

1 **Abstract**

2 Title: Limits and Opportunities to Community Health Worker Empowerment: A multi-
3 country comparative study.

4 Background: In LMICs, Community Health Workers (CHW) increasingly play health
5 promotion related roles involving 'Empowerment of communities'. To be able to
6 empower the communities they serve, we argue, it is essential that CHWs themselves
7 be, and feel, empowered. We present here a critique of how diverse national CHW
8 programs affect CHW's empowerment experience.

9 Methods: We present an analysis of findings from a systematic review of literature on
10 CHW programs in LMICs and 6 country case studies (Bangladesh, Ethiopia, Indonesia,
11 Kenya, Malawi, Mozambique). Lee & Koh's analytical framework (4 dimensions of
12 empowerment: meaningfulness, competence, self-determination and impact), is used.

13 Results: CHW programs empower CHWs by providing CHWs, access to privileged
14 medical knowledge, linking CHWs to the formal health system, and providing them an
15 opportunity to do meaningful and impactful work. However, these empowering
16 influences are constantly frustrated by - the sense of lack/absence of control over
17 one's work environment, and the feelings of being unsupported, unappreciated, and
18 undervalued. CHWs expressed feelings of powerlessness, and frustrations about how
19 organisational processual and relational arrangements hindered them from achieving
20 the desired impact.

21 Conclusions: While increasingly the onus is on CHWs and CHW programs to solve the
22 problem of health access, attention should be given to the experiences of CHWs
23 themselves. CHW programs need to move beyond an instrumentalist approach to
24 CHWs, and take a developmental and empowerment perspective when engaging with
25 CHWs. CHW programs should systematically identify disempowering organisational
26 arrangements and take steps to remedy these. Doing so will not only improve CHW

27 performance, it will pave the way for CHWs to meet their potential as agents of social
28 change, beyond perhaps their role as health promoters.

29 **Keywords:** Community Health Workers; Empowerment; Performance; Agents of
30 social change

31 **Title:** Limits and Opportunities to Community Health Worker Empowerment: A
32 multi-country comparative study.
33

34 **Introduction**

35 Community health workers (CHWs) are well established as major actors in promoting
36 healthy behaviours and extending the reach of health systems in low and middle
37 income countries (LMICs). CHWs are well positioned to deliver promotive, preventive
38 and some curative health services to communities while working in partnership with
39 other frontline health workers (1,2,3). This becomes particularly relevant given the
40 massive shortage of health workers in LMICs, estimated by World Health Organisation
41 at around 4.25 million workers (4), the inequitable distribution of health workers within
42 countries, and the need to accelerate progress in working towards universal health
43 coverage (UHC) and the achievement of the proposed sustainable development goals
44 for beyond 2015 (5). According to Perry et al (1), more than 5 million CHWs are active
45 globally and recent reviews of evidence on the subject point to both the effectiveness
46 and importance of CHWs in providing services to communities (6,7,8).

47

48 Community health workers, in many countries, hail from modest social, economic,
49 educational backgrounds, and are often women (9,10,11). In most LMICs CHWs are
50 the lowest level cadre of health workers and they constitute a diverse group of health
51 workers who work primarily outside of health facilities, and close to the communities
52 they serve (2, 6, 8). In LMIC settings, CHW provide a wide range of services, ranging
53 from provision of safe delivery, counselling on breast-feeding, management of
54 uncomplicated childhood illnesses, health education and promotion on malaria, TB,
55 HIV/AIDs, STDs and NCDs, facilitating access to services, and at places also supporting
56 rehabilitation services (2, 6, 8). Similarly CHWs' roles vary across countries - extension
57 worker, a health promotor and educator, a facilitator, community mobiliser, first line
58 care provider, and sometimes counsellor and advisor. Irrespective of the LMIC context,

59 a common feature is the centrality of 'health promotion' related activities in CHW's
60 work. Labonte & Laverack (p 29) define health promotion as "an empowering practice
61 aimed at social change" (12). According to Rootman et al (p 530), the core of health
62 promotion practice is "empowerment: enhancing capacities of individuals and
63 communities to exercise control over the determinants of health" (13). Indeed, as the
64 recent systematic review of the global experience of CHWs shows, 'empowerment of
65 communities' is a notion that is well established in CHW policies and programs globally,
66 and many, if not all CHW programs have an explicit or implicit commitment to the
67 'empowerment of beneficiary communities' (2).

68

69 For CHWs to be able to function as health promotion practitioners, and to be able to
70 empower the citizens and communities they serve, we argue, it is essential that they
71 themselves be, and feel, empowered. Tulenko et al (p 847) concur and contend that
72 "In moving towards UHC, much can be gained by investing in building CHWs' skills and
73 supporting them as valued members of the health team" (14). Few have explored the
74 subject of empowerment of CHWs (15,16); this study aims to contribute to this body
75 of knowledge. We examine the 'empowerment experience' of CHWs as part of the
76 REACHOUT study which is being conducted in Ethiopia, Malawi, Mozambique, Kenya,
77 Bangladesh and Indonesia; we critically analyse what facilitates or hinders this
78 experience.

79

80 **Methodology**

81 Two complementary perspectives have been used to study and understand
82 employee/worker empowerment: the social-structural perspective and the
83 psychological perspective. The 'social-structural perspective' emphasizes the
84 importance of social, economic, political and organizational structures and
85 arrangements and how they affect or condition the employee's state of empowerment

86 (or powerlessness). It refers to policies, practices and relations that involve sharing
87 decision-making power between managers and workers (17, 18). In the 'psychological
88 perspective' the emphasis is on employee's perceptions and experiences, on the
89 employee's perceptions and beliefs of power, competence, control and self-efficacy
90 (19,20,21). The psychological perspective thus builds on the social-structural
91 perspective and conceptualises the social-structural arrangements as powers that
92 shape the individual's experience of empowerment. Lee & Koh (21), drawing upon
93 Thomas & Velthouse's (19) original work, use this understanding as the basis to define
94 employee empowerment as "the psychological state of a subordinate perceiving four
95 dimensions of meaningfulness, competence, impact, and self-determination, which are
96 affected by empowering behaviours of the supervisor (and other structures)".

97

98 According to them 'meaningfulness' refers to the meaning ascribed to, or the value of
99 a task goal or purpose, judged in relation to an individual's own ideals or standards.
100 'Competence' is an individual's belief in his/her capability to perform activities skilfully.
101 'Impact' refers to the perception of the degree to which an individual thinks he/she
102 can influence certain outcomes at work. Thomas & Velthouse (19) originally used the
103 term 'choice' in preference over 'self-determination' and this referred to whether a
104 person perceived his/her behaviour as being self-determined, and of his/her own
105 choice – we also choose to use the term 'choice' for the purpose of this study. We do
106 so with the understanding that the locus of causality can be either invested in the
107 person or be in the external environment.

108

109 Using Lee & Koh's (21) conceptual framework we analyse how program design and
110 implementation processes, in different LMIC contexts, facilitate or constrain the
111 empowerment experience of CHWs. Literature from organizational and management
112 studies shows the benefits of empowerment of workers to the organizations they work

113 for and for workers themselves (22,23,24). We reflect upon the limits of and
114 opportunities for improving CHW programs so that CHWs are empowered, perform
115 better, are enabled to serve and empower their communities, better.

116

117 Our analysis is based on findings from Phase 1 of the five year REACHOUT project
118 which explores factors influencing the performance of CHWs globally, and in
119 Bangladesh, Ethiopia, Indonesia, Kenya, Malawi and Mozambique, in particular. The
120 REACHOUT study scope is broader than just CHWs; as the study participants are a
121 wide range of care providers, all with one common characteristic – their proximity to
122 the communities they serve. Phase 1 of the REACHOUT project involved a review of
123 the international literature on the subject (150 studies and 46 reviews) (6), six reviews
124 of country level literature on the subject in the REACHOUT countries, and, six country
125 level qualitative context analysis that explored a range of CHW related issues. These
126 literature reviews and qualitative studies were done over 2013-2014. Phase 2 is
127 currently ongoing and involves action research cycles to test interventions developed
128 based on findings from Phase 1. Overall ethical approval was received from Ethics
129 Committees at KIT Royal Tropical Institute, Amsterdam; country level approvals were
130 received from Ethics Committees in each of the six study countries.

131

132 Based on the reviews of literature, including of different frameworks examining the
133 performance of a variety of close to community care providers, a common conceptual
134 framework (6) was developed to guide the six country level qualitative context
135 analyses. The framework formed the basis of common topic guides for in-depth
136 interviews and focus group discussions (FGDs); it provided a shared frame for the six
137 country teams to explore factors that enabled or hindered the performance of CHWs.
138 Together the six country level qualitative context analysis involved 250 interviews and
139 65 FGDs; hereafter we collectively refer to these as REACHOUT country case studies

140 (25, 26, 27, 28, 29, 30). The respondents, across the six study countries, included:
 141 CHWs, CHW supervisors, healthcare service managers, formal care providers, citizens
 142 – men and women. Details of sampling and recruitment are summarised in Table 1
 143 and are presented in detail in the six REACHOUT country case study reports.

144

145 Table 1. Interviews and focus group discussions conducted per country, by informant type

	Ethiopia	Kenya	Malawi	Mozambique	Bangladesh	Indonesia
CHWs						
FGDs	HEWs - 6	CHWs - 6	HSAs - 3			Village Midwives and Village Nurse - 3
SSIs	HEWs - 12		HSAs - 8	APEs - 18	Formal CTCPs - 8 Informal CTCPs - 16	Village Midwife & Village Nurse - 44
CHW supervisors, managers, key informants						
SSIs	Kebele administrator - 3 Health centre in charge - 3 delivery case team leaders - 3 HEP coordinators - 3 Regional HEP coordinator - 1 Zonal HEP coordinator - 1	CHEWs - 16 SCHMT members - 3 Facility in-charges - 4 National level policy makers - 4	District level staff - 13 health centre in charges - 2 NGO staff - 9	Health facility supervisors - 3 District supervisors - 2	Paramedic - 2, Clinic Manger - 2, Counsellor - 2, Nurse - 1, Program officer - 1	Head of PHC or Puskesmas - 4 Midwife coordinator - 2 Head of district MCH section - 2
Community members						
FGDs	Women - 6 Men - 2	Community members - 4	Women - 7 Volunteers - 6	Mothers - 8	Married women - 8, Married men-4	Men - 2
SSIs	Mothers - 12 TBAs - 6	Community members - 10	Mothers - 1 TBAs - 6 Traditional leaders - 3 Volunteers - 2	Community leaders - 6		Mothers - 39 TBAs - 8 Head of village & head of PKK - 17

146 APE = Agentes Polivalentes Elementares; CHEW = community health extension worker; CHW = community health
 147 worker; FGD = focus group discussion; HEP = health extension programme; HEW = health extension worker; HSA =
 148 health surveillance assistant; SSI = semi-structured interview; NGO = non-governmental organization; SCHMT = sub-
 149 county health management team; TBA = traditional birth attendant; CTCP= close –to- community providers, FWV =
 150 family welfare volunteer; FWA= family welfare assistant; TTBA= trained traditional birth attendance; TBA = traditional
 151 birth attendance; PKK = Refers to the 'family welfare movement' – an Indonesian women's organization; Kader =
 152 Village Health Volunteer in Indonesia; Puskesmas = sub-district community health centre.

153

154 The data gathered during Phase 1 was interrogated from a variety of perspectives,
 155 including, as is the case in this paper, a human resource management and worker

156 empowerment perspective. For this paper, we initially analysed the six country case
157 study reports; to further clarify and elucidate the themes that emerged from this initial
158 analysis, we ran queries on the primary data (in the NVivo 10 files).

159

160 **Findings**

161

162 This section presents an analysis of how, in the six REACHOUT study countries,
163 program design choices and implementation processes, facilitated or constrained
164 CHW's experience of the four dimensions of employee empowerment: meaningfulness,
165 competence, choice and impact.

166

167 **CHWs views on the 'meaningfulness' of their work**

168 'Meaningfulness' refers to the meaning ascribed to or the value of a task goal or
169 purpose judged in relation to an individual's own ideals or standards. This refers to a
170 congruence between the work, the role and an individual's beliefs, values and
171 behaviors. Both, the literature (7, 8, 31, 32, 33, 34, 35) and the six REACHOUT
172 country case studies (25-30) point out that some form of meaning in their work is a
173 dominant source of feelings of empowerment for CHWs across the world. What is
174 'meaningful', and what makes it 'meaningful' is derived from of a variety of things –
175 and relates to a combination of the nature of work that CHWs do, the roles that they
176 are now ascribed, the tasks that their society privileges, past personal experiences,
177 and religion.

178

179 As the following quotes from CHWs in Indonesia, Kenya, Malawi, illustrate, CHWs feel
180 empowered as a result of them being viewed and identified by their communities, as
181 being associated with the formal medical and health system, a highly privileged
182 professional system in these countries, and generally across the world.

183

184 *"If you're a midwife, it looks good. I like seeing people using white clothes. It's nice,*
185 *cool..."* (Indonesia Case Study – Community Midwife)

186

187 *"It motivates you ... even the households will see you and say "my doctor is here" they*
188 *start calling you doctor"* (Kenya Case Study - CHW)

189

190 *" ... the uniforms we wear are our identity. People in the village or at the clinic identify*
191 *us easily because of the uniform ... "*(Malawi Case Study - CHW)

192

193 Similarly, being able to serve their communities, in times of their need and being
194 appreciated for it, was something that CHWs attached great importance and meaning
195 to; as the quotes from Kenya and Indonesia illustrate, being a CHW - the tasks and
196 roles it entails, allows them to fulfil their dreams, and their 'calling'. :

197

198 *"[I] saw that it was a 'calling' and I accepted and I was once helped and I want to*
199 *return the favor"* (Kenya Case Study - CHW)

200

201 *"Since in primary school I always have a dream to help people. So I became a*
202 *midwife. I like to serve the people around me."* (Indonesia Case Study – Village
203 Midwife)

204

205 For many CHWs, the opportunity to make a difference to the lives of their people,
206 including through saving lives, the gratitude they receive for doing so, and being
207 trusted, gives value and meaning to their work - makes their work, as this CHW from
208 Ethiopia says, "great".

209

210 *"When I see the result I feel happy, and the community also thanks us after we saved*
211 *their life: health extension workers are doing a great job. Health extension work is*
212 *great"* (Ethiopia Case Study - CHW)

213

214 *"From my job, I feel happy by attending delivery. They trust us and we are attending*
215 *delivery at day as well as night. In addition, they are using vaccination; they are giving*
216 *birth with space."* (Ethiopia Case Study - CHW)

217

218 For some, working as a CHW allows opportunities to channel their values and beliefs
219 into concrete actions. For many, as these CHWs from Mozambique and Bangladesh
220 say, this work allows them to achieve in its fullness, what Lee & Koh (21) define as
221 meaningfulness "congruence between the requirements of a work role and an
222 individual's beliefs, values and behaviours".

223

224 *".. it is our duty to motivate a newly married girl to take a method (family planning)*
225 *and show the right path ..."* (Bangladesh Case Study - CHW)

226

227 *"Working for health is equal to a pastor who works for God, works for the people and*
228 *for God, is a complete work. ... It is not because of the money that people go to*
229 *work in health."* (Mozambique Case Study - CHW)

230 These findings mirror our experiences in this field generally; that for most CHWs, the
231 work they do also carries a deeper meaning; for many it is an opportunity for self-
232 actualization, for some a means to reify their faith and humanity, and for others a
233 means to even define their identities.

234

235 **The power of newly gained 'competence'**

236 Competence refers to an individual's belief in his/her capability to perform task
237 activities skilfully (21). This refers to agency, beliefs, personal enactive mastery of
238 tasks or self-efficacy (21). Our analysis of the literature revealed that almost all CHW
239 program designs involve competence-based training of CHWs on specific tasks
240 targeted at specific situations, supplemented by practice sessions and on-job
241 mentoring (6,9,32,36). The literature shows that CHWs universally value these, and
242 often express desires to gain more knowledge and skills (6,9,32,36). This, as
243 illustrated by the quotes below, together with the difference that CHWS can make in
244 wielding this knowledge and skills, and the consequent meaning it adds to their lives,
245 makes these newly gained competencies an important driver of the empowerment
246 experience for the CHWs.

247

248 *"This [training] has really helped [me make] my community be healthy and free of*
249 *disease"* (Kenya Case Study - CHW)

250

251 *"It would be good to give us more training courses and increase the medicines. Giving*
252 *us new skills to treat other diseases, because there are things that is missing here in*
253 *the community..."* (Mozambique Case Study - CHW)

254

255 In our analysis we recognised Kane et al's (32) conclusions on the matter - the
256 knowledge based training aspects of CHW programmes provide access to new,
257 specialised, and socially privileged knowledge, and thereby trigger a sense of self-
258 efficacy amongst CHWs. These findings are also consistent with recent empirical work
259 by Nandi & Schneider (38), Bhatia (11), Ingram et al (39), and Becker et al (40). It is
260 however worth noting that the knowledge and competence that CHWs value the most
261 tend to be related to curative tasks. The next section also shows how it is through the

262 application of curative knowledge and competencies CHWs are able to see a clear
263 impact of their work, and feel empowered.

264

265 **Clear and present 'impact' of their work**

266 Impact refers to the perceptions of the degree to which an individual can influence
267 strategic, administrative or operating outcomes at work (21). The literature
268 consistently points out that CHWs across the board believe that they make a major
269 impact on the lives of the people in their communities, through helping protect the
270 health of their people, through supporting their people to make right and healthy
271 decisions, and through saving lives, (6, 8, 31, 32, 33).

272

273 As the following quotes highlight, being able to serve their people in their time of need,
274 and particularly being able to help save lives, gives CHWs a sense of doing impactful
275 work.

276

277 *"The most interesting thing is saving the life of women and children. I feel happy when*
278 *one mother calls me at her delivery. Previously, I was attending delivery at their home,*
279 *now I am attending in the health post since the bed is available...."* (Ethiopia Case
280 Study - CHW)

281

282 *"The village clinics are also helping children a lot, when they get sick, they easily access*
283 *drugs and we have helped in saving a number of lives for these children."*(Kenya Case
284 Study - CHW)

285

286 *"I do work with Mai Khanda in so many programs. For example, the safe motherhood*
287 *programme ...this group do some follow ups on pregnant women. To ensure that*

288 *pregnant women attend antenatal and that pregnant women give birth at the hospital*
289 *to save the life of the mother and the baby.” (Malawi Case Study – CHW)*

290

291 Some CHWs saw themselves as making an impact in terms of being able to guide their
292 people to make the right decisions through sharing their newly acquired knowledge;
293 others, as the quote from a CHW in Malawi illustrates, saw the impact of their work at
294 an even higher level – as a contribution to building a healthier, better society.

295

296 *“I feel happy because, first, I have so many friends, so much knowledge. ...I didn’t*
297 *know about immunization, I didn’t know about BCG. Now, I know about that ... I have*
298 *more knowledge, so I share my experience with friends, give them advice ...”*

299 (Indonesia Case Study – Village Midwife)

300

301 *“The work of an HSA has been my desired job ... I was interested to help the children*
302 *who are being born, the expectant mothers, all the women and the under-five to have*
303 *a healthy life. We have to give the services to these people, because they are the*
304 *leaders of tomorrow. If these people cannot have better services then this country will*
305 *not be good in future” (Malawi Case Study - CHW)*

306

307 We found that the CHWs feel able to influence operational level outcomes at work;
308 outcomes related to activities like sharing knowledge, giving advice and helping people
309 gain access to health services. We did not find any instances of them being able to
310 influence strategic level outcomes at work – for example, instances of contributing to
311 identifying local needs, or setting local service priorities, or influencing resource
312 allocation and planning. This however did not hinder the empowerment experience of
313 the CHWs, probably because of both, as this section shows, them themselves
314 imagining the difference *they make*, and also perhaps them accepting the constraints

315 set by the program design and implementation processes on the difference *they can*
316 make. Thus, this sense of empowerment, one might argue, is in many ways both
317 because of and inspite of CHW program design and implementation processes. This is
318 highlighted further in the next section which shows how the managerial imperatives
319 constrain CHWs ability to exercise 'choice'.

320

321 **The perceptions of 'choice'**

322 The fourth dimension of the cognitive construct of employee empowerment is 'choice'.
323 It refers to perceptions of autonomy in the initiation and continuation of work
324 behaviours and processes; it refers to whether a person perceives his/her behaviour
325 as being self-determined. The point being that perceiving oneself as the locus of
326 causality for one's behaviour (as the originator, rather than the pawn) is essential for
327 intrinsic motivation (19), and thereby for feeling empowered. CHWs consistently
328 express feelings of helplessness, feelings of being undervalued and not having control
329 in their work sphere. This paucity of control and its erosive effects on their sense of
330 self-worth and self-esteem is a recurring underlying theme; although, and expectedly,
331 there are variations depending upon the program designs and the decision space it
332 offers to CHWs. The findings below illustrate how programs by not providing good and
333 timely support and resources (not necessarily deliberately) and authority to CHWs,
334 undermine their empowerment experience.

335

336 For instance, CHWs in Indonesia expressed feelings of powerlessness and helplessness
337 about program implementation processes that hindered their work.

338

339 *"When I participated in the asphyxia training, I wanted to disseminate it to village*
340 *midwives, but we don't have Ambu bag [breathing aids] up until now. So when I*

341 *wanted to disseminate it, I didn't have any related materials, which made it useless."*

342 (Indonesia Case Study – Village Midwife)

343

344 *"Many pregnant mothers like to come to Posyandu because they want have their blood*

345 *pressure measured or they want to listen to baby's heart rate. They really like these,*

346 *but not all village midwives have these instrument. Or some of them have but then*

347 *broken and cannot immediately replaced"* (Indonesia Case Study – Community

348 Midwife)

349

350 Clearly flawed program design features, for example inadequate and rushed trainings

351 which did not take into account the learning needs of the CHWs in Malawi, accentuated

352 their sense of inadequacy, were a source of frustration, and clearly disempowering.

353

354 *"The other thing is about trainings, things that you are supposed to learn for two or*

355 *three weeks they teach us for three days only, so it becomes very difficult to apply*

356 *them when we are in the community we fail as we don't know where to start from."*

357 (Malawi Case Study – Health Surveillance Assistant)

358

359 Management failures around the timely payment of allowances, particularly when

360 compared to how well things worked for other cadres, were a source of feelings of

361 being undervalued and of not having control in their work sphere; a CHW in

362 Mozambique tellingly expressed his frustrations:

363

364 *"When I was chosen as APE they spoke about the monthly allowance and I hoped that*

365 *allowance was monthly ... this is my 4th month and I have not received ... is just not*

366 *enough for anything .. I have my wife, two sons and my mother is elderly .. and I*

367 *have to support my family"* (Mozambique Case Study – APE)

368

369 Similarly, management apathy around allowances for CHWs, as the CHW from Kenya
370 points out, are also a source of feelings of being undervalued and of not having control
371 over one's work sphere.

372

373 *"And even when you're a senior HSA you receive a salary which is the same with any*
374 *HSA. For example our senior HSA started working as an HSA in 1993 while I started*
375 *working as HSA in 2007 but we receive the same salary. This demotivates us and*
376 *makes us not work extra hard"* (Malawi Case Study – Health Surveillance Assistant)

377

378 In all the six country case studies – CHWs indicated that very often the supervision
379 processes were too focused on reporting data and fault finding. CHWs reported various
380 ways in which this undermined their morale and sense of self-worth.

381

382 *"What makes us not work hard is, when the higher health office comes for supervision,*
383 *they leave our strong parts and take very minor things and discourage us".* (Ethiopia
384 Case Study – Health Extension Worker)

385

386 *"The downside of maternal and child health revolution in this province is we (midwives)*
387 *are always blamed, especially when a mother died. What we want actually is a more*
388 *support like trainings and instruments so we can work better"* (Indonesia Case Study
389 – Community Midwife)

390

391 On being explicitly asked about the control they have over their own work, and decision
392 making, a CHW in Malawi responded,

393

394 *"I don't have such influence ... We here are juniors, when we are voicing our concerns*
395 *on what needs to be done to create a conducive working environment, it is referred to*
396 *as insubordination". (Malawi Case Study – Health Surveillance Assistant)*

397

398 Similarly, in Kenya on being asked whether they would like their roles to be expanded,
399 CHWs in a FGD expressed how expanding their roles and decision space would
400 empower them and would help to improve their performance.

401

402 *"Yeah they should ... they should empower us to be able to nurse them before reaching*
403 *the (hospital) to avoid women deaths during giving birth" (Kenya Case Study - CHW).*

404

405 **Discussion and Conclusions**

406 In this paper we have critically analysed the international literature and the six
407 REACHOUT study country context analysis findings with a view to understand the
408 empowerment experience of the CHWs. While this explicit focus on examining the
409 'empowerment experience' of CHWs who are meant to 'empower' the communities
410 they serve, is an under researched area within the field of health services research in
411 LMICs, its importance has been recognized before (15, 16).

412

413 In 2003, Shrestha from Nepal presented a conceptual model for the empowerment of
414 CHWs (FCHVs - female community health volunteers), and argued that only when
415 FCHVs are empowered themselves, can they bring sustainable change and improve
416 the health outcomes of the communities they serve (42). That empowerment of CHWs
417 is an essential prelude to them being effective in enacting their roles as health
418 promoters, has also been highlighted, in different parts of the world. In the ASHA Plus
419 project (43) in India, CHWs explicitly reported being empowered as a result of access
420 to new knowledge, new freedoms, and new opportunities. Bhatia (11) also discusses

421 the contradictions and tensions inherent in the CHW program designs and
422 management; she highlights how the empowering influence of access to new
423 knowledge, meaningful and socially relevant work on one hand, is often at odds with
424 the managerial imperative to maintain control and contain costs on the other..
425 Similarly, Campbell et al (44), drawing on their experience in South Africa, contend
426 that the process of challenging entrenched structural power inequalities, within
427 organisations, across professional boundaries, is essential, but bound to be messy.
428 They argue that meeting of the 'empowerment objectives' of such initiatives, both the
429 empowerment of CHWs and the communities they serve and seek to empower, while
430 necessarily slow and gradual, is an essential precondition to achieving change. Mc
431 Creary et al (45) also report how voluntary peer group leaders identified the
432 experience of being empowered, as central to their development of an identity and to
433 be effective as peer and community health promoters.

434

435 Methodologically, while Lee and Koh's framework (21) helped us unpack the different
436 dimensions of the CHWs' empowerment experience, it fell short in guiding us in
437 explaining the antecedents of the four dimensions. The framework was perhaps
438 originally designed to examine the situation of workers who had clearly defined
439 profiles, clear identities, were formally employed and paid, and operated in well-
440 defined relational environments. These reference points do not consistently apply to
441 all CHW program contexts and this sometimes hindered the use of the framework to
442 examine the situation of CHWs within different contexts. Along the same lines, in
443 some ways the framework assumes that, once empowered, employees would want to
444 stay on in the organizations they work in. It does not take into account the possibility
445 that an empowered worker might have higher expectations and is more likely to leave
446 if service conditions do not meet these new expectations (expectations about role or
447 compensation or conditions generally).

448

449 In 2012, Maynard, Gilson and Mathieu, in their review of the past two decades of
450 research on empowerment within organizational settings, have articulated the
451 importance of researching empowerment from a multilevel perspective (23). They
452 distinguish between a hierarchy of organizational, team and individual empowerment,
453 and their antecedents. They indicate that research should examine different or multiple
454 levels and the influence that higher levels have on individual psychological
455 empowerment. They also identify the importance for research to explore and expose,
456 sometimes competing mediators of individual psychological empowerment. In this
457 paper, we have focused on the individual level psychological empowerment experience
458 of the CHWs – and we have considered the program design, organizational
459 arrangements, relational features, and their interactions, as being antecedents that
460 shape the individual/CHW level psychological empowerment experience. We interpret
461 these as multiple levels of antecedents determining the four dimensions of Lee and
462 Koh's (21) empowerment experience. Maynard, Gilson and Mathieu (23) further argue
463 that while researchers too often, and understandably, collapse the dimensions of
464 individual level psychological empowerment (choice, competence, meaningfulness and
465 impact) into a composite measure, it would be better if these dimensions are analysed
466 using an interactional approach. In this paper, we have attempted to do this – a
467 limitation of our effort however is the fact that the REACHOUT study looked at a wide
468 range of issues affecting CHW's performance, and our data collection tools were not
469 always explicitly oriented to uncover the empowerment experience of CHWs. In
470 addition, and in retrospect, we could have organised our sampling strategy differently.
471 For example, had we stratified our informants by characteristics that could influence
472 their empowerment experience, such as, years in service, age, gender, or common
473 tasks (curative vs promotive vs linkage), we would have been able to get a better
474 understanding of the antecedents of the empowerment experience.

475

476 In their recent work, Maes et al (15) make a compelling case for researchers and
477 development practitioners to take a critical view on the gendered political economy of
478 CHW programs; they argue that it is important to unpack the multiple simultaneous
479 narratives at play, and to go beyond the simplistic narratives about CHW's provision
480 of health services. They urge researchers to analyse the claims and narratives of
481 empowerment (and participation), taking due cognizance of existing political, historical
482 and relational context (16). This paper, while it does not cover the whole distance,
483 does cover in detail how the organizational and relational contexts shape CHW's
484 empowerment. Our data does not allow us to comment on the broader socio-political-
485 economic contexts shaping the empowerment potential of community health workers,
486 hence the omission. We have however illustrated how organisational arrangements
487 within CHW programs in LMICs both facilitate and frustrate the CHWs empowerment
488 experience, and argued for the need for CHW programs to be reoriented to enhance
489 the empowerment experience of CHWs. Worker empowerment and its
490 operationalization is a much studied and contested subject in organizational studies
491 (23). While there are those who see it as key to the well-being of all parties, others
492 argue that empowerment initiatives within organizations are always designed to
493 achieve managerial goals – conflict containment, productivity gains, and to maintain
494 the power balance between the employees and the employers (46, 47). Some argue,
495 echoing the Foucaultian understanding of the concept of governmentality (48), that
496 the worker empowerment project is but merely an insidious form of managerialism
497 operating through considered and calculated modes of managerial action intended to
498 structure and subject the actions of others – just another forms of subjugation of the
499 worker. Their point being that organisational claims notwithstanding, employee
500 empowerment initiatives are always at odds with managerial imperatives and
501 interests; and that this would be so for the CHWs too. This is echoed to some extent

502 in the REACHOUT country case studies; CHWs and other similar cadres are often left
503 to their own devices, are rarely visited by supervisors and have little decision space to
504 construct approaches that would allow them to better respond to the needs of the
505 communities they serve.

506

507 We however agree with Hardy et al (47) that while there might be some point to these
508 arguments, one misses the point if one generalizes them. And that empowerment
509 initiatives may improve the quality of the work environment for the workers. We
510 believe that this is probably more so the situation in the case of CHWs and CHW
511 programs in LMICs. This is because of the unique situation of CHWs in most LMICs –
512 of them being not the usual worker within health systems, them being at the interface
513 of the health system and the communities, and their role as community based, and
514 not facility based health workers, all of which fundamentally limits the control that
515 their managers can exercise on them. Even from a purely organizational and
516 operational perspective, the limitations of the technologies of supervision and control
517 inherent to the delivery of community-based services, necessitates a partnership
518 approach towards CHW cadres. We argue that CHW programs can perform better if
519 they systematically partner with and empower CHWs; any managerial attempts at
520 control along the same lines as controlling other health worker cadres can only occur
521 at the expense of frustrating an important purpose of CHW programs – community-
522 based health promotion and contribution to social change. Swift & Levin (49) have
523 convincingly argued that there is nothing in the psychological definition of
524 empowerment that requires the increase of power of one group to decrease the power
525 of another group, and that power does not have to be seen as a zero-sum commodity,
526 but can be a 'win-win' situation – we argue that in the case of CHWs in the LMIC health
527 systems, this is the case.

528

529 One must however recognize that the healthcare profession is highly hierarchical, with
530 often rigidly defined roles and the privileges that go with these roles. We appreciate
531 that initiative to increase the scope of work of a new group, like CHWs, particularly to
532 include curative tasks, will expectedly be contested and will face resistance, for various
533 reasons, from various existing cadres within the system. Furthermore, evidence on the
534 shifting of curative tasks to CHWs warns of the problems of misdiagnosis, delays, and
535 inappropriate disease management; evidence shows that shifting of curative tasks to
536 CHWs should only be done within the context of well-functioning health system, and
537 should be limited to few tasks (50, 51). We acknowledge this evidence; our call for
538 creating an empowering work environment of CHWs should thus not be seen as a call
539 for indiscriminately expanding the scope of curative tasks of CHWs (tasks which CHWs
540 expectedly find very empowering). Perhaps, as the recent review by Naimoli et al (52)
541 highlights, helping CHWs and communities to better appreciate the importance of the
542 non-curative tasks and the critical role they play as the bridge between communities
543 and the health system, through clear inclusion of these messages in the CHW training
544 curriculum, and its regular reiteration by other cadres, could be a way forward.

545

546 We contend that CHW programs in LMICs already have many elements that offer an
547 empowering experience to CHWs through improving competence, enhancing self-
548 esteem, offering opportunities for meaningful and socially impactful work. We argue
549 that while this is good, these empowerment influences fall short in translating into
550 CHW's performance whenever and wherever they are undermined by organizational
551 arrangements and relations that trigger cognitions of being helpless, undervalued and
552 not in control, amongst CHWs. We contend the latter need not be the case; that CHW
553 programs would benefit from systematically interrogating their program design and
554 implementation to identify disempowering organizational and management
555 arrangements, both relational and processual, and to take steps to remedy these.

556 Doing so would not only improve CHW program performance, it would also pave the
557 way for CHWs to meet their potential as agents of social change, beyond their role as
558 links between the community and the health system.

559

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