1	Clopidogrel plus Aspirin versus Aspirin alone in Patients with Acute Mild-to-
2	Moderate Stroke
3	The ATAMIS Randomized Controlled Trial
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45 Key Points

46 Question Is dual antiplatelet therapy superior to aspirin alone in patients with acute mild-to-moderate

47 ischemic stroke?

- 48 Findings In this randomized clinical trial that included 3000 participants, early neurologic deterioration
- 49 at 7 days (equal to or more than 2-point National Institutes of Health Stroke Scale score increase)
- 50 occurred in 4.8% of those randomized to dual antiplatelet therapy and 6.7% of those randomized to
- 51 receive aspirin alone, a difference that was statistically significant.
- 52 Meaning Among patients with acute mild-to-moderate ischemic stroke, dual antiplatelet therapy,
- 53 compared with aspirin alone, was significantly associated with reducing early neurologic deterioration at
- 54 7 days.

55 Abstract

56	IMPORTANCE Dual antiplatelet (DAPT) has been demonstrated superior to single antiplatelet in
57	reducing recurrent stroke among patients with transient ischemic attack or minor stroke, but robust
58	evidence for its effect in patients with mild-to-moderate ischemic stroke is lacking.
59	OBJECTIVE To evaluate whether DAPT is superior to single antiplatelet among patients with mild-to-
60	moderate ischemic stroke (National Institutes of Health Stroke Scale [NIHSS]: 4-10).
61	DESIGN, SETTING, AND PARTICIPANTS This multicenter, open-label, blinded-endpoint,
62	randomized clinical trial was conducted at hospitals in China from December 20, 2016, through August
63	9, 2022. The date of final follow-up was October 30, 2022. Of 3065 patients with ischemic stroke, 3000
64	patients with acute mild-to-moderate stroke within 48 hours of symptom onset were enrolled, after
65	excluding 65 patients who did not meet eligibility criteria, or had no randomization outcome. The
66	analysis was reported on March 12, 2023.
67	INTERVENTIONS Within 48 hours after symptom onset, patients were randomly assigned to receive
68	clopidogrel plus aspirin (n=1541) or aspirin alone (n=1459) in a 1:1 ratio.
69	MAIN OUTCOMES AND MEASURES The primary endpoint was early neurologic deterioration at 7
70	days, defined as \geq 2-point NIHSS score increase, but not as a result of cerebral hemorrhage, compared
71	with baseline. We assessed the superiority of clopidogrel plus aspirin to aspirin alone based on a modified
72	intention-to-treat (mITT) population, which included all randomized participants with at least one
73	efficacy evaluation regardless of treatment allocation. Bleeding events were safety endpoints.
74	RESULTS Of the randomly assigned 3000 patients, 1942 (64.7%) were men, the mean (SD) age was

75 65.9 (10.6) years, median NHSS score at admission was 5, and 1830 (61.0%) were undetermined cause

of stroke. A total of 2915 patients were included in the mITT analysis. The primary endpoint occurred in

- 4.8% (72/1502) in the DAPT group vs 6.7% (95/1413) in the aspirin alone group (risk difference -1.9%,
- 78 95%CI -3.6% to -0.2%, *P*=.03). Similar bleeding events were found between two groups.
- 79 CONCLUSIONS AND RELEVANCE Among Chinese patients with acute mild-to-moderate ischemic
- 80 stroke, clopidogrel plus aspirin was superior to aspirin alone with regard to reducing early neurologic
- 81 deterioration at 7 days with similar safety profile.
- 82 **TRIAL REGISTRATION** ClinicalTrials.gov Identifier: NCT02869009.
- 83

84 Introduction

85 Reperfusion and thrombolytic therapies are effective strategies in the treatment of acute ischemic stroke (AIS).¹⁻² However a subset of AIS patients are not eligible for reperfusion therapies due to a limited 86 87 therapeutic window and access to endovascular care.³ According to current guidelines, almost all AIS 88 patients receive an antithrombotic treatment, such as antiplatelet or anticoagulant, to prevent the 89 progression and recurrence of stroke. Antiplatelet therapy with aspirin alone is recommended in AIS 90 patients who do not receive reperfusion therapy.^{1,4} Compared with aspirin alone, dual antiplatelet with 91 clopidogrel and aspirin was reported to be more effective for some individuals.⁵ The Clopidogrel with 92 Aspirin in Acute Minor Stroke or Transient Ischemic Attack (CHANCE) and Clopidogrel and Aspirin in 93 Acute Ischemic Stroke and High-Risk TIA (POINT) trials confirmed that, in patients who were treated 94 within 24 hours of symptom onset after transient ischemic attack (TIA) or minor ischemic stroke (defined 95 as National Institutes of Health Stroke Scale [NIHSS] score \leq 3), dual antiplatelet with clopidogrel and 96 aspirin reduced the risk of recurrent stroke compared with aspirin alone, but did not improve clinical 97 outcome.⁶⁻⁷ For patients with mild-to-moderate ischemic stroke, aspirin monotherapy is recommended 98 by stroke guidelines,¹ however, early neurologic deterioration (END) remains a challenge to overcome 99 in this population, given the association of END with clinical outcome.8

100 Up to 50% of END occurs within 48 hours after TIA or AIS onset.⁸ The Fast assessment of stroke and 101 transient ischemic attack to prevent early recurrence (FASTER) trial suggested some evidence for benefit 102 of early clopidogrel and aspirin in patients at high risk of recurrent stroke.⁹ Two previous studies found 103 that in patients with acute mild-to-moderate ischemic stroke (NIHSS score \leq 7 or 14) within 48 hours of 104 symptom onset, dual antiplatelet with clopidogrel and aspirin may be superior to aspirin alone with 105 comparable safety profile.^{10,11} In theory, the intensive antithrombotic therapy such as clopidogrel and

106	aspirin	could	reduce	END	and	improve	clinical	outcome	if th	e hemorr	hagic	risk	can	be	contained.

107 Nonetheless, there is a lack of robust evidence for dual antiplatelet in this patient population.

108 In this context, we conducted the Antiplatelet Therapy in Acute Mild-to-Moderate Ischemic Stroke

- 109 (ATAMIS) trial to determine whether dual antiplatelet with clopidogrel and aspirin would be superior to
- aspirin alone in patients with acute mild-to-moderate ischemic stroke (NIHSS 4 to 10) presenting within
- 111 48 hours of symptom onset.
- 112 Methods

113 Study Design

114 ATAMIS was a multicenter, open-label, blinded-endpoint, randomized trial to assess the efficacy and 115 safety of clopidogrel plus aspirin in patients with mild-to-moderate ischemic stroke within 48 hours of 116 symptom onset. The study protocol is available in Supplement 1 and the statistical analysis plan in 117 Supplement 2. The trial was conducted at 66 sites (Supplement 3) in China. Details of the study design 118 and rationale have been published.¹² The trial protocol was approved by the regulatory and Ethics 119 Committee of the General Hospital of Northern Theatre Command and other participating hospitals. An 120 independent data monitoring committee monitored the progress of the trial every 6 months. Signed 121 informed consent was obtained from patients or their legally authorized representative. The ATAMIS 122 trial was registered at clinicialtrials.gov (NCT02869009).

123 Participants

Eligible patients were adults 18 years or older with AIS at the time of randomization (baseline NIHSS scores of 4 to 10; range from 0 to 42, with higher scores indicating greater stroke severity), who had been functioning independently (modified Rankin Scale [mRS] scores ≤ 1 ; range from 0 [no symptoms] to 6 [death]) before a stroke, and were enrolled up to 48 hours after the onset of stroke symptoms (time last

seen was well). Head computed tomography or magnetic resonance imaging was performed on admission to identify patients with ischemic stroke. If a patient met eligibility criteria for intravenous thrombolysis (IVT) or endovascular therapy (EVT), then they received this as standard of care, and were excluded from the trial. Other exclusion criteria were as follows: had a clear indication for anticoagulation, had a history of intracerebral hemorrhage, was planned for carotid revascularization, had gastrointestinal or urinary tract bleeding in the last 3 months, and had an allergy to clopidogrel and/or aspirin. A full list of inclusion and exclusion criteria are in the study protocol.

135 Randomization and Masking

Eligible patients were randomly assigned to either the clopidogrel plus aspirin group or the aspirin alone group with the simple randomization (1:1) method, through a computer-generated random sequence that was centrally administered via a password-protected web-based program at <u>http://atamis.medsci.cn</u>

139 (Shanghai Meisi Medical Technology Co., Ltd). The study team members were unblinded to the

140 treatment allocation.

141 **Procedures**

142 Patients were randomly assigned to the clopidogrel plus aspirin group (300 mg loading dose of 143 clopidogrel plus aspirin 100 mg, followed by clopidogrel 75 mg/d and aspirin 100 mg/d from day 2 to 144 day 14, and followed by clopidogrel 75 mg/d or aspirin 100 mg/d from day 15 to day 90) or the aspirin alone group (100 to 300 mg aspirin from day 1 to day 14, followed by aspirin 100 mg/d from day 15 to 145 day 90).¹⁰ All patients received guideline-based stroke care for vascular risk factor control, including 146 147 statin if eligible.¹ Details of the study procedure are in the supplement (Supplement 3). 148 The NIHSS was used to assess the neurologic status at baseline, 7 days, and 14 days after 149 randomization. A detailed flowchart of the assessment schedule is provided in the study protocol. Data

- 150 on demographic and clinical characteristics were obtained at randomization. Follow-up data were
- 151 collected at 7 days, 14 days (or at hospital discharge if earlier), and 90 days after randomization. Remote
- and on-site quality control monitoring and data verification were conducted throughout the study.

153 **Outcomes**

- The primary endpoint was the presence of END at 7 days, defined as an equal or greater than 2-point increase in the NIHSS score, while excluding cerebral hemorrhage, hypoglycemia, cardiac complications, fever or infection, compared with baseline.¹¹ The primary endpoint was modified from the original definition (more than or equal to 1 point increase in NIHSS, compared with baseline at 14 days) and published definition (\geq 4 point increase in the NIHSS score in 48 hours)¹² to the current definition prior to analysis. The modification rationale was explained in detail in Supplement 1 (Details of Change in Protocol).
- 161 The secondary endpoints were excellent functional outcome at 90 days, defined as a mRS score of 0 162 to 1; a shift in the distribution of the mRS score at 90 days; change in NIHSS score compared with 163 baseline at 14 days; the occurrence of new ischemic or hemorrhagic stroke¹³ within 90 days, and the 164 occurrence of other vascular events (pulmonary embolism, peripheral vascular, or cardiovascular event) 165 or death within 90 days.
- Any bleeding event and adverse event that occurred during the study period was recorded. The prespecified adverse event outcomes were mucocutaneous hemorrhage, organ hemorrhage, intracranial hemorrhage according to the Heidelberg bleeding classification within 14 days and severe adverse events. The follow-up NIHSS scores were evaluated by a certified neurologist, who was blinded to the treatment allocation. Final follow-up was performed at 90 days in person or, if an in-person visit was not possible, a structured interview for telephone assessment (Supplement 3) was performed by a trained and

172 certified staff member in each center who was unaware of the randomized treatment assignment. A 173 training course was held for all investigators at each center to ensure the validity and reproducibility of 174 the evaluation. Only certified investigators were eligible to evaluate the NIHSS or mRS score. Central 175 adjudication of clinical outcomes and adverse events was performed by assessors who were unaware of 176 treatment allocation or patient clinical details. If there was disagreement between the local and central 177 assessors, a consensus was reached by discussion. The local assessor retained control of the NIHSS and 178 final mRS score following discussion.

179 Sample Size Calculation

180 Power calculations were based on the estimated treatment effects with a binary assessment of END at 7 days. Based on our previous study¹⁰ reporting 6% END (defined as an increase in ≥ 2 NIHSS points 181 182 compared with baseline at 14 days) in patients receiving aspirin monotherapy with similar characteristics 183 to this current trial, we assumed a 7.5% rate of END (defined as > 2 NIHSS point increase compared 184 with baseline at 7 days) in the aspirin alone group in this trial, and that clopidogrel plus aspirin would 185 result in a 35% reduction of END compared with aspirin alone, namely to 4.88% in the clopidogrel plus 186 aspirin group. A sample size of 2638 (1319 per group) was estimated to provide more than 80% power 187 (using a two-sided $\alpha = 0.05$) to detect the 2.62% lower rate of END in the clopidogrel plus aspirin group. 188 Assuming a 12% loss to follow-up, the total sample size was 2998. Therefore, this study was planned to 189 include 3000 participants (1500 participants per group). The sample size calculation was also revised 190 (see Details of Change in Protocol, Supplement 1). 191 Statistical analysis

192 Statistical analyses were performed on the modified intention-to-treat (mITT) population, which 193 included all randomized participants with at least one valid post-baseline efficacy evaluation. Generalized linear models (GLMs) were performed for the analyses of the primary outcome of 7-day END and secondary outcomes of excellent functional outcome at 90 days. The treatment effects for the above outcomes were presented as risk differences (RD) and risk ratio (RR) with their 95% confidence intervals (CIs). In sensitivity analyses, missing values in the primary outcome were imputed using the last observation carried forward method, the worst-case scenario, and best-case scenario approaches. No interim analyses were performed in this study.

200 The 90-day mRS score was compared using ordinal logistic regression with treatment effect presented 201 as odds ratio (OR) with 95% CI. The odds proportionality assumption for treatment was assessed by a 202 likelihood ratio test. A GLM was used to compare changes in log (NIHSS score+1) between admission 203 and 14 days, and a geometric mean ratio with 95% CI was calculated between the two groups. Time-to-204 event outcomes of new ischemic or hemorrhagic stroke within 90 days, and other vascular or death events 205 were compared using Cox regression models, and the corresponding treatment effects were presented as 206 hazard ratios (HR) with 95% CI. The hazard proportionality assumption was tested by introducing an 207 interaction between time and treatment in the Cox model.

208 The primary analyses of the primary and secondary outcomes were unadjusted. Covariate adjusted 209 GLM analyses were performed for all outcomes, adjusting for seven prespecified prognostic factors: age, 210 sex, history of diabetes, history of hypertension, NIHSS score at randomization, time from symptom 211 onset to antiplatelet treatment, and stroke etiology. The degree of responsible vessel stenosis and location 212 of responsible vessel were planned in the covariate adjusted analyses but were excluded due to a skewed 213 distribution or a large proportion of missing values. The missing values of baseline variables in the 214 covariate adjusted analyses were imputed using simple imputation methods based on their sample 215 distributions. For example, for a continuous variable, missing values were imputed from random values

216 generated from a normal distribution with mean and standard deviation with a pre-specified seed 217 calculated from the available sample.

218	Subgroup analysis of the primary outcome was performed using GLM on nine prespecified subgroups
219	(age [< 65 years or \geq 65 years], sex [female or male], history of diabetes, history of hypertension, NIHSS
220	score at randomization [< 7 or \ge 7], time from symptom onset to antiplatelet therapy [<24 hours or \ge 24
221	hours], stroke etiology, degree of responsible vessel stenosis (\leq 50% vs. >50%) measured by CTA or
222	MRA according to the WASID method of measurement,14 and location of responsible vessel (anterior
223	circulation vs. posterior circulation vs. anterior and posterior circulation). Assessment of the homogeneity
224	of the treatment effect by a subgroup variable was conducted using a GLM with the treatment, subgroup
225	variable, and their interaction term as independent variables, and the P value for the interaction term was
226	presented. In addition, per-protocol analyses for primary and secondary outcomes were performed on
227	patients who received complete intervention as specified in the protocol. Detailed statistical analyses are
228	described in the statistical analysis plan (Supplement 2).
229	A 2-sided P value of less than .05 was considered statistically significant. Because of the potential for
230	a type I error due to multiple comparisons, findings for secondary outcome analyses should be interpreted
231	as exploratory. SPSS software (version 23) and R software (version 4.1.0) were used for the statistical

analyses.

233

234 **Results**

235 Trial Population

Between December 20, 2016, and August 9, 2022, 3005 patients were enrolled, and 3000 were randomly
assigned to the clopidogrel plus aspirin group (1541 patients) or the aspirin alone group (1459 patients)

238 after excluding 5 patients due to randomization error. A total of 85 (2.8%) patients were further excluded 239 (63 withdrew consent due to the patient's decision, 13 for duplicate randomization, and 9 were lost to 240 follow-up). Finally, the mITT population included 2915 patients (1502 in the clopidogrel plus aspirin 241 group and 1413 in the aspirin alone group) (Figure 1 and eFigure 1 in Supplement 3). The procedure was 242 completed according to the protocol for 2763 patients (1433 in the clopidogrel plus aspirin group and 243 1330 in the aspirin alone group), and the results were included in the per-protocol analysis. The reasons 244 for the incomplete procedures are provided in Figure 1. The trial was completed in October 2022. 245 The treatment groups were well balanced with respect to baseline patient characteristics in the mITT 246 population (Table 1) and per-protocol analysis (eTable 1 in Supplement 3). In the clopidogrel plus aspirin 247 group, 1433 of 1502 patients (95.4%) underwent the complete procedure of clopidogrel plus aspirin 248 treatment at a mean of 19.1 hours from symptom onset to antiplatelet treatment. The remaining 69 249 patients did not complete clopidogrel plus aspirin treatment. In the aspirin alone group, 1330 of 1413

- 250 patients (94.1%) underwent the complete procedure of aspirin treatment at a mean of 19.8 hours from
- symptom onset to antiplatelet treatment. The remaining 83 patients did not complete aspirin treatment.

252 Primary Outcome

For the primary outcome, the proportion of patients with END at 7 days was 4.8% (72/1502) in the clopidogrel plus aspirin group and 6.7% (95/1413) in the aspirin alone group. In the mITT population, the risk of having END showed significant difference between the clopidogrel plus aspirin and aspirin alone groups (unadjusted RD -1.9%; 95% CI -3.6% to -0.2%; P=.03; RR 0.71; 95% CI 0.53 to 0.96; P=.03; Table 2). Given that there were 85 dropout patients, sensitivity analyses were performed and similar RD results were observed (eTable 2 in Supplement 3). The difference in the risks of having a primary outcome remained significant after adjustment for prespecified prognostic variables (RD -1.9%;

- 260 95% CI -3.6% to -0.2%; *P*=.03; RR 0.71; 95% CI 0.53 to 0.96; *P*=.03; Table 2). The per-protocol analysis
- 261 yielded similar results (unadjusted RD -1.8%; 95% CI -3.5% to -0.2%; *P*=.03; RR 0.69; 95% CI 0.50 to
- 262 0.96; *P*=.03; adjusted RD -1.8%; 95% CI -3.5% to -0.2%; *P*=.03; RR 0.69; 95% CI 0.50 to 0.96; *P*=.03;
- eTable 3 in Supplement 3).

264 Secondary Outcomes

For the secondary outcomes, no differences were observed in both the unadjusted and adjusted analyses, including the risks of having new ischemic or hemorrhagic stroke within 90 days, change in NIHSS score compared with randomization at 14 days, mRS improvement at 90 days (Figure 2), and other vascular or death events within 90 days (Table 2). In the per-protocol analysis, similar results were obtained in both unadjusted and adjusted per-protocol analyses (eFigure 2 and eTable 3 in Supplement

270 3).

Prespecified subgroup analysis showed no evidence of effect modification in the risks of having a primary outcome between the clopidogrel plus aspirin and aspirin alone groups by age, sex, history of diabetes, history of hypertension, NIHSS score at randomization, stroke etiology, degree of responsible vessel stenosis, and location of responsible vessel, with the exception of time from the onset of symptoms to antiplatelet treatment (< vs > 24 hours) (Figure 3). The results of the per-protocol analysis were similar to those of the mITT population for the primary outcome (eFigure 3 in Supplement 3).

277 Adverse Events

Analyses of adverse events were based on the safety population which consisted of all randomized patients who received at least one dose of study drug. There were no differences in adverse events including mucocutaneous hemorrhage, organ hemorrhage, intracranial hemorrhage, adverse events, and severe adverse events between the two groups (Table 2).

282 **Discussion**

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303

284 dual antiplatelet treatment in patients with mild-to-moderate ischemic stroke who are not eligible for IVT 285 or EVT presenting within 48 hours of symptom onset. We found that dual antiplatelet therapy with 286 clopidogrel and aspirin reduced the likelihood of END at 7 days by 1.9%, as compared with aspirin alone. 287 Dual antiplatelet with clopidogrel and aspirin, as compared with aspirin alone, was not associated with 288 an increased incidence of bleeding events. 289 Previous studies focused on the role of clopidogrel plus aspirin in the secondary prevention of AIS or high-risk TIA.^{6-7,15-16} Both the CHANCE and POINT trials evaluated the role of dual antiplatelet in 290 291 patients with minor stroke or TIA as characterized by NIHSS ≤ 3 . The ARAMIS trial evaluated the role 292 of dual antiplatelet therapy compared to intravenous thrombolysis in patients with nondisabling stroke.¹⁷ 293 However there was a lack of evidence for its use in patients with mild to moderate stroke (patients 294 presenting with NIHSS 4-10), as well as lack of data on its effect on early neurologic function. In the 295 ATAMIS trial, dual antiplatelet with clopidogrel plus aspirin was identified with appropriate power to 296 significantly reduce the incidence of END in patients with mild-to-moderate ischemic stroke. Different from previous trials,^{6-7,17} the target population in ATAMIS was patients with mild-to-moderate neurologic 297 298 deficit (NIHSS scoring 4 to 10). Additionally, the primary endpoint in ATAMIS was END at 7 days, 299 which was different from the occurrence of stroke or ischemic vascular events within 90 days in the CHANCE and POINT trials.⁶⁻⁷ Given the association of neurologic deterioration after mild-to-moderate 300 ischemic stroke with poor outcome,18-19 END was selected as the primary endpoint in this trial as a 301 302 deterioration in 2 points or greater, which can be a clinically meaningful event to the patient. As there

To our knowledge, this is the first large-scale, multicenter, randomized trial to investigate the effect of

were logistical challenges to consistently capture the initial primary endpoint at 48 hours from

304 randomization, this was changed to the 7-day time interval from randomization to optimize completeness 305 in reporting of the primary endpoint (Details of Change in Protocol). The trial was also unique with 306 regards to the short duration of dual antiplatelet treatment (10-14 days). Although a longer duration of 307 dual antiplatelet therapy has been shown to be protective from subsequent ischemic stroke, the higher 308 risk of major hemorrhage over time cannot be ignored.⁷ As subgroup analysis of the CHANCE trial found 309 that the optimal duration of dual antiplatelet may be 14 days,¹⁸ this shorter duration of dual antiplatelet 310 therapy was used in ATAMIS in contrast to the CHANCE and POINT trials (14 days vs 21 days and 90 311 days, respectively).⁶⁻⁷ In addition, a significant interaction for time of onset (< vs \geq 24 hours) with 312 obvious reduction of END was identified for dual antiplatelet therapy in the early onset group, which 313 suggests that earlier intensive antiplatelet treatment may have a greater effect in reducing the occurrence 314 of END. Collectively, the dual antiplatelet therapy of clopidogrel and aspirin with 14 days was proven to 315 improve early neurologic function in mild-to-moderate ischemic stroke in this trial. 316 In this trial, with respect to 90-day functional outcome, we did not find that dual antiplatelet therapy 317 improved functional outcome compared with aspirin alone, although a high probability of excellent 318 functional outcome was found in the clopidogrel plus aspirin group. Given the signal of benefit from antiplatelet therapy in the RESCUE BT2 trial in patients with large artery atherosclerosis,¹⁹ we inferred 319 320 the lack of improved functional outcome with dual antiplatelet therapy in ATAMIS may be attributed to 321 the relatively mild neurological deficits in the enrolled patients (median NIHSS 5 in ATAMIS vs median 322 NIHSS 9 in RESCUE-BT2). This proposal was supported by the CHANCE and POINT trials wherein 323 dual antiplatelet therapy had no effect on 90-day functional outcome.⁶⁻⁷ For other secondary endpoints, 324 there was no difference in change in NIHSS score at 14 days, the incidence of new stroke and other 325 vascular events or all-cause death within 90 days between two groups, which was different from the

326 CHANCE and POINT trials.⁶⁻⁷ This inconsistency may be due to lack of statistical power in the secondary

327 endpoints and the shorter duration of dual antiplatelet treatment in ATAMIS.

328 For adverse events, similar rates of symptomatic intracranial hemorrhage were observed between the 329 clopidogrel plus aspirin group and the aspirin alone group, which was consistent with a prior study.⁷ In 330 this trial, the rate of bleeding events was 0.7%, which was comparable to the 1.6% bleeding rate in the 331 ARAMIS trial. However, the 0.7% bleeding rate in ATAMIS was lower than the 2.3% bleeding event 332 rate in the CHANCE trial, despite ATAMIS patients presenting with a higher severity of disease 333 compared to CHANCE.⁶ This lower bleeding rate may be due to the shorter duration of dual antiplatelet 334 therapy in the present study, which was comparable to a recent study involving mild-to-moderate ischemic stroke patients.²⁰ Collectively, the current results suggest the safety and feasibility of dual 335 336 antiplatelet therapy in this population.

337 Limitations

338 We acknowledge this study has limitations. First, the imbalance in sample size (1541 versus 1459) 339 between groups occurred due to the use of a simple randomization method, which may have reduced the statistical power of the study. Second, due to the open-label design, the present study did not conceal the 340 341 assigned treatment from the participants and physicians. However, the blinded-endpoint assessments 342 were used to reduce measurement bias and ensure that the primary endpoint was measured objectively. Third, more patients with mild neurologic deficit (67.4%, NIHSS scoring 4 to 5) were enrolled in this 343 344 trial, which may have weakened the statistical power in the analysis of moderate severity stroke patients. 345 Fourth, patients receiving thrombolysis and endovascular treatment were excluded, which would limit 346 the generalization of this finding. Fifth, the duration of DAPT treatment was 14 days, and we do not 347 know the ideal duration of treatment. Moreover, considering that approximately 30% of our patient

348	population had a history of a prior stroke, the utilization of prior antiplatelet therapy was low in our study
349	with approximately 4% reporting prior use. This discrepancy may be related to patient compliance with
350	antiplatelet therapy or differences in long term secondary stroke prevention strategies in this patient
351	population. Sixth, a NIHSS change of 2 points at 7 days may not have been an optimal definition of END
352	in this trial, which may have been affected by neurological fluctuation. Finally, further confirmation of
353	these findings in a non-Chinese population would be of interest, given potential differences in body mass,
354	comorbidities, secondary stroke prevention strategies, and etiology of AIS patients across different ethnic
355	populations.
356	Conclusions
357	Among patients with acute mild-to-moderate ischemic stroke who are not eligible for IVT or EVT,
358	treatment with clopidogrel plus aspirin was superior to aspirin alone with regard to reducing END at 7
359	days with comparable safety profile. Given a lack of improvement of 90-day clinical outcome and the
360	benefit of earlier dual antiplatelet treatment observed in this study, future clinical trials focusing on
361	patients with mild-to-moderate stroke presenting within 24 hours of symptom onset are needed.
362	
363	Author Contributions
364	HSC had full access to all data in the study and takes responsibility for the integrity of the data and the

365 accuracy of the data analysis.

366 Concept and design: HSC.

367 Acquisition, analysis, or interpretation of data: All authors.

368 Drafting of the manuscript: All authors.

369 Critical revision of the manuscript for important intellectual content: HSC, TNN.

- 371 Administrative, technical, or material support: All authors.
- 372 Supervision: HSC.
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379

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382

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- 386 writing of the report. The corresponding author had access to all data in the study and had overall
- 387 responsibility for the decision to submit for publication.

388

389 Group Information

390 The principal investigators from each participating center in ATAMIS trial are listed in Supplement 4.

391

392 Data Sharing Statement

393 See Supplement 5.

394

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465 Figure Legen	ds
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466 Figure 1 Trial Profile.

467 Abbreviations: NIHSS = National Institutes of Health Stroke Scale. TIA = transient ischemic attack. mRS

- 468 = modified Rankin Scale. IVT = intravenous thrombolysis. * The baseline characteristics of dropout
- 469 patients from the modified intention-to-treat population are shown in Table S4 in Supplement 3.

470

471	Figure 2 Distribution	of Modified Rankin	Scale Scores at 90	Davs b	y Assigned Treatment.

- 472 The raw distribution of scores is shown. Scores ranged from 0 to 6. 0 = no symptoms, 1 = symptoms
- 473 without clinically significant disability, 2 = slight disability, 3 = moderate disability, 4 = moderately
- 474 severe disability, 5 = severe disability, and 6 = death. The odds ratio was 1.10 (95% CI, 0.96-1.25), and
- the P value was 0.19; the adjusted odds ratio was 1.10 (95% CI, 0.96-1.26), and the P value was 0.18.

476

477 Figure 3 Risk Difference for the Primary Outcome According to Prespecified Subgroups.

478 The primary outcome was early neurological deterioration at 7 days. For subcategories, black squares

479 represent point estimates (with the area of the square proportional to the number of events) and horizontal

- 480 lines represent the 95% CI. NIHSS scores range from 0 to 42, with higher scores indicating more severe
- 481 neurological deficits. For the NIHSS score, subgroups were dichotomized according to the median value.
- 482 Abbreviations: CI = confidence interval. NIHSS = National Institutes of Health Stroke Scale.

Baseline characteristics	Clopidogrel plus aspirin group	Aspirin alone group			
	(n=1502)	(n=1413)			
Age, mean \pm SD, y	65.7 ± 10.3	66.1 ± 10.8			
<65	669 (44.5)	622 (44.0)			
≥65	833 (55.5)	791 (56.0)			
Sex, No. (%)					
Male	972 (64.7)	923 (65.3)			
Female	530 (35.3)	490 (34.7)			
Body-mass index, mean \pm SD, kg/m ²	23.7 ± 2.6	23.9 ± 2.7			
<30	1478/1501 (98.5)	1382/1412 (97.9)			
≥ 30	23/1501 (1.5)	30/1412 (2.1)			
Current smoker, No./total (%)	503/1489 (33.8)	464/1403 (33.1)			
Current drinker, No./total (%) ^a	301/1489 (20.2)	289/1402 (20.6)			
Comorbidities, No./total (%) ^b					
Hypertension	931 (62.0)	879 (62.2)			
Diabetes	401 (26.7)	341 (24.1)			
Previous ischemic stroke ^c	482/1491 (32.3)	457/1409 (32.4)			
Myocardial infarction	242/1487 (16.3)	201/1402 (14.3)			
Atrial fibrillation	19/1486 (1.3)	16/1398 (1.1)			
Hyperlipidemia	19/1464 (1.3)	19/1387 (1.4)			
Previous transient ischemic attack	7/1493 (0.5)	5/1401 (0.4)			
Time from onset to randomization, mean \pm SD, h	19.1 ± 13.1	19.8 ± 14.5			
<24 h	902 (60.1)	855 (60.5)			
\geq 24 h	600 (39.9)	558 (39.5)			
Blood pressure at randomization					
Systolic blood pressure, mean \pm SD, mm Hg	154.4 ± 21.6	154.3 ± 21.9			
Systolic blood pressure > 140 mm Hg, No. (%)	1006 (67.0)	959 (67.9)			
Diastolic blood pressure, mean \pm SD, mm Hg	89.6 ± 12.6	89.4 ± 12.5			
Diastolic blood pressure > 90 mm Hg, No. (%)	561 (37.4)	486 (34.4)			
Blood glucose, mean \pm SD, mg/dL	130.7 ± 59.3	129.8 ± 59.8			
Blood glucose > 126 mg/dL, No. (%)	444/1329 (33.4)	416/1247 (33.4)			
NIHSS score at randomization, median (IQR) ^d	5 (4-6)	5 (4-6)			
<7, No. (%)	1244 (82.8)	1153 (81.6)			
≥ 7, No. (%)	258 (17.2)	260 (18.4)			
Estimated premorbid function (mRS), No. (%) ^e					
No symptoms (score 0)	1056 (70.3)	1016 (71.9)			
Symptoms without any disability (score 1)	443 (29.5)	396 (28.0)			
Mild disability (score 2)	3 (0.2)	1 (0.1)			
Presumed stroke cause, No./total (%) ^f					
Undetermined cause	917/1499 (61.2)	855/1410 (60.6)			
Small-artery occlusion	454/1499 (30.3)	444/1410 (31.5)			

Table 1. Baseline Characteristics of the Patients.

Large-artery atherosclerosis	119/1499 (7.9)	106/1410 (7.5)
Other determined cause	7/1499 (0.5)	4/1410 (0.3)
Cardioembolic	2/1499 (0.1)	2/1410 (0.1)
Location of responsible vessel, No./total (%) g	·	· ·
Anterior circulation infarction	910/1317 (69.1)	870/1190 (73.1)
Posterior circulation infarction	371/1317 (28.2)	280/1190 (23.5)
Anterior and posterior circulation infarction	36/1317 (2.7)	40/1190 (3.4)
Degree of responsible vessel stenosis, No./total (%	(o) ^g	
Mild (< 50%)	249/410 (60.8)	268/412 (65.1)
Moderate (50%-69%)	81/410 (19.8)	53/412 (12.9)
Severe (70%-99%)	54/410 (13.2)	66/412 (15.0)
Occlusion (100%)	26/410 (6.3)	55/412 (7.0)
Previous antiplatelet therapy, No./total (%)	61/1502 (4.1)	50/1413 (3.6)
Taking aspirin before randomization	58/1502 (3.9)	49/1413 (3.5)
Taking clopidogrel before randomization	3/1502 (0.2)	1/1413 (0.1)
Previous lipid-lowering therapy, No./total (%)	12/1502 (0.2)	16/1413 (1.1)
Time to hospital discharge, mean \pm SD, d	11.4 ± 3.5	11.3 ± 3.5

484 Abbreviations: IQR = interquartile range. NIHSS = National Institutes of Health Stroke Scale. mRS =

- 485 modified Rankin Scale. SD = standard deviation.
- 486 ^a Currently drinks alcohol means consuming alcohol at least once a week within one year prior to the
- 487 onset of stroke.
- 488 ^b Comorbidities are based on family or patient report.
- 489 ^c Prior ischemic stroke referred only to patients with premorbid mRS ≤ 1 .
- 490 ^d Scores on the National Institutes of Health Stroke Scale (NIHSS) range from 0 to 42, with higher scores
- 491 indicating more severe neurologic deficit. A median NIHSS of 5 means mild-to-moderate neurologic
- deficit.
- ^e Scores on the modified Rankin Scale (mRS) of functional disability range from 0 (no symptoms) to 6
- 494 (death).
- 495 ^f The presumed stroke cause was classified according to the "Trial of ORG 10172 in Acute Stroke
- 496 Treatment (TOAST)" using clinical findings, brain imaging, and laboratory tests. Other causes included
- 497 nonatherosclerotic vasculopathies, hypercoagulable states, and hematologic disorder.

- 498 ^g The degree of stenosis was determined by cerebral vessel examination. The diagnosis was based on the
- 499 clinician's interpretation of the clinical features and examination results at the time of discharge from the
- 500 hospital.

Table 2. Efficacy and Safety Outcomes.

	Group, No. (%)		Unadjusted			Adjusted ^a		
	Clopidogrel + aspirin group (n=1502)	aspirin alone group (n=1413)	Treatment Effect Metric	Treatment Difference (95% CI)	P value	Treatment Effect Metric	Treatment Difference (95% CI)	P value
Primary outcome	1				1	l	l	
Early neurologic deterioration within 7 d $^{b, c}$	72 /1502(4.8)	95/1413 (6.7)	Risk difference	-1.9 (-3.6 to -0.2)	.03	Risk difference	-1.9 (-3.6 to -0.2)	.03
			Risk ratio	0.71 (0.53 to 0.96)	.03	Risk ratio	0.71 (0.53 to 0.96)	.03
Secondary outcomes								
mRS score of 0 to 1 within 90 d ^{c, d}	1130/1470 (76.9)	1015/1361 (74.6)	Risk difference	2.4 (-0.8 to 5.6)	.14	Risk difference	2.4 (-0.8 to 5.5)	.14
			Risk ratio	1.10 (0.97 to 1.26)	.16	Risk ratio	1.10 (0.97 to 1.26)	.16
mRS score distribution at 90 d ^{d, e}			Odds ratio	1.10 (0.96 to 1.25)	.19	Odds ratio	1.10 (0.96 to 1.26)	.18
Change in NIHSS score at 14 d from baseline, median (IQR) ^f	-0.56 (-0.99 to -0.22)	-0.51 (-1.10 to -0.22)	Geometric mean ratio	0.00 (-0.05 to 0.04)	.87	Geometric mean ratio	0.00 (-0.05 to 0.04)	.89
New stroke within 90 days ^g	12/1470 (0.8)	13/1361 (1.0)	Hazard ratio	0.86 (0.39 to 1.87)	.70	Hazard ratio	0.84 (0.39 to 1.85)	.67
Ischemic stroke	11/1470 (0.7)	11/1361 (0.8)		•				
Hemorrhage stroke	1/1470 (0.1)	2/1361 (0.1)						
Other vascular events or death within 90 d ^g	16/1470 (1.1)	12/1361 (0.9)	Hazard ratio	1.24 (0.59 to 2.61)	.58	Hazard ratio	1.24 (0.59 to 2.63)	.57
All-cause death	16/1471 (1.1)	12/1361 (0.9)						
Other vascular events	0/1471 (0.0)	3/1361 (0.2)						
Safety outcomes ^c								

Mucocutaneous hemorrhage	3/1521 (0.2)	1/1442 (0.1)	Risk difference	0.1 (-0.1 to 0.4)	.37	Risk difference	0.1 (-0.3 to 0.5)	.38
			Risk ratio	2.84 (0.30 to 27.31)	.37	Risk ratio	2.78 (0.29 to 26.73)	.38
Organ hemorrhage	4/1521 (0.3)	4/1442 (0.3)	Risk difference	0.0 (-0.4 to 0.4)	.94	Risk difference	0.0 (-0.4 to 0.4)	.92
			Risk ratio	0.95 (0.24 to 3.78)	.94	Risk ratio	0.93 (0.23 to 3.71)	.92
Intracranial hemorrhage h	1/1521 (0.1)	2/1442 (0.1)	Risk difference	-0.1 (-0.3 to 0.2)	.54	Risk difference	-0.1 (-0.3 to 0.2)	.55
			Risk ratio	0.47 (0.04 to 5.22)	.54	Risk ratio	0.48 (0.04 to 5.31)	.55
Symptomatic intracranial hemorrhage	1/1521 (0.1)	1/1442 (0.1)						
Asymptomatic intracranial hemorrhage	0 (0.0)	1/1442 (0.1)						
Any bleeding events	10/1521 (0.7)	14/1442 (1.0)	Risk difference	-0.3 (-1.0 to 0.3)	.35	Risk difference	-0.3 (-1.0 to 0.3)	.34
			Risk ratio	0.68 (0.30 to 1.52)	.35	Risk ratio	0.67 (0.30 to 1.51)	.34
Adverse events	132/1521 (8.7)	138/1442 (9.6)	Risk difference	-0.9 (-3.0 to 1.2)	.40	Risk difference	-0.9 (-3.0 to 1.2)	.40
			Risk ratio	0.91 (0.72 to 1.14)	.40	Risk ratio	0.91 (0.72 to 1.14)	.40
Serious adverse events	8/1521 (0.5)	5/1442 (0.3)	Risk difference	0.2 (-0.3 to 0.7)	.47	Risk difference	0.2 (-0.3 to 0.7)	.47
			Risk ratio	1.52 (0.50 to 4.63)	.46	Risk ratio	1.50 (0.49 to 4.59)	.47

Abbreviations: CI = confidence interval; mRS = modified Rankin Scale; NIHSS, National Institutes of Health Stroke Scale; IQR = interquartile range.

^aAdjusted for prespecified prognostic variables (age, sex, NIHSS score at randomization, time from the onset of symptoms to antiplatelet therapy, stroke etiology, history of diabetes

and history of hypertension).

^b Early neurologic deterioration was defined as an increase between baseline and 7 days of ≥ 2 on the NIHSS score, but not as a result of cerebral hemorrhage.

^c Calculated using a generalized linear model.

^d mRS scores range from 0 to 6: 0, no symptoms, 1 = symptoms without clinically significant disability, 2 = slight disability, 3 = moderate disability, 4 = moderately severe disability,

5 = severe disability; and 6 = death.

^e A shift in measures of function according to the full range of scores on the mRS at 90 days was analyzed by ordinal logistic regression and, the P values for the likelihood ratio test 0.20 in the unadjusted analysis and 0.27 in the adjusted analysis.

^f NIHSS scores range 0–42, with higher scores indicating greater stroke severity. The log (NIHSS+1) was analyzed using a generalized linear model.

^g Calculated using Cox regression model.

^h Intracranial hemorrhage will be diagnosed and classified according to the Heidelberg Bleeding Classification. Symptomatic intracranial hemorrhage was defined as new intracranial hemorrhage detected by brain imaging associated with any of the item below: (1) \geq 4 points total NIHSS at the time of diagnosis compared to immediately before worsening. Note that a 4 point change is not compared with the baseline admission NIHSS score but instead to the immediate predeterioration neurologic status. (2) \geq 2 point in one NIHSS category. (3) Leading to intubation/hemicraniectomy/EVD placement or other major medical/surgical intervention. (4) Absence of alternative explanation for deterioration. A total of three patients were diagnosed with ICH. One patient had asymptomatic intracranial hemorrhage with no NIHSS score change. The other two symptomatic intracranial hemorrhages occurred at Day 11 and Day 87, respectively