

1 **Global distribution of invasive serotype 35D *Streptococcus pneumoniae* post-**

2 **PCV13 introduction**

3 **Stephanie W. Lo,^{a,#} Rebecca A. Gladstone,^a Andries J. van Tonder,^a Paulina A.**

4 **Hawkins,^{b,c} Brenda Kwambana-Adams,^d Jennifer E. Cornick,^{e,f} Shabir A. Madhi,^{g,h}**

5 **Susan A. Nzenze,^{g,h} Mignon du Plessis,^{ij} Rama Kandasamy,^k Philip E. Carter,^l Özgen**

6 **Köseoglu Eser,^m Pak Leung Ho,ⁿ Naima Elmdaghri,^{o,p} Sadia Shakoor,^q Stuart C.**

7 **Clarke,^r Martin Antonio,^{d,s,t} Dean B. Everett,^{e,u} Anne von Gottberg,^{ij} Keith P.**

8 **Klugman,^b Lesley McGee,^c Robert F. Breiman,^{b,v} Stephen D. Bentley,^{a,#} The Global**

9 **Pneumococcal Sequencing Consortium**

10 ^aInfection Genomics, The Wellcome Trust Sanger Institute, Wellcome Trust Genome

11 Campus, Hinxton, Cambridge, CB10 1SA, UK

12 ^bHubert Department of Global Health, Rollins School of Public Health, Emory University,

13 Atlanta, GA 30322, USA

14 ^cRespiratory Diseases Branch, Centers for Disease Control and Prevention, Atlanta, GA

15 30333, USA

16 ^dVaccines and Immunity Theme, Medical Research Council Unit The Gambia, P.O Box 273,

17 Banjul, Fajara, The Gambia

18 ^eMalawi Liverpool Wellcome Trust Clinical Research Programme, P.O. Box 30096, Blantyre,

19 Malawi

20 ^fInstitute of Infection & Global Health, University of Liverpool, Liverpool, L69 7BE,

21 UK ^gMedical Research Council: Respiratory and Meningeal Pathogens Research Unit,

22 University of the Witwatersrand, Johannesburg, South Africa

23 ^hDepartment of Science and Technology/National Research Foundation: Vaccine Preventable

24 Diseases, University of the Witwatersrand, Johannesburg, South Africa

25 ⁱCentre for Respiratory Disease and Meningitis, National Institute for Communicable
26 Diseases of the National Health Laboratory Service

27 ^jSchool of Pathology, University of the Witwatersrand, Johannesburg, South Africa

28 ^kOxford Vaccine Group, Department of Paediatrics, University of Oxford, and the NIHR
29 Oxford Biomedical Research Centre, Oxford, OX3 9DU, UK

30 ^lInstitute of Environmental Science and Research Limited, Kenepuru Science Centre, Porirua,
31 New Zealand

32 ^mHacettepe University Faculty of Medicine, Department of Medical Microbiology, 06100,
33 Ankara, Turkey

34 ⁿDepartment of Microbiology and Carol Yu Centre for Infection, The University of Hong
35 Kong, Queen Mary Hospital, Hong Kong, China

36 ^oDepartment of Microbiology, Faculty of Medicine and Pharmacy, B.P. 9154, Hassan II
37 University of Casablanca, Morocco

38 ^pBacteriology-Virology and Hospital Hygiene Laboratory, University Hospital Centre Ibn
39 Rochd, 1, Rue des, Casablanca, Morocco

40 ^qDepartment of Pathology and Laboratory Medicine and Department of Paediatrics and Child
41 Health, The Aga Khan University, Karachi 74800, Pakistan;

42 ^rFaculty of Medicine and Institute of Life Sciences, University of Southampton, SO17 1BJ,
43 UK;

44 ^sMicrobiology and Infection Unit, Warwick Medical School, Warwick, CV4 7AL,
45 UK; ^tLondon School of Hygiene and Tropical Medicine, London, WC1E 7HT, UK;

46 ^uUniversity of Edinburgh, The Queens Medical Research Institute, Edinburgh, EH16 4TJ,
47 UK;

48 ^vEmory Global Health Institute, Emory University, Atlanta, GA 30322, USA

49

50 Running Head: Epidemiology of serotype 35D Streptococcus pneumoniae

51 #Address correspondence to Stephanie W. Lo, stephanie.lo@sanger.ac.uk and Stephen D.
52 Bentley, sdb@sanger.ac.uk.

53

54 **ABSTRACT (249/250)**

55 A newly recognized pneumococcal serotype 35D, which differs from the 35B polysaccharide
56 in structure and serology by not binding to factor serum 35a, was recently reported. The
57 genetic basis for this distinctive serology is due to the presence of an inactivating mutation in
58 *wciG*, which encodes an O-acetyltransferase responsible for O-acetylation of a
59 galactofuranose. Here, we assessed the genomic data of a worldwide pneumococcal
60 collection to identify serotype 35D isolates and understand their geographical distribution,
61 genetic background and invasiveness potential. Of 21,980 pneumococcal isolates, 444 were
62 originally typed as serotype 35B by PneumoCaT. Analysis of *wciG* revealed 23 isolates from
63 carriage (n=4) and disease (n=19) with partial or complete loss-of-function mutations,
64 including mutations resulting in pre-mature stop codons (n=22) and an in-frame mutation
65 (n=1). These were selected for further analysis. The putative 35D isolates were
66 geographically widespread and 65.2% (15/23) of them was recovered after PCV13
67 introduction. Compared with serotype 35B, putative serotype 35D isolates have higher
68 invasive disease potentials based on odds ratio (OR) (11.58; 95% CI, 1.42-94.19 vs 0.61;
69 95% CI, 0.40-0.92) and a higher prevalence of macrolide resistance mediated by *mefA*
70 (26.1% vs 7.6%, p=0.009). Using Quellung, 50% (10/20) of viable isolates were serotype
71 35D, 25% (5/20) serotype 35B, and 25% (5/20) a mixture of 35B/35D. The discrepancy
72 between phenotype and genotype requires further investigation. These findings illustrated a
73 global distribution of an invasive serotype 35D among young children post-PCV13
74 introduction and underlined the invasive potential conferred by the loss of O-acetylation in
75 the pneumococcal capsule.

76

77

78

79

80 INTRODUCTION

81 *Streptococcus pneumoniae* (pneumococcus) is an important human pathogen that
82 causes pneumonia, bacteremia, and meningitis. In 2015, >330,000 deaths globally in children
83 <5 years old were estimated to have been caused by pneumococci (1). Its polysaccharide
84 capsule, which has almost 100 serological variants (serotypes), is a major virulence factor (2,
85 3). Pneumococcal conjugate vaccines (PCVs) targeting up to 13 serotypes have gradually
86 been introduced into 139 countries since the early 2000s (<http://view-hub.org/viz/>).
87 Simultaneously, a proportional increase in non-vaccine serotypes, such as serotype 35B, has
88 been reported in various countries (4).

89 Recently, a serotype 35B variant, 35D, was identified in four pneumococcal isolates
90 in Australia (5) and two in the USA (2, 6). All of which had an inactivating mutation in *wciG*,
91 which encodes an O-acetyltransferase responsible for O-acetylation of a galactofuranose.
92 Nuclear magnetic resonance (NMR) analysis on a single isolate representing this novel
93 pneumococcal serotype verified that the serotype 35D capsule lacked O-acetylation but was
94 otherwise identical to serotype 35B (2). Serologically, it is distinct from serotype 35B strain
95 by consistently not binding to factor serum 35a but it displays variable reactivity to group 35
96 antiserum (2, 5, 6). *WciG* functionality has been shown to be the determinant of factor serum
97 35a recognition (2, 7).

98 Presence and absence of O-acetylation is one of the mechanisms for generating
99 diversity in capsular structure as shown by other serotype pairs such as 9V/9A (O-acetylation
100 mediated by *WciE*) (8), 11A/11E (*WcjE*) (8), 15B/15C (*WciZ*) (8), 33A/33F (*WcjE*) (9), and
101 35C/42 (*WciG*) (7). It is noteworthy that the O-acetyl group in the capsular repeat unit is
102 important for innate immune recognition (10) and is the target of vaccine-elicited antibodies

103 (11). Loss of O-acetylation in serotype 11E is predicted to assist pneumococci in evading
104 host immune and vaccine response, and has been suggested to occur during invasive disease
105 after initial colonization with the serotype 11A strain expressing an O-acetylated form of
106 capsule (12). The role of loss of O-acetylation in pneumococcal survival during invasion
107 among the other serotype pairs has remained unknown due to the rarity of serotype 9A, 33A,
108 and 42 for comparisons, and the difficulty in differentiation between serotype 15B and 15C.

109 Although the serological profile and biochemical structure of serotype 35D have been
110 described, there has not been an opportunity to comprehensively study this serotype across
111 geographies and clinical considerations. Here, we assessed the genomic data on serotype 35D
112 isolates from a worldwide pneumococcal collection to understand its geographical
113 distribution, genetic background and potential invasiveness.

114

115 **RESULTS AND DISCUSSION**

116 Of 21,980 assembled pneumococcal genomes from the Global Pneumococcal
117 Sequencing (GPS) project (n=16,575, May 2017) and a compiled dataset (n=5,405) by Van
118 Tonder *et al.* (13), 444 isolates from disease (n=173), carriage (n=270), and unknown source
119 (n=1) were originally typed as serotype 35B by PneumoCaT (5). The *wciG* alignment
120 revealed that 78.6% (349/444) of isolates were identical to the serotype 35B reference, 8.3%
121 (37/444) had silent mutations, 7.9% (35/444) had missense mutations, 3.4% (15/444) had
122 frameshift mutations, 1.6% (7/444) had non-sense mutations, and 0.2% (1/444) had an in-
123 frame insertion. All frameshift mutations led to a pre-mature stop codon which disrupted the
124 coding region of *wciG*. Given that the latter three types of mutations lead to reduced function
125 or a complete loss of function of WciG, the 23 isolates were designated serotype 35D (Table
126 1). The Quellung reaction of 20 viable isolates showed that 50% (10/20) were serologically
127 typed as serotype 35D, 25% (5/20) serotype 35B and 25% (5/20) a mixture of serotype 35B

128 and 35D (Table 2). In all discrepant cases, we examined the *cps* locus sequences in an
129 attempt to identify any gene loss and mixed *wciG* alleles. The *cps* locus region shared the
130 same capsular genes with the serotype 35D reference (accession number KY084476), and the
131 mutations in *wciG* were supported by at least 42X depth of reads (median: 80X; range: 42X
132 to 143X) with 100% consistency. The discrepancy between phenotype and genotype could be
133 due to 1) our inability to capture the serotype diversity in a clinical sample, since the bacterial
134 culture subject to DNA extraction and Quellung testing were derived from a single colony
135 that could be different between experiments; and 2) the possible inter-convertibility between
136 serotype 35B and 35D during bacterial culture *in vitro*. In all five isolates which were both
137 positive and negative to antisera fs35a under one microscope (Table 2), the mutations in
138 *wciG* were either a 1-bp insertion or deletion that occurred after a 6- to 7-bp homopolymer,
139 highlighting the possibility of inter-conversion between serotype 35B and 35D during DNA
140 replication. Metagenomic analysis of clinical samples to snapshot the serotype diversity and
141 investigation into the inter-convertibility of serotype 35B and 35D will potentially explain the
142 discrepancy between the phenotypes and genotypes observed in this study. Considering the
143 limitation of this study and our recent understanding of the genetic basis that differentiates
144 serotype 35B and 35D (2, 6, 7), the non-silent mutations detected in *wciG* in this study
145 strongly indicated the presence of serotype 35D pneumococci in the sample. Thus, the 23 *in*
146 *silico* serotype 35D isolates were selected for further analysis.

147 The mutation patterns of *wciG* among the *in silico* serotype 35D isolates were diverse.
148 The *wciG* mutation patterns in the 23 serotype 35D isolates were different from the 6
149 serotype 35D isolates reported previously (2, 5, 6). In total, there were twenty mutation
150 patterns observed in 29 serotype 35D isolates from ten countries across four continents
151 (Table 1). The most common naturally deficient WciG was due to 86_87insG, which
152 occurred within a 6-bp homopolymeric stretch of guanine. It was first observed in an isolate

153 from Malawi in 2006, prior to the introduction of PCV7, and was also found in isolates from
154 Senegal in 2011, South Africa and the USA in 2012, and New Zealand in 2015. Isolates with
155 this mutation were sporadically distributed on the phylogenetic tree (Figure 1), suggesting
156 that the mutations had arisen independently on multiple occasions. The convergence of
157 mutations may imply that this site is a mutational hotspot.

158 The majority of serotype 35D isolates belonged to the clonal complexes (CC)558
159 (n=9), CC198 (n=6), and CC156 (n=5) that were primarily associated with serotype 35B (6,
160 14, 15). The CC558 and CC156 lineages accounted for most of the increase in serotype 35B
161 after the introduction of PCV13 in the USA (6), while CC198 is the major serotype 35B
162 lineage in The Gambia (unpublished data). Based on a high-resolution SNP tree (Figure 1),
163 serotype 35D pneumococci emerged among closely related serotype 35B isolates within
164 different clusters. Together with the unrelated mutations observed in *wciG*, this strongly
165 indicated that serotype 35B is the progenitor of serotype 35D.

166 When compared with serotype 35B isolates, serotype 35D isolates were more likely to
167 be recovered from sterile anatomical sites including cerebrospinal fluid (CSF; n=9), blood
168 (n=8), lung aspirate (n=1), and joint aspirate (n=1) than among carriage isolates (n=4) [82.6%
169 (19/23) vs 36.7% (154/420); $p < 0.001$ by Fisher's exact test]. Based on a larger
170 pneumococcal collection (n=3,333) randomly selected from the GPS project database, the
171 empirical odds ratio (OR) for invasive disease due to serotype 35D is 11.58 (95% confidence
172 interval 95% CI: 1.42-94.19), whereas the OR for serotype 35B is 0.61 (95% CI: 0.40-0.92).
173 The increased invasive capacity in serotype 35D strain could be a result of evasion of the
174 immune response targeting the capsule O-acetyl group. The observation in serotype 35B/35D
175 coincides with a previous study on serotype 11A/11E, in which serotype 11E strains with a
176 loss or reduced amount of acetylation in the capsule were found to be significantly associated
177 with invasive pneumococcal disease (12, 16). The emergence of serotype 35D is likely

178 explained by Calix et al.'s hypothesis (12) that pneumococcal capsule structure undergoes
179 microevolution during progression from carriage to infection in response to divergent
180 selection pressure in early mucosal colonization compared to later in a sterile site. This model
181 of microevolution needs to be further investigated by characterizing the serotype dynamic
182 over the development of invasive disease *in vivo*.

183 Compared with the pre-PCV era, the prevalence of serotype 35D has not increased
184 more than serotype 35B after the introduction of PCV13. (OR, 12.36; 95% CI: 1.5-100.6 v.s.
185 OR, 3.54; 95% CI: 2.4-5.4; Table 3) in the randomly selected pneumococcal collection. A
186 large proportion of 35D isolates (65.2%, 15/23) were collected after the rollout of PCV13.
187 The post-PCV introduction isolates were all invasive isolates and were recovered in six
188 countries (Cameroon, Malawi, New Zealand, South Africa, The Gambia, and the USA),
189 highlighting that this invasive serotype is present in the residual pneumococcal population
190 worldwide and could potentially be an example of serotype replacement.

191 Among the 23 serotype 35D isolates, 87.0% (20/23) had at least one resistance
192 determinant conferring resistance to commonly used antibiotics including penicillin (65.2%,
193 15/23), erythromycin (30.4%, 7/23), cotrimoxazole (21.7%, 5/23), and tetracycline (4.3%,
194 1/23). Similar to the previous studies on serotype 35B (6, 14), the penicillin-resistant isolates
195 in this study were predominantly CC558 (60.0%, 9/15), followed by CC156 (35.7%, 5/15)
196 and a singleton of ST373 (6.7%, 1/15). Macrolide resistance mediated by *mefA* was
197 significantly higher in serotype 35D isolates than in serotype 35B isolates (Table 4). Five of
198 six serotype 35D isolates harboring *mefA* were from the USA, where macrolides are
199 recommended for use as an empirical therapy for pneumonia in children (17-19); they all
200 belonged to CC558, a major contributor to penicillin resistance in USA after introduction of
201 PCV13 (14). Unlike the highly invasive but usually antibiotic susceptible serotype 1,
202 pneumococci expressing serotype 35B (lower-invasive capsule) are more likely to be

203 commensal in the nasopharynx which could allow them to acquire antibiotic resistance
204 determinants via horizontal gene transfer from other nasopharyngeal bacteria; a subsequent
205 switch to serotype 35D (high-invasive capsule) would then transform the antibiotic resistant
206 strain into a more virulent form.

207 The limitation of this study is that the carriage and disease isolates included for
208 calculating the invasiveness index were sampled in different cities in each country; all
209 isolates included were collected between 2007 and 2015 from children aged < 2 years-old.
210 Ideally, the carriage and disease isolates should be geography, time, and age-matched. In this
211 instance, we calculated OR for invasiveness separately for each country: the ORs for invasive
212 disease due to serotype 35B and 35D in The Gambia were 0.37 (95% CI: 0.09-1.56) and 20.3
213 (95% CI: 2.10-196.42), respectively. The ORs could not be calculated for invasive disease as
214 all serotype 35D isolates in South Africa and Malawi were from disease. The ORs for disease
215 due to 35B in South Africa and Malawi were 0.68 (95% CI: 0.40-1.16) and 0.72 (95% CI:
216 0.11 – 2.15), respectively. The ORs by country were consistent with the ORs calculated from
217 the combined datasets of all three countries. Another limitation was that the effects of an in-
218 frame insertion of 15bp and the missense mutations in *wciG* on the protein function have not
219 been evaluated. Removing these samples from all comparisons of serotype 35B and 35D did
220 not alter the conclusions drawn from the statistical analyses.

221 This study highlighted the global distribution of an invasive serotype 35D among
222 young children in the post-PCV13 era and underlined the invasive potential conferred by the
223 loss of O-acetylation in the pneumococcal capsule.

224

225 **MATERIALS AND METHODS**

226 We retrospectively determined serotypes of 21,980 assembled pneumococcal
227 genomes from the GPS project (n=16,575, May 2017, <http://www.pneumogen.net/gps/>) and a

228 compiled dataset (n=5,405) by Van Tonder *et al.* (13). DNA extraction was performed on a
229 pure overnight culture derived from a single colony. Sequencing was performed on the
230 Illumina HiSeq platform to produce paired-end reads of either 75 (2010-2011), 100 (2013-
231 2014) or 125 base pairs (2015-2016) in length. *In silico* serotype was determined using the
232 whole genome sequence (WGS) based serotyping method PneumoCaT (20). As the current
233 version of PneumoCaT does not distinguish serotype 35D from serotype 35B, all samples
234 that were initially typed as serotype 35B were included in this study. To differentiate these
235 two serotypes, nucleotide sequences of *wciG* were extracted from the assembled genomes
236 and aligned to a reference sequence of 35B *wciG* (accession number KX021817) described
237 by Geno *et al.* using CLUSTALW (2, 21). Mutations such as nonsense and frameshift
238 mutations that led to pre-mature stop codon and in-frame insertion/deletions in *wciG* were
239 predicted to reduce the function or a complete loss of function of the WciG protein. Isolates
240 with these mutations were *in silico* typed as serotype 35D and their phenotypic serotype were
241 determined by the Quellung reaction tested on an overnight culture derived from a single
242 colony (22). Phylogenetic analysis was performed on all serotype 35B and 35D isolates by
243 constructing a maximum likelihood tree using RAxML v.8.2.X (23) based on single
244 nucleotide polymorphic sites (SNPs) extracted from a core gene alignment with Roary v.3.6.1
245 (24). An empirical odds ratio for invasive disease due to serotype 35B and 35D was
246 calculated based on a pneumococcal collection of 3,333 randomly selected carriage (n=1,260)
247 and disease (n=2,073) isolates from children aged < 2 years-old, collected during the pre-
248 PCV (n=1,691), post-PCV7 (n=678), and post-PCV13 (n=964) eras using a previously
249 described method (25). For each country, the random selection was carried out from a
250 collection of disease isolates collected via laboratory-based surveillance and carriage isolates
251 via cohort-studies using the following criteria: 50% isolates represented pre-PCV period (≤ 1
252 year before) and 50% post-PCV period (≥ 2 years after primary, ≥ 1 after subsequent PCVs).

253 The randomly selected collection in this study included 67 different serotypes plus non-
254 typeable pneumococci. They were collected in South Africa (carriage n=721, disease
255 n=1,047), Malawi (carriage n=336, disease n=60), and The Gambia (carriage n=1,016,
256 disease n=153). Isolates from other locations in the GPS dataset were either not randomly
257 selected, or consisted of only disease or carriage isolates and thus could not be used to
258 calculate odds ratios. Susceptibility to chloramphenicol, cotrimoxazole, erythromycin,
259 penicillin, and tetracycline were predicted by the identification of resistant determinants in
260 the assembled genomes using previously described pipelines (26-28). The epidemiological
261 and phylogenetic data can be interactively visualized and analyzed online using the
262 Microreact tool at https://microreact.org/project/GPS_serotype_35B_35D

263

264 Figure 1 Maximum likelihood phylogenetic tree was constructed using 56,848 SNPs
265 extracted from a 1.02-Mb codon alignment of 1,141 core genes from 444 serotype 35B and
266 35D *S. pneumoniae* isolates. The tree is colored according to the geographic location of each
267 samples' isolation. This analysis used an unrelated non-typeable isolate as the outgroup on
268 which to root the tree. Clonal complex (CC) and mutations in *wciG* are shown to the right of
269 the tree. Singleton sequence types and minor CCs with <5 isolates in this study are indicated
270 in pink and grey, respectively.

271

272 **ACKNOWLEDGEMENTS**

273 This work is funded by the Bill and Melinda Gates Foundation (grant code OPP1034556), the
274 Wellcome Trust Sanger Institute, and the Centers for Disease Control and Prevention, Atlanta,
275 USA. We thank The Centre for Genomic Pathogen Surveillance (CGPS), Sanger Institute for
276 their excellent visualization tool, Microreact. The authors gratefully acknowledge Dr.
277 Bernard W. Beall and Dr. Cynthia Whitney for their careful and critical reading of our

278 manuscript and insightful comments. We appreciate Stephen I. Pelton from Boston
279 University School of Medicine and Public Health kindly provided us with two putative
280 serotype 35D isolates. We thank Belabbes Houria, Idrissa Diawara, Khalid Zerouali,
281 Mohamed Benbachir, and Houria Belabbes from Hassan II University of Casablanca and
282 University Hospital Centre Ibn Rochd, Morocco; and Furqan Kabir and Shahida Qureshi
283 from Aga Khan University, Pakistan for sample and metadata collection. We also thank Julie
284 Morgan from Institute of Environmental Science and Research Limited, New Zealand and
285 Olga Hattingh from National Institute for Communicable Disease, South Africa for
286 performing Quellung test. The authors declare no additional conflicts of interest.

287

288 **DISCLAIMER**

289 The findings and conclusions in this report are those of the authors and do not necessarily
290 represent the official position of the Centers for Disease Control and Prevention.

291

292 **REFERENCE**

- 293 1. Wahl B, O'Brien KL, Greenbaum A, Liu L, Chu Y, Black R, Majumder A, Lukšić I,
294 Nair H, McAllister D, Campbell H, Rudan I, Knoll M. 2016. Global burden of
295 *Streptococcus pneumoniae* in children younger than 5 years in the pneumococcal
296 conjugate vaccines (PCV) era: 2000-2015, abstr International Symposium on
297 Pneumococci and Pneumococcal Diseases, Glasgow,
- 298 2. Geno KA, Saad JS, Nahm MH. 2017. Discovery of Novel Pneumococcal Serotype
299 35D, a Natural WciG-Deficient Variant of Serotype 35B. *J Clin Microbiol* 55:1416-
300 1425.
- 301 3. Mostowy RJ, Croucher NJ, De Maio N, Chewapreecha C, Salter SJ, Turner P,
302 Aanensen DM, Bentley SD, Didelot X, Fraser C. 2017. Pneumococcal capsule
303 synthesis locus *cps* as evolutionary hotspot with potential to generate novel serotypes
304 by recombination. *Mol Biol Evol* doi:10.1093/molbev/msx173.
- 305 4. Balsells E, Guillot L, Nair H, Kyaw MH. 2017. Serotype distribution of *Streptococcus*
306 *pneumoniae* causing invasive disease in children in the post-PCV era: A systematic
307 review and meta-analysis. *PLoS One* 12:e0177113.
- 308 5. Staples M, Graham RM, Hicks V, Strachan J, Goncalves da Silva A, Peverall J,
309 Wicks V, Jennison AV. 2017. Discovery of *Streptococcus pneumoniae* serogroup 35
310 variants in Australian patients. *Clin Microbiol Infect* doi:10.1016/j.cmi.2016.12.029.
- 311 6. Chochua S, Metcalf BJ, Li Z, Walker H, Tran T, McGee L, Beall B. 2017. Invasive
312 Serotype 35B Pneumococci Including an Expanding Serotype Switch Lineage, United
313 States, 2015-2016. *Emerg Infect Dis* 23:922-930.

- 314 7. Geno KA, Bush CA, Wang M, Jin C, Nahm MH, Yang J. 2017. WciG O-
315 Acetyltransferase Functionality Differentiates Pneumococcal Serotypes 35C and 42. *J*
316 *Clin Microbiol* 55:2775-2784.
- 317 8. Bentley SD, Aanensen DM, Mavroidi A, Saunders D, Rabinowitsch E, Collins M,
318 Donohoe K, Harris D, Murphy L, Quail MA, Samuel G, Skovsted IC, Kaltoft MS,
319 Barrell B, Reeves PR, Parkhill J, Spratt BG. 2006. Genetic analysis of the capsular
320 biosynthetic locus from all 90 pneumococcal serotypes. *PLoS Genet* 2:e31.
- 321 9. Spencer BL, Saad JS, Shenoy AT, Orihuela CJ, Nahm MH. 2017. Position of O-
322 Acetylation within the Capsular Repeat Unit Impacts the Biological Properties of
323 Pneumococcal Serotypes 33A and 33F. *Infect Immun* 85.
- 324 10. Brady AM, Calix JJ, Yu J, Geno KA, Cutter GR, Nahm MH. 2014. Low invasiveness
325 of pneumococcal serotype 11A is linked to ficolin-2 recognition of O-acetylated
326 capsule epitopes and lectin complement pathway activation. *J Infect Dis* 210:1155-65.
- 327 11. Rajam G, Carlone GM, Romero-Steiner S. 2007. Functional antibodies to the O-
328 acetylated pneumococcal serotype 15B capsular polysaccharide have low cross-
329 reactivities with serotype 15C. *Clin Vaccine Immunol* 14:1223-7.
- 330 12. Calix JJ, Dagan R, Pelton SI, Porat N, Nahm MH. 2012. Differential occurrence of
331 *Streptococcus pneumoniae* serotype 11E between asymptomatic carriage and invasive
332 pneumococcal disease isolates reflects a unique model of pathogen microevolution.
333 *Clin Infect Dis* 54:794-9.
- 334 13. van Tonder AJ, Bray JE, Quirk SJ, Haraldsson G, Jolley KA, Maiden MC, Hoffmann
335 S, Bentley SD, Haraldsson A, Erlendsdottir H, Kristinsson KG, Brueggemann AB.
336 2016. Putatively novel serotypes and the potential for reduced vaccine effectiveness:
337 capsular locus diversity revealed among 5405 pneumococcal genomes. *Microb*
338 *Genom* 2:000090.
- 339 14. Metcalf BJ, Gertz RE, Jr., Gladstone RA, Walker H, Sherwood LK, Jackson D, Li Z,
340 Law C, Hawkins PA, Chochua S, Sheth M, Rayamajhi N, Bentley SD, Kim L,
341 Whitney CG, McGee L, Beall B, Active Bacterial Core surveillance t. 2016. Strain
342 features and distributions in pneumococci from children with invasive disease before
343 and after 13-valent conjugate vaccine implementation in the USA. *Clin Microbiol*
344 *Infect* 22:60 e9-60 e29.
- 345 15. Beall B, McEllistrem MC, Gertz RE, Jr., Boxrud DJ, Besser JM, Harrison LH,
346 Jorgensen JH, Whitney CG, Active Bacterial Core Surveillance/Emerging Infections
347 Program N. 2002. Emergence of a novel penicillin-nonsusceptible, invasive serotype
348 35B clone of *Streptococcus pneumoniae* within the United States. *J Infect Dis*
349 186:118-22.
- 350 16. Calix JJ, Brady AM, Du VY, Saad JS, Nahm MH. 2014. Spectrum of pneumococcal
351 serotype 11A variants results from incomplete loss of capsule O-acetylation. *J Clin*
352 *Microbiol* 52:758-65.
- 353 17. Brogan TV, Hall M, Williams DJ, Neuman MI, Grijalva CG, Farris RW, Shah SS.
354 2012. Variability in processes of care and outcomes among children hospitalized with
355 community-acquired pneumonia. *Pediatr Infect Dis J* 31:1036-41.
- 356 18. Hersh AL, Shapiro DJ, Pavia AT, Shah SS. 2011. Antibiotic prescribing in
357 ambulatory pediatrics in the United States. *Pediatrics* 128:1053-61.
- 358 19. Kronman MP, Hersh AL, Feng R, Huang YS, Lee GE, Shah SS. 2011. Ambulatory
359 visit rates and antibiotic prescribing for children with pneumonia, 1994-2007.
360 *Pediatrics* 127:411-8.
- 361 20. Kapatai G, Sheppard CL, Al-Shahib A, Litt DJ, Underwood AP, Harrison TG, Fry
362 NK. 2016. Whole genome sequencing of *Streptococcus pneumoniae*: development,

- 363 evaluation and verification of targets for serogroup and serotype prediction using an
364 automated pipeline. *PeerJ* 4:e2477.
- 365 21. Larkin MA, Blackshields G, Brown NP, Chenna R, McGettigan PA, McWilliam H,
366 Valentin F, Wallace IM, Wilm A, Lopez R, Thompson JD, Gibson TJ, Higgins DG.
367 2007. Clustal W and Clustal X version 2.0. *Bioinformatics* 23:2947-8.
- 368 22. Institut SS. 2015. *Streptococcus Pneumoniae: Textbook in Diagnosis, Serotyping,*
369 *Virulence Factors and Enzyme-linked Immunosorbent Assay (ELISA) for Measuring*
370 *Pneumococcal Antibodies.* Statens Serum Institut.
- 371 23. Liu K, Linder CR, Warnow T. 2011. RAxML and FastTree: comparing two methods
372 for large-scale maximum likelihood phylogeny estimation. *PLoS One* 6:e27731.
- 373 24. Page AJ, Cummins CA, Hunt M, Wong VK, Reuter S, Holden MT, Fookes M, Falush
374 D, Keane JA, Parkhill J. 2015. Roary: rapid large-scale prokaryote pan genome
375 analysis. *Bioinformatics* 31:3691-3.
- 376 25. Brueggemann AB. 2003. Clonal Relationships between Invasive and Carriage
377 *Streptococcus pneumoniae* and Serotype- and Clone-Specific Differences in Invasive
378 Disease Potential.
- 379 26. Metcalf BJ, Chochua S, Gertz RE, Li Z, Walker H, Tran T, Hawkins PA, Glennen A,
380 Lynfield R, Li Y, McGee L, Beall B. 2016. Using whole genome sequencing to
381 identify resistance determinants and predict antimicrobial resistance phenotypes for
382 year 2015 invasive pneumococcal disease isolates recovered in the United States.
383 *Clinical Microbiology and Infection* 22:1002.e1-1002.e8.
- 384 27. Li Y, Metcalf BJ, Chochua S, Li Z, Gertz RE, Jr., Walker H, Hawkins PA, Tran T,
385 Whitney CG, McGee L, Beall BW. 2016. Penicillin-Binding Protein Transpeptidase
386 Signatures for Tracking and Predicting beta-Lactam Resistance Levels in
387 *Streptococcus pneumoniae*. *MBio* 7.
- 388 28. Li Y, Metcalf BJ, Chochua S, Li Z, Gertz RE, Jr., Walker H, Hawkins PA, Tran T,
389 McGee L, Beall BW, Active Bacterial Core surveillance t. 2017. Validation of beta-
390 lactam minimum inhibitory concentration predictions for pneumococcal isolates with
391 newly encountered penicillin binding protein (PBP) sequences. *BMC Genomics*
392 18:621.
- 393 29. Croucher NJ, Finkelstein JA, Pelton SI, Mitchell PK, Lee GM, Parkhill J, Bentley SD,
394 Hanage WP, Lipsitch M. 2013. Population genomics of post-vaccine changes in
395 pneumococcal epidemiology. *Nat Genet* 45:656-63.
- 396

Table 1. Genetic diversity of inactivating mutations in *wciG* of 29 serotype 35D *S. pneumoniae* isolates from the Global Pneumococcal Sequencing (GPS) project (n=23) and previous studies (n=6)

<i>wciG</i> nucleotide mutation	n	Clonal Complex/ Sequence Type	Geographical location of isolation	Year of isolation	Site of isolation	Ref.
<i>Frameshift mutation (n=18)</i>^a						
86_87insG	6	CC156 (n=2), CC558 (n=2), CC198 (n=1), CC9813 (n=1)	Malawi (n=2), New Zealand (n=1), Senegal (n=1), South Africa (n=1), USA (n=1)	2006 (n=1), 2011 (n=1), 2012 (n=2), 2015 (n=2)	CSF (n=3), blood (n=2), joint pus (n=1)	GPS
914_929del_16bp	2	CC558	South Africa, USA	2012 (n=1), 2013 (n=1)	CSF (n=1), blood (n=1)	GPS
162_163insT	2	CC558	USA	2004 (n=1), 2007 (n=1)	Nasopharynx (n=2)	GPS ^d
92_93insC	1	CC198	The Gambia	2013	Blood	GPS
705_706insT	1	CC156	Malawi	2015	CSF	GPS
86delG	1	CC156	Cameroon	2012	CSF	GPS
312delA	1	CC198	The Gambia	2009	Nasopharynx	GPS
382_385_del_4bp	1	CC9813	South Africa	2012	CSF	GPS
306_307insA	1	CC198	Australia	2016	unknown	(5)
36delA	1	CC558	Australia	2015	unknown	(5)
663_696del_34bp	1	CC452	Australia	2016	unknown	(5)
<i>In-frame deletion/insertion (n=3)</i>						
792_968del_177bp ^b	1	CC156	USA	2015	Blood (n=2)	(6)
755_808del_54bp ^b	1	CC558	Australia	2016	unknown	(5)
523_524ins_15bp	1	CC558	USA	2009	Blood	GPS
<i>Nonsense mutation (n=7)</i>						
C220T	2	CC156, ST373	Nepal, South Africa	2013 (n=1), 2014 (n=1)	CSF (n=1),	GPS

					nasopharynx (n=1)	
T732G	2	CC198	The Gambia	2014 (n=2)	CSF (n=1), blood (n=1)	GPS
C104A	1	CC558	USA	2012	Blood	GPS
C323A	1	CC558	USA	2012	Blood	GPS
T434G	1	CC198	The Gambia	2009	Lung aspirate	GPS
<i>Missense mutation (n=1)</i>						
G533A, G679A ^c	1	unknown	USA	unknown	unknown	(2)

^aAll frameshift mutations resulted in pre-mature stop codon.

^bThe in-frame deletion rendered the WciG, an acetyltransferase, non-functional. It was evidenced by the serological profiles reported by Chochua et al. (6) and Staples et al. (5)

^cThe resulting amino acid changes were R178K and A227T. The substitution led to a non-functional WciG, confirmed by serological test and NMR spectroscopic analysis

^dThese two isolates were reported in a previous study by Croucher et al. (29) and *in silico* serotype was updated as serotype 35D in this study. CSF, cerebrospinal fluid

Table 2. Serological profiles of 29 serotype 35D *S. pneumoniae* isolates from the Global Pneumococcal Sequencing (GPS) project (n=23) and previous studies (n=6) tested by the Quellung reactions

Strain name	Country	CC	Year	wciG mutation	Pool G	Type 29	Type 42	Group 35	fs35a	fs35b	fs35c	fs29b	fs42a	Phenotypic serotype	Ref.
3431-06	USA	N/A	N/A	G533A, G679A	+	N	N	-	-	-	+	+	-	35D	(2)
16S471	Australia	CC198	2016	306_307insA	+	+	+	+	-	-	+	+	-	35D	(5)
SAMDU-00005305	Australia	CC558	2015	36delA	+	+	+	+	-	-	+	+	-	35D	(5)
16S49	Australia	CC452	2016	663_696del_34bp	+	+	+	+	-	-	+	+	-	35D	(5)
16S35	Australia	CC558	2016	755_808del_54bp	+	+	+	+	-	-	+	+	-	35D	(5)
20152877	USA	CC156	2015	792_968del_177bp	+	N	N	+	-	-	+	+	-	35D	(6)
CH2075	USA	CC558	2007	162_163insT	+	+	-	+	+	-	+	+	-	35B	GPS ^e
3025	USA	CC558	2004	162_163insT	+	+	-	+	+	-	+	+	-	35B	GPS ^e
GPS_US_2010209945_R1	USA	CC558	2009	523_524ins_15bp	+	+	-	+	+	-	+	+	-	35B	GPS
GPS_GM_1130	The Gambia	CC198	2014	T731G (L244*)	+	+	-	+	+	-	+	+	-	35B	GPS
GPS_GM_1148	The Gambia	CC198	2014	T731G (L244*)	+	+	-	+	+	-	+	+	-	35B	GPS
GPS_ZA_2370	South Africa	CC9813	2012	382_385delATAT	+	+	+	+	-	-	+	+	-	35D	GPS
GPS_ZA_2636	South Africa	CC558	2013	914_929del_16bp	+	+	+	+ ^b	-	-	+	+	-	35D	GPS
2012215593	USA	CC558	2012	914_929del_16bp	+	+	-	-	-	-	+	+	-	35D	GPS
2012215608	USA	CC558	2012	C104A (S35*)	+	+	-	-	-	-	+	+	-	35D	GPS
GPS_ZA_2559	South Africa	CC156	2013	C220T (Q74*)	+	+	+	+	-	-	+	+	-	35D	GPS
GPS_NP_7242	Nepal	Singleton ^d	2014	C220T (Q74*)	+	+	N	+	-	-	+	+	-	35D	GPS
2012220613	USA	CC558	2012	C323A (S108*)	+	+	-	-	-	-	+	+	-	35D	GPS
2013208723	USA	CC558	2012	86_87insG	+	+	-	-	-	-	+	+	-	35D	GPS

GPS_MW_D38253_R1	Malawi	CC156	2006	86_87insG	+	+	-	-	-	-	+	+	-	35D	GPS
GPS_MW_BKR609	Malawi	CC156	2015	86_87insG	+	+	-	-	-	-	+	+	-	35D	GPS
PI0167	Senegal	CC198	2011	86_87insG	+	+	-	+	+ ^b	-	+	+	-	35B/D	GPS
GPS_NZ_15SP0720	New Zealand	CC558	2013	86_87insG	+	+	N	+	+ ^c	-	+	+	-	35B/D	GPS
GPS_ZA_2487	South Africa	CC9813	2012	86_87insG	+	+	+	+	+ ^b	-	+	+	-	35B/D	GPS
GPS_MW_BKR5WC	Malawi	CC156	2015	705_706insT	+	+	-	+ ^b	+ ^b	-	+	+	-	35B/D	GPS
PI0258	Cameroon	CC156	2012	86delG	+	+	-	+	+ ^b	-	+	+	-	35B/D	GPS
GPS_GM_0282	The Gambia	CC198	2013	92_93insC	N	N	N	N	N	N	N	N	N	N	GPS
GPS_GM_0600	The Gambia	CC198	2009	312delA	N	N	N	N ^a	N	N	N	N	N	N	GPS
GPS_GM_0320	The Gambia	CC198	2009	T434G (L145*)	N	N	N	N	N	N	N	N	N	N	GPS

^aN, data not available

^bUnder the microscope, cells that were derived from a single-colony overnight culture showed both positive and negative to the antisera tested.

^cThis isolate was tested in two different laboratories and exhibit both positive to antisera fs35a in one laboratory and negative in another.

^dIsolate GPS_NP_7242 belong to ST373. A singleton that does not belong to any clonal complex.

^eThese two isolates were reported in a previous study by Croucher et al. (29) and *in silico* serotype was updated as serotype 35D in this study.

Table 3. The prevalence of serotype 35B and 35D *S. pneumoniae* from South Africa (n=1768), The Gambia (n=1169) and Malawi (n=396) in each vaccine period

Vaccine period ^a	No. of isolates (%)		Odds ratio (95% confidence interval)	
	serotype 35B	serotype 35D	serotype 35B	serotype 35D
Pre-PCV (n=1691)	36 (2.12)	1 (0.06)	baseline	baseline
Post-PCV7 (n=678)	12 (1.77)	0	0.83 (0.4 to 1.6)	-
Post-PCV13 (n=964)	69 (7.16)	7 (0.73)	3.54 (2.4 to 5.4)*	12.36 (1.5 to 100.6)*

^aBased on the year of PCV introduction, we grouped each year of collection into three categories: pre-PCV period (years when no conjugated vaccine was used and the year of PCV7 introduction); Post-PCV7 (the second year of PCV7 introduction until the year when a higher-valent PCV was introduced); Post-PCV13 (the second year of PCV13 introduction until the end of the study year). PCV7 was introduced in South Africa and The Gambia in 2009; PCV13 was introduced in South Africa, The Gambia, and Malawi in 2011.

*p value < 0.05

Table 4. Antimicrobial resistant determinants in serotype 35B and 35D *S. pneumoniae* isolates from the Global Pneumococcal Sequencing (GPS) project

Antibiotic resistance determinants	No. of isolates (%)		P value
	serotype 35B (n=421)	serotype 35D (n=23)	
<i>ermB</i>	3 (0.7)	1 (4.3)	0.192
<i>mefA</i>	32 (7.6)	6 (26.1)	0.009
<i>tetM</i>	36 (8.6)	1 (4.3)	0.710
<i>folA</i> I100L and <i>folP</i> insertion	140 (33.3)	5 (21.7)	0.361

