine  
510 treatment. The treatment effect of clofazimine evaluated was its ability to induce and accelerate  
511 the elimination of oocysts in the feces. The  $E_{max}$  model fit to the time-to-event outcome variable  
512 and clofazimine  $C_{avg_{0-24}}$  describes how increasing clofazimine exposure decreased time to clear  
513 the infection by 90% until it reached the sum of  $E_0$  and  $E_{max}$ , which is the least number of days  
514 needed to achieve “success” and set to 1 day (**Fig. 1F**). From this  $E_{max}$  model, a clofazimine  
515  $C_{avg_{0-24}}$  of 227 ng/mL was needed to reduce the time to success by 50% of the maximum  
516 reduction possible and a  $C_{avg_{0-24}}$  of 2,040 ng/mL is needed to reduce the time by 90%. This time-  
517 to-event approach further supports clofazimine treatment effect on facilitating *Cryptosporidium*  
518 oocyst clearance. However, it should be noted that this approach has its limitations. Because one  
519 mouse was excluded from the analysis as it never reached 90% reduction during the study, the  
520  $EC_{50}$  and  $EC_{90}$  calculated were conservative estimates, meaning that they were lower than the  
521 real values had that mouse been followed until “success” was achieved. Sensitivity analysis (not  
522 shown) was performed to ensure that the exclusion of the one mouse did not significantly change  
523 the  $EC_{50}$  and  $EC_{90}$  or impact our conclusion. Furthermore, as the estimates were conservative,

524 they would not compromise the validity of our analysis. While this model is not perfect, it is  
525 adequate and effective for our use as a piece of supporting evidence.

526 In addition to the mouse studies, an established calf model of cryptosporidiosis was used  
527 to further investigate the in vivo efficacy of clofazimine. Unlike mice, the calf model exhibits clinical  
528 symptoms of cryptosporidiosis which allowed us to explore whether clofazimine treatment was  
529 associated with both microbiological and clinical outcomes. Daily oocyst count profiles of the  
530 control and the treatment group suggested that calves in the clofazimine treatment group had  
531 lower infection burden for the duration of the study (**Fig. 2B**). While fecal volume did not differ  
532 much between the two groups (**Fig. 2C**), fecal consistency score tended to be lower for the  
533 treatment group, suggesting that the treated calves produced more solid stool and had lower  
534 diarrhea severity (**Fig. 2D**). The urine volume was generally greater and clinical evaluation score  
535 lower for the treatment calves indicating that treated calves were less dehydrated and had better  
536 overall health, compared to the control calves (**Fig. 2E, F**). However, most PD measurements  
537 except for fecal consistency score were not collected the day of clofazimine treatment initiation  
538 but instead starting 1 day post treatment initiation. Thus, baseline pretreatment values were  
539 missing for these endpoints, and the rate of reduction in oocyst counts and percentage reduction  
540 compared to baseline values could not be calculated in a manner analogous to the mouse efficacy  
541 study. Instead, an AUC was calculated for each outcome measure using all available data. The  
542 assumption for comparing the outcome AUCs between the treatment and control groups was that  
543 these two groups were not inherently different at baseline in disease severity and parasite burden.  
544 Although there is no definitive evidence to eliminate all doubt around this assumption without  
545 baseline measurements, these calves were infected with the same number of purified disinfected  
546 *C. parvum* oocysts at the same age and treated in the same fashion for the duration of the study.  
547 There is no reason to believe that the two groups would be different from each other. Furthermore,  
548 fecal consistency scores, the only PD outcome that was collected at treatment initiation, were  
549 very similar between the treatment and the control groups on day 2 post infection. This supports  
550 the notion that the treatment and control calves were similar in disease severity prior to  
551 clofazimine treatment and that differences observed between the groups are most likely due to  
552 clofazimine treatment.

553 Using clofazimine pharmacokinetic data collected in tandem with efficacy data for each  
554 calf, linear regression models were used to identify relationships between clofazimine  
555 concentrations and microbiological and clinical outcomes. For this approach, potential  
556 correlations were investigated between clofazimine concentration variables,  $C_{avg0-24}$  and/or  
557  $C_{avg96-108}$ , and PD outcomes, including oocyst count, fecal volume, fecal consistency score, urine

558 volume, and clinical evaluation scores (25). A significant association between clofazimine  $C_{avg_{0-24}}$   
559 and oocyst count was identified ( $P \leq 0.01$ ) where an increasing average clofazimine  
560 concentration was associated with reduced oocyst counts (**Table 2**). In addition, a significant,  
561 correlation was also found between fecal consistency score (improved) and average clofazimine  
562 concentrations ( $P \leq 0.05$ ) (**Table 2**). Lastly, there was a statistically significant association  
563 between clinical evaluation score and clofazimine  $C_{avg_{96-108}}$  ( $P \leq 0.05$ ) indicating that increasing  
564 average clofazimine concentrations were correlated with reduced infection burden, less severe  
565 diarrhea, and better overall health (**Table 2**). When boxplots were used to compare the clinical  
566 outcomes by treatment groups, the clofazimine treated group tended to have lower fecal volume,  
567 better fecal consistency, higher urine volume, and lower clinical scores, all in favor of clofazimine  
568 treatment effect in alleviating diarrhea symptoms and improving overall health. However, the  
569 differences between the groups were not statistically significant when Bonferroni correction  
570 adjusting for multiple comparisons was used (**Fig. 3C**). Overall, the calf study suggested that  
571 clofazimine treatment at 30 mg/kg BID might have had some effect in reducing oocyst burden and  
572 improving clinical outcomes in calves, but its effect was at best marginal and did not produce  
573 consistent statistical significance. However, this lack of significance is likely attributable to a  
574 number of reasons including suboptimal clofazimine exposure, limited range in clofazimine  
575 exposure due to testing only one dose regimen, and limited number of calves included in this  
576 study. Using a model predicted  $EC_{90}$  of 2,770 ng/mL from the Emax oocyst reduction rate model  
577 generated with mouse data, it was calculated that the observed clofazimine  $C_{avg_{0-24}}$  500 ng/mL  
578 and  $C_{avg_{96-108}}$  1,330 ng/mL in calves were only 17.6% and 46.8% of the estimated  $EC_{90}$ ,  
579 respectively (**Table 3**). It is likely that doses higher than 30 mg/kg would be needed to produce  
580 more pronounced treatment effects and/or more calves needed to increase the power of the study.  
581 The lack of consistently significant treatment effects in the calf study does not prove that there  
582 was no clofazimine treatment effect. Instead, it might have been the product of suboptimal  
583 clofazimine exposure and the study being underpowered due to feasibility concerns inherent in  
584 using an outbred population of animals.

585         When clinical trial data of clofazimine pharmacokinetics in HIV infected adults with  
586 cryptosporidiosis were characterized in this manuscript, clofazimine concentrations were clearly  
587 lower than those associated with efficacy in the preclinical disease models. When the clofazimine  
588 efficacy and pharmacokinetic data observed in the clinical trial were reported previously, there  
589 were no data available at the time to determine whether clofazimine concentrations observed in  
590 the trial were analogous to those associated with efficacy in preclinical animal models (24).  
591 Furthermore, given that the dosing regimen for the Phase 2a trial was beyond the recommended

592 dosage for mycobacterial therapy, there was limited clinical data to support clofazimine plasma  
593 levels expected in healthy individuals with the dosing regimen and no data to support plasma  
594 concentrations expected in diarrheic humans. With the preclinical data presented in this  
595 manuscript, it is now abundantly clear that clofazimine levels in the Phase 2a trial were well below  
596 those associated with efficacy in preclinical models (**Fig. 4A, Table 3**). Thus, it is not surprising  
597 that the oocyst shedding profiles were very similar between the treatment and control group  
598 participants (**Fig. 4B**). When the rate of reduction in oocyst shedding was calculated for the clinical  
599 data just as how it was done for the mouse efficacy study, there was no trend between the rates  
600 of reduction and average clofazimine concentration on day 1 of treatment (**Fig. 4C**). Furthermore,  
601 linear regression models and a time-to-event approach did not identify any significant associations  
602 between microbiological responses and average clofazimine concentrations on days 1 or 5 of  
603 treatment (**Table 4**). A Kaplan-Meier curve was used to compare the number of days taken post  
604 clofazimine treatment initiation to achieve 90% oocyst reduction between the treatment and the  
605 control groups (**Fig. 4E**), and clofazimine treatment did not affect the probability of achieving 90%  
606 oocyst reduction. Although this complete lack of clinical efficacy may appear to be conflicting with  
607 the promising preclinical in vivo model results, it is explainable once observed clofazimine  
608 exposure levels in the trial are compared to the clofazimine concentrations associated with  
609 efficacy in preclinical models (**Table 3**). The observed clofazimine  $C_{avg0-24}$  and  $C_{avg96-108}$  in the  
610 Phase 2a trial participants were more than 80% below the estimated  $EC_{90}$ . Therefore, it is likely  
611 that the clofazimine doses administered in the clinical trial, which were greater than the highest  
612 approved doses to treat leprosy, were too low to treat cryptosporidiosis. The model prediction and  
613 actual observation comparisons in humans were made using the rate of oocyst reduction  $E_{max}$   
614 model (Equation 1) generated with mouse data because this model rests on the least number of  
615 assumptions and does not involve an artificially defined dichotomous variable. Using the other  
616 two models as references would not change our conclusion as the observed average clofazimine  
617 concentrations are still orders of magnitude below the model predicted  $EC_{90}$  values. It should be  
618 noted that clofazimine has >99% plasma protein binding in mice and humans, and we did not  
619 consider the impact of plasma protein binding in our modeling approach (29, 30). Interestingly,  
620 the predicted, unbound  $EC_{50}$  values for the three PK/PD models developed with mouse data were  
621 ~3 ng/mL which is very similar to the clofazimine  $EC_{50}$  value of 7 ng/mL observed in an established  
622 in vitro HCT-8 co-culture model of infection (21).

623 Finally, it should be noted that the PK/PD relationships described in this manuscript are  
624 informed by plasma drug levels that may not represent therapeutic concentrations at the site of  
625 action (i.e., gastrointestinal tract). Our previous studies have established that gastrointestinal

626 therapeutic levels, not plasma levels, were associated with the efficacy of bumped kinase  
627 inhibitors for treatment of *Cryptosporidium* infection in mice (31). For clofazimine, metabolism  
628 and/or transport are not expected to impact therapeutic absorption from the gastrointestinal tract  
629 which would be modulated by clofazimine's solubility and permeability. Therefore, clofazimine  
630 observed in plasma would reflect compound that went into solution in the intestinal lumen and  
631 likely transited through the gastrointestinal epithelium by a transcellular pathway. Transcellular  
632 transit is important given the localization of *Cryptosporidium* spp. to intracellular but extracytosolic  
633 parasitophorous vacuoles located beneath the apical plasma membrane of infected intestinal  
634 epithelial cells as this localization suggests that therapeutic exposure within intestinal epithelial  
635 cells is essential for therapeutic efficacy (31-34).

636         Moving forward, the results described here demonstrate clofazimine's failure to treat  
637 cryptosporidiosis in a Phase 2a trial was most likely due to the concentrations observed in the  
638 study participants being too low for treatment of cryptosporidiosis. The dosage of clofazimine  
639 administered in the Phase 2a trial was the maximum given for leprosy in clinical practice, and an  
640 ~70-fold higher dose would be required to achieve an average clofazimine concentration value  
641 equal to the EC<sub>90</sub> value determined in mice (35). Not only would such a large dose increase  
642 present safety concerns, clofazimine has very poor solubility and it should not be assumed that  
643 increasing the dose of Lamprene, a gelatin capsule with a microcrystalline clofazimine suspension  
644 in an oil-wax base, will generate therapeutic levels in humans associated with efficacy against  
645 infection in preclinical in vivo models. To address challenges in improving clofazimine absorption  
646 for treatment of cryptosporidiosis, clofazimine nanoparticle powder formulations have been  
647 developed, but it is not clear whether these novel formulations will generate clofazimine  
648 concentrations required for efficacy in humans (36). In conclusion, unless alternative, safe  
649 clofazimine formulations with improved oral absorption are developed, it is unlikely that  
650 clofazimine will provide a remedy for the large number of cryptosporidiosis patients currently  
651 without a viable treatment option.

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658 Contributors

659 CZ, ML, CM, SJ, AW, DS, MR, PI, and SA participated in the study design.

660 ML, AW, VC, DB, and DS were involved in specimen processing and laboratory testing.

661 CZ, ML, CM, SJ, AW, DS, MR, DB and SA were involved in data analysis and interpretation.

662 CZ did the statistical analysis and prepared the figures and tables.

663 CZ wrote the first draft of the manuscript.

664 All authors contributed to the writing of the manuscript and approved the final version for

665 submission.

666

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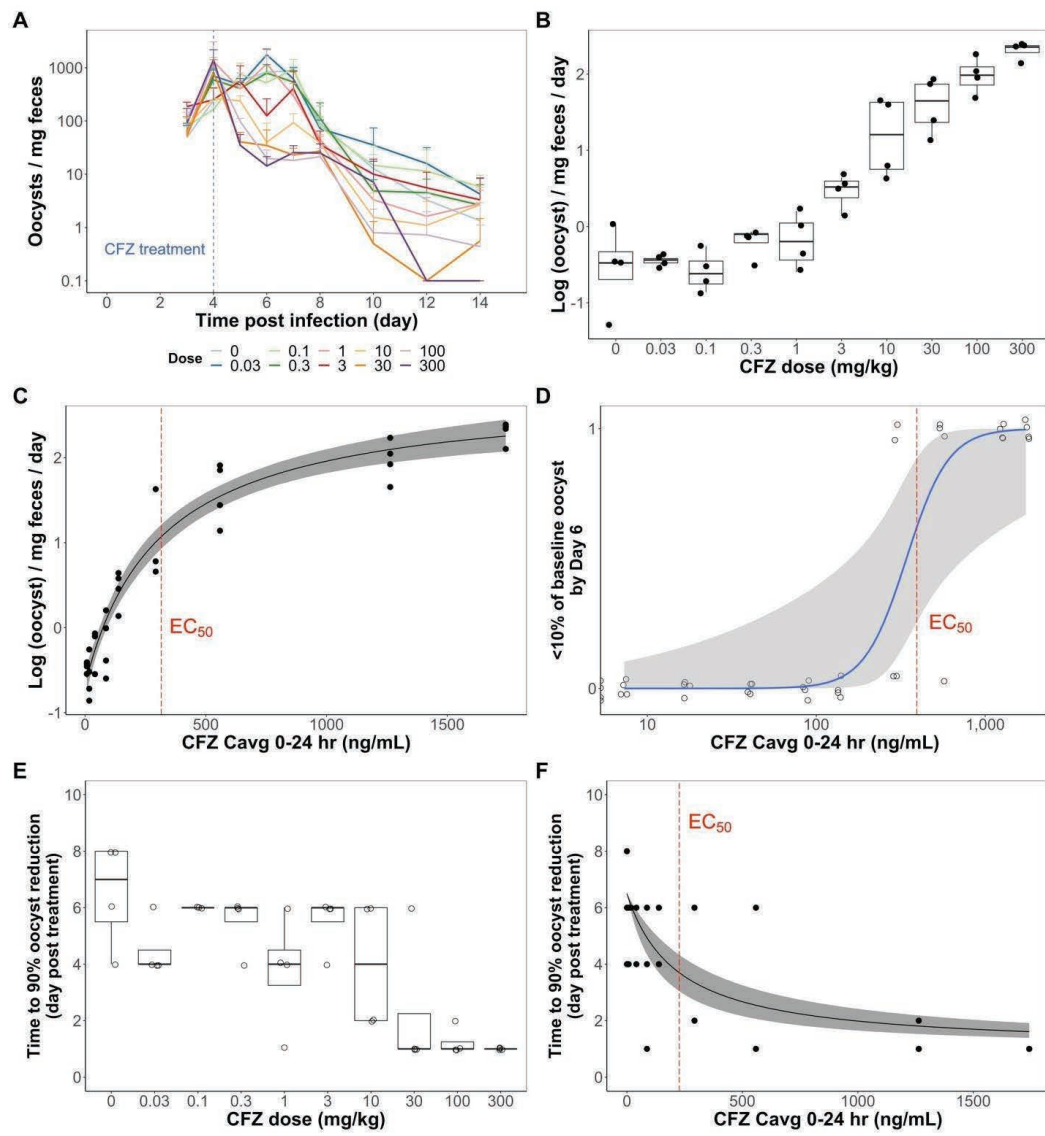
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802 **Figure 1. Clofazimine in vivo efficacy in mouse model of *Cryptosporidium* infection.** The  
803 mean oocyst shedding profile for each clofazimine treatment group is plotted over time (**A**). A  
804 single clofazimine oral dose of varying amounts was administered 4 days after oral inoculation  
805 with *C. parvum*. Each dose group contained four mice, and the fecal oocyst concentration for  
806 each mouse was quantified by flow cytometry. The rate of reduction in log-transformed fecal  
807 oocyst concentration from day 4 to day 6 post-infection is summarized by dose group in panel  
808 **B**, where the top and bottom of the boxes are the 25<sup>th</sup> and 75<sup>th</sup> percentile, respectively, and the  
809 centerline is the median. The whiskers indicate the range of data distribution that are within 1.5-  
810 fold of the interquartile range (IQR). Points that lay out of the reach of the whiskers are outliers  
811 by the 1.5 IQR rule. A simple Emax model (black solid line) with 90% confidence interval (grey  
812 shaded area) was fit to describe the relationship between average clofazimine concentration  
813 over the 24 hours post dosing ( $C_{avg0-24}$ ) and the rate of oocyst reduction (**C**) with the model  
814 predicted  $EC_{50}$  marked by the red dash line. A dichotomous approach was also taken with an  
815 event (“1”) being defined as having less than 10% of baseline oocyst load on day 6 post  
816 infection. A logistic regression model (black solid line) with 90% confidence interval (grey  
817 shaded area) is shown in panel **D** with the model predicted  $EC_{50}$  indicated by the red dash line.  
818 Lastly, a time to event approach was employed. The number of days each mouse took to reach  
819 a 90% reduction in oocyst compared to the baseline oocyst concentration is summarized by  
820 dose group (**E**) or compared to  $C_{avg0-24}$  (**F**). The boxplots in panel **E** were generated in the  
821 same fashion as those in panel **B**. A simple Emax model (black solid line) with 90% confidence  
822 interval (grey shaded area) was built to describe the correlation between the number of days  
823 and clofazimine  $C_{avg0-24}$  (**F**). Red dash line represents  $EC_{50}$ .  
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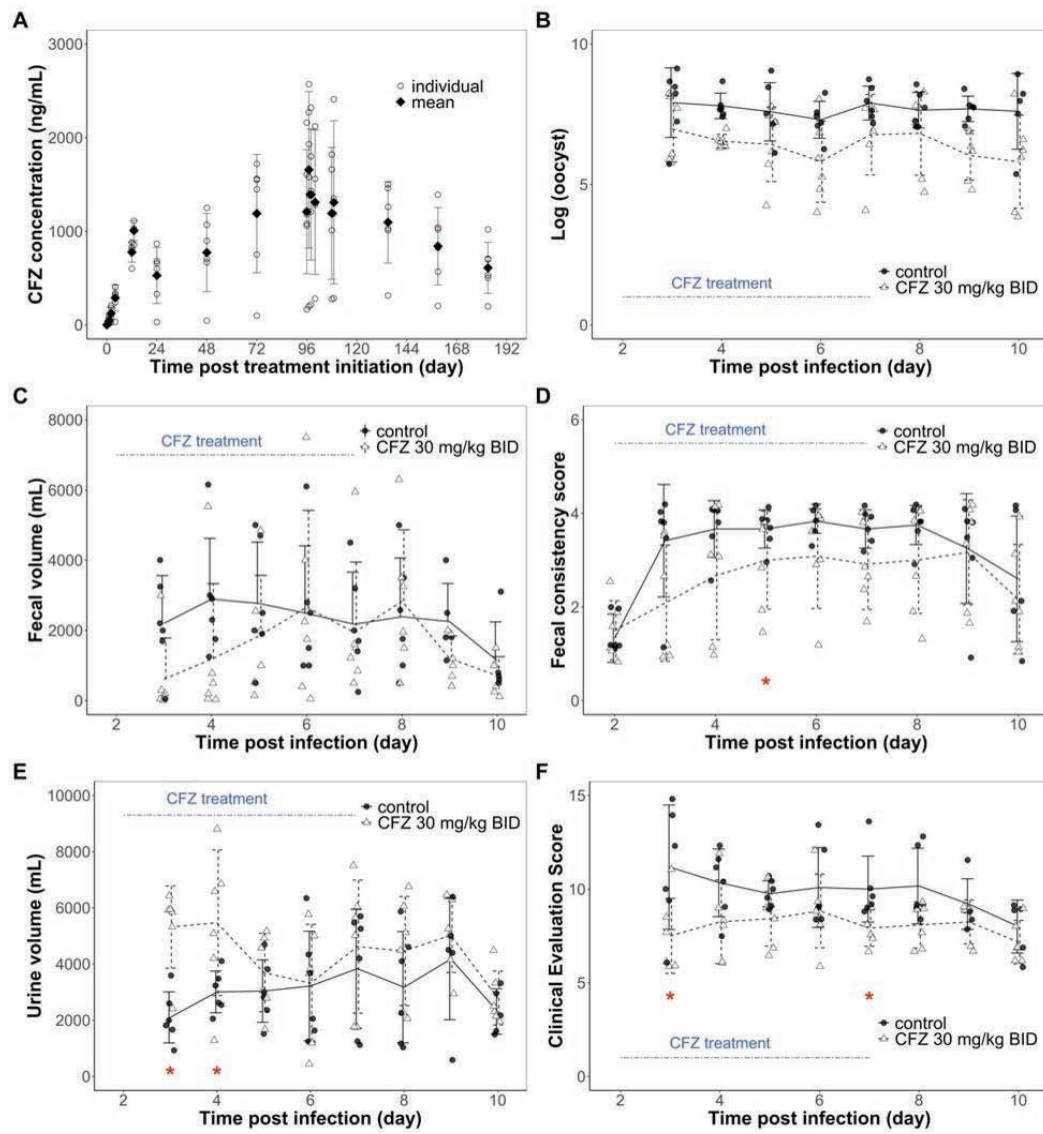
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826 **Figure 2. Clofazimine pharmacokinetics and pharmacodynamics in calf model of**  
827 **cryptosporidiosis.** Clofazimine was administered to calves with a dosing regimen of 30 mg/kg  
828 twice daily over 5 days for a total of 10 doses. Up to 15 blood samples were collected from each  
829 calf (n = 6) over time and mean and individual clofazimine concentrations are plotted in panel **A**.  
830 Stool samples were collected every 24 hours starting on day 3 post-infection for clofazimine and  
831 vehicle control treated calves, and fecal oocyst counts were quantified by real-time PCR. Mean  
832 and individual oocyst counts are plotted over time in panel **B**. Other pharmacodynamic  
833 outcomes including fecal volume, fecal consistency score, urine volume, and clinical evaluation  
834 score were recorded daily. Their individual and mean values are shown in panels **C**, **D**, **E**, and  
835 **F**, respectively. The standard deviations are denoted by the error bars for each plot. An asterisk  
836 (\*) indicates days that the treatment group and the control group differed significantly ( $P \leq 0.05$ )  
837 in the endpoint collected.  
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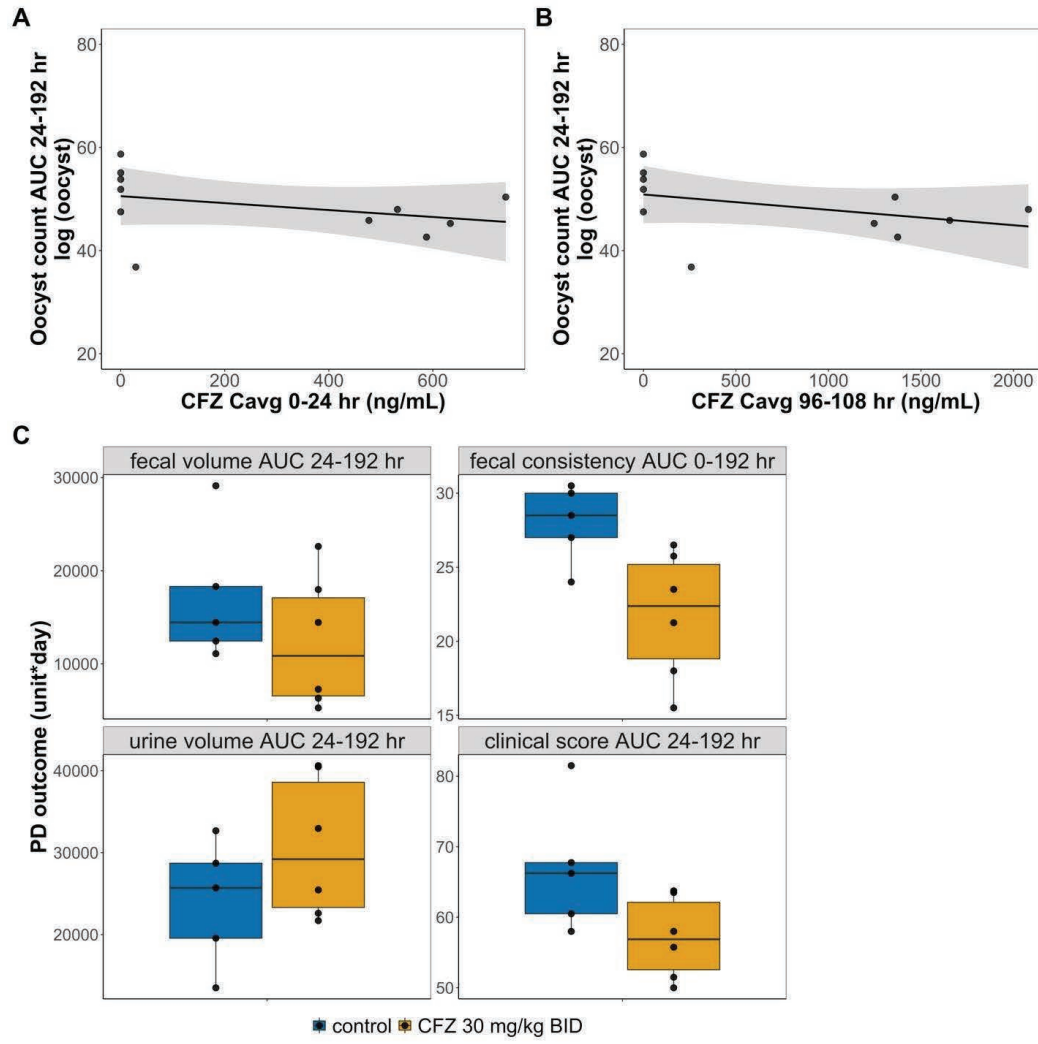
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840 **Figure 3. Relationship between clofazimine treatment and pharmacodynamic outcomes**  
841 **in calves.** The oocyst count area under the curve (AUC) for the duration of the study was  
842 calculated for each calf. The association between oocyst count  $AUC_{24-192}$  and average  
843 clofazimine concentrations,  $C_{avg_{0-24}}$  (**A**) and  $C_{avg_{96-108}}$  (**B**), were investigated using a simple  
844 linear regression model, and the results are summarized in Table 2. Clinical outcome AUCs  
845 were also calculated for the duration of the study and compared between the treatment and the  
846 control groups (**C**). The differences between the groups were not statistically significant after  
847 Bonferroni correction adjusting for multiple comparison. The top and bottom bars of the boxes  
848 represent the 25<sup>th</sup> and 75<sup>th</sup> percentile, respectively, and the centerline marks the median. The  
849 whiskers extend to the most extreme data point that is within 1.5 times the IQR away from the  
850 box. Points that fall beyond the end of the whiskers are outliers as defined by the 1.5 IQR rule.  
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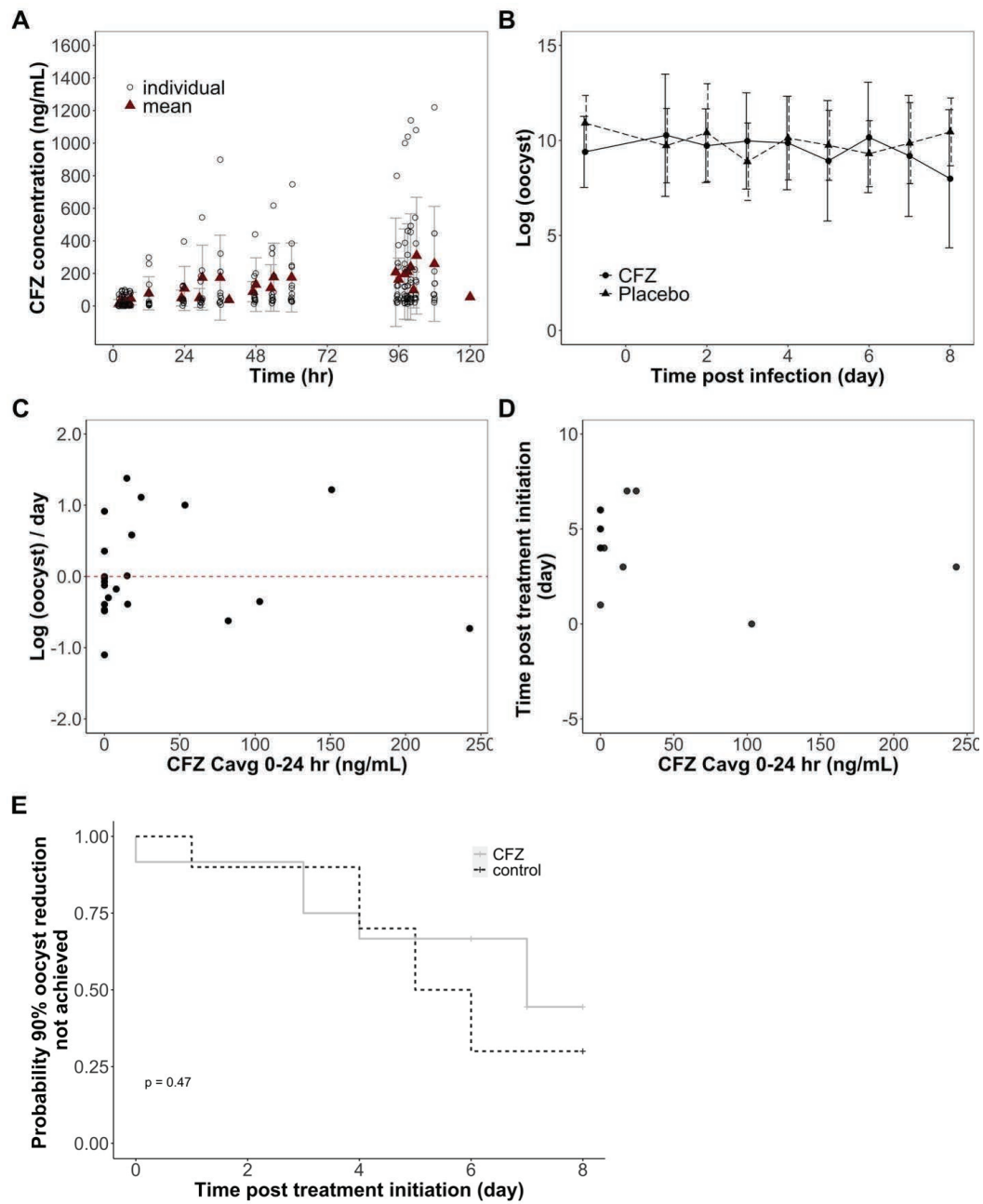
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856 **Figure 4. Clofazimine pharmacokinetics and pharmacodynamics in Phase 2a clinical trial.**  
857 HIV-infected adults with cryptosporidiosis were administered clofazimine with a dosing regimen  
858 of 100 mg 3 times daily for participants who weighed  $\geq 50$  kg ( $n=2$  participants) or 50 mg 3 times  
859 daily for participants who weighed  $<50$  kg ( $n= 10$  participants) for a duration of 5 days. Mean  
860 and individual clofazimine concentrations are plotted over time in panel **A**. Stool samples were  
861 collected and assessed three times a day, and oocyst shedding was quantified using qPCR.  
862 Mean daily oocyst shedding in the first stool was compared between clofazimine treatment  
863 group and the control group (**B**). For each participant, the rate of reduction in daily oocyst  
864 shedding was calculated for the first 3 days of clofazimine treatment, with the result plotted  
865 against observed clofazimine  $C_{avg0-24}$  to reveal a potential correlation between oocyst excretion  
866 rates and clofazimine concentrations (**C**). Dashed line in panel **C** marks no change in oocyst  
867 shedding rate. No correlation was clearly seen comparing the rate of reduction in oocyst  
868 shedding rates to clofazimine  $C_{avg0-24}$ . A time-to-event approach was attempted, where the time  
869 to reach 90% oocyst reduction was compared to clofazimine  $C_{avg0-24}$  and a significant  
870 correlation was not identified (**D**). A Kaplan-Meier survival curve was used to compare the  
871 probability of achieving 90% reduction in oocyst count compared to the baseline count between  
872 clofazimine treatment group and the control group (**E**). A “success” was defined by having less  
873 than 10% of baseline oocyst count and no significant difference was found as shown by the log  
874 rank test ( $P = 0.47$ ).





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879 **Table 1. Clofazimine pharmacokinetics in mice after a single, oral dose.**

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<b>Dose</b>	<b>t<sub>1/2</sub></b>	<b>C<sub>max</sub></b>	<b>T<sub>max</sub></b>	<b>AUC<sub>0-24hrs</sub></b>	<b>AUC<sub>0-240hrs</sub></b>	<b>AUC<sub>INF</sub></b>	<b>Cl/F</b>
(mg/kg)	(hr)	(ng/mL)	(hr)	(hr*ng/mL)	(hr*ng/mL)	(hr*ng/mL)	(mL/min/kg)
0.03	nd*	10	3	174	465	nd*	0.9
0.1	70	22	8	401	1,446	1,580	1.1
0.3	86	52	1	973	3,781	4,465	1.1
1	87	99	8	2,082	10,123	11,887	1.4
3	106	168	3	3,265	16,841	20,794	2.4
10	120	342	8	7,420	38,771	53,161	3.1
30	106	626	8	14,053	74,933	96,592	5.2
100	183	1,550	3	26,997	139,253	228,440	7.3
300	118	2,153	3	44,914	248,866	338,831	14.8

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882 \*Values were not provided because only two time points were available to calculate t<sub>1/2</sub> and883 AUC<sub>INF</sub> for the 0.03 mg/kg dose group.

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886 **Table 2. Calf study linear regression model estimates.** P-values that have reached statistical  
 887 significance defined by  $\alpha \leq 0.05$  are marked by an asterisk (\*).  
 888

Outcome Variable	Mean CFZ Concentration (ng/mL)	Estimate	P-value	R-squared
<b>Oocyst Count AUC<sub>24-192</sub></b>	Cavg <sub>0-24</sub>	-0.00603	0.00570*	0.101
	Cavg <sub>96-108</sub>	-0.00267	0.243	0.134
<b>Fecal Volume AUC<sub>24-192</sub></b>	Cavg <sub>0-24</sub>	-8.072	0.292	0.122
	Cavg <sub>96-108</sub>	-4.60	0.103	0.268
<b>Fecal Consistency Score AUC<sub>0-192</sub></b>	Cavg <sub>0-24</sub>	-0.00966	0.0359*	0.403
	Cavg <sub>96-108</sub>	-0.00481	0.00203*	0.671
<b>Urine Volume AUC<sub>24-192</sub></b>	Cavg <sub>0-24</sub>	10.80	0.224	0.160
	Cavg <sub>96-108</sub>	5.73	0.0798	0.302
<b>Clinical Evaluation Score AUC<sub>24-192</sub></b>	Cavg <sub>0-24</sub>	-0.154	0.0762	0.308
	Cavg <sub>96-108</sub>	-0.00722	0.0228*	0.455

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892 **Table 3. Observed clofazimine concentrations in calf and human studies compared to**  
 893 **estimated target exposure levels based on EC<sub>50</sub> and EC<sub>90</sub> values established in mice.**  
 894 Values provided are mean (standard deviation).  
 895

Observed CFZ levels in calf study						
	Cavg 0-24 hr			Cavg 96-108 hr		
	Mean (sd) (ng/mL)	Observed/EC <sub>50</sub>	Observed/EC <sub>90</sub>	Mean (sd) (ng/mL)	Observed/EC <sub>50</sub>	Observed/EC <sub>90</sub>
<b>Calves (30 mg/kg BID x 5 days)</b>	500 (248)	1.58	0.176	1330 (605)	4.21	0.468
Observed CFZ levels in Phase 2a study						
	Cavg 0-24 hr			Cavg 96-108 hr		
	Mean (sd) (ng/mL)	Observed/EC <sub>50</sub>	Observed/EC <sub>90</sub>	Mean (sd) (ng/mL)	Observed/EC <sub>50</sub>	Observed/EC <sub>90</sub>
<b>Humans (50 mg TID x 5 days)</b>	63.0 (79.4)	0.199	0.0222	233 (340)	0.737	0.0820
<b>Human (100 mg TID x 5 days)</b>	50.1 (45.3)	0.159	0.0176	182 (60.0)	0.576	0.0641

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898**Table 4. Clinical trial linear regression model estimates.**

<b>Outcome Variable</b>	<b>Clofazimine Exposure</b>	<b>Estimate</b>	<b>p-value</b>	<b>R-squared</b>
<b>Time to &gt;90% oocyst reduction</b>	Cavg <sub>0-24</sub> (ng/mL)	-0.0110	0.228	0.129
	Cavg <sub>96-108</sub> (ng/mL)	-0.00227	0.278	0.106
<b>Rate of reduction in daily oocyst counts</b>	Cavg <sub>0-24</sub> (ng/mL)	-0.00243	0.377	0.0715
	Cavg <sub>96-108</sub> (ng/mL)	-0.000576	0.358	0.0773

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