

1 Pharmacokinetics of piperquine and safety profile of dihydroartemisinin-piperquine co-  
2 administered with antiretroviral therapy in malaria-uninfected HIV-positive Malawian adults.

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14 Running Head: Piperquine and Antiretroviral therapy

15 Abstract word count: 250

16 Text word count: 4129

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## Piperaquine and Antiretroviral therapy

24 **ABSTRACT**

25

26 There are limited data on the pharmacokinetic and safety profiles of dihydroartemisinin-  
27 piperaquine (DHA-PQ) among human immunodeficiency virus infected (HIV+) individuals taking  
28 antiretroviral therapy (ART). In a two step (parallel-group) pharmacokinetic trial with intensive  
29 blood sampling, we compared area under the concentration-time curve ( $AUC_{0-28 \text{ days}}$ ) and safety  
30 outcomes of piperaquine among malaria-uninfected HIV+ adults. In step 1, half the adult dose  
31 of DHA-PQ was administered for three days as an initial safety check in four groups  
32 ( $n=6/\text{group}$ ) of HIV+ adults ( $\text{age} \geq 18$  years): (i) antiretroviral-naïve, (ii) on nevirapine-based ART,  
33 (iii) on efavirenz-based ART, and (iv) on ritonavir-boosted lopinavir-based ART. In step 2, a full  
34 adult treatment course of DHA-PQ was administered to a different cohort of participants in three  
35 groups: (i) antiretroviral naïve, (ii) on efavirenz-based ART and (iii) on nevirapine-based ART  
36 ( $n=10-15/\text{group}$ ). Ritonavir-boosted lopinavir-based ART group was dropped in step 2 due to  
37 limited number of participants who were on this second line ART and were eligible for  
38 recruitment. Piperaquine's  $AUC_{0-28 \text{ days}}$  in both steps was 43% lower among participants on  
39 efavirenz-based ART compared to ART naïve participants. There were no significant differences  
40 in  $AUC_{0-28 \text{ days}}$  between the other ART groups and the ART naïve group in each of the two steps.  
41 Furthermore, no differences in treatment-emergent clinical and laboratory adverse events were  
42 observed across the groups in steps 1 and 2. Although well tolerated at half and full standard  
43 adult treatment courses, efavirenz based antiretroviral regimen was associated with reduced  
44 piperaquine exposure which may compromise dihydroartemisinin-piperaquine's effectiveness in  
45 programmatic settings.

46

47 **Key words:** piperaquine, antiretroviral therapy, malaria

48

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50 **INTRODUCTION**

51

52 Human immunodeficiency virus (HIV) and *Plasmodium falciparum* (*Pf*) malaria infections are  
53 endemic in most areas in sub-Saharan Africa (SSA) and co-infections occur frequently. HIV  
54 infection increases susceptibility to malaria (1, 2), severity of *Pf* malaria (3–6) and reduces the  
55 efficacy of some antimalarial drugs in current use (7, 8). To combat these dual infections, the  
56 World Health Organisation (WHO) recommends initiation of antiretroviral therapy (ART) in HIV-  
57 positive (HIV+) individuals and prompt use of artemisinin-based combination therapies (ACTs).  
58 Dihydroartemisinin-piperaquine (DHA-PQ), is one of the ACTs being used increasingly in SSA  
59 in malaria infected individuals (9) owing to its better safety profile and longer piperaquine half-  
60 life of approximately 33 days (10, 11), which makes it an ideal option for treatment of  
61 uncomplicated *Pf* malaria (12, 13) and intermittent preventive treatment of malaria in pregnancy  
62 (14, 15). Additionally, dihydroartemisinin, which has a half-life of approximately 1 hour, is fast  
63 acting and 5-10 times more potent among the artemisinin derivatives (16). Because of the  
64 geographical overlap of malaria and HIV, DHA-PQ will likely be commonly co-administered with  
65 ART such efavirenz (EFV), nevirapine (NVP) or ritonavir-boosted lopinavir (LPV/r).

66

67 It has been postulated that pharmacokinetic interactions between ACTs and non-nucleoside  
68 reverse transcriptase inhibitors (NNRTI)- or protease inhibitors (PIs)-containing ART are likely  
69 since these classes of drugs affect the activity of cytochrome-P (CYP) 450 liver enzymes.

70 NNRTIs such as NVP and EFV usually induce various CYP450 isoforms but they are also  
71 substrates for CYP450 enzymes as are ACTs. Conversely, HIV PIs, particularly ritonavir, are  
72 potent inhibitors of CYP3A enzymes (17), which form part of the CYP450 enzyme entity.

73 Administration of ACTs in HIV+ individuals on ART may therefore reduce or increase plasma  
74 concentrations of any of the drug components of ACTs. Dihydroartemisinin may have limited  
75 pharmacokinetic interactions with ART since it is metabolised through glucuronidation by uridine

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76 diphosphate glucuronosyltransferase (18). However, piperaquine, as a xenobiotic, is  
77 metabolised by CYP P450 (CYP3A4 and CYP2C8) for excretion (19). Any induction or inhibition  
78 of these enzymes by ART may affect clearance of piperaquine and, therefore, its efficacy and  
79 safety.

80

81 In a two-step (parallel), intensive pharmacokinetic sampling trial, we compared the safety of  
82 DHA-PQ and secondary pharmacokinetic parameters ( $AUC_{0-28 \text{ days}}$ ,  $C_{\max}$ ,  $t_{\max}$ ,  $t_{1/2}$ ) of piperaquine  
83 between HIV+ adults taking various ART (efavirenz-, nevirapine-, ritonavir-boosted lopinavir-  
84 based regimens) and HIV+ adults not on any ART.

85

## 86 MATERIALS AND METHODS

87

### 88 Study Design and population

89 We conducted an open-label, sequential group, PK trial, from August 2010 to March 2013, at  
90 Queen Elizabeth Central Hospital, Malawi. The study was implemented in the following two  
91 steps:

92

93 In step 1 [PACTR2010030001871293], we administered half adult doses of the DHA-PQ  
94 (Euratesim®, Sigma Tau) to the followin groups of malaria-negative research participants  
95 (n=6/group):

- 96 1) An antiretroviral naive HIV+ (control) group
- 97 2) HIV+ individuals on NVP-based ART
- 98 3) HIV+ individuals on EFV-based ART
- 99 4) HIV+ individuals on LPV/r-based ART

100 DHA-PQ was administered orally at 0, 24 and 48 hours (once daily for 3 days). One tablet (each  
101 containing DHA/PQ 40mg/320mg) was administered orally for study participants weighing

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102 <60kgs and 1.5 tablets to participants weighing  $\geq$  60kg. Food intake, including fat containing  
103 food, was not restricted. This step served as a safety evaluation step for the drug interaction  
104 studies, checking for unexpected clinical toxicities or interactions.

105

106 In step 2 [PACTR2010030001971409], after review and consideration of step 1 data by an  
107 independent Data Safety Monitoring Board (DSMB), a full standard dose DHA-PQ (3 tablets to  
108 study participants weighing < 60kg and 4 tablets to those weighing  $\geq$  60kg) was administered  
109 to 40 adults in the following groups of malaria-negative research participants (different from  
110 those enrolled in step 1):

111 1) An antiretroviral naive HIV+ (control) group

112 2) HIV+ individuals on NVP-based ART

113 3) HIV+ individuals on EFV-based ART

114 DHA-PQ was administered at 0, 24 and 48 hours (once daily for 3 days). The group of HIV+  
115 individuals on LPV/r-based ART was dropped owing to limited number of participants available  
116 for recruitment into the study. Unlike in step 1, DHA-PQ was administered with water only in  
117 step 2; no food was given to study participants taking DHA-PQ within a period of 3 hours before  
118 and 3 hours after administering the drug; based on a new recommendation from the drug  
119 manufacturer, Sigma Tau. In the ART arms, the first dose of DHA-PQ was timed to coincide  
120 with the next scheduled dose of the ART.

121

122 The study population for step 1 and step 2 were HIV+ male and non-pregnant female  
123 participants aged  $\geq$ 18 years residing in Blantyre, Malawi or neighbouring districts of Thyolo and  
124 Chiradzulu. Individuals on ART were eligible to participate if they had been on NVP, EFV or  
125 LPV/r-based ART for  $\geq$  6 months and had CD4 cell count  $\geq$  250 cells/mm<sup>3</sup>. At the beginning of  
126 the study, HIV+ antiretroviral naive individuals were eligible for ART if they had a CD4 cell count  
127  $\geq$  250/mm<sup>3</sup> but this cut-off point was increased to  $\geq$ 350/mm<sup>3</sup> when the WHO criteria for ART

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128 initiation changed in July 2011. Other inclusion criteria were body weight  $\geq 40$ kg and willingness  
129 to be admitted in the hospital for 3 days, to remain within the study sites and to be contacted at  
130 home or by phone during the course of the study.

131

132 We excluded participants who had body mass index  $\leq 18.5$ kg/m<sup>2</sup>, haemoglobin concentration  
133  $< 8.5$  g/dL, reported use of any antimalarial drugs within the preceding 4 weeks, reported  
134 hypersensitivity to any of the ACTs, were taking other drugs which are known inhibitors or  
135 inducers of P450 enzymes or P-glycoprotein (except cotrimoxazole prophylaxis), had a history  
136 of regular intake of alcohol ( $> 2$ times/week), tobacco ( $> 3$  times/week) or any use of illicit drugs,  
137 had a history or evidence of pre-existing liver, kidney or heart disease, including conductive  
138 abnormalities on electrocardiographs (*QTc interval*  $> 450$ ms in men and  $> 470$ ms in females), had  
139 clinical and/or laboratory evidence of *Pf* malaria, hepatitis B, pneumonia, tuberculosis,  
140 bacteraemia or laboratory evidence of potentially life threatening white blood cell disorders such  
141 as absolute neutrophil count  $< 0.500 \times 10^9$ /L, absolute lymphocyte count  $< 0.35 \times 10^9$ /L or absolute  
142 platelet count  $< 25 \times 10^9$ /L. Participants with a performance (Karnofsky) score of  $< 80\%$  and who  
143 were participating in any other clinical trial were also not included.

144

145 In step 1, the sample size was 6 in each of the DHA-PQ/ART and control (ART-naive) groups.  
146 This sample size was based on standard practice in early PK studies of antimalarial drugs which  
147 aim to safeguard the safety of study subjects and minimize the number of subjects who may be  
148 potentially exposed to harmful drug levels. In step 2, a sample size of 15 per group in the DHA-  
149 PQ/ART groups and 10 in the ART-naive group was required. This was calculated to detect a  
150 two-fold increase in PQ AUC in any of the DHA-PQ/ART groups compared with the ART-naive  
151 group, assuming a mean (standard deviation) PQ AUC of 19.4 (15.0) mcg/hr/mL (17) in the  
152 ART-naive group, with power set at 90% and level of significance at 5%.

153

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154 **Ethics and data collection procedures**

155 The design and timing of trial procedures was approved by the College of Medicine Research  
156 Ethics Committee (COMREC), in Blantyre, Malawi. The study conformed to the principles of the  
157 International Conference on Harmonization on Good Clinical Practice. Research nurses and  
158 clinicians sought written informed consent from individuals to perform screening procedures  
159 including physical medical and anthropometric assessment, electrocardiographs (ECGs) and  
160 blood tests to detect blood-borne infections, haematological, renal or hepatic abnormalities.  
161 Results from screening procedures were available within 7 days of screening. Based on these  
162 results, potential study participants were informed of their eligibility to participate in the study.  
163 Thereafter, research nurses or clinicians sought written informed consent from eligible subjects  
164 to participate in the study.

165

166 *Pre-DHA-PQ dosing procedures*

167 Consenting study participants were re-assessed by research nurses or clinicians to determine  
168 whether they still met all eligibility criteria, through repeat history taking and physical  
169 examination. Eligible participants were admitted in hospital and an indwelling cannula was  
170 inserted into a vein before their scheduled dose of ART and the first dose of the ACT.  
171 Approximately 1 hour before the scheduled time of ART and ACT dosing, blood samples were  
172 collected for haematological, renal and liver function tests and also random glucose test.

173

174 *Blood sample collection and processing*

175 While the participant was hospitalized, blood samples for pharmacokinetic (PK) assays were  
176 collected in heparin vacutainer tubes, pre-treatment and at the following post-treatment times:  
177 0.25, 0.5, 1, 1.5, 2, 3, 4, 5, 6, 8, 12, 24, 36, 48, 60 and 72 hours. After discharge, the blood  
178 samples were taken at the following times; 4, 5, 6, 7, 14, 21 and 28 days. Immediately after  
179 collection, the blood samples were spun in a refrigerated centrifuge and the separated plasma

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180 samples were temporarily frozen in liquid nitrogen before transferred to a -80°C freezer until  
181 HPLC analyses.

182

#### 183 *Safety Assessments*

184 After the first dose of DHA-PQ, blood samples to detect haematological, renal and liver function  
185 abnormalities were collected at the following times; 12, 48 and 72 hrs and at days 7, 14, 21 and  
186 28. In addition, 12-lead ECGs were performed pre-dosing, 5 hours after the first dose and 5  
187 hours after the last dose to assess Fridericia's-corrected QTc interval (20). The study focussed  
188 on treatment-emergent adverse events (TEAEs), defined as clinical or subclinical abnormalities  
189 which were absent before dosing with DHA-PQ but emerged post dosing or those which were  
190 present before dosing with DHA-PQ but worsened post-dosing. Severity of AEs was graded  
191 using the DAIDS criteria (21) while seriousness was defined according to the standard  
192 definition.

193

#### 194 **Pharmacokinetic assays**

195 Plasma samples were analysed for PQ levels at Malawi-Liverpool Welcome Trust Clinical  
196 Research Programme in Blantyre, Malawi, using a validated HPLC-UV assay adopted and  
197 transferred to Malawi from the Liverpool School of Tropical Medicine. The PK laboratory in  
198 Blantyre participated in WWARN's External Quality Assurance programme (22). Briefly, PQ and  
199 the internal standard (Chloroquine) were recovered from plasma using diethyl/tert-butyl ether.  
200 The supernatant was evaporated to dryness in a vacuum concentrator at 25 °C. The residue was  
201 re-dissolved in 200 µl of the reconstitution solvent acetonitrile: phosphate buffer (5:95, pH 2.5)  
202 and 75 µL was injected into the chromatograph (Agilent 1100). Quantitation of the drugs was  
203 achieved by reverse phase HPLC. The optimum detection wavelength for each drug was 345 nm.  
204 The lower limit of quantitation (LLQ) of the piperaquine HPLC-UV assay was 0.025 µg/mL with  
205 CV<10%. Reconstituted plasma sample extracts were run in batches comprising all samples



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206 collected from each of any two study participants. Each batch run included a blank plasma  
207 extract, two sets of 8-concentration-level calibration standards, and quality controls (QC) at  
208 three concentration levels: low, medium and high (0.025, 1.5 and 3.0 µg/mL for PQ. For batch  
209 assay to pass the measured concentrations of at least 67% of the QC samples had to be within  
210 +/-20% of their nominal value and at least one QC had to be acceptable at the LLQ. The mean  
211 inter-assay precision for low, medium and high QCs was 7%, 12% and 10% respectively. In  
212 addition, 75% of each calibration curve's concentrations had to lie within +/-20% and +/-15% of  
213 the nominal concentration at the LLQ or all other concentrations.

214

215 **Pharmacokinetic and safety data analyses**

216 Plasma concentrations of piperaquine were analysed using non-compartmental  
217 pharmacokinetic analysis (NCA), employing the trapezoidal rule with cubic splines. Observed  
218 piperaquine concentrations below the lower limit of quantification (<LLOQ) were treated as  
219 missing data except for the pre-dose concentration which was imputed to 0 if below LLOQ. For  
220 each study participant, the following PK parameters were computed: AUC<sub>0-28 days</sub>, maximum  
221 concentration [C<sub>max</sub>], time to maximum concentration [t<sub>max</sub>] and terminal elimination half-life [t<sub>1/2</sub>].  
222 We used STATA 15.0 for the NCA and to compare log-transformed PK parameters. Geometric  
223 mean ratios with 90% confidence intervals have been presented. To test for significant  
224 differences in PK parameters between each ACT/ART group and the ART-naïve group,  
225 parametric evaluation of the log-transformed PK parameters was done using analysis of  
226 variance (ANOVA) (α=0.1). Fisher's exact test was used to compare proportions of participants  
227 across the study groups with day 7 concentrations that were above a value known to predict  
228 treatment response by day 28, and of safety parameters across the different ACT/ART groups  
229 in comparison to the ART naïve group. Data summaries and graphics were all performed in  
230 STATA 15.0.

231

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232 **RESULTS**

233

234 **Characteristics of study participants**

235 In step 1, 24 participants (6 in each group) were enrolled and successfully followed up for 28  
236 days, including 5 who replaced those withdrawn due to protocol violations. In step 2, 40  
237 participants were enrolled (10 in ART naïve and 15 in each of EFV and NVP groups) and  
238 completed 28 days of follow-up, including 2 who replaced those withdrawn due to protocol  
239 violations. In accordance with the protocol, withdrawn individuals were not included in the PK  
240 analyses. As shown in Table 1, participants who completed follow-up in steps 1 and 2 generally  
241 had similar baseline characteristics. In step 1, those on ritonavir-boosted lopinavir had longer  
242 median duration of ART intake than those on EFV and NVP groups. In addition, baseline  
243 alanine aminotransferase was higher in those on EFV based ART.

244

245 **Pharmacokinetic interactions between piperaquine and ART in step 1**

246 Participants in the EFV-ART group had 43% lower  $AUC_{0-28 \text{ days}}$  of piperaquine compared to the  
247 ART naïve group (geometric mean ratio [90% CI]: 0.57 [0.38-0.83];  $p=0.029$ ). There were no  
248 significant differences in  $AUC_{0-28 \text{ days}}$  among participants in the other ART groups in comparison  
249 to the ART naïve group. Piperaquine's  $C_{\text{max}}$  was higher in the NVP-ART group than in the ART  
250 naïve group (geometric mean ratio [90% CI]: 1.82 [1.13-2.94];  $p=0.061$ ), but no significant  
251 differences in  $C_{\text{max}}$  were observed between the rest of the ART groups and the ART naïve  
252 group. There were no significant differences in the  $t_{1/2}$  of piperaquine in all four study groups (as  
253 shown in Table 2a). However, the median  $t_{\text{max}}$  was higher in the LPV/r-ART group than in the  
254 ART naïve group ( $p=0.049$ ). Figure 1 shows a concentration-time profile between ART groups  
255 and the ART naïve group. Compared to the ARV-naïve group, there was a lower piperaquine  
256 concentration-time profile in the EFV-ART group.

257

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258 **Safety assessment in step 1**

259 DHA-PQ was well tolerated in all study groups. However, one participant in the ART-naive  
260 group had a 3-day history of headache, heart palpitations, nausea with no vomiting and good  
261 appetite following intake of DHA-PQ. These resolved by day 7 of follow up. One participant in  
262 the NVP-ART group developed left sided hemiplegia which was not thought to be associated  
263 with co-administration with DHA-PQ. There were no clinically-significant treatment-emergent  
264 haematological or hepatic abnormalities across the study groups.

265

266 **Pharmacokinetic interactions between piperaquine and ART in step 2**

267 In step 2, piperaquine's  $AUC_{0-28 \text{ days}}$  was 43% lower in the EFV-ART group compared to the  
268 ART-naïve group (geometric mean ratio [95% CI]: 0.57 [0.44-0.74];  $p=0.002$ ). There was no  
269 significant difference in piperaquine's  $AUC_{0-28 \text{ days}}$  between the NVP/ART and ART-naïve groups.  
270 Furthermore, participants in the EFV-ART group had 43% lower  $C_{\max}$  of piperaquine compared  
271 to the ART naïve group (geometric mean ratio [95% CI]: 0.57 [0.36-0.90];  $p=0.065$ ), and  
272 piperaquine's  $t_{1/2}$  was 64% lower in the EFV-ART group than in the ART naïve group (geometric  
273 mean ratio [95% CI]: 0.36 [0.15-0.87];  $p=0.072$ ). However, there were no significant differences  
274 in the  $C_{\max}$  and  $t_{1/2}$  of piperaquine between the NVP-ART and the ART naïve groups as shown in  
275 Table 2b. Similarly, no significant differences in the median  $t_{\max}$  between the two ART-groups  
276 and the ART naïve group were observed. Figure 2 illustrates the piperaquine concentration  
277 versus time plot in the NVP, EFV and ART-naïve groups in step 2. The EFV-ART group had a  
278 lower concentration-time profile of piperaquine compared to the ART naïve group and there was  
279 a tendency towards higher piperaquine concentration in the NVP-ART group compared to the  
280 ART naïve group.

281

282

283

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284 *Piperazine day 7 concentrations*

285 Of the 40 participants in step 2, 22 had piperazine plasma concentration above the lower limit  
286 of quantification (>25ng/mL) at day 7 post-treatment. There was no evidence of a significant  
287 difference in day 7 piperazine concentration across the ART groups (Table 2b). Of the 22  
288 participants with day 7 piperazine concentration above >25ng/mL (ART naïve=2, EFV-ART=10  
289 and NVP-ART=10), the proportion achieving piperazine concentrations >30ng/mL was 90%  
290 (n=10) in ART-naïve group, 100% (n=2) in EFV-ART and 90% (n=10) in the NVP-ART group.  
291 There was no evidence of a difference in these proportions between each of the EFV and NVP-  
292 ART groups compared to the ART naïve group (for both comparisons; EFV/NVP-ART vs ART  
293 naïve; p= 1.000).

294

295 **Safety assessment in step 2**

296 DHA-PQ was generally well tolerated in all study groups in step 2. However, one participant in  
297 the ART-naïve group reported nausea following intake of DHA-PQ but this resolved within a  
298 day. The proportions of study participants who had any grade of treatment emergent  
299 transaminitis (elevated ALT and AST levels) after DHA-PQ administration were similar in the  
300 ART-naïve and EFV-ART, 50% (5/10) vs 40% (6/15) respectively; p=0.697, and between the  
301 ART-naïve and NVP-ART 53% (8/15) groups (p=1.000). None of the elevated AST or ALT  
302 levels reached severity levels of grade 3 or 4 or were persistent beyond day 28 of follow up. The  
303 proportions of participants who had any grade of treatment-emergent neutropenia after DHA-PQ  
304 administration were similar between the ART-naïve, 30% (3/10) and the EFV-ART-group, 33%  
305 (5/15) p=1.000, between the ART-naïve group and the NVP-ART, 20% (3/15) groups (p=0.653).  
306 There were no cases reaching grade 3 or 4 neutropenia in any of the groups. Additionally, the  
307 proportion of participants who had QTc prolongation after DHA-PQ administration (470ms at  
308 day 3 of follow up) were 0.0% (0/10), 13.3% (2/15) and 13.3% (2/15) in the ART naïve, EFV-  
309 ART and NVP-ART groups respectively, with no evidence of significant difference between the

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310 NVP/EFV-ART groups and the ART-naïve group. All cases of QTc prolongation resolved  
311 spontaneously by day 21 of follow up.

312

### 313 **Dose proportionality between ART naïve participants in steps 1 and 2**

314 Assuming linear disposition of piperaquine, increasing the dose in step 2 should result in  
315 increased  $AUC_{0-28 \text{ days}}$  in this step compared to step 1. As part of an exploratory analysis, not  
316 determined a priori, we assessed dose proportionality between the ART naïve groups in steps 1  
317 and 2 using a linear quadratic regression approach by regressing dose normalised  $AUC_{0-28 \text{ days}}$   
318 ( $AUC_{0-28 \text{ days}}/\text{Dose}$ ) with total dose received by each participant (23). The fitted linear regression  
319 equation was:

$$320 \quad AUC_{0-28 \text{ days}}/\text{Dose} = \alpha + \beta_1 * \text{Dose} + \beta_2 * \text{Dose}^2 \quad (\text{a})$$

321 The null hypothesis was that  $\beta_2$  and  $\alpha$  are equal to zero. Dose proportionality was  
322 declared if  $\alpha$  and  $\beta_2$  were not significantly different from zero. The above equation could  
323 be further simplified to the equation below when  $\beta_2$  is not significantly different from zero:

$$324 \quad AUC_{0-28 \text{ days}}/\text{Dose} = \alpha + \beta * \text{Dose} \quad (\text{b})$$

325 Both equations showed no evidence against the null hypothesis as illustrated below in the result  
326 of the equation (a), which was derived from ART naïve participants in steps 1 and 2, showing  
327 that  $\beta_2$  and  $\alpha$  were not very significantly different from zero:

$$328 \quad AUC_{0-28 \text{ days}}/\text{Dose} = 0.116 - 0.00011 * \text{Dose} + 3.37e-08 * \text{Dose}^2$$

329

## 330 **DISCUSSION**

331

332 The aim of this study was to compare secondary pharmacokinetic parameters of piperaquine  
333 and safety of dihydroartemisinin-piperaquine between HIV infected adults taking various  
334 antiretroviral therapy (efavirenz, nevirapine, ritonavir-boosted lopinavir based regimens) and  
335 HIV infected adults not on any antiretroviral therapy. We found that co-administration of

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336 piperaquine and efavirenz based ART regimen significantly lowered piperaquine's exposure  
337 ( $AUC_{0-28days}$ ) at half and full standard adult courses, and reduced piperaquine's half-life and  
338 achieved maximum concentration at full standard adult course than when administered alone  
339 among non-malaria-HIV infected adults. Additionally, the day 7 piperaquine concentration was  
340 not significantly different between the ART-groups following intake of a full standard adult  
341 course. Furthermore, DHA-PQ was well tolerated at both half and full adult courses across all  
342 ART groups with no evidence of significant differences in treatment emergent clinical and  
343 laboratory adverse events across all ART-groups.

344

345 The finding of a significantly lower piperaquine concentration in the EFV group in both steps is  
346 consistent with known metabolism of EFV, which is a potent inducer of CYP3A4 (17) and is one  
347 of the major CYP450 isoforms responsible for metabolic clearance of piperaquine (24). There is  
348 paucity of published evidence on the interaction between piperaquine and ART among non-  
349 pregnant individuals. However, our findings are consistent with previous findings among  
350 pregnant women receiving DHA-PQ for intermittent preventive treatment of malaria in Uganda,  
351 where piperaquine exposure was shown to be 38% lower among pregnant women receiving  
352 EFV based ART compared to HIV uninfected pregnant women (25). Thus, in the present study,  
353 EFV induction of CYP3A4 in the EFV-treated group might have led to enhanced clearance and  
354 shorter half-life of piperaquine seen in step 2.

355

356 Unexpectedly, we found non-significantly higher concentration of piperaquine in the NVP based  
357 ART group in steps 1 and 2 than in the ART naïve group. While there is some evidence that  
358 NVP induces CYP3A4 (26, 27), other studies have suggested that it may act as an inhibitor of  
359 other drugs metabolised by the CYP3A4 as shown with increased  $C_{max}$  and AUC of darunavir  
360 (28) and maraviroc (29), when co-administered with NVP. The non-significantly increased  $AUC_{0-}$   
361  $_{28\text{ days}}$  and  $C_{max}$  of piperaquine in our study could suggest increased bioavailability or reduced

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362 metabolism. As this study was not designed to elucidate the mechanism of interaction between  
363 piperaquine and nevirapine, studies in future should aim to explore and define these  
364 mechanisms which could include competitive inhibition of metabolic enzymes (30) or variations  
365 in availability of proteins to transport drugs (31).

366

367 Evidence on the interaction between piperaquine and LPV/r-based ART is sparse. In step 1, we  
368 found an expected but non-significant tendency towards higher piperaquine exposure ( $AUC_{0-28}$   
369 <sub>days</sub>) in the LPV/r ART group compared to the ART naïve group and were unable to further  
370 evaluate this finding with a larger sample size in step 2 due to a limited number of study  
371 participants on this second line ART regimen during the study period. Since LPV/r is  
372 increasingly being used in malaria-HIV endemic settings as second line antiretroviral therapy, its  
373 impact on piperaquine's PK profile needs to be further studied.

374

375 Previous studies found that lower day 7 plasma piperaquine concentrations are associated with  
376 recurrent malaria (32, 33). The lack of significant evidence of a difference in day 7 piperaquine  
377 concentrations between the EFV or NVP-ART groups and ART-naïve group could be due to the  
378 small number of participants that had day 7 piperaquine concentrations that were above the  
379 lower limit of quantification of our assay, which may not have been able to detect low  
380 piperaquine concentrations. As efavirenz has been shown to also lower day 7 piperaquine  
381 concentrations in pregnancy (25), future studies should further explore this in HIV infected, non-  
382 pregnant adults.

383

384 We found no major differences in the incidence of neutropenia and transaminitis and QTc  
385 prolongation across the various ART groups, which is reassuring. However, these results need  
386 to be interpreted with caution, since this study was not powered to detect differences in safety  
387 endpoints.

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388 Concomitant intake of piperaquine with food has previously been shown to increase  
389 bioavailability of piperaquine (34). Lack of food restriction in step 1, including intake of fat  
390 containing food, may have resulted in increased absorption of piperaquine in this step, with  
391 subsequent higher  $AUC_{0-28days}$  in step 1 than in step 2. Although assessing dose proportionality  
392 was not the primary aim of this study, dose normalisation of the  $AUC_{0-28days}$  (adjusting for the  
393 effect of the total administered dose) showed that there was evidence of dose proportionality  
394 between the two steps. The inability to detect significant differences in PK parameters, including  
395 dose proportionality between steps 1 and 2, may be due to the use of the parallel-group design  
396 which is more prone to effects of inter-individual anthropometric and genetic variations than a  
397 cross-over design. Thus, other covariates such as genetic polymorphisms in CYP450 iso-  
398 enzymes may have contributed to very wide interquartile ranges of PQ PK parameters observed  
399 within each study group and between the two steps. However, our study sample size is unlikely  
400 to have missed large (>2-fold), clinically important differences in AUC across the study arms.  
401 Nevertheless, future studies need to assess the effect of genetic polymorphisms in CYP450 iso-  
402 enzymes on the pharmacokinetics of piperaquine and quantify any changes in plasma ART  
403 levels when co-administered with antimalarial drugs.

404

405 In our study, we did not assess the impact of ART on the PK profile of the faster acting and  
406 potent partner drug of piperaquine, dihydroartemisinin. In future, studies should aim to examine  
407 any potential impact of ART on the PK profile of dihydroartemisinin and evaluate its association  
408 with parasite clearance rates among malaria-HIV co-infected individuals.

409

410 In conclusion, this study found that although generally well tolerated, co-administration of  
411 piperaquine and efavirenz based ART regimen significantly lowered piperaquine's exposure  
412 among non-malaria HIV infected adults compared to an ART -naïve subgroup. There were no  
413 major variations in piperaquine's exposure among the ART naïve and participants on nevirapine



Piperaquine and Antiretroviral therapy

414 and ritonavir-boosted lopinavir based ART. The pharmacodynamic implications of these findings

415 need to be evaluated in programmatic settings especially in malaria-infected individuals.

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440 **ACKNOWLEDGEMENTS**

441 We thank all trial participants for their participation in this study. We would like to thank Prof  
442 Steve Ward for supporting the laboratory training of study personnel in PK assay methods. This  
443 work was supported by European & Developing Countries Clinical Trials Partnership (EDCTP)  
444 [IP.07.31060.003]. The funders had no role in study design, data collection and interpretation, or  
445 the decision to submit the work for publication.

446

447 **CONFLICT OF INTEREST**

448 The authors do not have any association that might pose a conflict of interest (e.g.  
449 pharmaceutical stock ownership, consultancy, advisory board membership, relevant patents, or  
450 research funding).

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Piperaquine and Antiretroviral therapy

570 **LEGENDS**

571

572 **Figure 1.** Piperaquine concentration-time profile (semi-logarithmic scale) following  
573 administration of half of the standard dihydroartemisinin-piperaquine adult dose in step  
574 1,  $n=23$  (one participant excluded. ART-naïve,  $n=6$ ; efavirenz (EFV),  $n=6$ ; ritonavir-  
575 boosted lopinavir lopinavir-boosted (LPV/r),  $n=6$ ; nevirapine (NVP),  $n=5$ . Below lower  
576 limit of quantification concentrations are excluded resulting in plotted observation time  
577 up to 336 hours in the efavirenz group and 672 hours in the rest of the study groups.  
578 Data are represented as mean (95% confidence interval)

579

580 **Figure 2.** Piperaquine concentration-time profile (semi-logarithmic scale) following  
581 administration of full standard adult dose of dihydroartemisinin-piperaquine in step 2,  
582  $n=40$ . (ART naïve,  $n=10$ ; efavirenz (EFV),  $n=15$ ; nevirapine (NVP),  $n=15$ ). Below lower  
583 limit of quantification points are excluded resulting in plotted observation time up to 336  
584 hours. Data are represented as mean (95% confidence interval)

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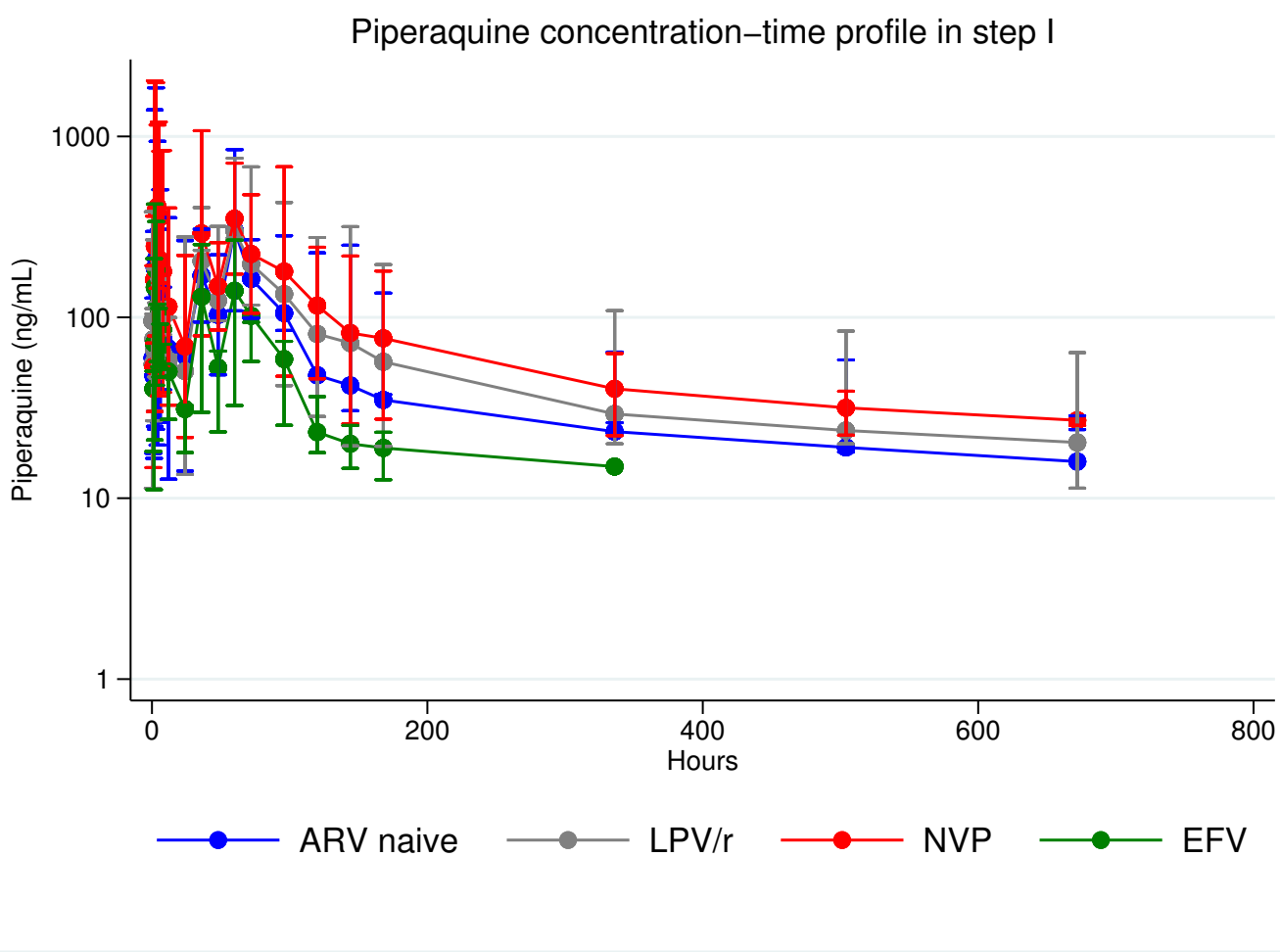
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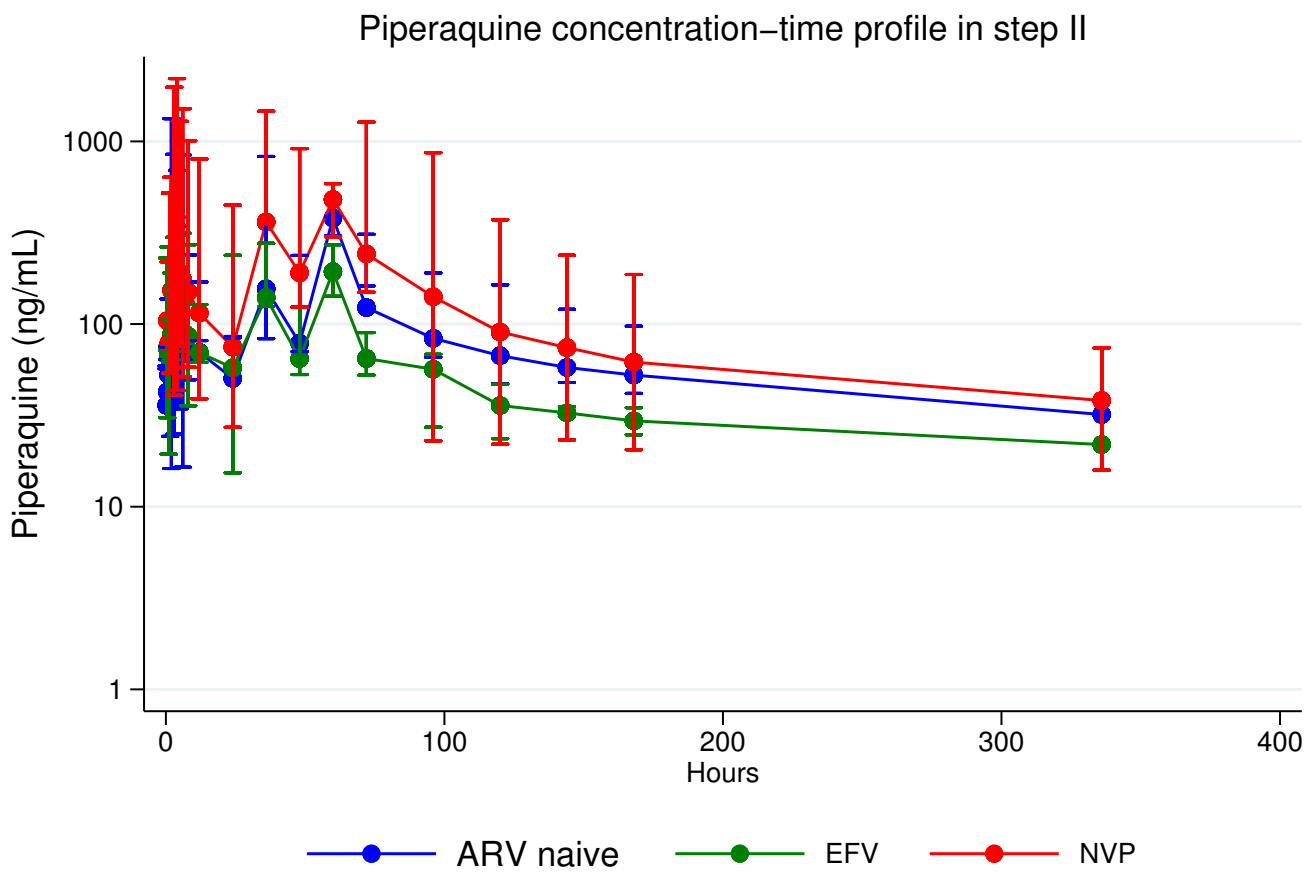
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**Table 1: Baseline Characteristics for study participants in Step 1 and Step 2**

Characteristic	Step 1				P-value	Step 2			P-value
	DHA-PPQ+NVP Containing ART N=5	DHA-PPQ +EFV Containing ART N=6	DHA-PPQ +LPV/r Containing ART N=6	DHA-PPQ without ART N=6		DHA-PPQ+NVP Containing ART N=15	DHA-PPQ +EFV Containing ART N=15	DHA-PPQ without ART N=10	
	Gender (n, % female)	3 (50.0)	2 (33.3)	2 (33.3)		4 (66.7)	0.811	13 (86.7)	
Median age (range, years)	39 (34–62)	43 (36–56)	41 (20–63)	29 (23–46)	0.360	36 (28–44)	36 (24–60)	40 (33–62)	0.060
Mean haemoglobin (SD, g/dL)	13.9 (1.3)	12.7 (1.6)	13.1 (1.6)	12.9 (1.0)	0.633	13.3 (2.1)	13.4 (2.2)	13.9 (2.9)	0.830
Median Body Mass Index (range in kg/m <sup>2</sup> )	24.3 (22.0–25.5)	20.4 (18.7–23.1)	19.8 (17.5–25.7)	23.9 (19.9–26.4)	0.071	23.1 (18.0–28.8)	20.9 (16.0–19.0)	21.3 (18.4–27.4)	0.602
Median (range) duration of ART intake at the time of screening (in months)	26.3 (7.0–55.7)	24.5 (15.2–49.9)	65.7 (52.2–86.9)	NA	0.020	47.7 (10.2–80.4)	39.8 (7.1–120.1)	NA	0.371
On Cotrimoxazole prophylaxis, n (%)	6 (100.0)	6 (100.0)	6 (100.0)	6 (100.0)	1.000	13 (86.7)	13 (86.7)	7 (70.0)	0.511
ALT (IU/L)	26 (12–39)	35 (20–44)	20 (15–23)	18 (11–19)	0.024	23 (15–39)	22 (11–38)	21 (17–28)	0.750
% with AST >ULN n (%)	2 (33.3)	4 (66.7)	0 (0.0)	0 (0.0)	0.092	5 (33.3)	3 (20.0)	3 (30.0)	0.743

AST (IU/L)	27 (19–58)	39 (24–46)	29.5 (21–35)	23 (19–27)	0.081	27 (17–52)	29 (21–53)	28 (20–34)	0.524
% with ALT >ULN n (%)	2 (33.3)	3 (50.0)	0 (0.0)	0 (0.0)	0.284	3 (20.0)	3 (20.0)	2 (20.0)	1.000
Creatinine (umol/L)	67 (42–139)	57 (38–67)	73 (44–90)	58 (51–69)	0.332	60 (41–83)	55 (32–69)	59 (47–68)	0.871
% with Creatinine >ULN n (%)	2 (33.3)	0 (0.0)	0 (0.0)	0 (0.0)	0.221	0 (0.0)	0 (0.0)	0 (0.0)	1.000
Any anemia, n (%)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1.000	2 (22.0)	0 (0.0)	0 (0.0)	0.330
Any leucopenia, n (%)	0 (0.0)	0 (0.0)	0 (0.0)	1 (16.7)	1.000	5 (33.3)	1 (6.7)	3 (30.0)	0.232
Any neutropenia, n (%)	2 (33.3)	2 (33.3)	4 (66.7)	1 (16.7)	0.460	3 (20.0)	5 (33.3)	3 (30.0)	0.741
Any thrombocytopenia, n (%)	0 (0.0)	0 (0.0)	0 (0.0)	1 (16.7)	1.000	4 (26.7)	1 (6.7)	1 (10.0)	0.410
Median CD4 cell count (range, cells/UL)	441 (254–832)	386 (273–757)	422 (375–691)	411 (324–734)	0.670	476 (298–685)	389 (274–1222)	429 (393–888)	0.311

**Table 2a: Piperazine pharmacokinetic parameters for participants in step 1**

	Study groups				Geometric Mean Ratio [90% CI] (p-value)		
	ART naïve n=6	NVP n=5 <sup>§</sup>	LPV/r n=6	EFV n=6	NVP/ART naïve	LPV/r/ART naïve	EFV/ART naïve
AUC <sub>0-28 days</sub> , hr.ng/mL	33385 [26131-42652]	43632 [31383-60662]	38300 [27256-53802]	18914 [14144-25291]	1.31 [0.86-1.99] (0.290)	1.15 [0.75-1.76] (0.589)	0.57 [0.38-0.83] (0.029)
C <sub>max</sub> (ng/mL)	350 [252-485]	637 [453-897]	327 [263-406]	253 [156-412]	1.82 [1.13-2.94] (0.061)	0.94 [0.63-1.39] (0.775)	0.72 [0.40-1.32] (0.371)
t <sub>max</sub> (hr)	3 [2-60]	4 [3-5]	60 [60-60]	3 [2-60]	0.573 <sup>a</sup>	0.049 <sup>a</sup>	1.000 <sup>a</sup>
t <sub>1/2</sub> (hr)*	332 [174-631]	319 [262-388]	455 [186-1114]	227 [120-432]	0.36 [0.49-1.89] (0.915)	1.37 [0.44-4.31] (0.636)	0.68 [0.36-1.30] (0.658)

PK parameters are presented as geometric mean [90% confidence interval] except t<sub>max</sub> which is presented as median [interquartile range].

P-value is calculated using analysis of variance (ANOVA) in Stata 15.0,  $\alpha = 0.1$

ART=antiretroviral therapy; NVP=Nevirapine-based ART; EFV=Efavirenz-based ART; LPV/r=Ritonavir boosted lopinavir based ART

C<sub>max</sub>=maximal concentration, t<sub>max</sub>=time to reach maximal concentration, t<sub>1/2</sub>=drug elimination half-life

AUC<sub>0-28 days</sub>=area under the concentration-time curve from 0 to 28 days

§: One participant did not complete follow up and was excluded from analysis

a: p-value only, calculated using Wilcoxon rank sum test,  $\alpha = 0.05$

\* Half-life estimation excluded below lower limit of quantification values

**Table 2b: Piperazine pharmacokinetic parameters for participants in step 2**

	Study groups			Geometric Mean Ratio [90% CI] (p-value)	
	ART naïve n=10	NVP n=15	EFV n=15	NVP/ART naïve	EFV/ART naïve
AUC <sub>0-28 days</sub> , hr.ng/mL	27573 [23208-32759]	36747 [28419-47516]	15792 [13094-19048]	1.33 [0.98-1.82] (0.179)	0.57 [0.44-0.74] (0.002)
C <sub>max</sub> (ng/mL)	430 [315-587]	557 [424-731]	245 [175-343]	1.30 [0.85-1.96] (0.314)	0.57 [0.36-0.90] (0.065)
t <sub>max</sub> (hr)	60 [60-60]	60 [36-60]	60 [24-60]	0.841 <sup>a</sup>	0.441 <sup>a</sup>
t <sub>1/2</sub> (hr)*	136 [72-255]	76 [36-160]	49 [27-90]	0.56 [0.21-1.51] (0.356)	0.36 [0.15-0.87] (0.072)
C <sub>d7</sub> (ng/mL) <sup>#</sup>	53 [39-71]	62 [46-84]	39 [32-48]	1.17 [0.76-1.83] (0.519)	0.74 [0.51-1.07] (0.469)

PK parameters are presented as geometric mean (90% confidence interval) with exception of t<sub>max</sub> which is given as median [interquartile range].

P-value is calculated using analysis of variance (ANOVA) in Stata 15.0,  $\alpha = 0.1$

ART=antiretroviral therapy; NVP=Nevirapine-based ART; EFV=Efavirenz-based ART.

C<sub>max</sub>=maximal concentration, t<sub>max</sub>=time to reach maximal concentration, t<sub>1/2</sub>=drug elimination half-life.

AUC<sub>0-28 days</sub>=area under the concentration-time curve from day 0 to 28, C<sub>d7</sub>=day 7 piperazine concentration

\* Half-life estimation excluded below lower limit of quantification values for each participant

a: p-value only, calculated using Wilcoxon rank sum test,  $\alpha = 0.05$

#: Day 7 piperazine n=22, below lower limit of quantification values excluded resulting in number of observations as follows ART naïve=2, NVP=10, EFV=10

