

1 **Fine scale mapping of malaria infection clusters by using routinely collected health**  
2 **facility data in urban Dar es Salaam, Tanzania**

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42 **Abstract**

43 This study investigated whether passively collected routine health facility data can be used for  
44 mapping spatial heterogeneities in malaria transmission at the level of local government  
45 housing cluster administrative units in Dar es Salaam, Tanzania. From June 2012 to Jan 2013,  
46 residential locations of patients tested for malaria at a public health facility were traced based  
47 on their local leaders' names and geo-referencing the point locations of these leaders' houses.  
48 Geographic information systems (GIS) were used to visualise the spatial distribution of  
49 malaria infection rates. Spatial scan statistics were deployed to detect spatial clustering of  
50 high infection rates. Among 2,407 patients tested for malaria, 46.6% (1,121) could be traced  
51 to their 411 different residential housing clusters. One small spatially aggregated cluster of  
52 neighbourhoods with high prevalence was identified. While the home residence housing  
53 cluster leader was unambiguously identified for 73.8% (240/325) of malaria-positive patients,  
54 only 42.3% (881/2,082) of those with negative test results were successfully traced. It was  
55 concluded that recording simple points of reference during routine health facility visits can be  
56 used for mapping malaria infection burden on very fine geographic scales, potentially offering  
57 a feasible approach to rational geographic targeting of malaria control interventions.  
58 However, in order to tap the full potential of this approach, it would be necessary to optimise  
59 patient tracing success and eliminate biases by blinding personnel to test results.

60

61 **Keywords:** malaria, spatial heterogeneity, hot spots, mapping, GIS, Tanzania

62

63 **Background**

64 In many endemic countries of sub-Saharan Africa (D'Acromont et al. 2010, Gething et al.  
65 2010b, Maharaj et al. 2012, Murray et al. 2012, O'Meara et al. 2010, World Health  
66 Organization 2013), including the United Republic of Tanzania (Bhattarai et al. 2007, Mtove  
67 et al. 2011, World Health Organization 2013), malaria incidence and morbidity rates have  
68 substantially decreased in recent years, following the successful scale-up of available front-  
69 line malaria intervention tools (Bhattarai et al. 2007, Ceesay et al. 2008, Steketee and  
70 Campbell 2010). These reductions of malaria transmission have encouraged a paradigm shift  
71 from the goal of burden control to pathogen elimination (Feachem et al. 2010, Kitua et al.  
72 2011, Moonen et al. 2010a, Steketee and Campbell 2010).

73

74 Human malaria infection distributions are known to exhibit spatial heterogeneities on very  
75 fine scales. They may even vary between households within the same communities,  
76 particularly at low-transmission intensities (Bousema et al. 2012, Bousema et al. 2010b,  
77 Gaudart et al. 2006, Mirghani et al. 2010, Woolhouse et al. 1997). In areas where malaria  
78 transmission is low enough to enable implementation of the pre-elimination phase (World  
79 Health Organization 2007, 2012), information on the spatial distribution of remaining malaria  
80 infections is required to enable targeting of supplementary disease control interventions  
81 (Bejon et al. 2010, Bousema et al. 2012, Bousema et al. 2010b, Nourein et al. 2011, The  
82 malERA Consultative Group on Monitoring 2011, World Health Organization 2012).  
83 Spatially aggregated clusters of high infection rates often referred to as pockets of  
84 transmission (Tambo et al. 2014, Zhou et al. 2013) or hotspots, have been identified through a  
85 variety of survey methods (Bejon et al. 2010, Bejon et al. 2014, Bousema et al. 2010a,  
86 Bousema et al. 2010b, Clark et al. 2008, Hardy et al. 2015). A hotspot of malaria transmission  
87 may be defined as an area with a significantly higher proportion of positive malaria test  
88 results compared to its surrounding area (Bousema et al. 2010a).

89

90 Most of the standard measures for monitoring and mapping malaria transmission that have  
91 been used by research projects and by national monitoring and evaluation programmes have  
92 relied on surveys of well-defined demographic and spatial samples of relevant human  
93 populations (Bejon et al. 2010, Bousema et al. 2010a, Bousema et al. 2010b, Clark et al.  
94 2008, Teuscher 1992). The greatest strength of population-weighted cross-sectional  
95 prevalence surveys is the representative nature of such probability sampling (Chanda et al.  
96 2012, Moonen et al. 2010b, Roll Back Malaria , Rowe et al. 2009). However, national malaria  
97 indicator surveys and other household survey approaches are not designed or powered to  
98 determine local-level variation and are typically too costly and laborious to apply with  
99 sufficient sampling intensity to obtain spatial resolution finer than the district-level outside of  
100 research settings with limited geographic scope (Bousema et al. 2010b, World Health  
101 Organization 2007). The challenge remains to develop programmatically affordable and  
102 scalable approaches that deliver high-resolution maps of transmission risk for targeting  
103 supplementary control efforts, thus contributing to establishing effective surveillance-  
104 response systems (Tambo et al. 2014, Zhou et al. 2013), specifically in areas of low  
105 transmission where elimination may be feasible (Bejon et al. 2010, Bejon et al. 2014,  
106 Bousema et al. 2010a, Bousema et al. 2012, Mueller et al. 2011).

107  
108 Passively collected routine health facility data are widely used to estimate the burden of  
109 malaria (Chanda et al. 2012, Gething et al. 2010a, Lippeveld et al. 2000, World Health  
110 Organization 2012) and for risk mapping in resource-limited settings. A common feature of  
111 those risk mapping approaches, however, is the considerable effort put into mapping the  
112 geographical coordinates of every participating household individually (Bejon et al. 2014,  
113 Bisanzio et al. 2015, Cohen et al. 2013, Cohen et al. 2010, Ernst et al. 2006, Kazembe et al.  
114 2006, Sturrock et al. 2014, Yeshiwondim et al. 2009). Several studies have shown that passive  
115 case detection at health facilities could guide reactive case detection, whereby visits are made  
116 to the respective residence of each positive case reported at a health facility to screen  
117 household members and neighbours (Branch et al. 2005, Brooker et al. 2004, Cohen et al.  
118 2013, Kreuels et al. 2008, Maharaj et al. 2012, Pinchoff et al. 2015, Zanzibar Malaria Control  
119 Programme 2010). Furthermore, data collection at health facilities during routine patient visits  
120 can allow the mapping of the spatial distribution of malaria infection burden (Alemu et al.  
121 2013, de Oliveira et al. 2011, Kazembe 2007, Wimberly et al. 2012, Zacarias and Andersson  
122 2011) as well as a variety of other diseases (Jennings et al. 2005, Lengeler et al. 1991, Mayala  
123 et al. 2004, Tornheim et al. 2010), across patient catchment areas (Oduro et al. 2011).

124  
125 In Dar es Salaam, health facility data have been used for assessing the sensitivity and  
126 specificity of malaria diagnostic tools, as well as the quality of case management and health  
127 worker performance (Eriksen et al. 2007, Kahama-Marro et al. 2011, Nsimba et al. 2002).  
128 However, routinely collected health facility data have not yet been fully explored for mapping  
129 malaria infection burdens across the city, or for planning targeted delivery of appropriate  
130 control strategies, because patient records generally do not include accurate residential  
131 addresses. This exploratory study assesses the feasibility, cost, strengths and limitations of  
132 using 'anonymised' routine health facility data, supplemented with the names of patients' local  
133 government housing cluster leaders as geographic reference points, to map their home  
134 residence locations, and the usefulness of this approach for visualising the spatial distribution  
135 of malaria prevalence in two adjacent wards of urban Dar es Salaam.

## 137 **Methods**

### 138 ***Study area***

139 Dar es Salaam is located on the Indian Ocean coast of Tanzania in East Africa (Figure 1) with  
140 a population of 4.4 million inhabitants in 2012 (The United Republic of Tanzania 2013). The  
141 city is characterised by highly heterogeneous land use, including industrial and commercial  
142 areas, planned residential areas and informal settlements, as well as areas with urban  
143 agriculture (Dongus et al. 2009). Dar es Salaam has a hot and humid tropical climate  
144 throughout the year, with two rainy seasons: the “long rains”, which usually fall between  
145 March and May, and the “short rains”, which occur less predictably anytime between October  
146 and January. Average annual rainfall is 1,042 mm (DCP 2004) and the average temperature  
147 25.9°C. Malaria transmission in Dar es Salaam is perennial but relatively low following scale-  
148 up of insecticidal net coverage, house screening and larviciding, with a mean prevalence of  
149 detectable infection among residents in all age groups of approximately 10% (Msellemu et al.  
150 2016) and an entomological inoculation rate of less than one infectious bite per person per  
151 year that both usually peak during and immediately after the main rainy season (Geissbühler  
152 et al. 2007, Maheu-Giroux and Castro 2013, Namango 2012).

153  
154 **Figure 1 about here**  
155

### 156 *Study design*

157 Dar es Salaam is divided into five administrative levels (city, municipality, ward,  
158 neighbourhood and Ten-Cell-Unit (TCU)) in order of declining geographic scale (de Castro et  
159 al. 2004, Dongus et al. 2007). The TCU normally comprises between 10 and 100 houses or  
160 compounds with an elected leader who represents the residents (Dongus et al. 2007). While  
161 the municipal and city councils of Dar es Salaam do implement a numbering system for  
162 houses in the region, this is only applied to formally planned settlements and very few people  
163 know the identification number of the house that they live in. In the absence of a widely used  
164 system of neighbourhood names and house numbers or postal codes, similar to those which  
165 are used in other parts of the world as a spatial reference system for residential locations,  
166 TCUs are attractive as a geographic frame of reference and have already proven useful as  
167 subdivisions for implementing and managing malaria surveillance and control in this city  
168 (Chaki et al. 2011, Chaki et al. 2012, Dongus et al. 2011, Dongus et al. 2007). However,  
169 patient registries of health facilities in Tanzania currently only record residential home  
170 location down to the neighbourhood level comprising an average of 70 TCUs each. Therefore,  
171 in order to be able to assign patient information to a residential location at much finer spatial  
172 resolution, the name of the patient’s home TCU leader was added to the information recorded  
173 in the health facility registry in the context of this study.

174  
175 In Tanzania, there are five levels of service in the public health care system, but only three are  
176 managed under the Dar es Salaam City Medical Office of Health (Mtasiwa et al. 2003):  
177 districts/municipalities (each with a municipal hospital), divisions (each with a health centre)  
178 and wards (with dispensaries and affiliated clinics). There is one public health facility per  
179 ward (called dispensary), which provides service at a lower cost than private facilities  
180 (Mamdani and Bangser 2004). This study was conducted at Buguruni Health Centre (BHC), a  
181 division-level facility located close to the boundary between the Buguruni and Vingunguti  
182 wards (Figure 2). BHC was selected because its malaria rapid diagnostic test (mRDT)  
183 procedures had been quality-controlled by previous research projects indicating adequate  
184 quality of the services provided according to the national guidelines for management of fever  
185 and malaria cases (United Republic of Tanzania 2006). Initial examination of the laboratory  
186 registry book revealed that the BHC served patients across all of Dar es Salaam. The majority  
187 of patients, however, came from Buguruni (29.8%) or Vingunguti wards (25.2%). For

188 demonstration purposes, this pilot study exclusively considered patients coming from these  
189 two wards. All individuals tested for malaria with a mRDT and treated according to the  
190 national guidelines for management of fever and malaria cases were eligible for inclusion in  
191 this study. The two study wards have a combined total area of 7.9km<sup>2</sup> and are subdivided into  
192 a total of eight neighbourhoods (Kisiwani, Mnyamani, Malapa, Madenge, Kombo, Mtambani,  
193 Mtakuja and Miembeni) (Figure 2), with a total of 177,531 inhabitants based on 2012 national  
194 census data (The United Republic of Tanzania 2013). Both wards are characterised by high  
195 housing density, largely unplanned settlements, low socio-economic background and  
196 relatively small TCU sizes (on average approximately 100 x 100 meters) (Dongus et al.  
197 2007). They are bordered by industrial areas to the south, and one of the largest river valleys  
198 cutting through Dar es Salaam (Msimbazi River) to the north.

199  
200 **Figure 2 about here**

201

### 202 ***Data collection***

203 Personal and clinical data, mRDT (MAL-Pf<sup>®</sup>, ICT Diagnostics, Cape Town, South Africa)  
204 using histidine-rich protein II (HRP-II) results of individual patients attending the BHC, as  
205 well as the names of their local TCU leaders at their home residence were recorded routinely  
206 in the BHC laboratory registry books from June 2012 to January 2013.

207

208 Residential TCU locations were traced by comparing TCU leaders' names provided by  
209 patients to a list provided by the local government ward executive office where the details are  
210 recorded, regulated and regularly updated. Ward-level local government staff were consulted  
211 to solve unclear cases. Due to numerous, recent changes of TCU leaders resulting from  
212 routine electoral political processes, the previously described TCU maps and supporting  
213 databases (Dongus et al. 2011, Dongus et al. 2007) had to be updated. Validating the exact  
214 TCU boundaries and re-mapping them where changes had occurred would have been too  
215 labour-intensive. The much more affordable and practical approach taken, which nevertheless  
216 allowed for the same level of spatial precision as TCU boundary maps, was to geolocate the  
217 residences of all TCU leaders in Buguruni and Vingunguti that were successfully identified  
218 from patient records at BHC, using a hand-held Global Positioning System (GPS) receiver  
219 (Garmin, eTrex 10), at a positional precision of  $\leq 5$ m.

220

### 221 ***Data analysis***

222 Patient data were 'anonymised' during the process of data extraction from the registry books  
223 to specific data collection sheets. Data sheets were checked for consistency and accuracy and  
224 entered into a Microsoft Excel database. Data were cross-checked against BHC registry  
225 records, aggregated by TCU, and linked to GPS coordinates of the respective TCU leaders'  
226 residential locations with unique enumeration codes for each TCU. The resulting geo-  
227 database was then integrated into Geographic Information Systems (GIS) ArcGIS 10.1  
228 software (ESRI, Redland, CA, USA). This enabled the visualisation of the spatial distribution  
229 of positive and negative test results of patients, and the diagnostic positivity rate, i.e. the  
230 number of patients in each TCU who tested positive for *Plasmodium falciparum* malaria  
231 divided by the total number of patients tested in this TCU.

232

233 Global Moran's *I* statistics in ArcGIS were used to test for spatial autocorrelation in the  
234 diagnostic positivity rates found in the different residential locations (Moran 1948). Spatial  
235 clustering was assessed on ward, neighbourhood and TCU levels.

236

237 For wards and neighbourhoods, generalised linear modelling analyses (binomial distribution)  
238 were performed with R software (R642.15.2) with prevalence (i.e. the proportion of patients  
239 tested for malaria that had a positive test result) as the dependent variable and neighbourhoods  
240 and wards as categorical independent variables. At the TCU level, spatial clustering of high  
241 prevalence rates were assessed by performing spatial scan statistics with FleXScan open  
242 source software (v3.1.2) (Takahashi et al. 2008, Takahashi et al. 2013, Tango and Takahashi  
243 2005). FleXScan performs a flexibly shaped spatial scan statistic that can detect irregularly  
244 shaped clusters. The analysis parameters were set to purely spatial analysis, scanning for  
245 clusters with high prevalence rates using models with a binomial distribution and logit link  
246 function for this binary diagnostic status outcome and weighted according to the total number  
247 of patients tested and traced in a given TCU. The matrix definition file was created based on a  
248 spatial weights matrix generated in ArcGIS and the maximum spatial cluster size was set to  
249 10 TCUs. Spatial relationships were set to Delaunay Triangulation with Euclidian distance.  
250 TCUs identified as being part of a spatial cluster were visualised with ArcGIS.

251

## 252 **Results**

253 Among 4,378 outpatients tested for the presence of *P. falciparum* malaria infections at BHC  
254 between June 2012 and January 2013, 9.1% (400/4,378) tested positive. Over half (55.0%;  
255 2,407/4,378) of all patients tested for malaria reported that they were residents of Buguruni  
256 and Vingunguti wards, with 13.5% (325/2,407) out of these testing positive. The diagnostic  
257 positivity rate was much lower (3.8%; 75/1,971) among the tested patients that came from  
258 other wards of Dar es Salaam, including 17 patients who were residents of other regions of  
259 Tanzania. Among all tested patients living in Buguruni and Vingunguti wards, 80.6%  
260 (1,941/2,407) provided a local leader's name, and 46.6% (1,121/2,407) were successfully  
261 traced back to their residential TCU (Figure 3). Surprisingly, while the home residence TCU  
262 leader was unambiguously identified for 73.8% (240/325) of the patients from the study  
263 wards who tested positive for malaria, only 42.3% (881/2082) of those with a negative test  
264 result were successfully traced. Accordingly, the diagnostic positivity rate among the tested  
265 study ward residents who were successfully traced was considerably higher (21.4%;  
266 240/1,121) than among untraced patients living in the same wards (6.6%; 85/1,286). The  
267 patients that could not be traced had provided none, unclear or inaccurate information  
268 regarding the names of their local leaders, wards, or neighbourhoods (Figure 3). Patients from  
269 a total of 411 TCUs were traced, corresponding to 57.3% of all TCUs in Buguruni and  
270 Vingunguti (717).

271

272

### 272 **Figure 3 about here**

273

274 The numbers of traced patients were similar in Buguruni (n=576) and Vingunguti (n=546),  
275 and the highest number of patients came from the neighbourhood where the BHC is located  
276 (Table 1). No spatial patterns were obvious at the ward or neighbourhood levels, as no  
277 significant differences between diagnostic positivity rates were found when the data were  
278 aggregated at these coarser scales (Table 1). However, testing for spatial autocorrelation of  
279 diagnostic positivity rates in the different residential locations indicated a clustered pattern at  
280 the TCU level (z-score=2.23, p=0.026).

281

282

### 282 **Table 1 about here**

283

284 Cluster analysis with spatial scan statistics based on the spatial distribution of malaria  
285 diagnostic positivity rate identified one small cluster ( $p=0.047$ ) of high-infection rates (Figure  
286 2) consisting of only four TCUs within 100m of each other, where 100% of tested patients  
287 (seven out of seven) had positive test results.

288

289 The total cost for eligible patients to be traced back to their area of residence over a period of  
290 eight months was US\$10.2 per individual, comprising all personnel and transport costs for  
291 conducting this study. The additional workload for laboratory technicians resulting from this  
292 study was observed to be readily manageable and did not appreciably affect the routine daily  
293 activities of the laboratory staff who filled out >90% of the forms correctly.

294

## 295 **Discussion**

296 Despite the known limitations of routine health facility data in terms of representativeness and  
297 completeness (Rowe et al. 2009, Tornheim et al. 2010), this exploratory study indicates that  
298 routine patient records allow mapping the spatial distribution and heterogeneities of malaria  
299 infection rates at high levels of spatial detail (Figure 2) for every second patient tested for  
300 malaria at a health facility. This can be achieved at an affordable cost by adding simple  
301 geographic or administrative points of reference that are widely used within a community - in  
302 this case we added only the name of the patient's local leader that is generally well known in  
303 the community, a variable describing a widely-used, fine-scale geographic or administrative  
304 reference point to the health facility patient register. In contrast to previous studies that  
305 predicted fine-scale risk by fitting statistical models to aggregated health facility catchment  
306 data (Sturrock et al. 2014) or by actively tracing all patients to their homes (Bejon et al. 2014,  
307 Ernst et al. 2006), our approach demonstrates that comparably fine spatial resolutions can be  
308 derived from passively collected routine case data. Indeed, cluster analysis with spatial scan  
309 statistics identified one cluster of high diagnostic positivity that had a diameter of only 100m.

310

311 This small-scale pilot study, and indeed the overall approach taken, has considerable  
312 limitations and caveats that merit careful consideration. The most obvious limitation was that  
313 53.4% of all tested patients could not be traced back to their residential areas. This might be  
314 due to potential selection bias for locations with more readily identifiable TCU leaders, which  
315 could possibly lead to an overestimation or underestimation of the actual malaria infection  
316 burden. The largest potential opportunity for optimising this approach therefore probably lies  
317 in improving rates of successful patient tracing. Note, however, that patient tracing may be  
318 more difficult in rural areas with more scattered populations. Indeed, only 27% of patients  
319 were successfully traced by a very similar study conducted in rural Kenya (Stresman et al.  
320 2014). A very successful example with household traceability of up to 100% is work  
321 performed in Solomon Islands and Vanuatu, where a comparable spatial resolution was also  
322 achieved based on routinely collected data, including successful integration in an automated  
323 GIS platform for identifying areas for targeted response (Kelly et al. 2013). However, the  
324 high traceability was only possible by geo-referencing all households (>10,000) in the study  
325 area beforehand, which might be more a suitable approach in the elimination context of these  
326 Pacific Islands compared to fast growing megacities such as Dar es Salaam.

327

328 However, perhaps the most important caveat to this pilot study is the clear bias towards  
329 successful tracing of malaria-positive cases, compared to malaria-negative cases. This  
330 discrepancy is surprising, considering that residential information of the patients was recorded  
331 before the patients were tested, but it seems likely that it may have arisen from the  
332 understandably greater enthusiasm, priority and effort investment for identifying TCU leaders

333 of patients known to be malaria-infected. The larger number of malaria-negative patients may  
334 well have been mistakenly perceived as less interesting to those tasked with mapping the  
335 malaria risk, who may not have fully appreciated the statistical necessity to treat malaria-  
336 positive and malaria-negative patients identically. It will thus be crucial for future studies to  
337 minimize the risk of bias in the recording procedure and to blind personnel responsible for  
338 patient tracing to the diagnostic outcome of the patients. Furthermore, in order to maximize  
339 cost-effectiveness and minimize disease-specific biases, future studies should ideally map  
340 several spatially heterogeneous diseases in an integrated manner. It would be essential for  
341 further applications of this approach to also record, assess and account for the effect of patient  
342 age and the associated immunity level (Bejon et al. 2014, Bisanzio et al. 2015, Yeshiwondim  
343 et al. 2009). In the case of Dar es Salaam though, the risk of detectable malaria infection  
344 appears to be essentially equivalent across all age groups, following the strongly reduced  
345 transmission over the last several years that resulted in loss of exposure-acquired immunity  
346 (Msellemu et al. 2016).

347

348 Furthermore, the modest scale of this pilot study also represents a clear limitation that needs  
349 to be addressed with much more geographically and temporally extensive evaluations. Using  
350 only a single public facility clearly limited the coverage and completeness, so much larger  
351 scale and evaluation over longer terms will be required to fully assess both the full power and  
352 fundamental limitations of this strategy. Using this approach over longer periods of time  
353 could also enable more robust assessment of spatial clustering as well as capturing seasonality  
354 and other short- and long-term temporal trends.

355

356 Future applications of this approach will need to cope with the highly dynamic nature of local  
357 government political processes on such fine scales. The identities of local government leaders,  
358 especially those of the TCUs, change frequently due to normal electoral, political and  
359 demographic processes, so it is essential to regularly and routinely update TCU locations and  
360 leadership information by frequently consulting with local authorities at ward and  
361 neighbourhood levels or their equivalents in other settings. In this pilot study, 42.8%  
362 (820/1,941) of patients that provided a TCU leader's name could not be matched to a location  
363 because the name they provided was either wrong or misspelt in such a way that neither  
364 automated nor manual matching was possible. A possible solution for this might be to provide  
365 health facilities with up-to-date lists of all municipalities, wards, neighbourhoods and TCU  
366 leaders, from which patients can choose their respective residential location. Using electronic  
367 devices such as tablets or mobile phones for data collection might be very beneficial in this  
368 respect, and also in terms of facilitating timely reporting and responses.

369

370 The techniques presented here can be extended to include public and private health facilities  
371 at any given scale of catchment area, and can be scaled up to other comparable low-income  
372 settings with limited resources and no physical address system, wherever a similar local  
373 government system exists. In Tanzania, TCUs and their leaders have been established across  
374 the mainland and similar fine-scale local government subdivisions, known as *sheha*, also exist  
375 in the Zanzibar archipelago. In malaria-affected regions elsewhere, where the existing  
376 administrative structures do not allow for simple replication of this approach, potential  
377 alternatives to using the names of local leaders might be self-reported landmarks such as  
378 nearby schools, health facilities, churches or mosques (Stresman et al. 2014) . Alternatively, a  
379 “map-book approach”, based on printouts of aerial imagery, could be applied but this may  
380 well increase cost and burden upon health facilities that are already overstretched, because it  
381 requires some time investment in explanation by health facility staff (MacPherson et al.



382 2013). While the clear shortcoming of both these alternatives is their much coarser spatial  
383 resolution, their potential lies in the relatively large patients numbers that can be traced  
384 (MacPherson et al. 2013, Stresman et al. 2014).

385

386 In addition to the demonstrated functionality of this fine-scale mapping procedure, a clear  
387 strength of this approach is that it is affordable and practical because it does not require any  
388 active data gathering other than maintaining up-to-date information about the residential  
389 location and identities of elected local leaders. Other than that, it relies entirely on routine  
390 health facility data which is widely available across many countries with even the most basic  
391 health services (Cohen et al. 2013). With regard to malaria control interventions, the approach  
392 might have its largest potential when integrated with a GIS-based surveillance system at the  
393 local, provincial or national level to generate dynamic risk maps for guiding targeted  
394 interventions in real time, thus contributing to effective surveillance-response systems  
395 (Tambo et al. 2014, Zhou et al. 2013).

396

### 397 **Conclusions**

398 Recording simple points of reference that community members can readily relate to during  
399 routine health facility visits, in this case the names of their local leaders, can be used for  
400 mapping spatial heterogeneity in confirmed clinical malaria rates at remarkably fine  
401 geographic scales. Such passively collected routine clinical data can be used to identify  
402 hotspots of elevated risk, offering a feasible approach to targeting malaria control  
403 interventions under programmatic conditions. In contrast to traditional active surveillance  
404 approaches, such as cross-sectional or incidence cohort surveys, mapping the spatial  
405 distribution of malaria infection rates using passively collected routine health facility data  
406 offers high spatial resolution at an affordable cost. However, in order to tap the full potential  
407 of this approach, optimising the success rate in tracing patients and eliminating biases  
408 associated with diagnostic test results will be necessary. Closing these methodological gaps  
409 could result in a refined surveillance tool that has the potential to become a scalable, integral,  
410 sustainable component of control programmes, which can then target supplementary  
411 interventions to foci of elevated risk.

412

### 413 **Ethical considerations**

414 Ethical approval was obtained from the Institutional Review Board of the Ifakara Health  
415 Institute (Approval A.50), the National Institute of Medical Research in Tanzania (Approval  
416 NIMR/HQ.R.8a/Vol IX/801) and the Masters Review Panel and Research Ethics Committee  
417 of the Liverpool School of Tropical Medicine (Approval 09.60).

418

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436

437

#### 438 **Authors' contributions**

439 YPM, SD, GFK, and DJT designed the study with support from PPC and NJG. YPM  
440 implemented the study. YPM and SD analysed the data and drafted the manuscript with  
441 support from GFK and DJT. VMM, ADM, AJL, JMP, DFM, and ZDM supported the study  
442 implementation and data analysis. SD prepared the maps.

443

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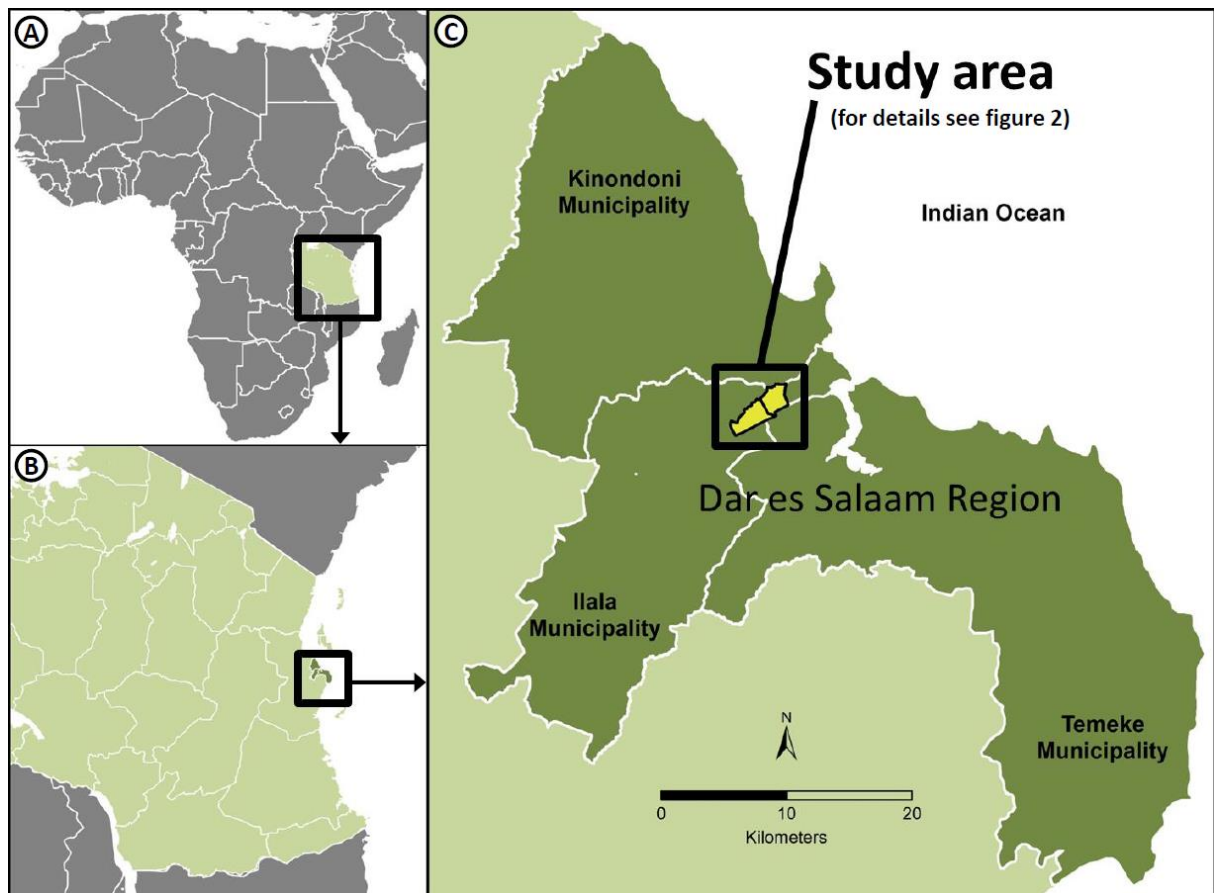
695

696 **Table 1:** Malaria test results per ward and neighbourhood, and results of logistic generalised  
 697 linear models for comparing diagnostic positivity rates of different wards and neighbourhoods

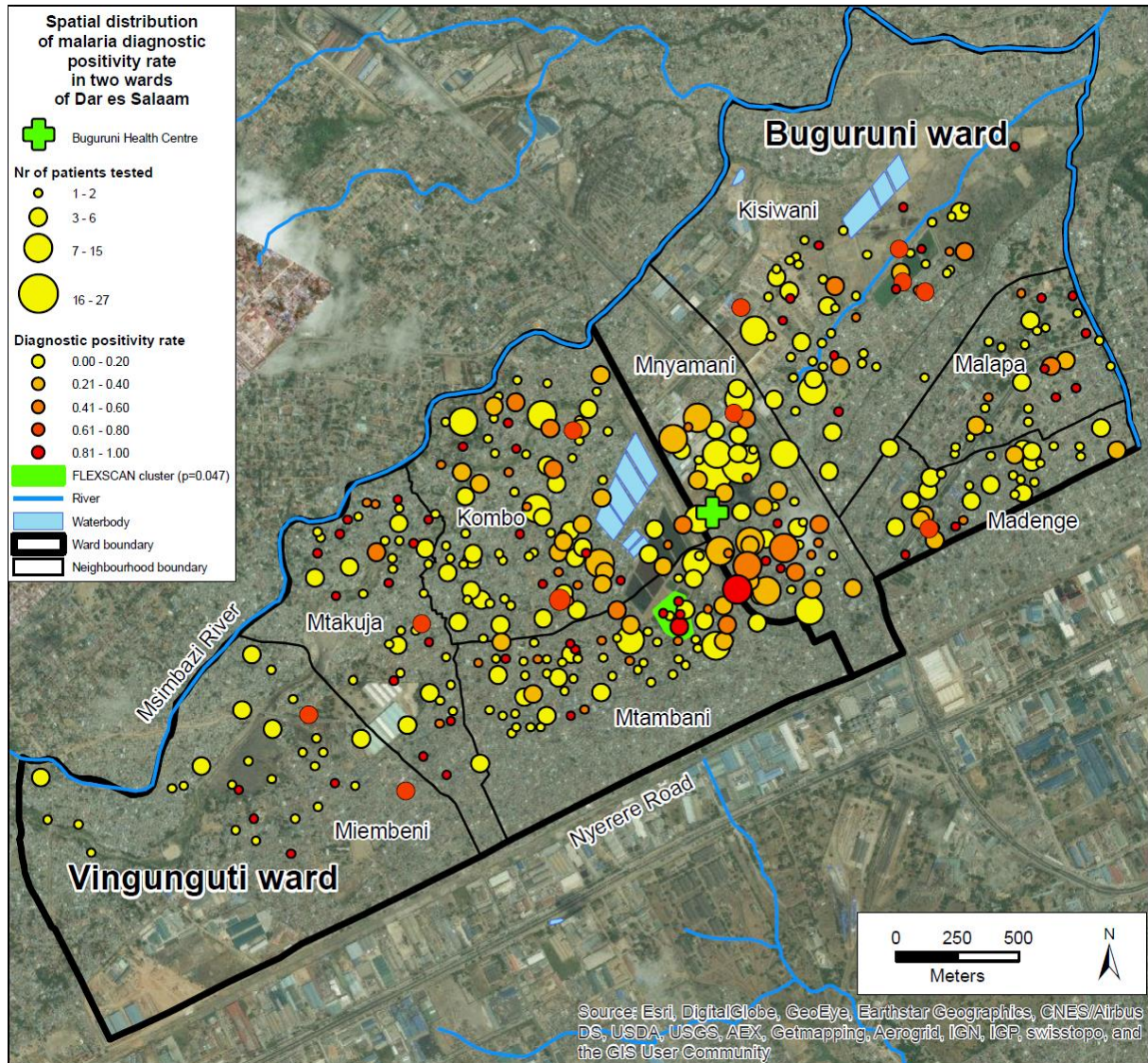
Ward	Neighbourhood	No. of tested patients	No. of positive cases	Diagnostic Positivity	Coefficient	Z-value	P-value
Buguruni	Kisiwani	137	31	0.23	1	NA	NA
	Mnyamani	302	71	0.24	1.046436	0.185	0.853
	Malapa	48	13	0.27	1.270042	0.623	0.533
	Madenge	88	17	0.19	0.830415	-0.548	0.583
Vingunguti	Mtambani	166	33	0.20	0.848411	-0.583	0.560
	Mtakuja	87	23	0.26	1.228827	0.649	0.516
	Miembeni	54	8	0.15	0.594669	-1.197	0.231
	Kombo	239	44	0.18	0.771545	-0.983	0.325
Buguruni	all	575	132	0.23	1	NA	NA
Vingunguti	all	546	108	0.20	0.827522	-1.295	0.195
Total		1121	240	0.21			

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701  
 702 **Figure 1:** Location of study area in Dar es Salaam, Tanzania. A – overview map of Africa; B  
 703 – overview map of Tanzania; C – overview map of Dar es Salaam Region, indicating the  
 704 location of the study area (Buguruni and Vingunguti wards, see figure 2).  
 705

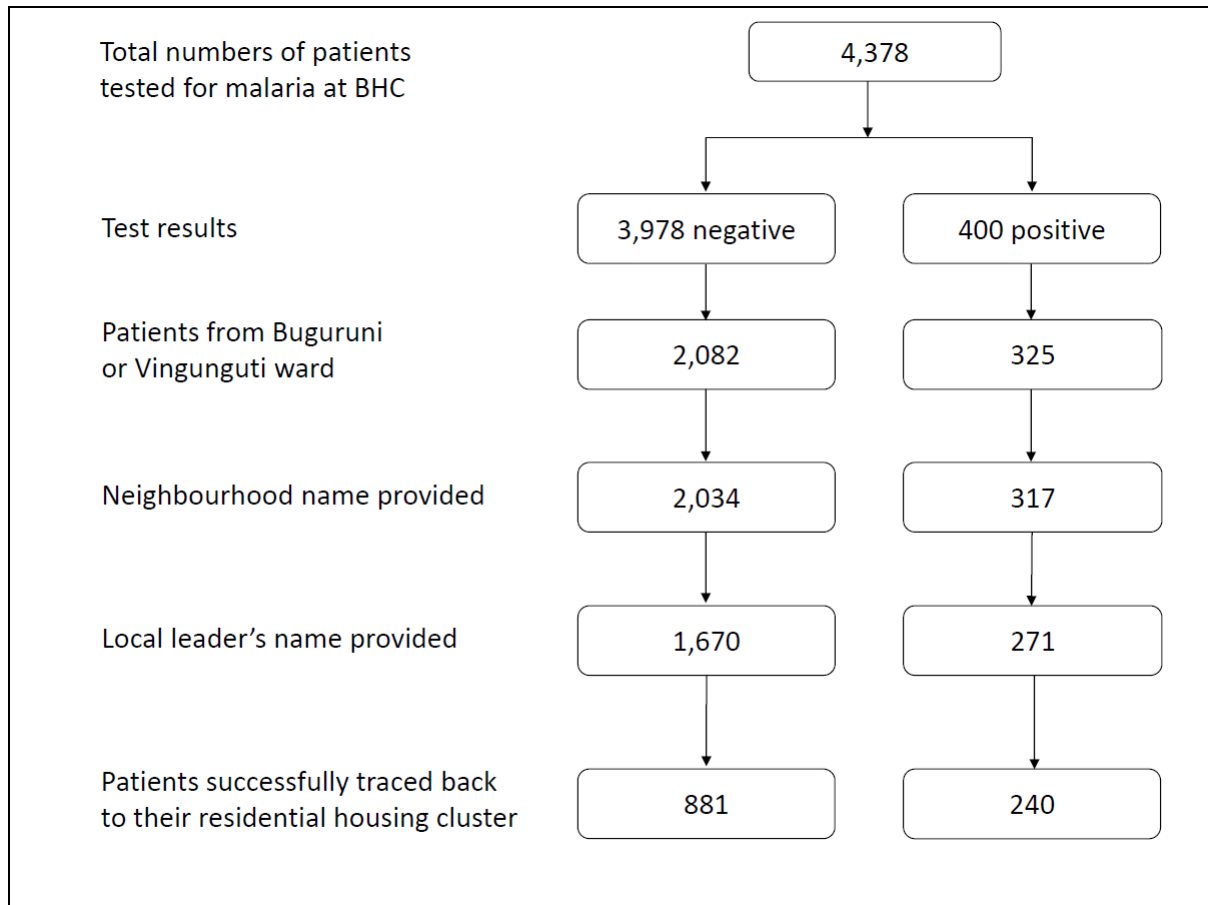


706

707 **Figure 2:** Spatial distribution of malaria diagnostic positivity rate (i.e. the proportion of  
 708 patients tested for malaria that had a positive test result) in two wards of Dar es Salaam  
 709 (Buguruni and Vingunguti). The size of the dots is proportional to the number of tested  
 710 patients. The locations of the dots refer to residential housing cluster (TCU) locations,  
 711 represented by the location of the TCU leader's house. The spatial cluster (p=0.047) of high  
 712 malaria diagnostic positivity rate (based on FleXScan analysis) is marked in green. All results  
 713 based on data from patients tested for malaria at Buguruni Health Centre (BHC) from June  
 714 2012 to January 2013. Base Map: aerial imagery (www.bing.com/maps).

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718 **Figure 3:** Number of patients sampled at Buguruni Health Centre (BHC), and patient flow  
 719 with regard to number of patients who were successfully traced back to their residential  
 720 location (TCU)