1   Pharmacodynamics of Flubendazole for Cryptococcal

2   Meningoencephalitis: Repurposing and Reformulation of an Anti-

3   Parasitic Agent for a Neglected Fungal Disease

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23   tubulin.

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Potential Conflicts of Interest

35   William Hope holds or has recently held research grants with F2G, AiCuris, Astellas Pharma,

36   Spero Therapeutics, Matinas Biosciences, Antabio, Amplyx, Allecra, Auspherix and Pfizer. He

37   holds awards from the National Institutes of Health, Medical Research Council, National Institute

38   of Health Research, and the European Commission (FP7 and IMI). William Hope has received

39   personal fees in his capacity as a consultant for F2G, Amplyx, Ausperix, Spero Therapeutics,

40   Gilead and Basilea. WH is Medical Guideline Director for the European Society of Clinical

41   Microbiology and Infectious Diseases, an Ordinary Council Member for the British Society of

42   Antimicrobial Chemotherapy. AO has received research funding from ViiV Healthcare, Merck,

43   AstraZeneca, and Janssen, and paid consultancy from Merck and ViiV Healthcare. Ian Charles is a

44   consultant for Auspherix.

48

ABSTRACT

Current therapeutic options for cryptococcal meningitis are limited by toxicity, global supply and

emergence of resistance. There is an urgent need to develop additional antifungal agents that

are fungicidal within the central nervous system and preferably orally bioavailable. The

benzimidazoles have broad-spectrum anti-parasitic activity, but also have *in vitro* antifungal

activity that includes *Cryptococcus neoformans*. Flubendazole (a benzimidazole) has been

reformulated by Janssen Pharmaceutica as an amorphous solid drug nanodispersion to develop

an orally bioavailable medicine for the treatment of neglected tropical diseases such as

onchocerciasis. We investigated the *in vitro* activity, the structure-activity-relationships and both

in vitro and *in vivo* pharmacodynamics of flubendazole for cryptococcal meningitis. Flubendazole

has potent in vitro activity against *Cryptococcus neoformans* with a modal MIC of 0.125 mg/L

using European Committee for Antimicrobial Susceptibility Testing (EUCAST) methodology.

Computer models provided an insight into the residues responsible for the binding of

flubendazole to cryptococcal ß-tubulin. Rapid fungicidal activity was evident in a hollow fiber

infection model of cryptococcal meningitis. The solid drug nanodispersion was orally

bioavailable in mice with higher drug exposure in the cerebrum. The maximal dose of

flubendazole (12 mg/kg/day) orally resulted in a ~2 $\log_{10}$CFU/g reduction in fungal burden

compared with vehicle-treated controls. Flubendazole was orally bioavailable in rabbits, but

there were no quantifiable drug concentrations in the CSF or cerebrum and no antifungal activity

was demonstrated in either CSF or cerebrum. These studies provide evidence for the further

study and development of the benzimidazole scaffold for the treatment of cryptococcal

meningitis.

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INTRODUCTION

73   Cryptococcal meningoencephalitis (herein meningitis) is a common and lethal disease in

74 immunosuppressed patients (1, 2). This disease is predominately associated with advanced HIV

75 infection and has the highest incidence in low to middle income countries (1). The number of

76 effective agents is despairingly small (3). All available induction and maintenance regimens are

77 constructed with three antifungal agents: amphotericin B (AmB), flucytosine (5FC) and

78 fluconazole (4). Each of these compounds has significant adverse effects that include infusional

79 toxicity (AmB), nephrotoxicity (AmB (5)), bone marrow suppression (AmB and 5FC (5, 6)) and

80 hepatotoxicity (fluconazole and 5FC (7)). Moreover, there are significant inherent limitations

81 that include fungistatic effects (fluconazole; (8)) and the potential emergence of drug resistance

82 (fluconazole and 5FC; (9–11)). Thus, there is an urgent imperative to develop new agents. Orally

83 bioavailable agents are particularly important given the predominance of this disease in resource

84 constrained settings.

85   During the process of screening a compound library against fungal pathogens, it was

86 noted by us (M.T. & I.C.) that flubendazole has potent in vitro activity against *Cryptococcus*

87 *neoformans*. A literature search revealed other members of the benzimidazole class (e.g.

88 albendazole and mebendazole) of anti-parasitic agents had previously been demonstrated to

89 have potent in vitro activity against *Cryptococcus neoformans* with minimum inhibitory

90 concentrations (MICs) of 0.16-0.45 mg/L (12, 13). The pharmacological target of the

91 benzimidazoles against *Cryptococcus neoformans* is ß-tubulin (14). The antifungal activity of

92 parenterally administered flubendazole in a murine model of cryptococcal meningitis was

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93   confirmed by us in a series of preliminary experiments. Concurrently, we became aware of the

94   efforts by Janssen Pharmaceutica to develop a new orally bioavailable formulation of

95   flubendazole that may be active against filariasis and onchocerciasis. The potential value of this

96   new formulation as an oral medicine for the treatment of cryptococcal meningitis in resource

97   poor healthcare settings was therefore evident.

98   Herein, we describe the *in vitro* activity, putative structure-activity relationships, and the

99   *in vivo* pharmacokinetic-pharmacodynamic relationships of flubendazole against *Cryptococcus*

100   *neoformans*. A hollow fiber infection model of cryptococcal meningitis was developed as a first

101   step for exploring dose-exposure-response relationships. Subsequently, two extensively used

102   and well-characterized laboratory animal models of cryptococcal meningitis were used to

103   provide the experimental foundation for the potential use of oral formulations of flubendazole

104   or its congeners for the treatment of a neglected infection of global significance.

105

106   RESULTS

107   *In vitro studies*

108   Flubendazole displayed potent *in vitro* activity (MIC 0.06-0.25 mg/L; Table 1) against *C.*

109   *neoformans*. The MICs were comparable when EUCAST and CLSI methodology was used.

110   The flubendazole IC_{50} against porcine tubulin was 2.38 µM. Other known tubulin

111   inhibitors display similar efficacy in this assay (e.g. colchicine IC_{50} = 1.15 µM (unpublished data),

112   paclitaxel IC_{50} = 3.9 µM (15) and vinblastine IC_{50} = 5.3 µM (15). These data are consistent with

113   the known mechanism of action of flubendazole.

114   *In vitro DMPK* assessment of commercially available flubendazole powder confirmed a

115   favorable logD7.4 of 2.9. Plasma protein binding was 90.6% and there was low metabolic

116   turnover (Hu Mic Clint = 44 µl/min/mg and Rat Hep Clint = 39 µl/min/10^6 cells). However,

117   aqueous solubility was poor (0.8 µM), which is characteristic of the benzimidazoles. This *in vitro*

118   DMPK assessment was consistent with subsequent *in vivo* observations (see below). Poor

119   aqueous solubility limits absorption through the gut, but once in the bloodstream the drug has

120   favorable pharmacokinetic properties (e.g. ability to pass through cell membranes, low

121   metabolism, and high concentrations of free drug) that enable it to reach the effect site.

122

123   *Docking Studies*

124   There were two principal non-covalent binding interactions between flubendazole and

125   the homology model of *C. neoformans* ß-tubulin. First, the hydroxyl group of Serine 350 acts as a

126   hydrogen bond donor and binds the ketone oxygen of flubendazole (Figure 1A). Second,

7

127   asparagine (Asn) 247 acted as a hydrogen bond donor via the primary amide with the ketone of

128   the carbamate on flubendazole, but also acted as a hydrogen bond acceptor through the primary

129   carbonyl group of Asn247 and the N-H on the benzimidazole core. There were also several

130   hydrophobic interactions deeper in the binding pocket that involved the benzene ring and the

131   fluorine of flubendazole.

132   Docking studies of flubendazole and human ß-tubulin (Fig 1B) showed that both the N-H

133   of the benzimidazole core and the N-H of the carbamate are hydrogen bond donors (Figure 1B)

134   to the primary amide of the side chain of Asn247. As for the *C. neoformans* interaction, there

135   were hydrophobic interactions present from the para-substituted benzene and the hydrophobic

136   binding pocket. There was a lack of a hydrogen bond acceptor role from the ketone oxygen. This

137   is due to the replacement of Ser350 from the *C. neoformans* active site with Lys350 in humans.

138

139   *Hollow Fiber Infection Model of Cryptococcal Meningoencephalitis*

140   Rapid fungicidal activity was observed in the hollow fiber infection models. Controls

141   grew from an initial density of approximately $\log_{10}$CFU/mL 6 to $\log_{10}$CFU/mL 8-9. Following the

142   administration of flubendazole there was a progressive decline in the fungal density in the

143   hollow fibre in all arms. There was an exposure-dependent decline in fungal burden.

144

145   *Preliminary Studies to Demonstrate In vivo Efficacy of Flubendazole*

146   There was no demonstrable antifungal effect of orally administered flubendazole as pure

147   compound when formulated with sterile distilled water, 0.05% polysorbate 80 in PBS, 5% DMSO,

8

148   10% PEG400 or 85% hydroxyl-propyl-ß-cyclodextrin (data not shown). Antifungal activity could

149   only be established when pure flubendazole formulated with polysorbate 80 (Tween 80) and

150   injected s.c. to form a depot. Presumably, formulation with polysorbate 80 solubilized

151   flubendazole to an extent that enabled it to become systemically bioavailable. However, this

152   was only observed when flubendazole was administered s.c. This parenteral regimen resulted in

153   a modest reduction in fungal burden of 1-2 $\log_{10}$CFU/g compared with vehicle-treated controls

154   (data not shown). A limited PK study with concentrations measured at a single time-point the

155   end of the experiment also confirmed flubendazole concentrations were quantifiable in plasma

156   and the cerebrum of mice (data not shown).

157   These preliminary pharmacokinetic and pharmacodynamic data provided the impetus for

158   further detailed experiments examining the pharmacodynamics of a new orally bioavailable solid

159   drug nano-dispersion against *Cryptococcus neoformans* developed by Janssen.

160

161   *Pharmacokinetic and Pharmacodynamic Studies of the Flubendazole Nanoformulation in Mice*

162   When flubendazole was formulated as a solid drug nano-dispersion, it was rapidly

163   absorbed after oral dosing and plasma concentrations were readily quantifiable at the first

164   sampling point (i.e. 0.5 hrs. post dose; Figure 3). The pharmacokinetics were linear, with bi-

165   exponential clearance from the bloodstream with a mean and median value of 0.039 and 0.026

166   L/h, respectively (Figure 3). The pharmacokinetic parameters are summarized in Table 2. There

167   was rapid and extensive distribution of drug to the cerebrum of mice and concentrations of

168   flubendazole were consistently higher than those observed in plasma. The AUCserum:

169   AUCcerebrum was 1:4.44.

170   Flubendazole had a significant and discernible antifungal effect in mice. Use of the

171   highest dosage in this study (12 mg/kg) resulted in approximately a 2-3 log reduction in fungal

172   burden relative to controls (Figure 4). This regimen was limited by maximum permissible

173   volumes for oral administration for mice (i.e. 20 mL/kg). In a single experiment in which the

174   effect of 6 mg/kg q12h (i.e. 12 mg/kg/day) was compared to 12 mg/kg/day there was no

175   difference in antifungal effect (data not shown). This is preliminary evidence that the AUC is

176   likely to be the dynamically linked index for flubendazole against *Cryptococcus neoformans*.

177

178   *Pharmacokinetic and Pharmacodynamic Studies in Rabbits*

179   The PK in rabbits was linear with a similar concentration-time profile to that observed in

180   mice. The plasma concentration-time profiles in rabbits had a similar shape to those of mice, but

181   were lower for the dosages used in this study. Despite readily quantifiable plasma

182   concentrations, there was no quantifiable drug concentrations in either the CSF or the cerebrum

183   of rabbits at the time of sacrifice.

184   There was no demonstrable antifungal effect in rabbits receiving 6 mg/kg/day. There

185   may be some effect in rabbits receiving 22.5 mg/kg q24h, but if present the effect was small and

186   these assessments were limited by few animals. There were no statistically significant

187   differences in the area under the $\log_{10}$CFU/g-time curve for each regimen even though this may

188   be a relatively insensitive test of antifungal effect. Furthermore, there was no difference in the

189   fungal burden in the cerebrum at the end of the experiment for any of the groups of rabbits

190   used in this study.

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DISCUSSION

194   When given subcutaneously, flubendazole has striking activity in laboratory animal

195   models of filarial diseases such as onchocerciasis and lymphatic filariasis (16). Janssen developed

196   a novel amorphous solid drug nanodispersion to provide a potential new therapeutic option for

197   patients with these neglected tropical diseases. The systemic drug exposure that was enabled by

198   the new formulation mandated GLP toxicology studies before progression to early phase clinical

199   studies. It was already known that flubendazole is clastogenic (i.e. induces chromosomal

200   breakages) and aneugenic (i.e. induces aneuploidy), as well as embryotoxic (17). GLP toxicology

201   studies were performed by Janssen in the rat (5, 15 and 30 mg/kg/day in male rats and 2.5, 5 and

202   10 mg/kg/day in female rats) and in the dog (20, 40 and 100 mg/kg/day) for 2 weeks. These

203   experiments showed evidence of toxicity related to the pharmacological activity of flubendazole

204   in the gastrointestinal tract, lymphoid system and the bone marrow, as well as testicular toxicity

205   in both rat and dog. In the dog, liver toxicity was also observed. As a result, the development

206   program was stopped based on an unacceptable risk/benefit profile in humans. This also halted

207   our own efforts to develop flubendazole for cryptococcal meningitis.

208   Flubendazole has striking *in vitro* activity against *Cryptococcus neoformans* that was

209   evident in the MIC testing and the pharmacodynamic studies in the hollow fiber infection model.

210   There was modest antifungal activity in the murine model, which is not as prominent as that

211   previously described by us for fluconazole, amphotericin B deoxycholate or liposomal

212   amphotericin B (8, 18, 19). There was no unequivocal antifungal activity in the rabbit model of

213   cryptococcal meningoencephalitis, which is largely explained by the absence of detectable

214 flubendazole concentrations in the cerebrum or CSF (despite readily quantifiable plasma

215 concentrations). The in vitro susceptibility testing and data from the hollow fiber model suggests

216 that flubendazole is highly potent and fungicidal if able to reach its fungal target in sufficient

217 concentrations. The diminished activity in the mouse (relative to historical controls) and absence

218 of effect in the rabbit (with non-quantifiable concentrations in the cerebrum and CSF) further

219 support this conclusion. Hence, successful exploitation of the benzimidazole backbone requires

220 careful attention to physiochemical properties that promote absorption across the gut and the

221 ability to partition into sub-compartments of the CNS.

222   Flubendazole did not display a comparable degree of *in vivo* activity to other first-line

223 agents for cryptococcal meningitis (i.e. fluconazole and amphotericin B formulations). Even if

224 the safety profile was not problematic, there is insufficient *prima facie* evidence from either the

225 murine or rabbit models to further study flubendazole as monotherapy for induction therapy in

226 phase II clinical studies. Nevertheless, additional approaches such as the combination with other

227 antifungal agents for induction therapy and/or used as longer-term consolidation and

228 maintenance therapy may have been possible.

229   The potential of derivatives of flubendazole to be useful human medicines depends on

230 the differential activity between cryptococcal and human proteins. Characterization of the β-

231 tubulin genes of *C. neoformans* has been undertaken and two *C. neoformans* β-tubulin genes

232 (*TUB1* and *TUB2*) have been identified. *TUB1* was identified as the primary target of the

233 benzimidazole class of compounds through gene characterization and expression (14). There is

234 90% homology between fungal *TUB1* and human β-tubulin, although the former has not been

235 crystallized and this has prevented definitive structure-activity-relationship docking studies. The

13

236   ability to develop new agents based on a benzimidazole scaffold or to further exploit β-tubulin as

237   a pharmacological target will depend on the degree of differential activity of a benzimidazole

238   with these proteins. The differential binding identified through the docking and homology

239   modelling of both human β-tubulin (20) and *C. neoformans* var. *grubii* serotype A (strain H99) β-

240   tubulin (14) to the Bos Taurus 1SA0 β-tubulin crystal structure implies an increased number of

241   binding interactions with *C. neoformans* β-tubulin. This may provide the potential to exploit this

242   differential binding to establish a favorable therapeutic index. It is also worth emphasizing that

243   the benzimidazoles may have additional targets beyond β-tubulin that have the further potential

244   to provide differential activity between human and fungal proteins, but this requires further

245   investigation (21–24).

246   The potential utility of congeners of flubendazole now rests with medicinal chemistry

247   programs. Compounds must be synthesized that exhibit differential activity against cryptococcal

248   and human tubulin (if that is possible) so that there is an acceptable safety margin and toxicity

249   profile. Furthermore, the compound must be able to traverse the gut (compounds that are not

250   orally bioavailable will be less clinically valuable) and then the blood-brain-barrier to achieve

251   concentrations that are ideally fungicidal. The latter will be promoted by new molecules that

252   low molecular weight lipophilic compounds that are not substrates for active pumps such as P-

253   glycoprotein. This will undoubtedly also require the use of novel formulation technologies to

254   ensure compounds that are poorly soluble to become useful agents for disseminated infections.

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14

METHODS

Drug

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257

258   Flubendazole that was used for determination of MICs, hollow fibre experiments and

259   preliminary murine experiments was purchased from Sigma. Subsequently, definitive

260   pharmacokinetic-pharmacodynamic experiments that were performed with orally administered

261   flubendazole used a solid drug nano-dispersion formulation of flubendazole developed by

262   Janssen Pharmaceuticals (batch BREC-1113-070, Janssen Pharmaceuticals). The stability of this

263   formulation in liquid and solid phases was confirmed for 1 and 6 months, respectively.

264   A 10 mg/mL methylcellulose 4000cps stock solution (100 mL batch) was prepared from a

265   dispersion of 1 g methylcellulose 4000cps with stirring into 70 mL demineralized water heated to

266   70°C-80°C. The solution was stirred for at least 15 minutes followed by the addition of 20 mL of

267   demineralized water. The mixture was stirred until it reached room temperature and was then

268   made up to 100 mL with demineralized water. A total of 50mL of 6 mg/mL spray dried powder

269   suspension was then prepared (this corresponds with 0.6 mg/mL of flubendazole as the active

270   dose in 0.5% methylcellulose). A total of 24.70 g of demineralized water was added to a 50-mL

271   clear glass vial. A total of 0.30 g of spray dried drug was added to the vial which was then closed

272   with a stopper. The vial was vortexed and then homogenized using a polytron disperser. A 25-

273   mL stock solution of methylcellulose 4000cps was added and the vial was vortexed. The

274   suspension was refrigerated at 5°C until dosing for a maximum of 14 days. Prior to dosing the

275   suspension was vortexed.

15

Strains

276

277   The initial *in vitro* susceptibility testing was performed with H99 (ATCC 208821). An

278   additional 49 clinical isolates were obtained from the National Centre for Microbiology Instituto

279   de Salud Carlos III, Madrid, Spain (courtesy Ana Alastruey-Izquierdo and Manual Cuenca-Estrella).

280   These isolates were identified to species level using standard microbiological techniques.

281   **Minimum Inhibitory Concentrations**

282   The minimum inhibitory concentration of flubendazole against H99 (ATCC 208821) and

283   the 49 isolates was estimated using methodology of the European Committee on Antimicrobial

284   Susceptibility Testing (EUCAST; (25)) and Clinical Sciences Laboratory Institute (CLSI; (26)). The

285   endpoint for MIC determination using EUCAST and CLSI was 50% for both methods. MICs were

286   performed in triplicate.

287   **Porcine Tubulin Polymerization Assay**

288   Porcine tubulin is generally used as a surrogate for human tubulin because of its high

289   degree of homology (95%) (27). In the studies described herein, this assay was used to

290   determine the extent of interaction between flubendazole and its putative target as also occurs

291   for assessment of the binding of antineoplastic agents (28, 29). The commercially available

292   porcine tubulin assay (BK011P, Cytoskeleton, Inc. Denver, USA) quantifies the time-dependent

293   polymerization of tubulin to microtubules and thus the ability of tubulin inhibitors to disrupt this

294   process.

295   The porcine tubulin assay was performed according to the manufacturer's instructions.

296   Briefly, the 96-well assay plate was pre-warmed to 37°C prior to use. Five µL of test

16

297   compound(s) and controls at 0, 1.25, 2.5, 5, 10 µM were aliquoted into each well and pre-

298   warmed for 1 min. Colchicine and DMSO were used as positive and negative controls,

299   respectively. Polymerization was initiated by mixing 45 µL of reaction buffer that contained 2

300   mg/mL of purified porcine brain tubulin, 10 µM fluorescent reporter, PEM buffer (80 mM PIPES,

301   0.5 mM EGTA, 2 mM $MgCl_2$, pH 6.9), 1mM GTP and 20.3% glycerol. Tubulin polymerization was

302   followed by an increase in fluorescence intensity due to the incorporation of a fluorescence

303   reporter into microtubules as polymerization occurred. The change in fluorescence was

304   measured using an excitation and emission wavelength of 360 nm and 450 nm, respectively

305   every 1-min for 1-hr. at 37°C using a Varioskan multimode plate reader (Thermo scientific Inc.).

306   All data points were acquired in triplicate and IC_{50} values were calculated with GraphPad Prism.

307   The IC_{50} value was defined as the drug concentration required to inhibit tubulin polymerization

308   by 50% compared with negative control.

309

310   *Homology Modeling and Docking Studies*

311   While the amino acid sequence of cryptococcal ß-tubulin is known (74% homology with

312   human β-tubulin), the protein has not been crystallized. A homology model was therefore

313   developed to investigate differential binding modes of flubendazole within *C. neoformans* and

314   human β-tubulin. Molecular modelling (Modeller version 9.14, https://salilab.org/modeller/) of

315   both human β-tubulin (20) and *C. neoformans* var. grubii serotype A (strain H99) β-tubulin (14)

316   was undertaken using the Bos Taurus 1SA0 β-tubulin crystal structure (identity: 364/447 (81.4%);

317   similarity: 405/447 (90.6%)).

17

318   Virtual flubendazole was built in the molecular modelling software Spartan

319   (Wavefunction Inc., Irvine, USA) and energy minimized. Flubendazole was then subjected to a

320   piecewise linear potential (ChemPLP) docking protocol (a scoring function to provide confidence

321   in the docking pose adopted by the molecule), consisting of 10 genetic algorithm (GA) runs

322   before visualization using the molecular visualization system PyMOL with the top scoring

323   compound depicted in Figure 1. The active site binding interactions were selected by identifying

324   those amino acid residues within 4Å of flubendazole when docked into the β- tubulin binding

325   site. Polar contacts between flubendazole and the surrounding amino acids were identified,

326   which aided in the identification of hydrogen bonding interactions that are key in determining

327   the efficacy of a drug against its pharmacological target.

328   Finally, hydrogen bond donor interactions, as well as hydrophobic interactions were

329   identified using the pharmacophore (i.e. an abstract description of molecular features that in this

330   case are necessary for molecular recognition of flubendazole by β-tubulin) search software Zinc

331   Pharmer (https://academic.oup.com/nar/article-lookup/doi/10.1093/nar/gks378) at 4Å for

332   hydrogen bonding interactions and 6Å for hydrophobic interactions.

333

334   *Hollow Fiber Model of Cryptococcal Meningoencephalitis*

335   A new hollow fiber infection model (HFIM) was developed to investigate the *in vitro*

336   pharmacodynamics of flubendazole against *C. neoformans*. The same cartridges (FiberCell

337   Systems, Frederick, MD, USA) and configuration as previously described for bacterial pathogens

338   was used (see for example (30)). The extra-capillary space of each cartridge was inoculated with

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339   40 mL of a suspension containing $\log_{10}$CFU/mL 6 of *C. neoformans* var. *grubii* (ATCC 208821;

340   H99). Yeast-extract-peptone-dextrose (YPD) medium was pumped from the central

341   compartment through the cartridge and back again using a peristaltic pump (205 U; Watson-

342   Marlow, United Kingdom). The HFIM was incubated at 37°C in ambient air. The time-course of

343   fungal growth was determined by removing 1 mL from the extra-capillary space of the cartridge

344   and plating serial 10-fold dilutions to YPD agar.

345   The relationship between flubendazole drug exposure and it effect was explored using a

346   range of drug exposures. Since there is no information on the pharmacokinetics of flubendazole

347   in humans, we attempted to produce AUCs that were comparable to those observed in mice.

348   Various dosages of flubendazole were administered q24h by infusion over 1 hour for 8 days to

349   the central compartment using a programmable syringe driver (Aladdin pump; World Precision

350   Instruments, United Kingdom). There was a 24-hour delay in the initiation of flubendazole

351   therapy post inoculation. To generate first-order pharmacokinetics, fresh YPD medium was

352   pumped into the central compartment, and the same volume of drug-containing medium was

353   simultaneously removed and discarded. Positive controls of currently licensed agents were not

354   studied in these experiments.

355

356   *Murine model of cryptococcal meningoencephalitis*

357   A previously described (31) and well-characterized murine model of cryptococcal

358   meningitis was used to investigate the pharmacodynamics of flubendazole. All laboratory animal

359   experiments were performed under UK Home Office project license PPL40/3630 and were

19

360   approved by the University of Liverpool's Animal Welfare Ethics Review Board. Male CD1 mice

361   were purchased from Charles River and were 20-30 grams at the time of experimentation. An

362   inoculum of 3 x 10^8 CFU in 0.25 mL was used for each mouse. Groups of mice (n=3) were serially

363   sacrificed throughout the experimental period. The brains were removed and homogenized.

364   Serial 10-fold dilutions were plated to YPD agar supplemented with chloramphenicol to

365   enumerate the total fungal burden. Plates were incubated in air at 30°C for at least 48 hours.

366

367   *Pharmacokinetic and Pharmacodynamic Studies of Flubendazole in Mice*

368   Preliminary evidence for the efficacy of flubendazole was obtained by dissolving pure

369   compound in a variety of excipients that included cyclodextrin (F2G, Eccles, UK), DMSO [5%] and

370   polysorbate 80 [10%] and injecting it subcutaneously q24h. Ultimately, only s.c. injection with

371   Tween80 showed any effect. This experiment provided the impetus to further examine the

372   orally bioavailable formulation developed by Janssen (see above).

373   The pharmacokinetics of oral flubendazole was determined with two independently

374   conducted experiments. Treatment was initiated 24 hrs. post-inoculation. Dosages of 2-12

375   mg/kg were used. Only the first dosing interval was studied. A serial sacrifice design was used

376   with groups of n=3 mice that were sacrificed at 0.5, 1, 2, 8 and 24 hrs. post-inoculation.

377   The pharmacodynamics of oral flubendazole was estimated over the course of three

378   separate independently conducted experiments. Groups of n=3 mice were sacrificed at time = 2,

379   24, 48, 96, 144 and 168 hours post inoculation. Dose finding studies were performed using

380   flubendazole 2, 4, 6, 8, and 12 mg/kg q24h orally. The upper dosage was limited by the volume

381   restrictions for mice imposed Home Office project license PPL40/3630. A fourth experiment

382   compared 12 mg/kg q24 with 6 mg/kg q12h to examine whether more fractionated regimens

383   provided any additional antifungal effect.

384   *Rabbit model of cryptococcal meningitis*

385   A previously described and well-characterized rabbit model of cryptococcal

386   meningoencephalitis (32) was used to further investigate the pharmacodynamics of

387   flubendazole. Male New Zealand White rabbits were purchased from Harlan. Rabbits weighed

388   2.5-3 kg at the time of experimentation. Rabbits were immunosuppressed intramuscularly with

389   hydrocortisone 10mg/kg day -1 relative to infection and then daily throughout the experiment.

390   Cryptococcal meningoencephalitis was induced with the intra-cisternal inoculation of

391   0.25 mL of a suspension containing 3.8×10^8 CFU/mL under general anesthesia (induced with

392   metedomidine and ketamine). This inoculum results in progressive infection that manifests as an

393   increase in fungal burden in the CSF and reproducible encephalitis. There is minimal clinical

394   disease with no demonstrable neurological signs in the experimental period. Mortality always

395   occurred in the context of cisternal tapping and repeated anesthesia rather than from

396   progressive infection.

397   *Pharmacodynamic and Pharmacokinetic studies in Rabbits*

398   PK-PD relationships in the rabbit were estimated in two independently conducted

399   experiments consisting of 6 rabbits in each experiment. Rabbits were placed under general

400   anesthesia for removal of CSF via intra-cisternal tapping at 48 hour intervals. Over the course of

401   the two experiments there were n=3 controls (1 rabbit died after being tapped), flubendazole 6

402   mg/kg q24h (n=6) and 22.5 mg/kg q24h (n=6). The maximum dosage that was used was limited

403   by the formulation provided by Janssen and the limits of oral gavage in rabbit (15 mL/kg/day).

404   Treatment was initiated 48 hrs. post-inoculation, and continued for 10 days, after which time all

405   rabbits were sacrificed. Thus, the total duration of the experiment was 288 hours.

406

407   *Measurement of flubendazole concentrations using LC/MS/MS*

408   Flubendazole concentrations in all matrices were measured using a validated ultrahigh-

409   performance liquid chromatography tandem mass spectrometry implemented on an Agilent

410   6420 Triple Quad Mass spectrometer and an Agilent 1290 infinity LC system (Agilent

411   Technologies UK Ltd, Cheshire, UK). Flubendazole was extracted by protein precipitation by

412   adding 300 μL of a 50:50 mix of acetonitrile:methanol that contained the internal standard (6,7-

413   Dimethyl-2,3-di(2-pyridyl) quinoxaline; Sigma Aldrich, Dorset, UK) at a final concentration of 1

414   mg/L to 30μL of each matrix.

415   The extraction was performed in 96-well Sirocco protein precipitation plates (Waters,

416   UK). Samples were then shaken for 2 mins and then extracted using a 96-postive pressure

417   manifold (Waters, UK). A total of 200 μL of the supernatant was removed and placed in a 96 well

418   plate. One μL was injected on an Agilent a Zorbax Eclipse Plus C18 column (2.1 by 50 mm, 1.8-m

419   particle size; Agilent Technologies UK Ltd, Cheshire, UK). Chromatographic separation was

420   achieved using a gradient with the starting conditions of a 60:40 mix of A (0.1% formic acid in

421   water) and B (0.1% formic acid in acetonitrile). The ratio of A:B changed to 20:80 over 2 minutes

422   and then returned to the starting conditions (60:40) for 1 minute of equilibration.

423   The mass spectrometer was operated in multiple reaction monitoring (MRM) scan mode

424   in positive polarity. The precursor ion for flubendazole and internal standard was 314.1m/z, and

425   313.15 m/z, respectively. The product ion for flubendazole and internal standard was 282.1 m/z

426   and 284.1 m/z, respectively. The source parameters were set as 4000 V for capillary voltage,

427   350°C for gas temperature, and 60 lb/in^2 for the nebulizer gas.

428   The standard curve for flubendazole encompassed the concentration range of 0.0005-8.0

429   mg/L and was constructed using the respective blank matrix. The limit of quantitation was

430   0.0005 mg/L and the CV% was 12.7% over the concentration range 0.0005-8 mg/L. and the intra

431   and inter-day variation was <12% for all matrices.

432   *Mathematical modeling*

433   The pharmacokinetic and pharmacodynamic datasets from mice were modelled using the

434   program Pmetrics (33) and the following five inhomogeneous differential equations:

435   Eq. 1 $XP(1) = B(1) - Ka * X(1)$

436   Eq. 2 $XP(2) = Ka * X(1) - \left(\frac{SCL}{V}\right) * X(2) - Kcp \cdot X(2) + Kpc \cdot X(3) - Kcb \cdot X(2) + Kbc \cdot$

437    $X(4)$

438   Eq. 3 $XP(3) = Kcp \cdot X(2) - Kpc \cdot X(3)$

439   Eq. 4 $XP(4) = Kcp \cdot X(2) - Kpc \cdot X(4)$

440   Eq. 5 $XP(5) = Kgmax \cdot \left(1 - \left(\frac{\left(\frac{X(4)}{V}\right)^{Hg}}{C50g^{Hg} + \left(\frac{X(4)}{V}\right)^{Hg}}\right)\right) * \left(1 - \left(\frac{X(5)}{popmax}\right)\right) * X(5)$

23

441   The system parameters and their units are as follows: *B(1)* (mg) represents the bolus

442   input of flubendazole into the gut. Ka (h^{-1}) is the first order rate constant collecting the gut and

443   the central compartment; SCL (L/h) is the clearance of flubendazole from the central

444   compartment; V (L) is the volume of the central compartment; Kcp (h^{-1}) and Kpc (h^{-1}) are the

445   first-order inter-compartmental rate constants. Kgmax ($\log_{10}$CFU/g/h) and kkillmax

446   ($\log_{10}$CFU/g/h) are the maximal rates of cryptococcal growth and flubendazole-induced kill,

447   respectively. POPMAX (CFU/g) is the maximum theoretical fungal density. C50g (mg/L) and C50k

448   (mg/L) are the concentrations of flubendazole that induce half-maximal effects on growth and

449   kill, respectively. Hg and Hk are the respective slope functions for growth and kill. The initial

450   condition (CFU/g; not shown in the equations) is the fungal density immediately following

451   inoculation, and is estimated along with other parameters.

452   Equations 1, 2, 3 and 4 are pharmacokinetic equations that describe the movement of

453   drug from the gut, throughout the body and into the brain. Equation 1 describes the movement

454   of drug from the gut. Equation 2 describes the rate if change of flubendazole in the central

455   compartment (plasma) with first-order clearance and movement of drug to and from both a

456   peripheral (unmeasured) compartment and the cerebrum. Equations 3 and 4 describe the rate

457   of change of drug in the peripheral and cerebral compartments, respectively. The

458   pharmacodynamics of flubendazole against *Cryptococcus neoformans* is described by Equation 5,

459   which has terms that describe the capacity limited growth of *Cryptococcus*, flubendazole-

460   induced suppression of growth and drug-induced fungal killing. The antifungal activity in the

461   cerebrum is primarily related to concentrations in the cerebrum.

462   A similar model was used to model the PK-PD data from rabbits, but there were some

463   differences. Firstly, no drug was detectable in the brain or the CSF. Therefore, we let plasma

464   concentrations of drug drive the antifungal effect and did not attempt to model the

465   concentration of drug in the central nervous system (as was the case for mice). We directly

466   linked plasma concentrations with the antifungal effect.

467

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568   detection of outliers and subpopulations with Pmetrics, a nonparametric and parametric

569   pharmacometric modeling and simulation package for R. Ther Drug Monit **34**:467–76.

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574   **Table 1.** MIC distributions of flubendazole against *C. neoformans* isolates using CLSI and EUCAST

575   methodologies.

Methodology	Number of strains	Number of isolates with MIC (mg/L) of:				
		0.03	0.06	0.125	0.25	0.5
EUCAST[a]	50	1	19	25	5	0
CLSI[b]	50	2	40	8	0	0

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577   [a] European Committee for Antimicrobial Susceptibility Testing

578   [b] Clinical Laboratory Sciences Institute

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582   Table 2. Parameter Values from the PK-PD model fitted to mice

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Parameter (Units)	Mean	Median	Standard Deviation
Ka (h^{-1})	11.312	14.895	6.594
SCL/F (L/h)	0.039	0.026	0.031
Vc/F (L)	0.051	0.069	0.033
Kcp (h^{-1})	15.741	15.404	6.806
Kpc (h^{-1})	16.997	16.915	5.962
Kcb (h^{-1})	3.446	0.594	4.709
Kbc (h^{-1})	0.056	0.056	0.030
Kgmax (log_{10}CFU/g/h)	0.107	0.098	0.025
Hg	10.338	5.096	9.782
C_{50}g (L/h)	2.036	1.681	1.517
POPMAX (CFU/g)	982934669.178	427055621.187	2281967059.602
IC (CFU/g)	102.255	116.462	60.966
Vb/F (L)	0.277	0.146	0.335

584   Ka (h^{-1}) is the first order rate constant collecting the gut and the central compartment; SCL/F

585   (L/h) is the apparent clearance of flubendazole from the central compartment; V/F and Vb/F (L)

586   are the apparent volumes of the central compartment and brain, respectively; Kcp (h^{-1}) and Kpc

587   (h^{-1}) are the first-order inter-compartmental rate constants. Kgmax ($\log_{10}$CFU/g/h) and kkillmax

588   ($\log_{10}$CFU/g/h) are the maximal rates of cryptococcal growth and flubendazole-induced kill,

589   respectively. POPMAX (CFU/g) is the maximum theoretical fungal density. C50g (mg/L) and C50k

590   (mg/L) are the concentrations of flubendazole that induce half-maximal effects on growth and

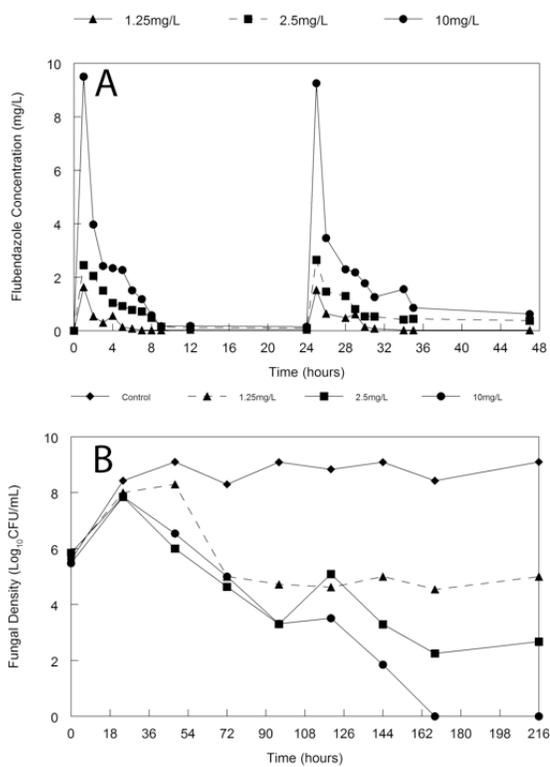591   kill, respectively. Hg and Hk are the respective slope functions for growth and kill.

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596   **Figure 1.** Homology model of flubendazole docked with both *C. neoformans* and human β-

597   tubulin. The colours are as follows: red sphere: hydrogen bond donors; blue sphere: hydrogen

598   bond acceptors; yellow sphere: hydrophobic interactions. The docking pose is visualized with

599   PyMOL. Protein is shown as a surface representation coloured 40% transparent light blue.

600   Flubendazole is represented as sticks composed of carbon (light blue); hydrogen (white);

601   nitrogen (dark blue); oxygen (red); and fluorine (cyan). Binding site residues selected around 4 Å

602   are represented as sticks with carbon (green); nitrogen (blue); oxygen (red); and sulfur (yellow).

603

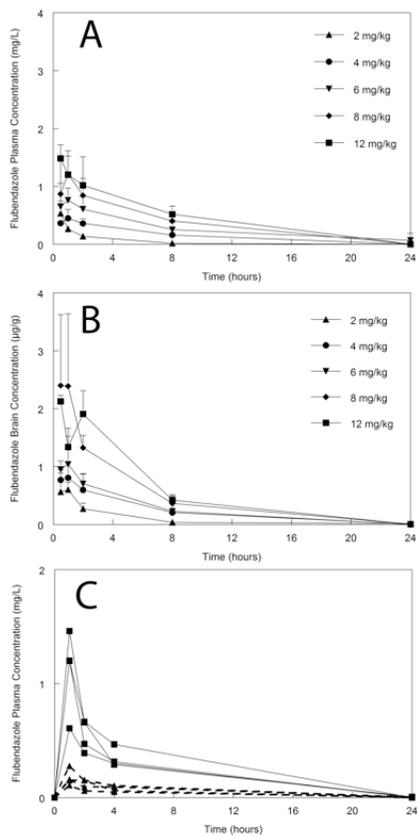**Figure 2.** Hollow fiber infection model of cryptococcal meningitis. A, pharmacokinetics of

flubendazole with the three arms with intended peak concentrations of 1.25, 2.5 and 10 mg/L;

and B, pharmacodynamics in response to flubendazole administered at various dosages q24h.

Therapy was initiated 24 hrs. post inoculation after which time *Cryptococcus* had grown from ~6

$\log_{10}$CFU/mL to 8 $\log_{10}$CFU/mL.
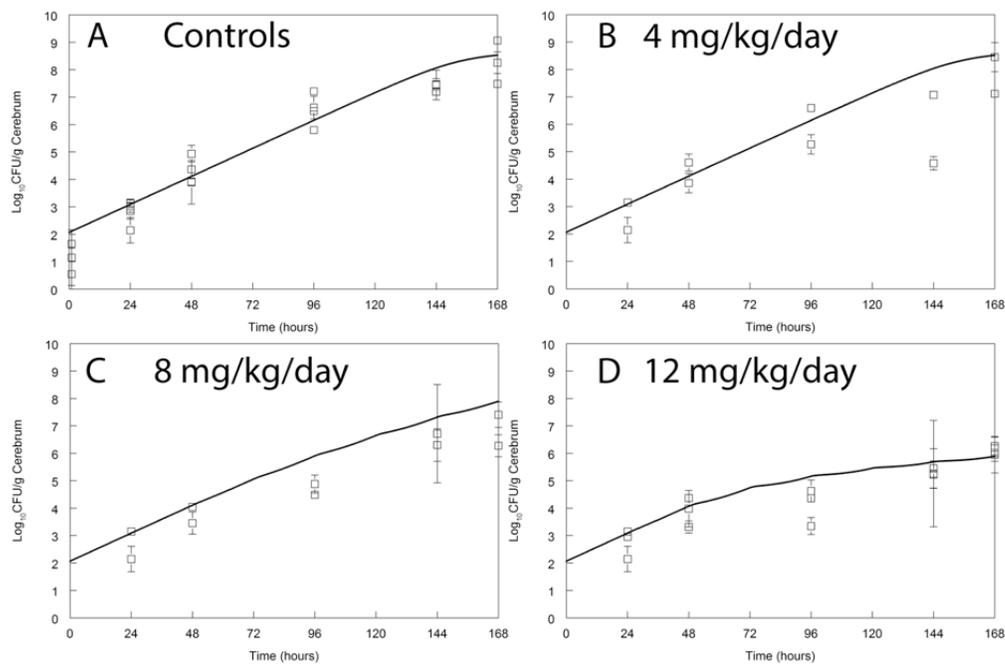
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612   **Figure 3.** Flubendazole pharmacokinetics in mice and rabbits. A, mouse plasma concentration-

613   time profiles following the administration of flubendazole 2, 4, 6, 8 and 12 mg/kg; B, mouse

614   concentration-time profiles in the brain following the administration of flubendazole 2, 4, 6, 8

615   and 12 mg/kg. Data are mean ± standard deviation of n=3 mice. C, plasma pharmacokinetics in

616   the serum for individual rabbits receiving 6 mg/kg/day (broken lines, solid triangles) and 22.5

617   mg/kg (solid lines, solid squares).

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622   **Figure 4.** Pharmacodynamics of flubendazole in a murine model of cryptococcal meningitis.

623   Flubendazole is administered orally once daily. Data (open squares) are mean ± standard

624   deviation from n=3 mice. The solid line is the fit of the population predicted pharmacokinetic-

625   pharmacodynamic model. The maximally administered dose in this study (12 mg/kg/day)
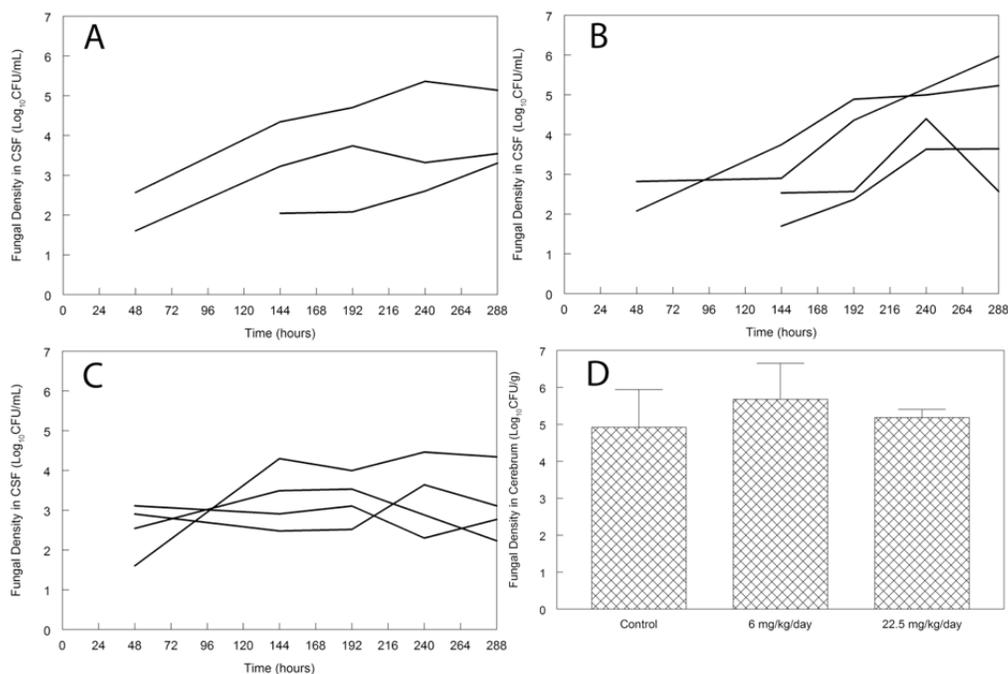
626   slowed, but did not prevent fungal growth in the brain.

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Figure 5. Pharmacodynamics of flubendazole in a rabbit model of cryptococcal meningitis. *A,*

time-course of fungal burden in the CSF of untreated controls; *B,* time-course of fungal burden in

the CSF rabbits treated with flubendazole 6 mg/kg q24h orally; *C,* time-course of fungal burden

in the CSF rabbits treated with flubendazole 22.5 mg/kg q24h orally; D, the fungal burden in the

cerebrum of rabbits at the end of the experiment (time = 288 hrs. post inoculation and after 10

days of treatment with flubendazole). There are no differences in the three groups (p=0.464,

ANOVA).

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