

Title:

Efficacy and safety of high-dose ivermectin for reducing malaria transmission (IVERMAL) - protocol summary for a double-blind, randomised, placebo-controlled, dose-finding trial in western Kenya

Authors:

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Abstract:

Background:

Innovative approaches are needed to complement existing tools for malaria elimination. Ivermectin is a broad spectrum antiparasitic endectocide clinically used for onchocerciasis and lymphatic filariasis control at single doses of 150-200 mcg/kg. It also shortens the lifespan of mosquitoes that feed on individuals recently treated with ivermectin. However, the effect after a 150-200 mcg/kg oral dose is short-lived (6-11 days). Modelling suggests higher doses, that prolong the mosquitocidal effects, are needed to make a significant contribution to malaria elimination. Ivermectin has a wide therapeutic index and previous studies have shown doses up to 2,000 mcg/kg, i.e. 10x the US Food and Drug Administration approved dose, are well tolerated and safe; the highest dose used for onchocerciasis is single-dose 800 mcg/kg.

Objective:

To determine the safety, tolerability, and efficacy of ivermectin 0, 300, 600 mcg/kg/day for 3 days, when provided with a standard 3-day course of the antimalarial dihydroartemisinin-piperaquine, on mosquito survival.

Methods:

This is a double-blind, randomised, placebo-controlled, parallel-group, 3-arm, dose-finding trial in adults with uncomplicated malaria. Monte Carlo simulations based on pharmacokinetic modelling were performed to determine the optimum dosing regimens to be tested. Modelling showed that a 3-day regimen of 600 mcg/kg/day achieves similar median (5-95 percentiles) C_{max} concentrations of ivermectin to single-dose of 800 mcg/kg, while increasing the median time above the LC₅₀ (16 ng/mL) from 1.9 days (1.0-5.7) to 6.8 (3.8-13.4) days. The 300 mcg/kg/day dose was chosen at 50% of the higher dose to allow evaluation of the dose response. Mosquito survival will be assessed daily up to 28 days in laboratory-reared *Anopheles gambiae* s.s. populations fed on patients' blood taken at days 0, 2 (C_{max}), 7 (primary outcome), 10, 14, 21, and 28 after the start of treatment. Safety outcomes include QT-prolongation and mydriasis. The trial will be conducted in 6 health facilities in

50 western Kenya and requires a sample size of 141 participants (47 per arm). Sub-studies include: (1)
51 rich pharmacokinetics and (2) direct skin vs membrane feeding assays.

52 **Results:**

53 Recruitment started July 20th, 2015. Data collection was completed on July 2nd, 2016. Unblinding and
54 analysis will commence once the database has been completed, cleaned and locked.

55 **Discussion:**

56 High-dose ivermectin, if found to be safe and well tolerated, might offer a promising new tool for
57 malaria elimination.

58 **Trial registration:**

59 ClinicalTrials.gov: [NCT02511353](https://clinicaltrials.gov/ct2/show/study/NCT02511353) (July 15, 2015).
60

61 **Keywords:**

62 Malaria, *Plasmodium falciparum*, ivermectin, dihydroartemisinin-piperaquine, *Anopheles gambiae*
63 s.s., insecticide, clinical trial, pharmacokinetics, Kenya, study protocol.
64

65 **Background:**

66 Ivermectin is a potential new tool that is being considered in malaria transmission reduction
67 strategies [1]. Ivermectin is a broad spectrum antiparasitic endectocide active against a wide range
68 of internal and external parasites. It was originally introduced as a veterinary drug, predominantly
69 for use in domestic livestock, but since 1987 has been widely used in human medicine [2].

70 Ivermectin at a dose of 150 or 200 mcg/kg is the first-line treatment for *Onchocerca volvulus* (the
71 cause of river blindness) [3], *Wuchereria bancrofti* (the cause of lymphatic filariasis) [4], and
72 *Strongyloides stercoralis* (roundworm, an intestinal helminth) [5]. To date more than 2.7 billion
73 treatments have been distributed as part of a mass drug administration (MDA) strategy [6].
74

75 Ivermectin has secondary effects on ectoparasites, such as head lice, mites, bedbugs and scabies,
76 that feed on recently treated individuals [2, 7], and it is also active against *Anopheles spp.* at
77 concentrations present in human blood after standard doses. It reduces the re-blood feeding
78 capacity, female fecundity, hatch rate of their eggs, the survival of progeny larvae, and importantly,
79 it reduces the vector's lifespan [1, 8-11]. It may also inhibit parasite sporogony [12]. Ivermectin has a
80 different mode of action from other insecticides, and therefore may be effective against mosquito
81 populations that are resistant to insecticides used on long-lasting insecticidal nets (LLINs) or indoor
82 residual spraying (IRS). Furthermore, it is able to kill exophagic and exophilic vectors that can escape
83 the indoor killing effects of LLINs and IRS [8].
84

85 However, several studies have shown that the effects after the standard 150-200 mcg/kg doses of
86 ivermectin are generally short-lived. Three *in vivo* studies assessed the long-term effect of
87 ivermectin on mosquito survival by conducting feeding at least 7 days after administration of
88 ivermectin [10, 13, 14]. A single low dose of 200 mcg/kg showed a 1.33 fold increase in mosquito
89 mortality when fed on blood taken from humans who had received ivermectin 1 day earlier, but
90 there was no longer an effect when mosquitoes were fed on blood taken on day 14 post-treatment
91 [10], while a repeated dose of 200 mcg/kg given on days 0 and 2 showed a modest effect on reduced
92 survival 7-days post-treatment [14], and a dose of 250 mcg/kg in a single human volunteer showed a
93 potent effect for at least 2 weeks post-treatment [13]. Population-based studies of the effect of
94 MDA with ivermectin on malaria transmission or mosquito survival showed that MDA with a single
95 dose of 150 mcg/kg for the control of onchocerciasis in Senegal affected survivorship of *An. gambiae*
96 s.s. for up to 6 days, resulting in an estimated reduction of malaria transmission for at least 11 days
97 as a result of a change in age-structure of *An. gambiae* s.s. [15-17]. Similarly, in three different west
98 African transmission settings, this same dose reduced *An. gambiae* survivorship by 33.9% for one
99 week, their parity rates for more than two weeks, and sporozoite rates by >77% for two weeks [18].
100

101 Modelling has also shown that adding 3 days of ivermectin 150 mcg/kg/day to MDA with
 102 dihydroartemisinin-piperazine (DP) would potentially provide an important boost to the effect of
 103 MDAs with ACTs by allowing transmission to be interrupted faster and in areas with a higher malaria
 104 prevalence than MDA with ACTs alone [19]. However, the effects are modest, and higher doses,
 105 providing a longer effect are required for ivermectin to boost malaria transmission reduction
 106 activities [19].

107
 108 Ivermectin 400 mcg/kg has been suggested as an improved treatment for head lice[20], and has
 109 been found to be safe and well tolerated [21]. No studies in humans have compared the effect of
 110 ivermectin doses above 400 mcg/kg on the ability of anopheline vectors to transmit malaria
 111 (henceforth referred to as infectivity), or evaluated the effect of any dose of ivermectin higher than
 112 400 mcg/kg on mosquito survivorship.

113
 114 Ivermectin has an excellent safety profile [1], and experience with higher doses show that it is
 115 remarkably well tolerated in humans [22-27], even at doses up to 2,000 mcg/kg, ten times the 200
 116 mcg/kg dose currently approved by the US Food and Drug Administration [24] (Table 1). In
 117 invertebrates, ivermectin causes the opening of glutamate-gated chloride channels resulting in
 118 flaccid paralysis and death [28]. Glutamate-gated chloride channels do not exist in humans. Other
 119 weakly sensitive channels are found in the human central nervous system, but the blood-brain
 120 barrier limits drug access to these channels [29].

121
 122 The only known severe adverse events have been in individuals with *Loa loa*, possibly due to rapid
 123 lysis of parasite biomass [30]. Assessment of *Loa loa* is recommended before ivermectin
 124 administration in areas endemic for *Loa loa* filariasis [31].

125

Table 1: Studies of safety and tolerability of ivermectin incorporating dosages ≥800 mcg/kg.

Reference	Highest single dose	Participants with single dose ≥800 mcg/kg	Total study population	Single doses in mcg/kg (participants)	Adverse events: increased vs control
Awadzi 1995, 1999 [22, 23]	800 mcg/kg	36	100 adult males with onchocerciasis in Ghana	- 150 (15) - 400 ^a (25) - 600 ^a (24) - 800 ^a (24) - 800 ^b (12)	No
Guzzo 2002 [24]	2,000 mcg/kg	36	68 healthy adults, non-pregnant, in USA	- 0 (17) - 500 ^c (15) - 1,000 ^c (12) - 1,500 (12) - 2,000 (12)	No
Kamgno 2004 [25-27]	800 mcg/kg	330	657 adult males with onchocerciasis in Cameroon	- 150 ^d (327) - 800 ^{d,e} (330)	Transitory mild visual side effects, without structural abnormalities upon ophthalmological exam

a) Preceded 3-days earlier by 150 mcg/kg or placebo.

b) Preceded 13-days earlier by 800 mcg/kg.

c) Repeated three times a week (days 1, 4, 7).

d) Repeated 3-monthly or 1-yearly.

e) Preceded 3 or 12 months earlier by 400 mcg/kg.

126
127 Dihydroartemisinin-piperaquine (DP) and ivermectin have, to the best of our knowledge, never been
128 studied under simultaneous administration. Piperaquine, the long-acting component of DP, is
129 metabolized by, and is an inhibitor of, cytochrome-P450 CYP3A4 [32]. There is a potential for an
130 increase of piperaquine plasma concentrations when it is co-administered with other CYP3A4
131 substrates (due to competition) or CYP3A4 inhibitors [32]. Dihydroartemisinin (DHA), the short-
132 acting component of DP, is not metabolized by cytochrome-P450, but is deactivated via
133 glucuronidation catalysed by UDP-glucuronosyltransferases, in particular UGT1A9 and UGT2B7 [33].
134 DHA has been shown to induce CYP3A activity and also up-regulate CYP2C19 and CYP2B6 [33]. DHA
135 is a known inhibitor of CYP1A2 [32].

136
137 Ivermectin is primarily metabolized by CYP3A4 [34]. *In vitro* studies using human liver microsomes
138 suggest that ivermectin does not significantly inhibit the metabolizing activities of CYP3A4, CYP2D6,
139 CYP2C9, CYP1A2, and CYP2E1 [34]. When DP and ivermectin are administered together, however,
140 there may be some competition for CYP3A4. The CYP3A4-inhibitory properties of piperaquine may
141 lead to an increased availability of ivermectin. As ivermectin is not a CYP3A4-inhibitor, the potential
142 increase in the availability of piperaquine due to competition is expected to be low.

143
144 We will conduct a placebo-controlled dose finding study to determine the safety, tolerability and
145 mosquitocidal effect of 3-day courses of ivermectin when given in combination with standard 3-day
146 course of dihydroartemisinin-piperaquine (DP) to identify safe and practical regimens to boost the
147 arsenal of available tools to reduce or interrupt malaria transmission. Pharmacokinetic data will be
148 collected to facilitate the construction of a PK/PD model to guide future study design.

149

150 **Study design & methods:**

151 **Design Overview**

152 This is a double-blind, randomised, placebo-controlled, parallel-group, 3-arm, superiority trial to
153 determine the safety, tolerability, and mosquitocidal effect of different doses of ivermectin
154 (ClinicalTrials.gov: [NCT02511353](https://clinicaltrials.gov/ct2/show/study/NCT02511353)). The primary endpoint will be mosquito survival 14 days after a
155 blood feed from a patient who started ivermectin 7 days earlier (i.e. 5 days after the last dose of
156 ivermectin with a 3-day regimen administering ivermectin at 0, 24, and 48 hours [days 0, 1 and 2]).
157 Because mosquito feeding involves approximately 100 mosquitoes per feed, the study will use a
158 clustered design with the patient as the unit of randomisation and the mosquito as the unit of
159 analysis. The study will have a nested rich pharmacokinetic component in the first 36 patients that
160 give additional consent for rich/frequent sampling and a sparse sampling population
161 pharmacokinetic component in the remaining patients. A second nested study will compare the
162 effects of ivermectin when assessed by membrane feeding versus direct skin feeding in all patients
163 who give additional consent for direct skin feeding.

164

165 **Primary objective**

166 To determine the safety, tolerability, and efficacy of ivermectin 0, 300, 600 mcg/kg/day for 3 days,
167 when provided with a standard 3-day course of the antimalarial dihydroartemisinin-piperaquine, on
168 mosquito survival.

169

170 **Secondary objectives**

- 171 1. To determine the effect of different doses of ivermectin on oocyst development
- 172 2. To determine the pharmacokinetic profile of the different ivermectin regimens
- 173 3. To determine if ivermectin interacts with the pharmacokinetics of piperaquine
- 174 4. To determine whether the addition of ivermectin to DP affects the clinical and parasitological
175 response to DP treatment
- 176 5. To determine the role of genetic variants of CYP3A4 activity in metabolizing ivermectin

177 6. To determine the effect of direct feeding versus membrane feeding on mosquito survival
178

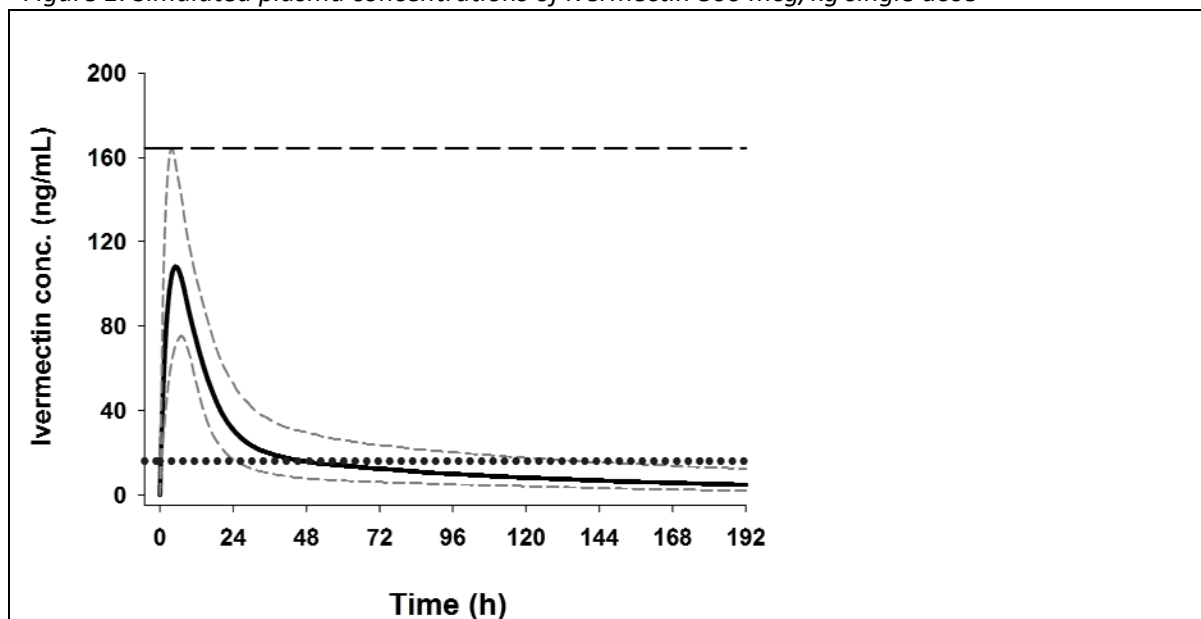
179 **Design Considerations**

180 *Rationale for ivermectin dose of 300 and 600 mcg/kg/day*

181 The goal was to design and evaluate a high-dose ivermectin regimen that could be given daily as
182 adjunct therapy to a 3-day ACT regimen and that builds on the existing safety data available from
183 previous studies. The highest dose of ivermectin used in studies for onchocerciasis is 800 mcg/kg
184 given as a single dose (i.e. about 48 mg in an adult male weighing 60 kg). The pharmacokinetic
185 profile of this 800 mcg/kg dose was used to design a 3-day regimen that would achieve a similar
186 C_{max} after the third dose. Since the highest dose of ivermectin used in humans that was tested and
187 found to be well tolerated and safe is 2000 mcg/kg given as a single dose, this provides a large
188 margin of safety allowing for inter-individual variation of pharmacokinetics. The middle group was
189 chosen at 50% of the highest dose to allow for a dose response in terms of tolerance and efficacy.
190

191 Using existing literature data [24, 35] we developed a pharmacokinetic model for ivermectin in
192 humans. Using the parameter estimates from the model, Monte-Carlo simulations were performed
193 for 1000 theoretical subjects assuming a 30% variability in parameter estimates (CL/F 11.8 L/h, V_c/F
194 195.0 L, Q 18.9 L/h, V_p 882 L, and K_a 0.24/h). The simulations showed that the C_{max} associated with
195 a single dose of 800 mcg/kg was estimated at 108 ng/ml and the 95% percentile as 164 ng/ml (*Figure*
196 *1*). A regimen of 600 mcg/kg/day for 3 days would give a similar C_{max} (111 ng/mL) and
197 corresponding 95% percentile (161 ng/mL) as the single dose 800 mcg/kg regimen (*Figure 2* and
198 Table 2). A regimen of 300 mcg/kg/day for 3 days would give approximately half those values. The 3-
199 day regimens were predicted to increase the time that ivermectin concentrations remain above the
200 lethal concentration 50% (LC₅₀) of 16 ng/ml [12] from 46 hours with the 800 mcg/kg single dose to
201 86 and 162 hours, respectively with the 300 and 600 mcg/kg/day regimens. The 16 ng/mL threshold
202 was chosen as this was the median of three LC₅₀ concentrations reported previously [12, 14, 15].
203 The simulated data were in excellent agreement with actual data observed in a dose finding study by
204 Guzzo et al. 2002 [24] (which indicated proportional pharmacokinetics at doses ranging from 30-120
205 mg), thus giving confidence in the parameters used in the simulations.
206

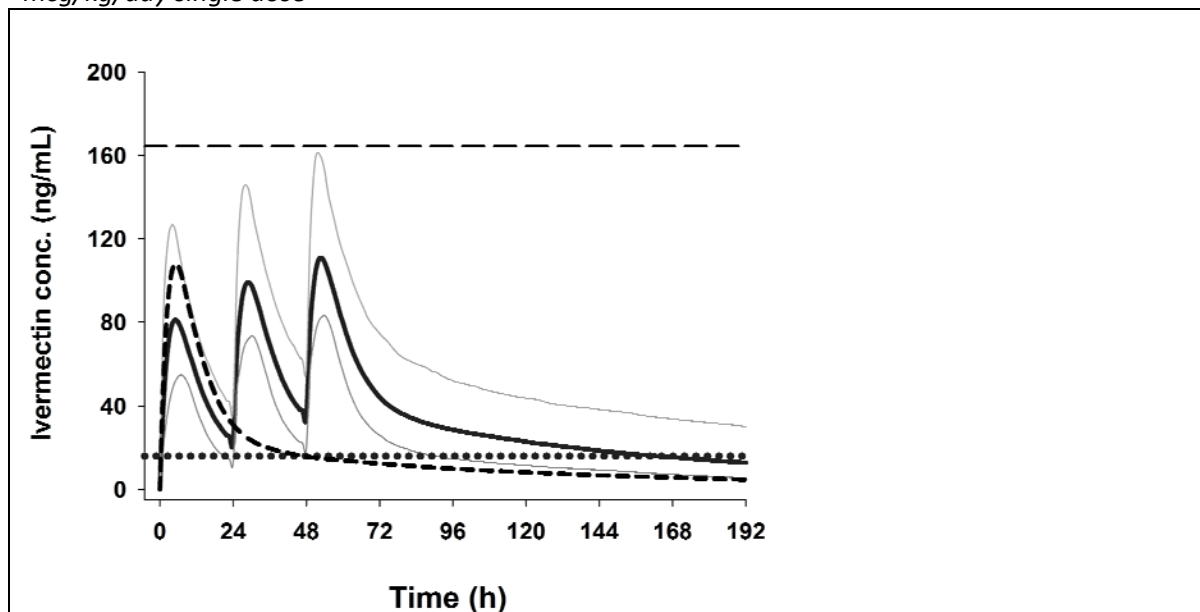
Figure 1: Simulated plasma concentrations of ivermectin 800 mcg/kg single dose



Monte-Carlo simulation of 1000 theoretical subjects of ivermectin concentration with 800 mcg/kg single dose (median: solid line, and 5th and 95th percentiles: dashed lines). C_{max}: 108.1 ng/mL (CI 75.3-164.4). Time above LC₅₀ (16 ng/mL; dotted line): 1.9 days (CI: 1.0-5.7).

207

Figure 2: Simulated plasma concentrations of ivermectin 600 mcg/kg/day 3-day regimen and 800 mcg/kg/day single dose



Monte-Carlo simulation of 1000 theoretical subjects of ivermectin concentrations following 600 mcg/kg/day for 3 days (median: solid line, and 5th and 95th percentiles: grey lines), achieving similar C_{max} concentrations compared to 800 mcg/kg single dose (median: dash curve, and 95th percentile of C_{max}: dashed horizontal line). The median time above LC50 (16 ng/mL; dotted horizontal line) increases from 1.9 days with 800 mcg/kg single dose to 6.8 days with 600 mcg/kg/day for 3 days.

208

Table 2: Summary of simulated C_{max} and time above LC50

Ivermectin Dosing Regimen	C _{max} (ng/mL) Median (5th-95th percentiles)	Days (d) above LC50 (16ng/mL) Median (5th-95th percentiles)
800 mcg/kg single dose	108.1 (75.3-164.4)	1.9 (1.0-5.7)
600 mcg/kg/day for 3 days	111.0 (83.2-161.2)	6.8 (3.8-13.4)
300 mcg/kg/day for 3 days	55.4 (41.6-80.6)	3.6 (2.8-7.5)

209

210 *Parallel versus dose-escalation design*

211 The proposed study uses a standard parallel design, comparing the 2 intervention arms with the
 212 placebo arm. This parallel design, instead of a dose-escalation design (when the lower dose group
 213 would be studied first prior to enrolling patients in the higher dose group), was considered
 214 appropriate because the C_{max} levels and the 95th percentile concentrations in the proposed highest
 215 dose group of 600 mcg/kg/day will be equivalent to the C_{max} found with single dose 800 mcg/kg,
 216 which has been administered to at least 402 patients before as treatment for onchocerciasis or as
 217 part of regulatory studies (see Table 1). Furthermore, with 30% variation assumed, the C_{max} is
 218 estimated to remain well below the C_{max} value obtained with 2,000 mcg/kg, the highest dose tested
 219 and which was well tolerated in a dose escalation study.

220

221 *Why patients with malaria?*

222 The study will enrol patients with symptomatic uncomplicated malaria, instead of asymptomatic
223 patients with malaria parasites (carriers) or malaria negative individuals who are the predominant
224 target population in MDA campaigns. However, it is unlikely that the mosquitocidal effect of
225 ivermectin will differ much amongst these groups. Preference is given to symptomatic patients
226 based on the rationale that this study is labour intensive, requiring very frequent patient follow-up
227 and blood sampling and thus requires a major commitment from study participants. Symptomatic
228 patients, as well as requiring antimalarial treatment, are more likely to favour hospital admission
229 and frequent out-patient visits than asymptomatic patients or other volunteers. The frequent follow-
230 up is potentially also more beneficial to the patients with symptomatic malaria than asymptomatic
231 patients.

232

233 *Justification for host genetic studies*

234 The cytochromes P450s (CYPs) are the major enzymes involved in drug metabolism. To be able to
235 interpret variations in the pharmacokinetic drug profiles of piperazine and ivermectin and any drug
236 interactions we need to determine the genotypes of the genes encoding CYP enzymes (see above).

237

238 *Direct skin feeding vs membrane feeding*

239 The primary endpoint is based on membrane feeding of mosquitoes using blood obtained by
240 venepuncture from patients recently treated with ivermectin. However, a nested sub-study, in all
241 those that give additional consent, will compare mosquito mortality rates between clusters fed using
242 standard membrane feeding versus clusters fed directly (by allowing them to feed on the arm of the
243 study participant). Ivermectin feeding studies with direct feeding on humans [13], and cattle [36],
244 have shown a longer mosquitocidal effect (>2 weeks) in comparison with studies using membrane
245 feeding (< 7days) [14].

246

247 We hypothesise that direct feeding could result in higher mosquito mortality due to potential
248 differences between venous blood (used in membrane feeding) and blood in subdermal venuoles
249 and arterioles (the main source of blood for mosquitoes during direct skin feeding) due to drug
250 accumulation in subcutaneous fat, dermal, and fascial tissue (2-3-fold higher concentrations than in
251 venous blood [37]), or increased exposure of the mosquito to ivermectin through other means like
252 perspiration.

253

254 There have been no studies conducted directly comparing direct feeding versus membrane feeding
255 on mosquito mortality following ivermectin administration. However, previous studies looking at
256 infectivity (i.e. the ability of the vector to develop oocysts and sporozoites after ingesting
257 gametocytes) showed significant differences in terms of infectivity in favour of direct feeding (odds
258 ratio 2.39) [38]. Although the mechanisms involved in infectivity studies may differ from studies
259 addressing the killing effect of ivermectin, this recent infectivity study [38] indicates the importance
260 of addressing the potential that the feeding method to expose mosquitoes to ivermectin may be an
261 important effect modifier and that studies using membrane feeding may potentially underestimate
262 the true effect of ivermectin.

263

264 Membrane feeding will be used as the primary outcome because direct skin feeding is labour
265 intensive, may be unpleasant to the study participants, and result in higher refusal rates.

266

267 **Study setting**

268 The study will be conducted in the Jaramogi Oginga Odinga Teaching and Referral Hospital (JOOTRH)
269 in Kisumu, western Kenya, a major tertiary care hospital. Almost 25,000 outpatients are treated for
270 clinical malaria at JOOTRH annually, of which one-third are laboratory confirmed. Approximately
271 20% of these patients are 18-50 years old. Malaria positive individuals will also be pre-screened at 5

272 nearby health facilities; those that pass pre-screening and give consent will be brought to JOOTRH
273 for screening and all further study procedures.

274

275 **Eligibility criteria**

276 *Inclusion criteria*

- 277 • Symptomatic, uncomplicated *P. falciparum* infection
- 278 • Positive malaria microscopy or malaria RDT (pLDH)
- 279 • Age: 18-50 years
- 280 • Provide written informed consent
- 281 • Agree to be able to travel to clinic on days: 1, 2, 7, 10, 14, 21, and 28

282 *Exclusion criteria*

- 283 • Signs or symptoms of severe malaria
- 284 • Unable to provide written informed consent
- 285 • For women: pregnancy or breast feeding
- 286 • Hypersensitivity to ivermectin or DP
- 287 • QTc > 460 ms on ECG
- 288 • Body Mass Index (BMI) below 16 or above 32 kg/m²
- 289 • Haemoglobin (Hb) concentration below 9 g/dL
- 290 • Taken ivermectin in the last month
- 291 • Taken DP in the last 12 weeks
- 292 • *Loa* as assessed by travel history to Angola, Cameroon, Chad, Central African Republic,
293 Congo, DR Congo, Equatorial Guinea, Ethiopia, Gabon, Nigeria and Sudan
- 294 • History and/or symptoms indicating chronic illness
- 295 • Current use of tuberculosis or anti-retroviral medication
- 296 • Previously enrolled in the same study

297

298 **Trial Medications and interventions**

299 Participants will be randomised to one of 3 arms:

- 300 1. "0 mcg/kg" (placebo) arm: Dihydroartemisinin-piperaquine (DP) plus ivermectin-placebo 600
301 mcg/kg/day for 3 days.
- 302 2. "300 mcg/kg" arm: DP plus ivermectin 300 mcg/kg/day and ivermectin-placebo 300 mcg/kg/day
303 for 3 days.
- 304 3. "600 mcg/kg" arm: DP plus ivermectin 600 mcg/kg/day for 3 days.

305

306 Patients will receive their weight-based doses of DP and ivermectin/placebo. Each dose will be given
307 as directly observed therapy by study staff, after which participants will be monitored for 30 minutes
308 for any vomiting and adverse reactions. If vomiting occurs within 30 minutes, then the participant
309 will be withdrawn from the study, DP will be re-administered, and no further ivermectin will be
310 given.

311

312 *Dihydroartemisinin-piperaquine (DP)*

313 DP was selected as the drug of choice as it is the most likely candidate to be used in future MDA
314 campaigns because of the longer prophylactic effect against malaria (4–6 weeks) compared with 2-3
315 weeks with artemether-lumefantrine (AL). Each participant will receive a weight-based dose of DP
316 320/40mg (Eurartesim[®], Sigma Tau, Italy) as per the product insert (36-75kg: 3 tablets, ≥75kg: 4
317 tablets) once a day for 3 days.

318

319 *Ivermectin and placebo (IVM)*

320 Ivermectin and/or placebo 6mg tablets (Iver P[®], Laboratorio Elea, Argentina) will be administered
321 per bodyweight. The 600 mcg/kg/day arm will receive only ivermectin tablets, the 300 mcg/kg/day
322 arm will receive half the number of ivermectin tablets and an equal number of placebo tablets, and

323 the 0 mcg/kg/day arm will receive only placebo tablets. All participants will receive the same total
324 number of tablets once a day for 3 days based on their bodyweight: 45-55kg: 5 tablets, 55-65kg: 6
325 tablets, 65-75kg: 7 tablets, 75-85kg: 8 tablets, 85-95kg: 9 tablets, 95-105kg: 10 tablets.

326

327 **Endpoints / Outcome measures**

328 *Primary efficacy outcome (see Table 3):*

329 Mosquito survival: Survival of mosquitoes at 14 days after feeding on blood taken from study
330 participants who started the 3-day ivermectin and DP regimen 7 days earlier.

331

332 *Secondary outcomes (see Table 3):*

- 333 • Mosquito survival: Survival of mosquitoes at each day, up to day 21 or 28, after each feeding
334 experiments performed at 0, 2 days+4h, 7, 10, 14, 21, 28 days after start of treatment
- 335 • Oocyst prevalence: Occurrence of oocysts from day 10 onwards after each feeding as
336 determined by PCR
- 337 • Malaria clinical and parasitological treatment response by day 28
- 338 • Plasma concentration profiles of piperazine and ivermectin as described by standard
339 pharmacokinetic metrics (e.g. $AUC_{0-\infty}$, $AUC_{0-t_{last}}$, C_{max} , $t_{1/2}$, t_{max} , etc).

340

341 *Tolerability and Safety endpoints*

342 Tolerability

- 343 • Any adverse events assessed in general toxicity questionnaires asked at each study visit

344

345 Safety

- 346 • Primary: Mydriasis quantitated by pupillometry [24]
- 347 • Secondary:
 - 348 ○ CNS effects
 - 349 ○ General toxicity
 - 350 ○ Serious adverse events
 - 351 ○ Haemoglobin concentrations
 - 352 ○ QTc interval (see below “ECG monitoring”)

353

354 **Participants’ timeline**

355 *Overview Study Phases*

356 The study plan and schedule of assessment is provided in Table 3. The participant’s timeline will
357 consist of a pre-screening visit (visit 1), consent, screening and enrolment visit (visit 2), two
358 subsequent treatment visits (3 and 4) on days 1 and 2, and six follow-up visits for assessment of
359 efficacy parameters (visits 5 to 10). For those enrolled in the pharmacokinetic study additional visits
360 for drug level sample are required as outlined in “Appendix 1: Full study protocol”.

361

362 *Visits 1 and 2: Pre-screening, Consent, Screening, and Enrolment*

363 Patients presenting to the outpatient departments of the study clinics will be pre-screened to
364 determine if they meet readily apparent study eligibility criteria 1) age: 18-50 years, 2)
365 uncomplicated malaria, 3) in Kisumu next 4 weeks, 4) Hb ≥ 9 g/dL [if already performed], 5) not
366 pregnant or breast feeding, 6) no known chronic illness, 7) not previously enrolled in IVERMAL.
367 Patients passing pre-screening will be approached to obtain consent. For those consenting, study
368 specific screening procedures will take place, including: demographics, full history, past medication
369 use, travel history (*Loa* endemic countries), physical examination, ECG, pupillometry, and laboratory
370 tests (to confirm malaria, Hb and pregnancy). Those fulfilling all enrolment inclusion criteria and not
371 meeting any exclusion criteria will be enrolled into the study, randomized and treated with the
372 appropriate tablets according to study arm. Estimated duration: 1.5-2.0 hours.

373

374 *Visits 3 and 4: Treatment visits*

375 They will return to the out-patient clinic on day 1 and 2 for the 2nd and 3rd dose of study drugs. In
 376 exceptional cases a participant will be permitted to take the study medication at home or the
 377 participant will be visited at home by study staff to administer the medication. A follow-up ECG will
 378 be taken just prior to and 4-6 hours after the last dose of DP+ivermectin on day 2.

379
 380 *Visits 5 to 10: Scheduled follow-up visits*

381 Participants will return to the out-patient clinic for follow-up as specified (see Table 3). A
 382 questionnaire will assess the presence of signs and symptoms, including any adverse effects. A brief
 383 clinical examination will be performed and a venous blood sample will be taken for malaria
 384 diagnosis, Hb, and drug levels. On visits 5 (Day 2+4h) and 6 (Day 7), drug levels will also be
 385 determined in a finger prick sample. A final follow-up ECG will be taken on the day 28 visit.
 386 Participants will be asked to provide telephone numbers so that study staff may make every effort to
 387 follow-up participants who have missed scheduled visits as outlined in “Appendix 1: Full study
 388 protocol, section 8.5.5, page 31”.

389
 390 *Unscheduled visits*

391 At any time, participants displaying signs or symptoms of severe malaria will be admitted to the
 392 inpatient ward for further evaluation and treatment free of charge. Blood samples for malaria
 393 smears, parasite genetics (filter paper dried blood spots) and haemoglobin will be taken if clinically
 394 indicated (e.g. documented fever ≥ 37.5 °C axillary, or a history of fever in the last 24 hours).

395

Table 3: Summary of Study Design and Schedule of Assessment (SPIRIT Flow Diagram)

Phase	Recruitment Phase	Enrolment	Treatment Phase			Post-treatment Follow-up phase					
	OPD	OPD	OPD	OPD ^b	OPD ^b	OPD visits					
Location	OPD	OPD	OPD	OPD ^b	OPD ^b	OPD	OPD	OPD	OPD	OPD	OPD
Visit number	#1	#2	#2	#3	#4	#5	#6	#7	#8	#9	#10
Study Time Hour	-1h ^a	-0.5h	0h	24h	48h	52h	168h	240h	336h	504h	672h
Day	D00	D00	D00	D01	D02	D02+4h $\pm 2h^c$	D07 $\pm 3d^c$	D10 $\pm 3d^c$	D14 $\pm 3d^c$	D21 $\pm 3d^c$	D28 $\pm 3d^c$
Recruitment											
Pre-screening	X										
Enrolment											
Eligibility screen		X									
Informed Consent		X									
Study code issued		X									
Allocation		X									
Interventions											
IVM-0 arm			X ⁱ	X ⁱ	X ⁱ						
IVM-300 arm			X ⁱ	X ⁱ	X ⁱ						
IVM-600 arm			X ⁱ	X ⁱ	X ⁱ						
Clinical assessments		D00			D02	D02+4h	D07	D10	D14	D21	D28
Copy Clinic/Lab data from hospital records											
Physical Exam.		X				X	X	X	X	X	X
Pupillometry		X				X	X	X	X	X	X
ECG		X			X ⁱ	X					X
Questionnaire AE		X				X	X	X	X	X	X
Blood sample ^{d,e,h}		V				V+C	V+C	V	V	V	V

Efficacy and safety of high-dose ivermectin for reducing malaria transmission (IVERMAL)

	5.4ml	5.9ml	5.9ml	5.4ml	5.4ml	5.4ml	5.4ml
Unscheduled sick-patient clinic visits		Passive surveillance for 28 days (clinical malaria and other acute illnesses) ^k					
Entomological assessments	D00	D02+4h	D07	D10	D14	D21	D28
Membrane feeding ^f	X	X	X	X	X	X	X
Direct feeding		X ^g					

Visit 1: Pre-Screening interview

Visit 2: Consent, Screening, & Enrolment. First treatment dose given under direct observation.

Visits 3 and 4: Treatment visits. 2nd and 3rd treatment doses given under direct observation. In exceptional cases doses of day 1 and 2 can be taken at home.

Visits 5 to 10: Scheduled follow-up visits for assessment of efficacy parameters

- Patients can be pre-study screened any time from visiting the OPD. The figure of -1 hour is provided for illustration purposes only.
- The day of enrolment is always considered as Day-0. Doses given under direct observation. In exceptional cases doses of day 1 and 2 can be taken at home.
- Visit window= number of days an actual subject visit may fall outside of the planned protocol schedule visit to still meet protocol requirements. It is preferential to stick to the scheduled days of visit. However, if this is not feasible (e.g. due to other commitments of the patient) then it preferable to allow flexibility in the schedule. The date of actual visit will always need to be recorded in the CRF.
- Enrolment & baseline blood sample (~5.4 mL) by venepuncture: haemoglobin (0.01 mL), malaria smear / RDT (0.01 mL), dried blood spots (DBS) for PCR (0.3 mL) will be stored for parasite genetics to differentiate reinfection from recrudescence in case of treatment failure, membrane feeding (~1 mL), pharmacology (~4 mL: ~2mL plasma for drug levels, 2mL pellet for host metabolism genetics).
- Follow-up blood sample (~5.4 mL) by venepuncture: haemoglobin (0.01 mL), malaria smear (0.01 mL), malaria RDT (0.005 mL), dried blood spots for PCR (0.3 mL), membrane feeding (~1 mL), pharmacology (~4mL: ~2mL plasma for drug levels).
- Membrane feeding will be used to assess: Mosquito survival (daily up to 21 to 28 days after feed; Oocyst prevalence at day 10 after feeding).
- Direct skin feeding in a sub-sample only.
- Finger prick (capillary) blood sample on Day 2+4h and Day 7: drug levels (~0.5ml to obtain ~0.25ml plasma).
- Each treatment visit: IVM-0 (DP, placebo 600 mcg/kg), IVM-300 (DP, ivermectin 300 mcg/kg/day, placebo 300 mcg/kg/day), IVM-600 (DP, ivermectin 600 mcg/kg/day)
- Before 3rd dose.
- RDT/smear, Hb, dried blood spots for parasite genetics.

V=venepuncture. C=capillary, DP=dihydroartemisinin-piperaquine, IVM=ivermectin, Hb=haemoglobin, MS=malaria smear, Pf=Plasmodium falciparum

396

397 **Sample Size**

398 The study requires a total of 141 participants (47 participants in the 0, 300 and 600 mcg/kg/day
 399 groups each). This is powered at 80% to detect a relative increase of 30% (RR 1.300) in the 14-day
 400 post-feeding mortality rate (primary outcome) from 24% in the control group (0 mcg/kg ivermectin)
 401 to 31.2% in the 300 mcg/kg/day group, and a 25% (RR 1.246) increase from 31.2% with 300
 402 mcg/kg/day to 38.9% in 600 mcg/kg/day recipients, measured from blood taken 7 days after the
 403 start of intake of ivermectin and using clusters of 100 anopheline mosquitoes allowing for 10% non-
 404 feeders ($\alpha=0.05$). The same sample size would give 90% power to detect a 35% [RR 1.348] increase
 405 from 24% (0 mcg/kg/day) to 32.4% (300 mcg/kg/day), and 27.7% increase [RR 1.285] from 32.4%
 406 (300 mcg/kg/day) to 41.3% (600 mcg/kg/day). The calculations assume an intracluster correlation
 407 coefficient (ICC) of 0.0622 and allow for 6.5% loss-to follow-up of participants by day 7 (i.e. 44 of the
 408 47 patients per arm contribute to the primary analysis) [14]. The 10% non-feeding rate is based on
 409 current data from the same laboratories at KEMRI, Kisian, Kenya. The 24% mortality rate estimate by
 410 day 14 post-feeding in the control arm is average of observation at KEMRI (18.3%) and in a recent
 411 study in Burkina Faso, which showed a 21.2% mortality by day 10 [14], which when extrapolated
 412 with 4 additional days predicted a mortality of 29.7% by day 14. The ICC value of 0.0622 was

413 calculated using data from the recent study in Burkina Faso (Bousema, personal communications)
414 [14].

415

416 **Assignment of interventions**

417 *Allocation*

418 The study will use stratified randomisation by BMI and sex (4 strata) as these are important
419 determinants of the pharmacokinetics of ivermectin [14]. The high/low BMI thresholds are: females
420 23 kg/m², and males 21 kg/m². Participants will be randomly assigned to 1 of the 3 study arms. The
421 study statistician will computer-generate a randomisation sequence using permuted block
422 randomisation with fixed block sizes.

423

424 *Blinding*

425 The study will be double-blinded to participants and study staff. Allocation concealment will be
426 achieved by use of sealed opaque envelopes. All study participants in all 3 arms will receive standard
427 dose DP, and also active (600 mcg/kg/day arm), placebo (0 mcg/kg/day arm), or a combination of
428 active and placebo ivermectin tablets (300 mcg/kg/day arm), such that each arm receives the same
429 number of tablets in each weight strata.

430

431 **Pharmacokinetic (PK) studies**

432 *Overview*

433 The first 36 patients to give additional consent for rich pharmacokinetics (~12 per arm), will be
434 enrolled in a rich pharmacokinetic study using frequent sampling per individual (26 samples per
435 patient, See "Appendix 1: Full study protocol, Table 2, page 15") to determine the detailed
436 pharmacokinetic profile of the two regimens and assess whether any drug interaction occurs with
437 piperazine that is of clinical relevance. The remaining patients (~35 per arm) will contribute to a
438 population PK study consisting of sparse PK sampling (maximum 13 samples per patient including
439 baseline [1 venous sample], six scheduled visits as part of the main trial [6 venous and 2 finger prick
440 samples] and two extra visits for population PK sampling [2 venous and 2 finger prick samples]).

441

442 The rich and population PK studies combined will allow us to determine the main sources and
443 correlates of variability in drug concentrations (for both ivermectin and piperazine), including
444 demographic, pathophysiological, such as body mass index and gender, and other factors that might
445 alter dose-concentration relationships. As this is a placebo controlled trial, the sampling
446 methodology for the 47 patients in the ivermectin-placebo arm will be identical to that used for the
447 300 and 600 mcg/kg arms. The patients in the placebo-ivermectin arm will allow us to determine the
448 piperazine kinetic profile in the absence of ivermectin.

449

450 Finger prick blood draws will be performed at a maximum of 4 time points in addition to the venous
451 blood draws. The aim is to compare the capillary and venous drug concentration levels as it has been
452 hypothesized that these might differ for ivermectin, similar to other drugs including piperazine. A
453 difference between capillary and venous drug concentrations could help further explain any
454 observed difference in mosquito mortality between membrane and direct skin feeding (see also
455 above "Direct skin feeding vs membrane feeding").

456

457 *Standard pharmacokinetic study (rich sampling)*

458 All of the rich PK participants (~12 per arm) will have venous blood sampled (4 ml whole blood to
459 obtain 2 ml plasma, or 5.2 ml of whole blood if coinciding with a scheduled follow-up visit for the
460 main trial) at baseline and each of 21 follow-up time points listed in "Appendix 1: Full study protocol,
461 Table 2, page 15". Additionally, 4 finger pricks (0.5ml whole blood) will be taken at Days 2+4h, 3, 4
462 and 7. The total blood volume to be drawn from these patients is 98.4 mL whole blood over 28 days,
463 82.8 mL of which is taken during the first 10 days. If more than 2 patients withdraw from the study

464 without giving more than 12 samples, the withdrawing patients will be replaced. Outpatients who
 465 consent to the standard pharmacokinetic study will admitted in the hospital for the first 3 days.

466

467 *Population pharmacokinetics (sparse sampling)*

468 Each of remaining patients (~35 per arm), not enrolled in the rich pharmacokinetic sub-study,
 469 contribute to the population pharmacokinetic study, which consists of 13 sampling points (See
 470 “Appendix 1: Full study protocol, Table 2, page 15”), 7 of which coincide with the timing of sample
 471 for the membrane feeding (including the baseline sample), thus not requiring an extra venepuncture
 472 (i.e. days 0, 2 [52 hours; 4 hrs after last dose of ivermectin], days 7, 10, 14, 21 and 28), and 6 of
 473 which are specific for the population PK study and will require an extra venepuncture (50, 54, 60, 72,
 474 96 and 120 hours, i.e. 2, 6, 12, 24, 48, and 120 hours after the third and last dose of ivermectin). To
 475 ensure an equal distribution of samples across the different sampling time points for the extra 2
 476 visits, participants will be divided into 4 extra sampling groups; each of which will contribute 2 extra
 477 time points, with the exception of group B which will contribute 1 extra time point (Table 4).
 478 Additionally, a maximum of 4 finger pricks (0.5ml whole blood) will be taken at Days 2+4h, 7, and at
 479 each of the two population pharmacokinetic visits. Thus the total number of samples per participant
 480 will be 13 and involve a total of 46.4 mL of whole blood (including the 7 samples for the main trial).
 481 The sampling times will be noted in the CRF, and the patient given a reminder card to return to clinic
 482 at their allocated time.

483

Table 4: Schedule of extra sampling points for Population PK study by 4 sampling groups

Subject Group	Sample Day * (+hours after 3rd ivermectin dose)	Sample Absolute time (hrs)*	Number per sampling strata
A	2.08 (+2h)	50	9
	2.25 (+6h)	54	
B	2.25 (+6h)	54	8
C	2.50 (+12h)	60	9
	3 (+24h)	72	
D	4 (+48h)	96	9
	5 (+72h)	120	
Total			35

* Extra visits that need to be made specifically for the population PK samples. The other 7 visits contributing to the population pharmacokinetic analysis (Days 0, 2, 7, 10, 14, 21, 28) coincide with the scheduled visits in the main trial. The first day is day=0; day 1 starts 24 hours after the first dose. The allocation to the sampling strata will be at random. However, if a participant indicates he/she is not able to attend a certain follow-up day, the strata can be replaced by another sampling schedule (within the same allocation strata e.g. for BMI, gender etc) until all 15 or 16 allocations per sampling group have been used.

484

485 In anticipation of a 40% refusal rate or loss to follow-up, we estimate that the combined rich and
 486 population PK sub-studies will contribute 361 samples including 47 baseline samples (100%) and 314
 487 (60%) follow-up samples out of a potential 524 follow-up samples across 22 sampling time points
 488 after baseline, 20 of which overlap, with a total of 12 to 47 observations per time point (See
 489 “Appendix 1: Full study protocol, Table 2, page 15”).

490

491 **Laboratory Procedure**

492 *Mosquito colonies*

493 See also above “Procedures for Assessing Efficacy and Safety Parameters” for use of mosquito
494 colonies and procedures to assess the primary (mosquito survival) and secondary entomological
495 endpoints (sporogony). This section below describes the maintenance of the mosquito colonies.
496

497 The mosquito colony used in this study will be *An. gambiae* s.s. Kisumu strain, originally from
498 Kisumu, Kenya. The strain is maintained at the KEMRI/CGHR insectaries and is susceptible to all
499 insecticides approved by WHO. When performing the membrane feeds on infected human blood,
500 mosquitoes will be kept, and fed in cages or paper cups. The cages or paper cups will be kept in a
501 temperature and humidity controlled insectary. The feeding and the storage of live infected
502 mosquitoes will occur in sealed rooms with at least two doors/barriers separating the inner rooms
503 from the outside. Mosquitoes will not be removed from their enclosures, with exception of the cage
504 for oocyst determination. During transportation, live infected mosquitoes will be transported within
505 paper cups that are covered with a moist towel and enclosed within locked cool-boxes to remove
506 any chances of escape. The cool-boxes will only be opened within the confines of a double door
507 insectary.
508

509 *Ivermectin plasma concentration*

510 The lethal concentration of ivermectin able to kill 50% of exposed mosquitoes (LC50) has been
511 estimated using spiked blood (blood to which known concentrations of ivermectin are added) in
512 membrane feeding assays [12, 15]. We will test the concentration of ivermectin in human plasma in
513 order to provide data for a pharmacokinetic/dynamic analysis to obtain estimates of the 10-day-
514 LC50 and time post-treatment that the transmission blocking effects (on mosquito survival and
515 oocyst rates) lasts.
516

517 *Haemoglobin testing*

518 Haemoglobin will be tested using HemoCue® (Angelholm, Sweden) photometers.
519

520 *Thick and thin blood smears for malaria*

521 Thick and thin blood films for parasite counts will be obtained and examined. Malaria parasites will
522 be counted against 200 high power fields before a slide is declared negative [39].
523

524 *Processing of pharmacokinetic samples*

525 Plasma will be stored locally at site at -20° C or in liquid nitrogen and shipped to a central laboratory
526 for storage at -70° C prior to batch analysis at the Liverpool School of Tropical Medicine / University
527 of Liverpool. Samples will be shipped in dry ice to the laboratories in Liverpool, UK where the plasma
528 concentrations of ivermectin and piperazine will be determined using assays validated to
529 international FDA standards. Plasma concentration-time data will be used to evaluate
530 pharmacokinetic parameters including: CL/F (oral clearance), V/F (oral volume of distribution), K_a
531 (absorption rate constant) using population pharmacokinetic methods. Area under the curve and
532 half-life will also be calculated.
533

534 **Statistical methods**

535 A study statistical analytical plan for the final analysis, that supersedes the study protocol, has been
536 drawn up during the course of the study before the unblinding of data at database lock (See
537 “Appendix 2: Statistical Analytical Plan”).
538

539 **Procedures for Assessing Efficacy and Safety Parameters**

540 *Membrane Feeding [MF] procedure*

541 The following procedures will be conducted in accordance with a standard membrane feeding
542 protocol [40]. 1 mL of the participant’s blood will be drawn into a sodium heparinised tube pre-
543 heated to 37.5° C. Within 2 minutes the blood will be placed in a glass bell membrane feeding

544 system and cups of mosquitoes will commence feeding. For each feeding three new cups (2 cups for
545 mosquito survival, and 1 cup for oocysts) of 50, 3-5 day old female, insectary-reared *An. gambiae* s.s.
546 mosquitoes will be presented to the membrane feeder for 20 minutes. The number of mosquitoes
547 with an engorged abdomen will be counted and those with lean abdomens discarded. Each day up
548 to day 28 (mosquito survival cups) or day 10 (oocyst cup), the number of dead mosquitoes will be
549 counted and removed. After the initial feeding on human blood, the mosquitoes will be kept in an
550 incubator and maintained on sugar feeds. Insectary staff assessing mosquito survival and oocyst
551 development will be blinded to all characteristics of the cups (including: participant ID, study arm,
552 duration between treatment and feeding, and feeding method).

553

554 Primary efficacy outcome

555 *Mosquito survival (at day 14; from D07 feed)*

556 The primary outcome will be the survival of mosquitoes (from the 2 mosquito survival cups) at 14
557 days after feeding on blood taken from study participants who started the 3-day ivermectin and DP
558 regimen 7 days earlier.

559

560 Secondary efficacy outcomes

561 *Mosquito survival (daily; from all feeds)*

562 Although the primary endpoint is assessed at day 14, the study will collect survival data of
563 mosquitoes at each day up to day 21 or 28 for the mosquito survival cups and day 10 in the case of
564 oocyst cups, after each feeding experiments performed at 0, 2 day+4h, 7, 10, 14, 21, 28 days after
565 start of treatment. The methods will be identical to that described for the primary outcome above
566 where each day beyond day 14 the number of dead mosquitoes will be counted and removed until
567 day 28 inclusive. The exact number of follow-up days (21 or 28 days) will be subject to logistical
568 constraints of the laboratory, and mortality rates in the mosquito populations which will be further
569 determined prior to the start of the study. The aim is to be able to determine the median time to
570 mortality, which requires that at least half of the mosquito population has died in each arm. It is
571 anticipated that 21 days will be sufficient.

572

573 *Direct Skin Feeding [DF] and mosquito survival (daily; from D07 feed)*

574 A sub-study will determine the effect of
575 direct feeding versus membrane feeding
576 on mosquito survival, after feeding
577 experiments performed at 7 days after
578 the start of treatment. In direct skin
579 feeding assays, one cup of 50 mosquitoes
580 is placed directly on the skin of the
581 human host and allowed to feed for 15
582 minutes (see Figure 3). Further
583 procedures after direct feeding are
584 identical to those after membrane
585 feeding.

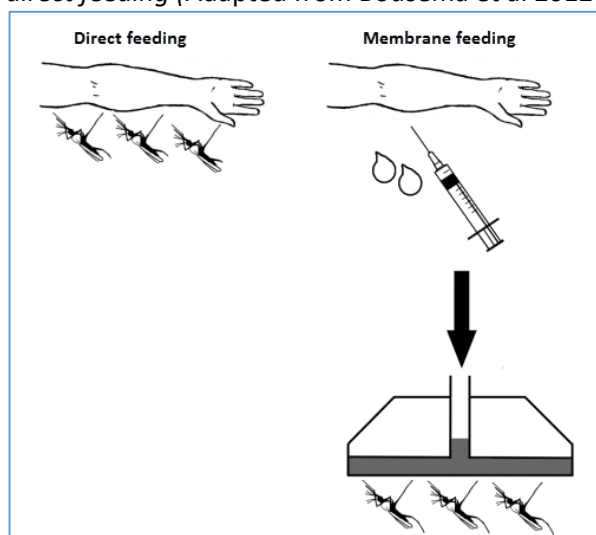
586

587 *Infectivity to mosquitoes (oocyst PCR)*

588 On day 10 post membrane feeding, when
589 residual DNA from the blood meal is
590 highly unlikely [14, 41, 42], all surviving mosquitoes in the oocyst cup will be preserved to determine
591 oocyst prevalence by polymerase chain reaction (PCR). Mosquitoes will be homogenized and
592 processed, in two pooled batches per cup.

593

572 *Figure 3: Difference between membrane feeding and direct feeding (Adapted from Bousema et al 2012 [38])*



595 *Asexual treatment response and parasite clearance*

596 Standard methods will be used to assess the *in vivo* treatment response to DP using the microscopy
597 and RDT data collected at each scheduled follow-up visit and criteria described by WWARN [43].
598

599 *Safety outcomes*

600 *Pupillometry*

601 In animal studies, mydriasis has been shown to be a first sign of ivermectin toxicity. To monitor for
602 possible toxicity, pupil diameter size will be measured at baseline and each scheduled visit using a
603 portable, single-button activation, battery operated hand-held pupillometry device which very
604 accurately measures pupil size requiring no calibration (NeuroOptics VIP™-200 Variable Pupillometer,
605 which measures the pupil 30 times per second over a five-second period and provides the average
606 pupil diameter and standard deviation (+/- 0.1 mm)). The measurements will be taken in a dark
607 room with standardized lighting conditions.
608

609 *ECG monitoring*

610 Piperaquine can potentially lead to QTc interval prolongation. To exclude a possible interaction
611 between ivermectin and piperaquine leading to an increased QTc interval, 12-lead ECGs will be
612 performed to measure the QTc interval at baseline, Day 2 pre-last dose, Day 2 at 4-6h post-last dose
613 and again at Day 28. The day 28 sample is included as a true baseline is difficult to assess in patients
614 with acute malaria, as malaria and fever are known to increase the heart rate and decrease the QTc
615 interval. On day 28 most, if not all, patients, will be malaria free and residual piperaquine levels low
616 enough not to affect QTc intervals. A portable ECG machine (MAC 600®, General Electric, United
617 States) will be used with automated ECG interpretation. Patients with a QTc value of 480 ms or
618 greater prior to the last dose of DP will not receive the last dose of DP, but receive a full course of
619 artemether lumefantrine instead. Fridericia's correction will be used to calculate the QTc values for
620 final data analysis ($QTc = QT/RR^{0.33}$).
621

622 *Adverse events*

623 Adverse events (AE's) and serious adverse events (SAE's) will be monitored, managed and recorded
624 during the course of the study. They will be recorded and tabulated for each treatment arm, overall
625 and per body system. See also "Appendix 1: Full study protocol, Section 9.6, Safety Monitoring and
626 Reporting".
627

628 **Ethics approval and consent to participate**

629 This protocol, the informed consent documents, and patient information sheets have been reviewed
630 and approved by the Research Ethics Committees at the Kenya Medical Research Institute, Nairobi,
631 Kenya (KEMRI protocol #2775), the Liverpool School of Tropical Medicine, Liverpool (LSTM protocol
632 #14.002), and the Jaramogi Oginga Odinga Teaching & Referral Hospital (JOTRH). The Centers for
633 Disease Control and Prevention (CDC protocol #6720) gave approval for reliance on the KEMRI IRB.
634 See Appendix 3: Ethics approvals KEMRI, CDC, LSTM and JOTRH.
635

636 **Results:**

637 Recruitment started July 20th, 2015. Enrolment was completed May, 2016 and clinical follow-up
638 were completed 4 weeks later in June, 2016. Mosquito follow-up was completed in July, 2016, 4
639 weeks after completion of the clinical follow-up. Unblinding and analysis will commence once the
640 database has been completed, cleaned and locked.
641

642 **Discussion:**

643 New strategies for malaria control, and eventually for elimination are critically needed. This study
644 will seek to answer the question as to whether higher doses of ivermectin (300 and 600 mcg/kg/day
645 for 3 days) are well tolerated, safe and result in longer durations of mosquitocidal effects than

646 standard 150-200 mcg/kg single dose treatments. This study requires major infrastructure and
647 collaboration, as it brings together the disciplines of clinical medicine, entomology, parasitology,
648 pharmacokinetics, and pharmacogenetics in a clinical trial. 141 patients and 150,000 mosquitoes will
649 each be followed for 28 days. For this reason, this trial has been placed at the KEMRI, CDC, and LSTM
650 collaboration in western Kenya, a research site, which in collaboration with its partners, has been
651 conducting research for over 35 years and has the capacity to undertake such a trial. An important
652 possible limitation of this study is that it will enrol participants with symptomatic malaria, whereas
653 possible future applications of high-dose ivermectin may involve MDA with ACT's targeting
654 asymptomatic carriers and uninfected individuals in addition to symptomatic patients. Should this
655 study show promising results, then the next step will be to evaluate safety, tolerability, and efficacy
656 in younger age groups with the ultimate goal of testing its effect on malaria transmission when
657 applied at the population level through MDA. High-dose ivermectin, if found to be safe and well
658 tolerated, could potentially complement existing tools for malaria elimination.
659

660 **Declarations:**

661

662 **List of abbreviations**

95% CI	95 percent Confidence Interval
ACT	Artemisinin-based combination therapy
AE	Adverse event
AL	Artemether-Lumefantrine
AUC	Area Under the Curve
CDC	Centers for Disease Control and Prevention
Cmax	Maximum drug concentration
CRF	Case Record Form
DHA	Dihydroartemisinin
DP	Dihydroartemisinin-piperaquine
DMEC	Data Monitoring and Ethics Committee
ERC	Ethics Research Committee
FDA	Food and Drug Administration
GCP	Good Clinical Practice
Hb	Haemoglobin
HIV	Human Immunodeficiency Virus
IRB	Institutional Review Board
IVM	Ivermectin
JOOTRH	Jaramogi Oginga Odinga Teaching and Referral Hospital
KEMRI	Kenya Medical Research Institute
LC50	Lethal Concentration 50%
LLINS	Long-lasting Insecticide Treated Nets
LSTM	Liverpool School of Tropical Medicine
MDA	Mass drug administration
PCR	Polymerase Chain Reaction
RDT	Rapid diagnostic test
REC	Research Ethics Committee
SAE	Serious adverse event
T _{1/2}	plasma half-life
QTc	QT corrected time interval between Q and T on electrocardiogram
QTcF	QT corrected time interval using Fridericia's correction on ECG
T _{max}	time to maximum plasma concentration
TSC	Trial Steering Committee

WHO World Health Organization

663

664 **Appendixes**

665 Appendix 1: Full study protocol (incl. SPIRIT checklist), v4.1, dated 14-Jan-2016.

666 Appendix 2: Statistical Analytical Plan (SAP), v1.0, dated 19-Feb-2016.

667 Appendix 3: Ethics approvals KEMRI, CDC, LSTM and JOOTRH.

668

669 **Conflicts of interest**

670 None.

671

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673 This study is funded by the Malaria Eradication Scientific Alliance (MESA), through a sub-grant from
674 the Bill and Melinda Gates Foundation (BMGF). Neither MESA nor the BMGF has or will have any
675 role in the design of the study, the collection, analysis, and interpretation of data, or in the writing
676 the manuscript.

677

678 **Authors' contributions**

679 Feiko ter Kuile (FtK) and Menno Smit (MS) conceived the study. MS, Penelope Phillips-Howard (PPH)
680 and FtK wrote the grant. MS, Eric Ochomo (EO), and FtK drafted the protocol. Duolao Wang (DW)
681 provided statistical expertise and verified the sample size calculation. Ghaith Aljayyousi (GA) and
682 Steve Ward (SW) conducted the Monte Carlo simulations to define the dosing regimen and further
683 developed the pharmacokinetic sub studies. All investigators contributed to the refinement of the
684 study protocol and approved the final version. MS and FtK drafted the manuscript. All authors read
685 and approved the final manuscript prior to submission. The findings and conclusions in this paper
686 are those of the authors and do not necessarily represent the official position of the Centers for
687 Disease Control and Prevention.

688

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699 **Endnotes**

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