

RESEARCH ARTICLE

# Knockdown resistance mutations predict DDT resistance and pyrethroid tolerance in the visceral leishmaniasis vector *Phlebotomus argentipes*

Bruno Gomes<sup>1</sup>✉, Bidyut Purkait<sup>2</sup>✉, Rinki Michelle Deb<sup>1</sup>, Aarti Rama<sup>2</sup>, Rudra Pratap Singh<sup>2</sup>, Geraldine Marie Foster<sup>1</sup>, Michael Coleman<sup>1</sup>, Vijay Kumar<sup>2</sup>, Mark Paine<sup>1</sup>, Pradeep Das<sup>2</sup>, David Weetman<sup>1</sup>\*

**1** Department of Vector Biology, Liverpool School of Tropical Medicine, Liverpool, United Kingdom, **2** Rajendra Memorial Research Institute of Medical Sciences (Indian Council of Medical Research), Agamkuan, Patna, Bihar, India

✉ These authors contributed equally to this work.

\* [david.weetman@lstm.ac.uk](mailto:david.weetman@lstm.ac.uk)



**OPEN ACCESS**

**Citation:** Gomes B, Purkait B, Deb RM, Rama A, Singh RP, Foster GM, et al. (2017) Knockdown resistance mutations predict DDT resistance and pyrethroid tolerance in the visceral leishmaniasis vector *Phlebotomus argentipes*. PLoS Negl Trop Dis 11(4): e0005504. <https://doi.org/10.1371/journal.pntd.0005504>

**Editor:** Pattamaporn Kittayapong, Mahidol University, THAILAND

**Received:** November 9, 2016

**Accepted:** March 20, 2017

**Published:** April 17, 2017

**Copyright:** © 2017 Gomes et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

**Data Availability Statement:** Data are provided in the submission. Sequences were submitted to GenBank (Accession Numbers: KY114615, KY114616, KY114617, KY114618, KY114619).

**Funding:** This work was funded by a Wellcome Trust ISSF award to DW, with additional support from a Wellcome Trust Affordable Healthcare in India Translational Award to MJIP and the Bill and Melinda Gates Foundation to MC and MP. The funders had no role in study design, data collection

## Abstract

### Background

Indoor residual spraying (IRS) with DDT has been the primary strategy for control of the visceral leishmaniasis (VL) vector *Phlebotomus argentipes* in India but efficacy may be compromised by resistance. Synthetic pyrethroids are now being introduced for IRS, but with a shared target site, the *para* voltage-gated sodium channel (VGSC), mutations affecting both insecticide classes could provide cross-resistance and represent a threat to sustainable IRS-based disease control.

### Methodology/Principal findings

A region of the *Vgsc* gene was sequenced in *P. argentipes* from the VL hotspot of Bihar, India. Two knockdown resistance (*kdr*) mutations were detected at codon 1014 (L1014F and L1014S), each common in mosquitoes, but previously unknown in phlebotomines. Both *kdr* mutations appear largely recessive, but as homozygotes (especially 1014F/F) or as 1014F/S heterozygotes exert a strong effect on DDT resistance, and significantly predict survivorship to class II pyrethroids in short-duration bioassays. The mutations are present at high frequency in wild *P. argentipes* populations from Bihar, with 1014F significantly more common in higher VL areas.

### Conclusions/Significance

The *Vgsc* mutations detected appear to be a primary mechanism underlying DDT resistance in *P. argentipes* and a contributory factor in reduced pyrethroid susceptibility, suggesting a potential impact if *P. argentipes* are subjected to suboptimal levels of pyrethroid exposure, or additional resistance mechanisms evolve. The assays to detect *kdr* frequency changes

and analysis, decision to publish, or preparation of the manuscript.

**Competing interests:** The authors have declared that no competing interests exist.

provide a sensitive, high-throughput monitoring tool to detecting spatial and temporal variation in resistance in *P. argentipes*.

### Author summary

Visceral leishmaniasis is a fatal disease transmitted solely by the sandfly *Phlebotomus argentipes* in India. For decades, indoor residual spraying targeting sandflies with DDT has been the main control tool in the region. Emergence of DDT resistance has compromised this strategy and pyrethroids are now being implemented as alternative. Here, we describe the first molecular markers in sandflies for DDT and pyrethroid resistance. Two knockdown resistance (*kdr*) mutations in the *para* voltage-gated sodium channel target site gene are found at high frequency in natural populations in Bihar, the Indian focus of VL. The *kdr* variants strongly predict DDT resistance in *P. argentipes* and survival to lower-level pyrethroid exposure. Careful monitoring of resistance, aided by these markers, and of spraying efficacy is required to monitor changes and forewarn of emergent higher-level pyrethroid resistance in the region.

### Introduction

Visceral leishmaniasis (VL) causes over 20,000 deaths annually [1]. In the Indian subcontinent VL, also known as Kala-azar, is caused by the obligate intracellular protozoan *Leishmania donovani* that exhibits a continuous cycle comprising of humans, as the only known vertebrate host in the region, and females of the sandfly *Phlebotomus argentipes* [2]. Currently VL control and elimination strategies involve two main activities: rapid VL detection and treatment for humans and vector control of *P. argentipes* by indoor residual spraying (IRS) of neurotoxic insecticide [3]. In India, biannual DDT-based IRS has been a common sand fly control strategy in north-eastern regions where VL is most prevalent [4]. However, this control strategy has become compromised by the emergence of DDT resistance in *P. argentipes*; and quality of spraying is also a concern [4]. Surveillance studies using WHO assays have identified widespread DDT resistance in *P. argentipes* (mortality rates < 90% with DDT 4%), including in populations from regions with active VL transmission, notably in the state of Bihar [4–7]. Bihar is the main epicentre of VL transmission with 50% of the Indian subcontinent burden [8]. Visceral leishmaniasis has a higher incidence in densely-populated rural areas, particularly along the northern margins of the Ganges River, such as in Vaishali and neighbouring districts [9–12]. The elimination campaign has concentrated IRS in regions with higher VL incidence, spraying two times per year in houses and animal shelters. In 2015, prompted in part by DDT resistance in Vaishali and other northern districts such as Muzaffarpur and Samastipur [6,13], a switch to the pyrethroid alpha-cypermethrin was made for IRS. This scenario of VL incidence and DDT resistance contrasts with that found in Patna district along the southern margin of the Ganges River. Patna exhibits a lower VL incidence, particularly in urban areas of the state capital city [10], with a mosaic of DDT susceptible and resistant *P. argentipes* populations across villages, and IRS with DDT remains in use for control [13]. Currently there is a lack of knowledge of mechanisms underlying DDT resistance in *P. argentipes*, and phlebotomines generally, and how these might impact other insecticides, especially pyrethroids.

The major mechanisms of DDT resistance in insects are knockdown resistance mutations (*kdr*) within the *para* voltage-gated sodium channel gene in nerve cells (*Vgsc*) [14,15] and

increased metabolism by glutathione S-transferases (GSTs) or, less commonly, cytochrome P450s [16,17]. Both DDT and pyrethroids target the insect VGSC protein. DDT increases sensitivity for depolarization of the channel, while pyrethroids only inhibit inactivation/deactivation processes of the channel; nevertheless each leads to stabilization of the open state and repetitive nerve firing, paralysis and ultimately death [18,19]. Multiple mutations in the *Vgsc* gene are linked to DDT and pyrethroid resistance in insects, particularly at codon 1014 (using *Musca domestica* codon numbering) [15]. Wild-type *Vgsc*-1014 is normally a leucine residue, and is located in the middle of hydrophobic segment S6 of domain II (IIS6) outside of the predicted binding domain [20]. Apparently contrary to this prediction, studies in cockroaches have indicated that *kdr* mutations in IIS6 may reduce binding affinity to insecticides [21]. Resistance might also arise via alternative mechanisms, such as changes in channel conformation and kinetics, indirectly affecting affinity between insecticides and their binding pockets [20,22]. Expression of insect *para*-VGSC in *Xenopus* oocytes has confirmed reduced sensitivity of the VGSC to insecticides for three *kdr*-1014 mutations (L1014F; L1014H and L1014S) though with substitution-specific impacts on different insecticides [23]. L1014F is the most common *kdr* mutation in insects, with L1014H also occurring across insect orders, whereas L1014S has only been found in mosquitoes to date [15], as have two other uncommon substitutions (L1014C [24] and L1014W [25]).

In the present study, a region of *Vgsc* in *P. argentipes* was sequenced and high-throughput SNP assays designed in order to: i) identify *kdr* mutations; ii) determine any association with resistance/tolerance to DDT and pyrethroids; and iii) assess their frequencies in natural populations of Bihar contrasting in VL incidence. We report the first resistance markers in a phlebotomine sandfly; with significant predictive utility as diagnostics to support sustainable insecticide use against *P. argentipes*.

## Methods

### Samples and insecticide bioassays

Weekly indoor resting collections of *P. argentipes* were carried out in central districts of Bihar state (India) between May and October 2015. Manual aspirators and torches were used to collect sand flies from inside human dwellings and cattle sheds during the day. Live sand flies were transported to the Rajendra Memorial Research Institute (RMRI) insectary, where blood fed and gravid *P. argentipes* females were placed into individual pots and maintained (at  $28 \pm 2^\circ\text{C}$ ;  $70 \pm 4\%$  RH) until oviposition. Eggs were reared until the adult stage to obtain F1 adult samples. Further field collections were carried out using CDC light traps during the night in villages of two districts, Patna and Vaishali, between September and November 2015 (Table A in S1 Text). Sand flies were identified using morphological keys [26] and *P. argentipes* specimens stored at room temperature over silica gel. Householders provided informed consent for the collections, permission for which was granted by the ethical review boards of LSTM (protocol references 15.023, 15.036) and RMRI (reference 13/IEC/2015).

Bioassays followed the World Health Organization tube assay procedures [27] using papers impregnated with 4% DDT, 0.05% deltamethrin and 0.05% alpha-cypermethrin. Approximately 25 one day-old sugar-fed adult female *P. argentipes* were used in all bioassays. All sand flies were fed by cotton wool pad soaked with 10% sucrose. DDT and alpha-cypermethrin bioassays used the F1 progeny of wild caught females; bioassays with deltamethrin were carried out with adult females from a colony maintained at RMRI without previous insecticide exposure, though with occasional supplements from wild populations. No accepted standard exposure time exists for phlebotomines, but the mosquito standard of 60 min has previously proved appropriate for DDT [5] and was used for these bioassays. For the two pyrethroids, a

60 min exposure produced near ubiquitous mortality, therefore in order to produce some survivors for association-testing of mutations, pyrethroid exposure times were reduced to nominal times of 30 min for alpha-cypermethrin and 20 min for deltamethrin. Following exposure, sand flies were transferred to a holding tube and supplied with a cotton wool pad soaked with 10% sucrose. Mortality was recorded 24 hours after the bioassay. Each live female was classed as resistant to DDT or tolerant to pyrethroids ('tolerant' terminology is used to indicate survival, but to a shorter than standard exposure), or susceptible if dead after the recovery period. All sand flies were stored individually at room temperature over silica gel.

## Identification and genotyping of mutations in the voltage-gated sodium channel

Sequence information of the voltage-gated sodium channel gene (*Vgsc*) was obtained from genomes of two phlebotomine species, *Lutzomyia longipalpis* (VectorBase: *Lutzomyia longipalpis* Jacobina, LlonJ1.2) and *Phlebotomus papatasi* (VectorBase: *P. papatasi* Israel, PpapI1.2). The *Vgsc* sequences from these two species were used to design the conserved primers Vssc8F (5'-AATGTGGGATTGCATGCTGG-3') and Vssc1bR (5'-CGTATCATTGTCTGCAGTTGT-3'), which amplify a genomic DNA fragment from VGSC domain II, segment 6. This fragment included codon 1014 and other codons associated with insecticide resistance in *Aedes aegypti* (codons 1011 and 1016) and in Lepidoptera and Blattodea (codon 1020).

DNA extraction from individual *P. argentipes* was performed using the ChargeSwitch Forensic DNA Purification Kit (Thermo Fisher Scientific, MA, USA). Each amplification was performed separately in a 50 µl PCR reaction that contained 10X DreamTaq Green reaction buffer (Thermo Fisher Scientific), 2 mM MgCl<sub>2</sub>, 0.20 mM of each dNTP, 0.20 µM of each primer and 1U of DreamTaq DNA polymerase (Thermo Fisher Scientific). Thermocycling conditions included an initial denaturation step of 5 min at 95°C followed by 30 cycles each of 96°C for 30 s, 56°C for 30 s, and 72°C for 30 s, and a final extension step of 72°C for 5 min. The PCR products were purified with the QIAquick PCR Purification kit (Qiagen) and sequenced (in forward and reverse directions) using the same primers. Sequences were aligned using Codon Code Aligner version 4.2.7 (CodonCode Corporation, MA, USA).

From the sequence data, two TaqMan SNP genotyping assays (Thermo Fisher Scientific) were designed to identify four alleles detected at codon 1014 (see Results). The novel primer and probe sequences are provided in Table B in S1 Text. TaqMan reactions were performed in 10 µl volumes containing 1X SensiMix (Bioline, UK), 800 nM each primer, and 200 nM each probe on an Mx3005P qPCR thermal cycler (Agilent Technologies, CA, USA) with initial denaturation of 10 min at 95°C followed by 40 cycles of 15 s at 92°C and 1 min at 60°C.

## Data analysis

Abbott's formula [28] was used to correct mortality rates of susceptibility bioassays with DDT, deltamethrin and alpha-cypermethrin. Chi-square tests or Fisher exact tests (where expected frequencies were low) were used to assess differences between resistant/tolerant and susceptible sand flies in allele and genotype frequencies at *Vgsc* codon 1014, with effect sizes measured using odds ratios. The proportion of each genotype group surviving was determined by dividing the number of live sand flies by the total number of sand flies per genotype. Lower and upper 95% confidence interval limits with correction for continuity were calculated for the survival proportions [29]. Multiple post-hoc pairwise comparisons used the Marascuilo and McSweeney method [30] ([http://www.statstodo.com/MultiProp\\_Pgm.php](http://www.statstodo.com/MultiProp_Pgm.php)) to identify significant differences between survival proportions of genotype groups. Sensitivity (ability of the test correctly identify resistant/tolerant individuals) and specificity (ability of the test correctly

identify susceptible individuals) were calculated to verify the robustness of *Vgsc*-1014 assays to infer DDT resistance or pyrethroid tolerance in *P. argentipes*. Moreover, positive and negative predictive values for resistance/tolerance (probability that resistance/tolerance are presented for each *kdr* genotype group). Whenever multiple testing was performed, the nominal significance level of  $\alpha = 0.05$  was corrected by the sequential Bonferroni procedure [31].

### Accession numbers

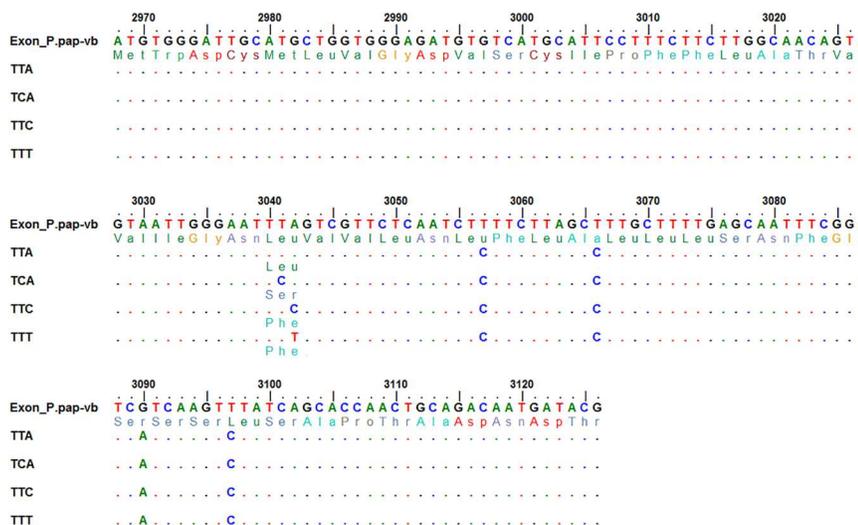
Sequences were submitted to GenBank (Accession Numbers: KY114615-19).

## Results

### Detection and resistance association of *Vgsc* mutations

Bioassay mortalities were 43% with DDT (60 min exposure), 84% with alpha-cypermethrin (30 min exposure), and 56% with deltamethrin (20 min exposure) (Table C in S1 Text). A domain IIS6 fragment of the *Vgsc* gene was sequenced in a subsample of 48 *P. argentipes* (24 DDT resistant and 24 DDT susceptible). The wild-type leucine (L) codon (TTA) and three knockdown resistant polymorphisms were detected at codon 1014, comprising of a replacement of leucine with serine, L1014S (TCA) and two alleles (TTC and TTT) which each replace leucine with phenylalanine, L1014F (Fig 1 and Fig A in S2 Text; GenBank Accession Numbers: KY114615-19). Only wild-type sequences were identified at the other three codons within the fragment with previous association with insecticide resistance (i.e. 1011I/I, 1016V/V, and 1020F/F). No further non-synonymous mutations were detected within the IIS6 fragment sequenced. These sequences were used to design a pair of TaqMan SNP Genotyping Assays. The first assay differentiates two bases at the 2<sup>nd</sup> nucleotide position of codon 1014 (TTA vs. TCA; Fig B in S2 Text), whereas the second assay differentiates the two alleles at the 3<sup>rd</sup> nucleotide position (TTC vs. TTT; Fig C in S2 Text). Results of the assays are combined to define the 1014 genotype.

Allele frequencies at codon 1014 in females surviving or killed by each insecticide are shown in Table 1. For all insecticides there was no significant difference between survivorship for females possessing the two alternate phenylalanine alleles (TTC vs. TTT; Fisher exact test,



**Fig 1. Sequence alignment of the *Vgsc* in *P. argentipes* with the sequence of *P. papatasi*.** Nucleotide numbering is based on the house fly *para* sodium channel (Genbank accession number: X96668).

<https://doi.org/10.1371/journal.pntd.0005504.g001>

**Table 1. Allele frequencies at *Vgsc*-1014 and their relationship with insecticide susceptibility.**

	N	Leu	Ser	Phe	Ser vs. Leu	Phe vs. Leu	Phe vs. Ser
		TTA	TCA	TTT/TTC			
DDT alive	166	12 (7.3)	93 (56.0)	61 (36.7)	OR = 9.35	OR = 26.32	OR = 2.79
DDT dead	166	82 (49.4)	68 (41.0)	16 (9.6)	$P = 8 \times 10^{-13}$	$P = 2 \times 10^{-19}$	$P = 0.0013$
delta alive	94	11 (11.7)	40 (42.6)	43 (45.7)	OR = 3.47	OR = 4.33	OR = 1.25
delta dead	124	42 (33.9)	44 (35.5)	38 (30.6)	$P = 0.002$	$P = 0.0003$	$P = 0.54$
alpha alive	46	3 (6.5)	34 (73.9)	9 (19.5)	OR = 23.81	OR = 35.71	OR = 1.47
alpha dead	274	176 (64.2)	83 (30.3)	15 (5.5)	$P = 2 \times 10^{-12}$	$P = 2 \times 10^{-7}$	$P = 0.47$

delta = deltamethrin; alpha = alpha-cypermethrin; N: number of alleles per group. Values in brackets are percentage relative frequencies within each group. OR = odds ratio for survival; P-values are from Fisher exact tests.

<https://doi.org/10.1371/journal.pntd.0005504.t001>

minimum  $P = 0.13$ ) and these were pooled for subsequent analyses. All comparisons between the *wt*-leucine allele and *kdr* alleles yielded significant differences associated with lower survival odds for the *wt*-leucine allele. Survival odds were significantly lower for 1014S than for 1014F for DDT, though not for either pyrethroid (Table 1).

Based on a null hypothesis of recessivity for each *kdr* allele alone, but some degree of additivity when alternate *kdr* alleles are present as heterozygotes, the ten possible genotypes at codon 1014 were divided into four groups (Table 2). Low, and homogeneous survival among Leu homozygote and Leu/(Ser or Phe) heterozygote genotypes for DDT and alpha-cypermethrin exposure was concordant with the hypothesis of recessivity, although for deltamethrin Leu/Phe heterozygotes exhibited significantly lower mortality (Table 2). Genotype group

**Table 2. Genotype frequencies at *Vgsc*-1014 and their relationship with insecticide susceptibility.**

	N	Leu/*			total	Ser/Ser	Ser/Phe	Phe/Phe
		Leu/Leu	Leu/Ser	Leu/Phe				
DDT alive	83	3	4	2	9 (10.8)	28 (33.7)	33 (39.8)	13 (15.7)
DDT dead	83	20	32	10	62 (74.7)	15 (18.1)	6 (7.2)	0 (0.0)
delta alive	47	0	0	11	11 (23.4)	11 (23.4)	18 (38.3)	7 (14.9)
delta dead	62	6	12	18	36 (58.1)	8 (12.9)	16 (25.8)	2 (3.2)
alpha alive	23	0	3	0	3 (13.1)	13 (56.5)	5 (21.7)	2 (8.7)
alpha dead	137	57	51	11	119 (86.9)	15 (10.9)	2 (1.5)	1 (0.7)

N: number of genotypes; Leu/\*: number of genotypes that include at least one wild type leucine allele. Grey cells indicate significant variation in survival among Leu/\* genotypes ( $P < 0.05$  in Fisher exact test). Values in brackets are percentage relative frequencies.

<https://doi.org/10.1371/journal.pntd.0005504.t002>

frequencies differed strongly between surviving and dead sand flies for each insecticide (DDT:  $\chi^2_3 = 75.2, P = 3 \times 10^{-16}$ ; delta:  $\chi^2_3 = 14.9, P = 0.002$ ; alpha:  $\chi^2_3 = 62.6, P = 2 \times 10^{-13}$ ; Table 2), and the frequency of leucine-containing genotypes was much higher among dead sand flies (58%-87%) than in survivors (11%-23%). All *kdr*-only-genotype frequencies, whether homozygote or Ser/Phe heterozygotes, were higher in survivors than dead groups, supporting the hypothesis of additivity of alternate *kdr* alleles (Table 2).

Survivorship for each genotype group is summarised in Fig D in S2 Text and Fig E in S2 Text. Leucine genotypes exhibited consistently lower survival rates than *kdr*-only genotypes for DDT and alpha-cypermethrin (Table D in S1 Text, Fig D in S2 Text). For deltamethrin, significant variation in survival was only found between leucine genotypes and phenylalanine homozygotes (Table D in S1 Text), as a result of significantly higher survival rates of Leu/Phe genotypes than other leucine genotypes (Marascuilo post-hoc analysis,  $P = 0.0014$ ; Fig D in S2 Text). When these Leu/Phe genotypes are removed from the leucine genotype group, a survival pattern more similar to that for DDT and alpha-cypermethrin is observed (Table D in S1 Text, Fig E in S2 Text).

The *Vgsc*-1014 assay gives generally (though not significantly) higher sensitivity (correct identification as resistant/tolerant) than specificity (correct identification as susceptible) (Table 3). Highest sensitivity is for identification of DDT resistance, and the most consistent values of sensitivity and specificity are for alpha-cypermethrin tolerance. Significantly lower specificity was evident for deltamethrin exposure (Table 3), with the assay suffering from grouping of leucine genotypes differing in resistance association (Table 2; Fig D in S2 Text, Fig E in S2 Text). Predictive values for individual genotype groups were typically high (Fig 2), especially for prediction of susceptibility (negative predictive values). Positive predictive values for DDT resistance exceeded 80% for both phenylalanine genotypes (Ser/Phe, Phe/Phe), while predictive values for pyrethroid tolerance ranged between 53% and 78% for these genotypes. Predictive values for resistance/tolerance are the lowest in serine homozygotes (47%–65%) for all insecticides (Fig 2).

### Inter-district variation in *kdr* mutation frequencies

In order to determine whether allele frequencies at codon 1014 varied on scales relevant to local control we genotyped samples from across Patna and Vaishali districts (Fig 3). Variation within districts was relatively limited, with no differences between the two PHCs in Vaishali ( $\chi^2_2 = 0.80, P = 0.67$ ); though a significant difference was present within Patna ( $\chi^2_2 = 10.8, P = 0.005$ ) primarily attributable to heterogeneity in serine allele frequency (Fig 3 and Table E in S1 Text). Intra-district comparisons of genotypic frequencies did not show any significant differences (Patna:  $\chi^2_3 = 5.77, P = 0.12$ ; Vaishali:  $\chi^2_3 = 0.53, P = 0.91$ ; Table F in S1 Text).

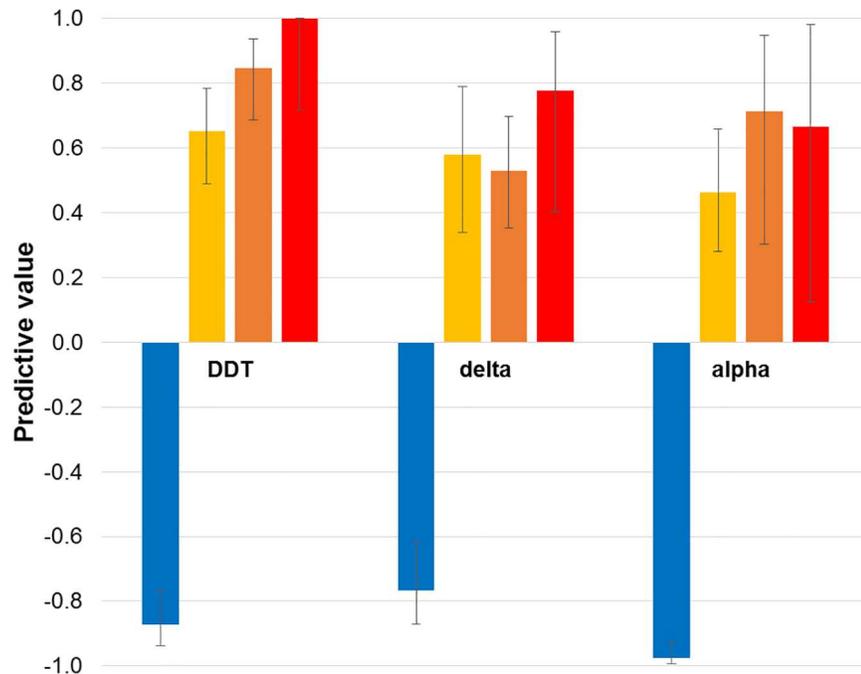
Both allelic and genotypic comparisons between Patna and Vaishali districts were highly significant (allelic  $\chi^2_2 = 37.1, P = 9 \times 10^{-9}$ ; genotypic:  $\chi^2_3 = 21.4, P = 9 \times 10^{-5}$ ). Vaishali

**Table 3. Sensitivity and specificity of the *Vgsc*-1014 assay for DDT resistance and pyrethroid tolerance in *P. argentipes*.**

	TP	FP	TN	FN	sensitivity (%)	CI (%)	specificity (%)	CI (%)
DDT	74	21	62	9	89.2	80–95	74.7	64–84
delta	36	26	36	11	76.6	62–88	58.1	45–70
alpha	20	18	119	3	87.0	66–97	86.9	80–92

delta = deltamethrin; alpha = alpha-cypermethrin. TP = true positive (alive and *kdr*-only genotype); FP = false positive (dead and *kdr*-only genotype); TN = true negative (dead and *wt*-leucine genotypes); FN = false negative (alive and *wt*-leucine genotypes); sensibility = TP/(TP+FN); specificity = TN/(TN+FP); CI = 95% confidence interval limits.

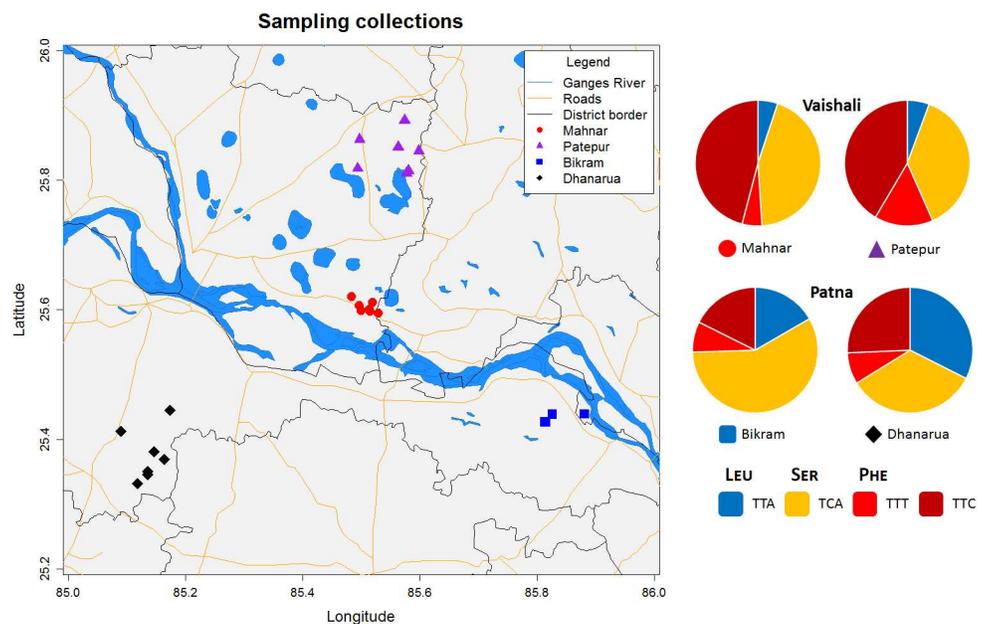
<https://doi.org/10.1371/journal.pntd.0005504.t003>



**Fig 2. Positive and negative predictive values for DDT resistance and pyrethroid tolerance for each *Vgsc*-1014 genotype group.** delta = deltamethrin; alpha = alpha-cypermethrin. Error bars are 95% confidence intervals.

<https://doi.org/10.1371/journal.pntd.0005504.g002>

exhibited approximately 10% wild type leucine genotypes, with seven of the 14 villages sampled entirely lacking the susceptible allele, and approximately 70% of all samples comprised of phenylalanine genotypes (Table A in [S1 Text](#), Table E in [S1 Text](#), and Table F in [S1 Text](#)). In



**Fig 3. Primary health centre (PHC) collection locations and *Vgsc*-1014 allele frequencies within Vaishali and Patna districts (north and south of River Ganges, respectively).** Map displayed by the R-package “maps” with shapefiles stored in DIVA GIS website (<http://www.diva-gis.org/gdata>).

<https://doi.org/10.1371/journal.pntd.0005504.g003>

contrast, Patna exhibited a threefold higher frequency of leucine genotypes and a lower proportion of phenylalanine genotypes (44%; Table A in [S1 Text](#) and Table F in [S1 Text](#)). These frequency data suggest a higher resistance to DDT and higher tolerance to pyrethroids in the more VL-affected Vaishali district than in Patna.

## Discussion

To our knowledge, this is the first detection of resistance mutations in phlebotomine sandflies and adds significantly to the very limited body of knowledge on insecticide resistance mechanisms. Previous work has detected elevated esterase and acetylcholinesterase activity in Sri Lankan *P. argentipes* [32] and the latter in *P. papatasi* from Sudan [33], but without clear links to insecticide resistance. Sequencing of a fragment of the *para* gene in *Lutzomyia longipalpis* from Brazil detected non-synonymous variants, though the amino acid polymorphisms have not been linked with resistance in any previous studies [34,35]. In contrast, the three polymorphisms we identified in *P. argentipes* code for two of the most frequently detected *kdr* mutations, L1014F and L1014S. Whilst L1014F is found across several insect orders, L1014S has only been found in the culicidae (*i.e.* *Anopheles* and *Culex*), in which it is very common in mosquitoes with a TTA leucine codon as the wild-type allele [14,15]. L1014F is linked with lower voltage sensitivity, which requires a stronger depolarization to open the channel, whereas serine 1014 promotes a faster inactivation of the channel without changing the activation sensitivity [23]. Significant associations were found between sandfly survival following exposure to DDT and the two class II pyrethroids tested and both *kdr*-1014 variants. Moreover, the effect of L1014F on DDT survival was significantly greater than L1014S. For both DDT and alpha-cypermethrin, the effect sizes (measured by odds ratios) were large and at the higher end of the spectrum of estimates from *Anopheles* [36]. For deltamethrin the quantitative estimates of effect size are somewhat lower but should be interpreted more cautiously owing to the use of laboratory colony sand flies, rather than the F1 near-wild females used for the other insecticide bioassays.

The effects of each mutation seem to be partially or fully recessive. Heterozygotes with a *wt*-leucine exhibited similar tolerance to insecticide as *wt*-leucine homozygotes (survival rates  $\ll 0.4$ ) with the exception of Leu/Phe heterozygotes for deltamethrin (survival rate  $\approx 0.4$ ). This largely recessive nature of the *kdr* mutations in *P. argentipes* is consistent with previous knowledge in mosquitoes and other dipterans [37–39]. For DDT we found significant differences between the moderate resistance of serine homozygotes (survival rate  $\approx 0.65$ ) and the strong resistance of phenylalanine homozygotes (100% survival rate). Heterozygotes possessing both *kdr* variants showed an intermediate survival rate suggesting some level of additivity of the alternate *kdr* alleles and a mechanism to overcome recessivity of the individual mutations. Such a stronger resistant phenotype in 1014S when paired with 1014F has also been reported (for the same two 1014 mutations) in African *Anopheles* mosquitoes [40]. Differences between the associations of the two *kdr* mutations were less clear, and not significant, for the two pyrethroids. For alpha-cypermethrin, *kdr*-only genotypes (excluding phenylalanine homozygotes due to low statistical power) were consistently different from the susceptible *wt*-leucine genotypes, but serine homozygotes exhibited a survival rate below 50% suggesting a weaker tolerance phenotype than for phenylalanine. For deltamethrin, only phenylalanine homozygotes were significantly different from *wt*-leucine genotypes, but heterogeneity among *wt*-leucine genotypes reduced power. Overall, results suggest that phenylalanine is likely to yield a stronger tolerance to class II pyrethroids than serine, which is consistent with expression assays of *Drosophila* VGSC in *Xenopus* oocytes [23] and data from *Anopheles* field populations [40]. Further studies will be necessary to confirm this hypothesis in *P. argentipes* under test conditions using established diagnostic doses.

Though L1014F seems to confer a stronger resistant/tolerant phenotype, L1014S may offer other advantages in the field by reducing potential fitness costs that are normally associated with L1014F “less excitable channels” [23,41]. Moreover, indirect costs may also emerge as a by-product of strong positive selection from insecticide resistance by reducing linked genetic diversity in neighbouring genomic regions of the *para* gene, which may interfere with other selection processes at nearby beneficial mutations [42]; for example in *L. longipalpis*, variation in the *para* gene is also associated with courtship song variation [34]. Fitness costs could reduce the viability of L1014F homozygotes and potentially increase the benefit of heterozygosity in natural populations, particularly in the absence of insecticide pressure. Nevertheless, our study regions have active control programs and significant deviations from Hardy-Weinberg equilibrium were absent from *Vgsc*-1014 (Bikram, Patna district; Vaishali district) or where detected, associated with a low proportion of leucine, rather than an excess of 1014F/S heterozygotes (Dhanarua, Patna district; Table G in *S1 Text*). These results suggest that any potential fitness costs associated with L1014F are currently being overcome by strong selective pressures, a hypothesis consistent with observation of significantly higher 1014F frequencies in Vaishali, which is subjected to greater IRS. Further studies are required to determine whether a reduction in DDT selection pressure may lead to an alteration in the balance of 1014F/S/L in *P. argentipes* and how *kdr* mutations in other regions of the sodium channel (e.g. IIS5, IIS6) may be implicated in DDT/pyrethroid resistance of *P. argentipes*.

DDT resistance is now well described and established in *P. argentipes* from north-eastern India. This contrasts with an apparent lack of pyrethroid resistance in the region [4–7,13], though with the important caveat that accepted WHO diagnostic doses for definition of resistance have yet to be ascertained for *Phlebotomus* spp. Until very recently, DDT has been the main choice of Indian control programs since the 1950s, while a slightly earlier switch to pyrethroids was made by the VL control programs in Bangladesh and Nepal [43,44]. Long-term use of DDT presents a strong selective pressure for resistance and temporal analysis suggests an increase over time [4]. Capacity for populations to evolve resistance is also likely to be enhanced if sub-lethal concentrations are sprayed or maintained in the environment after spraying [4]. Given this long-term use of DDT it is perhaps surprising that *kdr* mutations provide such a strong prediction of resistance phenotype, because over time additional resistance mechanisms would be expected to develop [45]. Nevertheless, it is plausible that other forms of resistance mechanism may contribute to higher level resistance, a hypothesis of high importance for control that is currently under investigation.

## Conclusion

Natural populations of *P. argentipes* in central Bihar have high frequencies of *kdr*-1014 mutations, particularly in Vaishali where in several villages *wt*-leucine alleles were absent from our samples suggesting possible fixation of *kdr* mutations; now, or in the near future. The high proportion of L1014F is consistent with insecticide bioassays that show high DDT resistance in most villages in Vaishali [13]. Bioassays for *P. argentipes* are very challenging to execute on a large scale because larvae are extremely difficult to collect and rearing of F1s is time-consuming and technically difficult. Monitoring of *kdr*-1014 mutations by molecular assays may thus provide a rapid, high throughput tool that does not require live sand flies to infer DDT resistance across *P. argentipes* populations when compared with bioassays (e.g. WHO cone and tube tests). DNA diagnostics provide greater flexibility in study methodology, allowing screening of stored samples (e.g. permitting a temporal gap between collection and analysis) and simplifying sampling for control programs that may potentiate wider geographic collections. The high proportion of L1014F in Vaishali could also bring challenges, linked to the higher

tolerance to pyrethroids associated with *kdr*-1014 mutations. This may potentiate the emergence of problematic pyrethroid resistance by providing a foundation for resistance upon which other mechanisms can develop [36]. For this reason, it is important to evaluate insecticides with alternative target sites, such as acetylcholinesterase inhibitors (organophosphates and carbamates) that may be integrated into an IRS rotation system.

## Supporting information

**S1 Text. Supplemental tables.**  
(DOCX)

**S2 Text. Supplemental figures.**  
(DOCX)

## Acknowledgments

We are grateful to Emily Rippon and Keith Steen (LSTM) for technical laboratory assistance.

## Author Contributions

**Conceptualization:** DW MP MC PD BG.

**Formal analysis:** BG DW.

**Funding acquisition:** DW MC MP.

**Investigation:** BG BP AR DW.

**Methodology:** BG BP DW RMD AR GMF VK.

**Project administration:** DW VK PD.

**Resources:** RMD AR RPS GMF MC VK PMD.

**Supervision:** DW MC VK MP PD.

**Visualization:** BG DW.

**Writing – original draft:** BG DW.

**Writing – review & editing:** BG DW MC VK MP PD.

## References

1. World Health Organization (WHO). Leishmaniasis [Internet]. 2016.
2. Boelaert M, Meheus F, Sanchez A, Singh SP, Vanlerberghe V, Picado A, et al. The poorest of the poor: a poverty appraisal of households affected by visceral leishmaniasis in Bihar, India. *Trop Med Int Heal*. Blackwell Publishing Ltd; 2009; 14: 639–644.
3. Cameron MM, Acosta-Serrano A, Bern C, Boelaert M, den Boer M, Burza S, et al. Understanding the transmission dynamics of *Leishmania donovani* to provide robust evidence for interventions to eliminate visceral leishmaniasis in Bihar, India. *Parasit Vectors*. BioMed Central; 2016; 9: 25. <https://doi.org/10.1186/s13071-016-1309-8> PMID: 26812963
4. Coleman M, Foster GM, Deb R, Pratap Singh R, Ismail HM, Shivam P, et al. DDT-based indoor residual spraying suboptimal for visceral leishmaniasis elimination in India. *Proc Natl Acad Sci. National Academy of Sciences*; 2015; 112: 8573–8578. <https://doi.org/10.1073/pnas.1507782112> PMID: 26124110
5. Dinesh DS, Das ML, Picado A, Roy L, Rijal S, Singh SP, et al. Insecticide Susceptibility of *Phlebotomus argentipes* in Visceral Leishmaniasis Endemic Districts in India and Nepal. Kamhawi S, editor. *PLoS Negl Trop Dis*. 2010; 4: e859. <https://doi.org/10.1371/journal.pntd.0000859> PMID: 21049013

6. Singh RK, Mittal PK, Dhiman RC. Insecticide susceptibility status of *Phlebotomus argentipes*, a vector of visceral leishmaniasis in different foci in three states of India. *J Vector Borne Dis.* 2012; 49: 254–257. Available: <http://www.ncbi.nlm.nih.gov/pubmed/23428526> PMID: 23428526
7. Kumar V, Shankar L, Kesari S, Bhunia GS, Dinesh DS, Mandal R, et al. Insecticide susceptibility of *Phlebotomus argentipes* & assessment of vector control in two districts of West Bengal, India. *Indian J Med Res.* 2015; 142: 211–215. <https://doi.org/10.4103/0971-5916.164260> PMID: 26354219
8. Muniaraj M. The lost hope of elimination of Kala-azar (visceral leishmaniasis) by 2010 and cyclic occurrence of its outbreak in India, blame falls on vector control practices or co-infection with human immunodeficiency virus or therapeutic modalities? *Trop Parasitol. Medknow Publications and Media Pvt. Ltd.;* 2014; 4: 10–19. <https://doi.org/10.4103/2229-5070.129143> PMID: 24754021
9. Das P, Samuels S, Desjeux P, Mittal A, Topno R, Siddiqui NA, et al. Annual incidence of visceral leishmaniasis in an endemic area of Bihar, India. *Trop Med Int Heal.* Blackwell Publishing Ltd; 2010; 15: 4–11.
10. Bhunia GS, Kesari S, Chatterjee N, Kumar V, Das P, Bhunia GS, et al. The Burden of Visceral Leishmaniasis in India: Challenges in Using Remote Sensing and GIS to Understand and Control. *ISRN Infect Dis.* Hindawi Publishing Corporation; 2013; 2013: 1–14.
11. Bhunia GS, Kesari S, Chatterjee N, Kumar V, Das P. Spatial and temporal variation and hotspot detection of kala-azar disease in Vaishali district (Bihar), India. *BMC Infect Dis.* BioMed Central Ltd; 2013; 13: 64. <https://doi.org/10.1186/1471-2334-13-64> PMID: 23375077
12. Malaviya P, Picado A, Singh SP, Hasker E, Singh RP, Boelaert M, et al. Visceral Leishmaniasis in Muzaffarpur District, Bihar, India from 1990 to 2008. Diemert DJ, editor. *PLoS One.* Public Library of Science; 2011; 6: e14751. <https://doi.org/10.1371/journal.pone.0014751> PMID: 21394195
13. Singh R, Kumar P. Susceptibility of the sandfly *Phlebotomus argentipes* Annandale and Brunetti (Diptera: Psychodidae) to insecticides in endemic areas of visceral leishmaniasis in Bihar, India. *Jpn J Infect Dis.* 2015; 68: 33–37. <https://doi.org/10.7883/yoken.JJID.2013.262> PMID: 25420660
14. Davies TGE, Field LM, Usherwood PNR, Williamson MS. A comparative study of voltage-gated sodium channels in the Insecta: implications for pyrethroid resistance in Anopheline and other Neopteran species. *Insect Mol Biol.* 2007; 16: 361–375. <https://doi.org/10.1111/j.1365-2583.2007.00733.x> PMID: 17433068
15. Rinkevich FD, Du Y, Dong K. Diversity and Convergence of Sodium Channel Mutations Involved in Resistance to Pyrethroids. *Pestic Biochem Physiol.* NIH Public Access; 2013; 106: 93–100. <https://doi.org/10.1016/j.pestbp.2013.02.007> PMID: 24019556
16. Lumjuan N, Rajatileka S, Changsom D, Wicheer J, Leelapat P, Prapanthadara L, et al. The role of the *Aedes aegypti* Epsilon glutathione transferases in conferring resistance to DDT and pyrethroid insecticides. *Insect Biochem Mol Biol.* 2011; 41: 203–209. <https://doi.org/10.1016/j.ibmb.2010.12.005> PMID: 21195177
17. Mitchell SN, Stevenson BJ, Müller P, Wilding CS, Egyir-Yawson A, Field SG, et al. Identification and validation of a gene causing cross-resistance between insecticide classes in *Anopheles gambiae* from Ghana. *Proc Natl Acad Sci U S A.* 2012; 109: 6147–6152. <https://doi.org/10.1073/pnas.1203452109> PMID: 22460795
18. Lund AE, Narahashi T. Kinetics of sodium channel modification as the basis for the variation in the nerve membrane effects of pyrethroids and DDT analogs. *Pestic Biochem Physiol.* Academic Press; 1983; 20: 203–216.
19. Soderlund DM. Pyrethroids and sodium channels. In: Beadle DJ, Mellor IR, Usherwood PNR, editors. *Neurotox' 03: Neurotoxicological Targets from Functional Genomics and Proteomics.* London: Society of Chemical Industry; 2004. pp. 79–86.
20. O'Reilly AO, Khambay BPS, Williamson MS, Field LM, Wallace BA, Davies TGE. Modelling insecticide-binding sites in the voltage-gated sodium channel. *Biochem J.* Portland Press Ltd; 2006; 396: 255–263. <https://doi.org/10.1042/BJ20051925> PMID: 16475981
21. Tan J, Liu Z, Wang R, Huang ZY, Chen AC, Gurevitz M, et al. Identification of Amino Acid Residues in the Insect Sodium Channel Critical for Pyrethroid Binding. *Mol Pharmacol.* American Society for Pharmacology and Experimental Therapeutics; 2005; 67: 513–522. <https://doi.org/10.1124/mol.104.006205> PMID: 15525757
22. Davies TE, O'Reilly AO, Field LM, Wallace B, Williamson MS. Knockdown resistance to DDT and pyrethroids: from target-site mutations to molecular modelling. *Pest Manag Sci.* John Wiley & Sons, Ltd.; 2008; 64: 1126–1130. <https://doi.org/10.1002/ps.1617> PMID: 18561309
23. Burton MJ, Mellor IR, Duce IR, Davies TGE, Field LM, Williamson MS. Differential resistance of insect sodium channels with *kdr* mutations to deltamethrin, permethrin and DDT. *Insect Biochem Mol Biol.* 2011; 41: 723–732. <https://doi.org/10.1016/j.ibmb.2011.05.004> PMID: 21640822

24. Wang Z-M, Li C-X, Xing D, Yu Y-H, Liu N, Xue R-D, et al. Detection and widespread distribution of sodium channel alleles characteristic of insecticide resistance in *Culex pipiens* complex mosquitoes in China. *Med Vet Entomol*. 2012; 26: 228–232. <https://doi.org/10.1111/j.1365-2915.2011.00985.x> PMID: 22070231
25. Tan W-L, Wang Z-M, Li C-X, Chu H-L, Xu Y, Dong Y-D, et al. First report on co-occurrence knockdown resistance mutations and susceptibility to beta-cypermethrin in *Anopheles sinensis* from Jiangsu Province, China. Braga EM, editor. *PLoS One*. Public Library of Science; 2012; 7: e29242. <https://doi.org/10.1371/journal.pone.0029242> PMID: 22272229
26. Lewis DJ. A taxonomic review of the genus *Phlebotomus* (Diptera: Psychodidae). *Bull Br Mus nat*. 1982; 45: 121–209.
27. World Health Organization (WHO). Test procedures for insecticide resistance monitoring in malaria vector mosquitoes. Geneva; 2013.
28. Abbott WS. A method of computing the effectiveness of an insecticide. *J Econ Entomol*. 1925; 18: 265–267.
29. Wilson EB. Probable Inference, the Law of Succession, and Statistical Inference. *J Am Stat Assoc*. Taylor & Francis Group; 1927; 22: 209–212.
30. Marascuilo LA, McSweeney M. Nonparametric Post Hoc Comparisons for Trend. *Psychol Bull*. American Psychological Association; 1967; 67: 401–412. PMID: 6046734
31. Holm S. A simple sequentially rejective multiple test procedure. *Scand J Stat*. 1979; 6: 65–70.
32. Surendran SN, Karunaratne SHPP, Adams Z, Hemingway J, Hawkes NJ. Molecular and biochemical characterization of a sand fly population from Sri Lanka: evidence for insecticide resistance due to altered esterases and insensitive acetylcholinesterase. *Bull Entomol Res*. 2005; 95: 371–80. Available: <http://www.ncbi.nlm.nih.gov/pubmed/16048685> PMID: 16048685
33. Hassan M, Widaa S, Osman O, Numiary M, Ibrahim M, Abushama H. Insecticide resistance in the sand fly, *Phlebotomus papatasi* from Khartoum State, Sudan. *Parasit Vectors*. 2012; 5: 46. <https://doi.org/10.1186/1756-3305-5-46> PMID: 22397726
34. Lins R, Souza N, Peixoto A. Genetic divergence between two sympatric species of the *Lutzomyia longipalpis* complex in the paralytic gene, a locus associated with insecticide resistance and lovesong production. *Mem Inst Oswaldo Cruz*. Fundação Oswaldo Cruz; 2008; 103: 736–740. PMID: 19057828
35. Lins RMM, Souza NA, Brazil RP, Maingon RDC, Peixoto AA. Fixed differences in the paralytic gene define two lineages within the *Lutzomyia longipalpis* complex producing different types of courtship songs. Etges WJ, editor. *PLoS One*. Public Library of Science; 2012; 7: e44323. <https://doi.org/10.1371/journal.pone.0044323> PMID: 22970200
36. Donnelly MJ, Isaacs AT, Weetman D. Identification, Validation, and Application of Molecular Diagnostics for Insecticide Resistance in Malaria Vectors. *Trends Parasitol*. 2016; 32: 197–206. <https://doi.org/10.1016/j.pt.2015.12.001> PMID: 26750864
37. Chandre F, Darriet F, Duchon S, Finot L, Manguin S, Carnevale P, et al. Modifications of pyrethroid effects associated with *kdr* mutation in *Anopheles gambiae*. *Med Vet Entomol*. Blackwell Science Ltd; 2000; 14: 81–88. PMID: 10759316
38. Huang J, Kristensen M, Qiao C-L, Jespersen JB. Frequency of *kdr* gene in house fly field populations: correlation of pyrethroid resistance and *kdr* frequency. *J Econ Entomol*. The Oxford University Press; 2004; 97: 1036–41. PMID: 15279288
39. Saavedra-Rodriguez K, Urdaneta-Marquez L, Rajatileka S, Moulton M, Flores AE, Fernandez-Salas I, et al. A mutation in the voltage-gated sodium channel gene associated with pyrethroid resistance in Latin American *Aedes aegypti*. *Insect Mol Biol*. 2007; 16: 785–798. <https://doi.org/10.1111/j.1365-2583.2007.00774.x> PMID: 18093007
40. Reimer L, Fondjo E, Patchoké S, Diallo B, Lee Y, Ng A, et al. Relationship between *kdr* mutation and resistance to pyrethroid and DDT insecticides in natural populations of *Anopheles gambiae*. *J Med Entomol*. The Oxford University Press; 2008; 45: 260–266. PMID: 18402142
41. Chen L, Zhong D, Zhang D, Shi L, Zhou G, Gong M, et al. Molecular Ecology of Pyrethroid Knockdown Resistance in *Culex pipiens pallens* Mosquitoes. Munayco C V., editor. *PLoS One*. Public Library of Science; 2010; 5: e11681. <https://doi.org/10.1371/journal.pone.0011681> PMID: 20657783
42. Lynd A, Weetman D, Barbosa S, Egyir Yawson A, Mitchell S, Pinto J, et al. Field, genetic, and modeling approaches show strong positive selection acting upon an insecticide resistance mutation in *Anopheles gambiae* s.s. *Mol Biol Evol*. Oxford University Press; 2010; 27: 1117–1125. <https://doi.org/10.1093/molbev/msq002> PMID: 20056691
43. Chowdhury R, Huda MM, Kumar V, Das P, Joshi AB, Banjara MR, et al. The Indian and Nepalese programmes of indoor residual spraying for the elimination of visceral leishmaniasis: performance and

effectiveness. *Ann Trop Med Parasitol*. Maney Publishing; 2011; 105: 31–35. <https://doi.org/10.1179/136485911X12899838683124> PMID: 21294947

44. Ahmed B-N, Nabi SG, Rahman M, Selim S, Bashar A, Rashid MM, et al. Kala-azar (Visceral Leishmaniasis) Elimination in Bangladesh: Successes and Challenges. *Curr Trop Med Reports*. Springer International Publishing; 2014; 1: 163–169.
45. ffrench-Constant RH, Daborn PJ, Goff G Le. The genetics and genomics of insecticide resistance. *Trends Genet*. 2004; 20: 163–170. <https://doi.org/10.1016/j.tig.2004.01.003> PMID: 15036810